FICTION
# SYLLABUS

## Fiction

### Objectives:
- To trace the evolution of prose fiction.
- To discuss the major elements of prose fiction.
- To explain the major thematic thrusts of the selected texts.
- To develop the ability of the learners to think and write critically about fiction.

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Unit 1: Henry Fielding—Joseph Andrews

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1.6 Further Readings

Objectives
After studying this unit, you will be able to:

• Discuss the biography of Henry Fielding
• Explain Joseph Andrews-Introduction to the text.

Introduction
Joseph Andrews, or The History of the Adventures of Joseph Andrews and of his Friend Mr. Abraham Adams, was the first published full-length novel of the English author and magistrate Henry Fielding, and indeed among the first novels in the English language. Published in 1742 and defined by Fielding as a ‘comic romance’, it is the story of a good-natured footman’s adventures on the road home from London with his friend and mentor, the absent-minded parson Abraham Adams. The novel represents the coming together of the two competing aesthetics of eighteenth-century literature: the mock-heroic and neoclassical (and, by extension, aristocratic) approach of Augustans such as Alexander Pope and Jonathan Swift; and the popular, domestic prose fiction of novelists such as Daniel Defoe and Samuel Richardson.

The novel draws on a variety of inspirations. Written “in imitation of the manner of Cervantes, the author of Don Quixote”, the work owes much of its humour to the techniques developed by Cervantes, and its subject-matter to the seemingly loose arrangement of events, digressions and lower-class characters to the genre of writing known as picaresque. In deference to the literary tastes and recurring tropes of the period, it relies on bawdy humour, an impending marriage and a mystery surrounding unknown parentage, but conversely is rich in philosophical digressions, classical erudition and social purpose.

1.1 Henry Fielding—Joseph Andrews (Non–detailed): Introduction to the Author

Introduction to the Author
Henry Fielding (Sharpham, 22 April 1707 – near Lisbon, 8 October 1754) was an English novelist and dramatist known for his rich earthy humour and satirical prowess, and as the author of the novel Tom Jones.
As aside from his literary achievements, he has a significant place in the history of law-enforcement, having founded (with his half-brother John) what some have called London’s first police force, the Bow Street Runners, using his authority as a magistrate. His younger sister, Sarah, also became a successful writer.

1.1.1 Biography of Henry Fielding

Fielding was educated at Eton College, where he established a lifelong friendship with William Pitt the Elder. After a romantic episode with a young woman that ended in his getting into trouble with the law, he went to London where his literary career began. In 1728, he travelled to Leiden to study classics and law at the University. However, due to lack of money he was obliged to return to London and he began writing for the theatre, some of his work being savagely critical of the contemporary government under Sir Robert Walpole.

The Theatrical Licensing Act of 1737 is alleged to be a direct response to his activities. The particular play that triggered the Licensing Act was The Golden Rump, but Fielding’s satires had set the tone. Once the Licensing Act passed, political satire on the stage was virtually impossible, and playwrights whose works were staged were viewed as suspect. Fielding therefore retired from the theatre and resumed his career in law and, in order to support his wife Charlotte Cradock and two children, he became a barrister.

Notes
His lack of money sense meant that he and his family often endured periods of poverty, but he was helped by Ralph Allen, a wealthy benefactor who later formed the basis of Squire Allworthy in Tom Jones. After Fielding’s death, Allen provided for the education and support of his children.

Fielding never stopped writing political satire and satires of current arts and letters. His Tragedy of Tragedies of Tom Thumb (for which Hogarth designed the frontispiece) was, for example, quite successful as a printed play. He also contributed a number of works to journals of the day. He wrote for Tory periodicals, usually under the name of “Captain Hercules Vinegar”. During the late 1730s and early 1740s Fielding continued to air his liberal and anti-Jacobite views in satirical articles and newspapers. Almost by accident, in anger at the success of Richardson’s Pamela, Fielding took to writing novels in 1741 and his first major success was Shamela, an anonymous parody of Samuel Richardson’s melodramatic novel. It is a satire that follows the model of the famous Tory satirists of the previous generation (Jonathan Swift and John Gay, in particular).

He followed this up with Joseph Andrews (1742), an original work supposedly dealing with Pamela’s brother, Joseph. Although also begun as a parody, this work developed into an accomplished novel in its own right and is considered to mark Fielding’s debut as a serious novelist. In 1743, he published a novel in the Miscellanies volume III (which was the first volume of the Miscellanies). This was The History of the Life of the Late Mr Jonathan Wild the Great. This novel is sometimes thought of as his first because he almost certainly began composing it before he wrote Shamela and Joseph Andrews. It is a satire of Walpole that draws a parallel between Walpole and Jonathan Wild, the infamous gang leader and highwayman. He implicitly compares the Whig party in Parliament with a gang of thieves being run by Walpole, whose constant desire to be a “Great Man” (a common epithet for Walpole) should culminate only in the antithesis of greatness: being hanged.

His anonymously-published The Female Husband of 1746 is a fictionalized account of a notorious case in which a female transvestite was tried for duping another woman into marriage. Though
a minor item in Fielding’s total oeuvre, the subject is consistent with his ongoing preoccupation with fraud, sham, and masks. His greatest work was Tom Jones (1749), a meticulously constructed picaresque novel telling the convoluted and hilarious tale of how a foundling came into a fortune. Charlotte, on whom he later modelled the heroines of both Tom Jones and Amelia, died in 1744. Three years later Fielding – disregarding public opinion – married Charlotte’s former maid, Mary, who was pregnant.

**Task** Explain Biography of Henry Fielding.

Despite this, his consistent anti-Jacobitism and support for the Church of England led to him being rewarded a year later with the position of London’s Chief Magistrate, and his literary career went from strength to strength. Joined by his younger half-brother John, he helped found what some have called London’s first police force, the Bow Street Runners in 1749.

**Notes** According to the historian G. M. Trevelyan, they were two of the best magistrates in eighteenth-century London, and did a great deal to enhance the cause of judicial reform and improve prison conditions.

His influential pamphlets and enquiries included a proposal for the abolition of public hangings. This did not, however, imply opposition to capital punishment as such—as evident, for example, in his presiding in 1751 over the trial of the notorious criminal James Field, finding him guilty in a robbery and sentencing him to hang. Despite being now blind, John Fielding succeeded his older brother as Chief Magistrate and became known as the ‘Blind Beak’ of Bow Street for his ability to recognise criminals by their voice alone.

In January 1752, Fielding started a biweekly periodical titled The Covent-Garden Journal, which he would publish under the pseudonym of “Sir Alexander Drawcansir, Knt. Censor of Great Britain” until November of the same year. In this periodical, Fielding directly challenged the “armies of Grub Street” and the contemporary periodical writers of the day in a conflict that would eventually become the Paper War of 1752–1753.

Fielding’s ardent commitment to the cause of justice as a great humanitarian in the 1750s (for instance, his support of Elizabeth Canning) coincided with a rapid deterioration in his health. This continues to such an extent that he went abroad to Portugal in 1754 in search of a cure. Gout, asthma and other afflictions meant that he had to use crutches.

**Did u know?** Henry Fielding were died in Lisbon in the year 1754. His tomb is located inside the city’s English Cemetery.

**Partial list of works**

- The Masquerade – a poem (Fielding’s first publication)
- Love in Several Masques – play, 1728
- Rape upon Rape – play, 1730. Adapted by Bernard Miles as Lock Up Your Daughters! in 1959, filmed in 1974
- The Temple Beau – play, 1730
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- The Author’s Farce – play, 1730
- The Tragedy of Tragedies; or, The Life and Death of Tom Thumb – play, 1731
- Grub-Street Opera – play, 1731
- The Modern Husband – play, 1732
- The Covent Garden Tragedy – play, 1732
- Pasquin – play, 1736
- The Historical Register for the Year 1736 – play, 1737
- An Apology for the Life of Mrs. Shamela Andrews – novel, 1741
- The History of the Adventures of Joseph Andrews and his Friend, Mr. Abraham Abrams – novel, 1742
- The Life and Death of Jonathan Wild, the Great – novel, 1743, ironic treatment of Jonathan Wild, and the most notorious underworld figure of the time. Published as Volume 3 of Miscellanies.
- Miscellanies – collection of works, 1743, contained the poem Part of Juvenal’s Sixth Satire, Modernized in Burlesque Verse
- The Female Husband or the Surprising History of Mrs Mary alias Mr George Hamilton, who was convicted of having married a young woman of Wells and lived with her as her husband, taken from her own mouth since her confinement – pamphlet, fictionalized report, 1746
- The History of Tom Jones, a Foundling – novel, 1749
- A Journey from this World to the Next – 1749
- Amelia – novel, 1751
- The Covent Garden Journal – periodical, 1752
- Journal of a Voyage to Lisbon – travel narrative, 1755

Self Assessment

Fill in the blanks:
1. The history of the adventures of Joseph Andrews and of his friend ...................., was the first published full-length novel of the English author.
2. .................... was an English novelist and dramatist known for his rich earthy humour and satirical prowess.
3. In .................... Fielding started a biweekly periodical titled the Covent-garden journal.

1.2 Introduction to the Text—Joseph Andrews

Henry Fielding published his first full novel in 1742, at a time when he was nearly penniless and expecting the deaths of his young daughter and beloved wife. Joseph Andrews was, then, a response to personal and financial exigencies, but it was equally a response to that great literary event of 1740, the publication of Samuel Richardson’s much-debated and oft-lampooned Pamela; or, Virtue Rewarded. Detesting it for both its moral content and its literary method, Fielding himself had already parodied Richardson’s novel in the anonymously published Shamela, his classically savage novella of 1741. Joseph Andrews in some ways continues the satirical
work that Shamela began, but with its broad range of contemporary reference and its self-conscious positioning vis-à-vis long-standing literary and moral traditions, Joseph Andrews clearly considers itself far more than just another send up of the century’s most widely travestied novel.

Much of the distinctiveness of Fielding’s first novel derives from the author’s background as a gentleman, a playwright, and a peculiarly eighteenth-century type of Christian. His youth at Eton College, where he had received a gentleman’s classical education, informed Fielding’s ambition to elevate the middle-class and vernacular genre of the novel by giving it a classical pedigree; the Preface to Joseph Andrews, in which Fielding explains in detail his inauguration of a hybrid genre, the “comic Epic-Poem in Prose,” makes explicit his desire to blend high and low and is a measure of how seriously he hoped that his work would be taken. By comparison, Fielding’s earlier literary output had been relatively slapdash; from 1728 to 1737 he had been a writer of comedies for the London stage, in which capacity he had sought, in the words of the earlier dramatist John Vanbrugh, “to show People what they should do, by representing them on the Stage doing what they should not.” A contemporary remarked that these plays had been written “on tobacco-paper,” and indeed they show signs of haste and of having been written for money; while Fielding would conceive more loftily of his novels in terms of their form and pedigree, however, he would remain consistent in his view of literature’s moral utility as a vehicle of constructive ridicule.

Task Write a note on Joseph Andrews.

Joseph Andrews is a product not only of its author’s career and education but also of its age in general, which is often called the Age of Reason or the Enlightenment Age. It was a time of major political and doctrinal compromises, and its religious temper was optimistic and non-dogmatic. The Christian outlook of Fielding shares in both these attributes: his novels advocate an easygoing Protestantism in which charitable works are the infallible hallmarks of goodness, sociability is the wellspring of charitable works, and providence is the reliable guardian of the virtuous. Fielding’s morality, like that of his up-to-date contemporaries, is at least as much man-centered as God-centered; the same may be said of his philosophy, for in the early eighteenth century, faith in God was equally faith in man, as religion was held to be perfectly compatible with human reason. Thus, Fielding shares with his Parson Adams a confidence, which borders on the rationalistic, in the ethical value of reason, including and especially that of the pre-Christian Greek philosophers. In the literary culture of the age at large, the consequences of such faith in reason were substantial: as one critic has put it, “anything that could not be explained was undervalued”, and literature accordingly took on an empirical cast. The poets turned from lyric poetry to versified philosophy, of which Alexander Pope’s Essay on Man is perhaps the supreme instance, and the increasing interest of writers in what is real and tangible contributed to the development of a new genre, namely the novel, the special province of which is the depiction of everyday life. In company with his predecessor Defoe, his contemporary Richardson, and his successors Sterne and Smollett, Fielding would help to determine the particular form of the novel in English.

The subject of Joseph Andrews, as of all of Fielding’s novels, is human nature, which he considered fallible but perfectible. The mode is comical or satirical, and the moral intention is to puncture the facades whereby people protect themselves from moral opprobrium or from self-knowledge, as the case may be. The field of reference comprises Homer and Richardson, Cervantes’s Don Quixote and the Bible, the mediocrity of contemporary writers, the corruption of contemporary gentry and officials, and many moral and ethical verities of eternal relevance. As much as Pamela was the first best-selling novel.
Notes

Did u know? Joseph Andrews is the first novel of the “modern” type, comprehending traces of the theater and of picaresque, of high culture and of low culture, in a structure both architectural and deceptively casual.

Self Assessment

Multiple Choice Questions:

4. Henry Fielding was published his first full novel in ......... .
   (a) 1824       (b) 1736
   (c) 1742       (d) 1839.

5. Henry Fielding were died in ......... in the year 1754.
   (a) Lisbon     (b) Portugal
   (c) England    (d) France.

6. The subject of ......... as of all of Fielding’s novels is human nature, which he considered fallible but perfectible.
   (a) Abraham Adams       (b) Henry Fielding
   (c) Tom Jones               (d) Joseph Andrews.

1.3 Summary

• Henry Fielding (Sharpham, 22 April 1707 – near Lisbon, 8 October 1754) was an English novelist and dramatist known for his rich earthy humour and satirical prowess.

• Fielding never stopped writing political satire and satires of current arts and letters.

• In January 1752, Fielding started a biweekly periodical titled The Covent-Garden Journal, which he would publish under the pseudonym of “Sir Alexander Drawcansir, Knt.

• Henry Fielding published his first full novel in 1742, at a time when he was nearly penniless and expecting the deaths of his young daughter and beloved wife.

• Joseph Andrews is a product not only of its author’s career and education but also of its age in general, which is often called the Age of Reason or the Enlightenment Age.

• The subject of Joseph Andrews, as of all of Fielding’s novels, is human nature, which he considered fallible but perfectible.

1.4 Keywords

Contemporary : belonging to or occurring in the present.
Adventure : an unusual, exciting and daring experience.
Mystery : a handicraft or trade.
Notorious : famous for some bad quality or deed.
Pedigree : the record of descent of an animal.
1.5 Review Questions

1. Write a short note on Henry Fielding.
3. Write about the subject of Joseph Andrews.

Answers: Self Assessment

1. Mr. Abraham Adams 2. Henry Fielding
3. January 1752 4. (c)
5. (a) 6. (d)

1.6 Further Readings

Books
- Joseph Andrews (Non-Detailed) — Henry Fielding
- Henry Fielding: a life — Martin C. Battestin
- Henry Fielding — Simon Varey

Online links
- http://www.readbookonline.net/title/9400/
Objectives

After studying this unit, you will be able to:

- Explain Joseph Andrews-I, detailed study of the text of Book I, Chapters I through XVIII
- Discuss analysis of Book I all chapters.

Introduction

The novel begins with the affable, intrusive narrator outlining the nature of our hero. Joseph Andrews is the brother of Richardson’s Pamela and is of the same rustic parentage and patchy ancestry. At the age of ten years he found himself tending to animals as an apprentice to Sir Thomas Booby. It was in proving his worth as a horseman that he first caught the eye of Sir Thomas’s wife, Lady Booby, who employed him (now seventeen) as her footman.

After the death of Sir Thomas, Joseph finds that his Lady’s affections have redoubled as she offers herself to him in her chamber while on a trip to London. In a scene analogous to many of Pamela’s refusals of Mr B in Richardson’s novel, however, Lady Booby finds that Joseph’s Christian commitment to chastity before marriage is unwavering. After suffering the Lady’s fury, Joseph dispatches a letter to his sister very much typical of Pamela’s anguished missives in her own novel. The Lady calls him once again to her chamber and makes one last withering attempt at seduction before dismissing him from both his job and his lodgings.

With Joseph setting out from London by moonlight, the narrator introduces the reader to the heroine of the novel, Fanny goodwill. A poor illiterate girl of ‘extraordinary beauty’ now living with a farmer close to Lady Booby’s parish, she and Joseph had grown ever closer since their childhood, before their local parson and mentor, Abraham Adams, recommended that they postpone marriage until they have the means to live comfortably.

On his way to see Fanny, Joseph is mugged and laid up in a nearby inn where, by dint of circumstance, he is reconciled with Adams, who is on his way to London to sell three volumes
of his sermons. The thief, too, is found and brought to the inn, and Joseph is reunited with his possessions.

Joseph and Adams’ stay in the inn is capped by one of the many burlesque, slapstick digressions in the novel. Betty, the inn’s 21-year-old chambermaid, had taken a liking to Joseph since he arrived; a liking doomed to inevitable disappointment by Joseph’s constancy to Fanny. The landlord, Mr Tow-wouse, had always admired Betty and saw this disappointment as an opportunity to take advantage. Locked in an embrace, they are discovered by the choleric Mrs Tow-wouse, who chases the maid through the house before Adams is forced to restrain her. With the landlord promising not to transgress again, his lady allows him to make his peace at the cost of ‘quietly and contentedly bearing to be reminded of his transgressions, as a kind of penance, once or twice a day, during the residue of his life’.

**Preface**

Fielding defines and defends his chosen genre, the comic epic, or “comic Epic-Poem in Prose.” Claiming a lost work of Homer as precedent, he explains that the comic epic differs from comedy in having more “comprehensive” action and a greater variety of incidents and characters; it differs from the “serious Romance” in having lower-class characters and favoring, in “Sentiments and Diction,” the ridiculous over the sublime. Fielding is particularly concerned to differentiate the comic epic and comedy generally, from burlesque: “no two Species of Writing can differ more widely than the Comic and the Burlesque,” for while the writer of burlesque depicts “the monstrous,” the writer of comedy depicts “the ridiculous.” “The Ridiculous only . . . falls within my Province in the present Work,” and Fielding accordingly goes on to define it. “The only Source of the true Ridiculous (as it appears to me) is Affectation,” to which Fielding assigns two possible causes, “Vanity, or Hypocrisy.” Vanity is affecting to be better than one is: the vain man either lacks the virtue or quality he claims to have, or else he claims to possess it in a greater degree than he actually does. By contrast, hypocrisy is affecting to be other than one is: the hypocritical man “is the very reverse of what he would seem to be,” and Fielding gives the example of a greedy man pretending to be generous. The ridiculous arises from the discovery of affectation, and as hypocrisy is a more egregious form of affectation than is vanity, so, says Fielding, the sense of the ridiculous arising from its discovery will be stronger than in the case of vanity.

Fielding anticipates the criticism that, in addition to affectation, he has given a great deal of space in the novel to “Vices, and of a very black Kind.” Vices, which inspire moral revulsion rather than amusement, are not the stuff of comedy. Fielding acknowledges the presence of vices in his story but offers several mitigating considerations, among which is the fact that they are not very potent, “never producing the intended Evil.”

Finally, Fielding addresses the characters of the novel, claiming that all are drawn from life and that he has made certain alterations in order to obscure their true identities. Fielding also conciliates his clerical readers by emphasizing that the curate Mr. Abraham Adams, though he participates in a number of low incidents, is a credit to the cloth due to his great simplicity and benevolence.

**2.1 Book I, Chapters I through VI**

**Chapter I**

Fielding justifies the moral agenda of his novel by observing that “Examples work more forcibly on the Mind than Precepts”. Inspiring stories about virtuous figures will have a better moral effect than the recital of maxims, because in them “Delight is mixed with Instruction, and the Reader is almost as much improved as entertained”.

**Notes**
As instances of the positive moral influence of written accounts of exemplars of virtue, Fielding cites two recent publications, in both cases sarcastically. The first is Samuel Richardson’s Pamela (1740), an epistolary novel about a virtuous maid-servant; Fielding detested the novel and the moral system implicit in it, and both Joseph Andrews and his previous effort in fiction, Shamela, are spoofs of Richardson’s novel. The second is the Apology for the Life of Colley Cibber (1740), the autobiography of the scanty talented Poet Laureate who was despised by Fielding, Alexander Pope, and almost every other contemporary writer of note.

Chapter II

Fielding introduces “Mr. Joseph Andrews, the Hero of our ensuing History”. Joey, as Fielding and his characters call the hero at this stage of the narrative, is the son of the low-born Mr. and Mrs. Andrews and the brother of Pamela Andrews, the fictive heroine of Samuel Richardson’s famous novel. Fielding confesses that, despite his best genealogical efforts, he has been unable to discover the ancestry of the Andrews family. Jokingly, he asks the reader to contemplate the possibility that the Andrews family has no ancestors at all, though of course they must be descended from someone. Fielding is satirizing the social convention whereby only families of high standing are considered to be “families” in the proper and exalted sense; accordingly, a person who lacks ancestors of note is said, in this snobbish idiom, to lack ancestors altogether. From his comment on the arbitrary nature of social distinctions, Fielding goes on to argue for the suitability of Joey as a hero: “Would it not be hard, that a Man who hath no Ancestors should therefore be rendered incapable of acquiring Honour, when we see so many who have no Virtues, enjoying the Honour of their Forefathers”?

Fielding summarizes Joey’s early biography. At age ten he went to work in the household of Sir Thomas Booby, his initial job being to scare birds; he failed at this task, however, because his sweet voice tended rather to attract them. His second job was to keep Sir Thomas’s hounds in line with a whip, but he failed at this task for a similar reason. His third job was to ride Sir Thomas’s horses in races, which task he performed so well through his combination of athleticism and invulnerability to corruption that Lady Booby noticed him and, when he was seventeen, began to employ him as a footman. Joey’s new responsibilities involved attending Lady Booby everywhere, including at church, where his singing voice and general good conduct attracted the notice of the curate, Mr. Adams.

Chapter III

Fielding introduces Mr. Abraham Adams, who besides being a clergyman is a master of several tongues both ancient and modern and who exemplifies ingenuous good nature: “He was generous, friendly and brave to an Excess; but Simplicity was his Characteristic.” He is fifty years old, and his income does not go far in providing for his wife and six children.

Mr. Adams quizzes Joey on his knowledge of the Bible and, in answer to a series of questions, learns that Joey has had some formal education but is largely an autodidact. Mr. Adams, finding Joey so deserving of cultivation, attempts to secure Lady Booby’s permission to tutor him in Latin, “by which means he might be qualified for a higher Station than that of Footman.” Lady Booby will not deign to speak with the curate, however, and Mr. Adams must deal with Mrs. Slipslop, her ladyship’s pretentious waiting-gentlewoman. Mrs. Slipslop informs Mr. Adams that the Boobys are soon to depart for London and that Lady Booby will not wish to leave her footman behind to receive Latin instruction. The family leaves within a few days, taking Joey with them, but not before the latter has thanked Mr. Adams for his consideration of him.
Chapter IV

In London, Joey falls under the influence of the big-city footmen, who succeed in getting him to change his hair but fail to make him pick up any of their vices. He spends most of his free time on music, about which subject he becomes very learned. He becomes less obviously devoted to his religion, but “his Morals remained entirely uncorrupted.” Lady Booby now flirts incessantly with him and seeks opportunities of leaning on his arm when he accompanies her on her walks. Other ladies in town begin to gossip about Lady Booby and her footman. The footman himself remains oblivious to the gossip and to his lady’s intentions, and Lady Booby finds that his restraint makes him even more attractive.

Chapter V

Sir Thomas Booby dies, and Lady Booby accordingly confines herself to her room, ostensibly to mourn his passing but really to play cards. On the seventh day of her “mourning” she sends for Joey and hints around at her amorous intentions. When he does not catch her drift, she “accidentally” exposes her neck but fails to produce the desired result. When Lady Booby pretends to worry whether it is safe for her to be alone in her bedroom with Joey, he vows that he would “rather die a thousand Deaths” than commit any sexual transgression. Lady Booby finally dismisses him in frustration.

Chapter VI

Joseph writes a letter to his sister Pamela, reporting on the strange behavior of Lady Booby since the death of Sir Thomas. He attributes her baffling conduct to grief over the loss of her husband, despite the fact that he always thought that they did not like each other. He then recounts the incident in Lady Booby’s bedroom, remarking that “if it had not been so great a Lady, I should have thought she had had a mind to me.” Joseph anticipates losing his place soon because of this falling-out, and in any case he does not wish to remain in her employ if she is going to continue to be psychologically unstable.

After finishing this letter, Joseph walks downstairs and comes upon the hideous Mrs. Slipslop, whose physical person Fielding describes in some detail. Like her mistress, Mrs. Slipslop is strongly attracted to Joseph, and she has tried in the past to entice him with “Tea, Sweetmeats, Wine, and many other Delicacies.” Now Joseph accepts her offer of a glass of cordial, and they sit down together for a chat. Mrs. Slipslop suggest that Joseph has been ungrateful in failing to return her affections; Joseph denies this charge, angering Mrs. Slipslop, who springs at him with the intention of satisfying her lust and wrath.

Notes

Lady Booby rings the bell, however, in time to deliver Joseph from the clutches of the waiting-gentlewoman.

2.1.1 Analysis

The Preface makes clear that while Fielding’s outlook is undoubtedly comic; his comic writing nevertheless has a serious point. Fielding rejects the genre of conventional romance because it contains “very little instruction or entertainment,” whereas Fielding’s twofold goal is precisely to instruct and entertain. The notion that good art is “utile et dulce,” both useful and sweet, educational and enjoyable, comes from the Roman poet Horace, an authoritative source of classical thinking on the purposes of art. Fielding makes ironic reference to Horace in Chapter
I when, having listed a number of popular tales available in cheap pamphlet form, he remarks, “In all these, Delight is mixed with Instruction, and the Reader is almost as much improved as entertained.” The target of his irony here is not the classical principle itself but the modern works that fail to live up to that principle. In outlining his own “utile et dulce” approach to the novel, Fielding rejects burlesque and caricature because he wants to inspire laughter not for its own sake but constructively, with humor being the vehicle of moral commentary. His target, therefore, will not be “what is monstrous and unnatural,” what never really occurs in life and thus, in being exposed, cannot edify readers; rather, he will “confine himself strictly to Nature,” exposing “the true Ridiculous” as it exists in everyday life, thereby performing a corrective function for the morals of the age.

In Fielding’s analysis, the outstanding moral fault of the day — the fault which is consequently the outstanding preoccupation of Fielding’s writing — is “Affectation,” the “only source of the true Ridiculous.” Affectation comes in two forms: the Affectation that arises from Vanity and the Affectation that arises from Hypocrisy. Fielding treats the latter as the more dangerous flaw, because when hypocrites conceal their true motives and attitudes, they may deceive other people, sometimes to very serious effect. Fielding seeks to oppose the forces of affectation by making vain and hypocritical people seem ridiculous, and he executes this project by employing a kind of humor that encourages solidarity among readers, who are implicitly assumed to be on Fielding’s side. In inspiring readers to laugh at affected people, Fielding insinuates that society breaks down into two camps, the affected and the genuine, and his moralizing humor supplies readers with incentives, mainly a string of jokes and a sense of moral superiority, to join (or remain on) the side of the genuine. This literary program effectively exempts readers from Fielding’s criticism, and one may validly object to it on the grounds that it actually encourages moral complacency on the part of readers, allowing them to feel that they confirm their own righteousness simply by laughing at others. Ironically, this sort of moral laziness would itself be a form of affectation.

Fielding soon presents two paragons of hypocrisy in Lady Booby and her servant and imitator Mrs. Slipslop. Lady Booby dissembles her motives continually, for example in walking out with Joseph: supposedly, she sees “the Effects which Town-Air hath on the soberest Constitutions,” so she heads to Hyde Park with her handsome footman, whose arm she will naturally require as support. More serious is her conduct following the death of her husband. Fielding’s manner of announcing Sir Thomas’s death is immensely clever: “At this Time, an Accident happened which put a stop to these agreeable Walks, . . . and this was no other than the death of Sir Thomas Booby, who departing this Life, left his disconsolate Lady confined to her House.” By killing off Sir Thomas in a subordinate clause, Fielding insinuates that Sir Thomas’s living or dying is of merely secondary importance to his own wife, who considers his departure from this life only in terms of its effects on her, since it compels her to stay indoors for a period of ritual mourning. Thus, the reader understands “disconsolate” in a sarcastic sense even before learning that Lady Booby’s visitors consoled the bereaved widow with card games and before witnessing the ease with which she rebounds and attempts to acquire a new bed-mate.

Self Assessment

State the following sentences are True or False:

1. Joseph Andrews defines his chosen genre the Comic epic or Comic epic-poem in prose.
2. Fielding justify the moral agenda of his novel by observing that “Examples work more forcibly on the Mind than Precepts”.
3. Fielding treats the latter as the more useful flaw.
Mrs. Slipslop takes after her mistress both in her passion for Joseph and in her attempts to appear other than she is. In a helpfully literal moment in Chapter III, Fielding shows the simple and trusting Mr. Adams unable to understand the pretentious Slipslop, that “mighty Affecter of hard Words”; in a parallel moment in Chapter V, Joseph fails to understand the sexual suggestions of Lady Booby. Both Mr. Adams and Joseph are too trusting and deferential to react properly to the tortured relationships between appearance and reality: the learned Adams recognizes Slipslop’s coinages as solecisms, but his ingenuous respect for her gentility abashes him into complicity with her pretensions; similarly, Joseph has seen enough of the world (or at least of London) that the evidences of Lady Booby’s libido are not totally baffling to him, and yet his reverence for her exalted status causes him to lose the thread: “if it had not been so great a Lady, I should have thought she had had a mind to me.” Both Lady Booby and Slipslop have a mind to him, of course, and Fielding clearly intends their rivalry to be the source of much humor: the incongruity of so much sexual vigor animating Slipslop’s homely postmenopausal body is, in Fielding’s view, not only funny in itself but funny in relation to the passion of Lady Booby.

Notes

The fact is that Lady Booby, though possessing so many seeming advantages (of status, comparative youth, and presumably beauty) over her waiting-gentlewoman, in fact has no better chance with the footman.

The character of Joseph has been a stumbling-block to many modern readers for whom sexual purity may not seem intrinsically valuable, and the extent to which Fielding intended even eighteenth-century readers to take his title character seriously is a matter for debate. The character of Joseph has a serious precedent in the Book of Genesis, in which his namesake is sold as a slave to the house of Potiphar and rebuffs heroically the sexual advances of Potiphar’s wife; Joseph also, however, has a precedent in contemporary English literature, namely Samuel Richardson’s Pamela Andrews, whom Fielding has made into Joseph’s sister and idol. Fielding detested Richardson’s novel and its heroine, so that insofar as Joseph functions as a stand-in for Richardson’s Pamela, Fielding almost certainly intended him and his virtue to be risible. As Maurice Johnson comments, there is undeniably something absurd about “a squeamish male Pamela, strong, handsome, and twenty-one,” and yet the actual humor value of Joseph’s defense of his virtue tends to arise mostly from the miscalculations and psychological turmoil of Lady Booby and the low comedy of the vulgar Slipslop. As the story moves away from the voracious London ladies to follow Joseph on his quest for home, Joseph’s virtue will seem less absurd, in part because Joseph will have less cause to be squeamish. Crucially, however, what will become apparent is that Joseph’s virtue, unlike that of Lady Booby, is in no way affected: he is motivated not by a desire to appear virtuous to others but by a determination to remain loyal to his beloved Fanny Goodwill.

Task

Why Joseph fails to understand the Sexual Suggestions of Lady Booby?

2.2 Book I, Chapters VII through XII

Chapter VII

Fielding presents “the different Operations of this Passion of Love in the gentle and cultivated Mind of the Lady Booby, from those which it effected in the less polished and coarser Disposition of Mrs. Slipslop.” Lady Booby, ashamed of her passion for Joseph Andrews and detesting
Joseph for having aroused it, determines to dismiss him from her service. She rings for Slipslop and confers with her regarding Joseph’s character. They both agree that he is “a wild young Fellow,” with Slipslop accusing him of all the usual vices, including that of having impregnated the chambermaid. Lady Booby sends Slipslop out of the room with an order to dismiss Joseph; she quickly calls Slipslop back, however, and reverses the order, then changes her mind a couple more times before finally resolving “to see the Boy, and examine him herself” and then send him away for good. While Lady Booby prepares for “this last View of Joseph (for that she was most certainly resolved it should be).”

Chapter VIII

Fielding requests the reader’s sympathy on behalf of Lady Booby, pleading as an extenuating circumstance the great physical beauty of Joseph Andrews, which Fielding now describes in some detail. Joseph is now twenty-one years old and possessed of “an Air, which to those who have not seen many Noblemen, would give an Idea of Nobility.”

Joseph appears in all his splendor before Lady Booby, who accuses him of all the vices Mrs. Slipslop attributed to him. Joseph is taken aback and insists that he has “never offended more than Kissing.” Lady Booby, having observed that kissing often leads to other activities, asks him: “If I should admit you to such Freedom, what would you think of me?” When Joseph resists all her insinuations, she demands to know what standing he has, as her social inferior, to insist upon his own virtue when she has cast aside her own. Joseph replies that he cannot see “why, because I am a Man, or because I am poor, my Virtue should be subservient to a lady’s Pleasure.” Lady Booby finally loses all patience when Joseph makes reference to the virtuous example of his sister, Pamela Andrews who has endured the lascivious attentions of Sir Thomas’s nephew while a maid-servant in his household. She dismisses Joseph in a rage and then rings for Mrs. Slipslop.

Chapter IX

Lady Booby orders Slipslop, who was listening at the door, to have the steward pay Joseph his wages and send him away. Slipslop opines that if she had known how Lady Booby would react, she would never have reported Joseph’s behavior. After sending Slipslop out of the room and then calling her back again, Lady Booby censures her for impertinence, whereupon Slipslop says darkly, “I know what I know.” Lady Booby promptly fires her, and Slipslop departs the room, slamming the door behind her. Lady Booby then begins to worry about her reputation, which she perceives is in the hands of Slipslop, who no longer has any incentive to be discreet; after a time she calls Slipslop back again and reinstates her. She still regrets, however, that “her dear Reputation was in the power of her Servants,” both Slipslop and Joseph; worse still is the fact that “in reality she had not so entirely conquered her Passion,” so that she still vacillates regarding whether or not to reinstate Joseph.

Chapter X

Joseph, who now understands “the Drift of his Mistress,” composes a letter to his sister Pamela. In it he reflects on a lesson of Mr. Abraham Adams, “that Chastity is as great a Virtue
in a Man as in a Woman,” and attributes his own dedication to virtue to Mr. Adams’s guidance and Pamela’s letters. He marvels, “What fine things are good Advice and good Examples!”

Before he has finished his letter, Lady Booby’s steward, Mr. Peter Pounce, summons him to receive his wages. Pounce has made a lucrative racket out of holding back the servants’ wages, advancing them the wages he has held back, and charging outrageous interest on the money he has advanced. Joseph, in order to acquire musical instruments, has had to ask Pounce for advances, and his wages are much diminished as a result. He borrows some clothes from another servant, since he must leave his livery behind, and sets out at seven o’clock in the evening.

Chapter XI

Joseph heads not to his parents’ home, nor even to his sister Pamela’s, but back to Lady Booby’s country seat, where he will reunite with his sweetheart, Fanny Goodwill. Joseph and Fanny have known each other since early life and have long desired to marry, though they have taken Mr. Adams’s advice in putting off the day until “a few Years Service and Thrift” will have augmented both their experience and their finances. In the past year they have not corresponded with each other, for the very good reason that Fanny is illiterate.

A hailstorm forces Joseph to take shelter at an inn with a lion on its sign-post and a master named Timotheus. While Joseph is waiting for the storm to pass, another traveller enters the inn, and Joseph recognizes him as the servant of a neighbor of Sir Thomas. Once the storm has abated, Joseph and this traveller set out together.

Chapter XII

Joseph and his companion reach another inn at about two o’clock in the morning; the other man stays at the inn for the night, while Joseph proceeds on foot. Before long Two Ruffians confront him in a narrow lane and demand his money. When Joseph asks to be able to keep a few shillings, they demand his clothes as well; when he objects that the clothes belong to a friend of his, they attack him with pistol and stick. Joseph takes care of the stick handily but receives a blow on the head from the pistol. The Ruffians go on beating the senseless Joseph, strip him naked, and leave him for dead.

Joseph regains consciousness just as a stage-coach approach. The postillion hears Joseph’s groans, and the coach stops, whereupon the passengers begin to debate whether or not to aid the injured man. A young lawyer advises helping him in order that none of the passengers should be liable for negligence. Other passengers resist this advice, but the lawyer eventually prevails. Joseph, however, perceives that there are ladies in the coach and refuses to approach unless someone gives him “sufficient Covering, to prevent giving the least Offense to Decency.” No one wants to lend a garment to Joseph, until the Postillion finally volunteers his great-coat.

The Two Ruffians stop the coach and demand the passengers’ money, which they promptly receive. As the coach moves on, one of the gentlemen lightens the mood by telling dirty jokes that offend no one but Joseph. They arrive at an inn, where Betty the servant-maid prepares a bed for him. The coachman fetches a Surgeon who, upon learning that Joseph is “a poor foot Passenger” and not a gentleman, goes back to bed.

In the morning the master of the inn, Mr. Tow-wouse, orders Betty to give Joseph one of Mr. Tow-wouse’s own shirts. Mrs. Tow-wouse objects to this proceeding, however, and upbraids both her husband and the servant-girl. While Mr. and Mrs. Tow-wouse are arguing, Betty gives Joseph a shirt belonging to the Hostler, who is one of her sweethearts. The Surgeon also visits Joseph and pronounces his wounds likely mortal.
Notes

Fiction

2.2.1 Analysis

If Fielding’s universe is a providential one, the society that he depicts is incongruously violent. Joseph’s journey out of London soon brings him into contact with two savage highwaymen, but ferocity exists even in the household of Lady Booby. Fielding suggests an element of violence in Lady Booby’s feelings for Joseph: she flies “into a violent Passion” when ordering him to leave her room, then wonders aloud, “Whither does this violent Passion hurry us?,” then rings the bell for Slipslop “with infinite more Violence than was necessary.” She swerves between extremes of emotion, and this emotional volatility arises, like other manifestations of violence, from her high social status. As Hamilton Macallister observes, Lady Booby may do almost anything she wants — except marry Joseph, because to do so would be beneath her. Unable, therefore, to reconcile what she wants with what she is, she experiences desire as degradation, with a consequent impulse to punish both herself and the object of her desire. Thus follows, in Macallister’s words, “the whole gamut of the passions: pride followed by contempt, disdain, hatred of Joseph, revenge.” Lady Booby indeed endures more intense and protracted emotional pain than any other character in the book, and Fielding presents her pain in detail; yet the novel does not encourage sympathy for Lady Booby, and indeed virtually no readers feel any. She is a personality spoiled by privilege: as her status is unconditional, her power is irresponsible; her inability (or refusal) to control her emotions results from her exemption from accountability and, being a function of her selfishness, does not call forth sympathy.

Mrs. Slipslop has violent hankerings as well, and they emerge most obviously in the famous mock-epic simile in which Fielding compares her to “a hungry Tygress” craving the “Lamb” Joseph. Fielding thus makes Slipslop’s violent tendencies more explicit than Lady Booby’s, but interestingly, one of the effects of this explicitness is to make Slipslop seem less threatening than her mistress. The mock-epic simile is inherently belittling, as the burlesque diction measures the distance between the heroic subjects of true epic and the ignoble subjects of the present comedy. This mockery is consistent with Fielding’s whole presentation of Slipslop, which is entirely trivializing. His physical description of her sets the tone: she is a forty-five-year-old virgin, short and corpulent, florid and pimply, with small eyes, a large nose, bovine breasts, and legs of uneven length. Many readers have detected something cruel in the zest with which Fielding enumerates the physical disadvantages of this middle-aged spinster, but such sympathy is perhaps misplaced: in Fielding’s scheme of character, Mrs. Slipslop is simply not a feeling subject. She is a character type rather than a naturalistic personality; she does not exist in everyday life, rather she represents a category of women who do. With characters such as Slipslop — and the majority of Fielding’s characters exist on this plane of typicality — Fielding imposes a distance between the reader on the one hand and the characters and their actions on the other. Many modern readers, accustomed to considering psychological realism one of the great virtues of the novel, will regret Fielding’s objectification of his characters, but as Macallister observes, “if we lose by this, we also gain. We see the characters in their context; not only their social context but their moral context.” By fixing characters by their eternal qualities in this way, Fielding’s distant, omniscient, and judgmental narrator offers “a picture of society that is wider, more comprehensive,” than that of the novelist who treats characters as realistic, developing, and morally ambiguous subjects.

Two characters Joseph encounters on his journey appear to be types of the pursuit of violence for its own sake. They are of course the Two Ruffians who beat and strip Joseph and steal his money. In rendering this episode, Fielding again does not encourage the reader to identify with any of its participants, not even with the victimized hero Joseph. The matter-of-fact way in which he describes the violations does not focus our attention on Joseph’s experience of pain; rather, its effect is much different: “Both [Ruffians] together fell to be-labouring poor Joseph with their Sticks, till they were convinced they had put an end to his miserable Being:
They then stript him entirely naked, threw him into a Ditch, and departed with their Booty.” By leaving subjective experience entirely out of his account, Fielding heightens the absurdity of the incident until the violence feels gratuitous: these violent acts are not motivated, they have no emotional context or significance, and they simply are. As Simon Varey comments, the scene depicts “mindless, antisocial hostility”: the thieves’ “primary and ostensible purpose is to take money and property,” but in their assault on Joseph they “display a level of violence that their situation does not require or justify.” As Varey goes on to argue, Fielding sees violence as pervading every level of society and existence, manifesting itself with varying degrees of explicitness: an erratic Lady, a lecherous old maid, a pair of armed robbers. The Two Ruffians represent only one of the most egregious outbreaks of a prevalent dynamic: “a violent Storm of Hail forced Joseph to take Shelter in an Inn” in Chapter XI, and this same meteorological situation will recur throughout the novel because in Fielding’s world, even the weather is violent.

If violence exists on many levels and in many degrees, crime does as well: when Fielding reveals that the Postillion who has given Joseph his coat “hath since been transported for robbing a Hen-roost,” the less-than-subtle message is that what is truly criminal in this scene is the indifference displayed by the other, more genteel stage-coach passengers toward their fellow-man. The stage-coach scene is one of the most famous in the novel because it presents the complex interactions of hypocrites: a Lady begins to take pity on Joseph but, on learning that he is naked, finds propriety the more urgent principle, and a lawyer finally convinces the group to tend to Joseph by appealing not to their humanity but to their self-interest. When Joseph refuses to approach in a condition that would offend the ladies, none of the well-to-do passengers will risk soiling their garments with his blood. In striving to isolate themselves from the wretched and the criminal, then, the passengers reveal themselves to be the real malefactors.

Following Joseph’s encounters with the Ruffians and the hypocritical stage-coach passengers, and indeed completing the experience, is the introduction of Mrs. Tow-wouse, wife of the keeper of the inn where the coach eventually stops. As she rebukes her husband for having offered a shirt to the naked Joseph, demanding, “What the devil have we to do with naked wretches?,” she becomes, in the words of Richard J. Dircks, “a spokesman for the purely pragmatic, unsympathetic, and uncharitable view of life” that is an attribute of all of the least appealing characters in the novel. Fielding insinuates her basic affinity with the Ruffians, and her essential difference from Joseph, through his representation of her voice: her aggressive use of such epithets as “Slut” and “scabby Rascals,” her recourse to such threats as “I will throw the Chamber-pot at your Head,” and, in a later chapter, her “loud and hoarse” voice, all are aural manifestations of her harsh nature. As Varey notes, Fielding often uses voice quality to reflect character, and Mrs. Tow-wouse contrasts strongly with Joseph, who once failed to frighten birds and dogs because the animals heard only the sweetness that was in him both a vocal tone and a moral one.

2.3 Book I, Chapters XIII through XVIII

Chapter XIII

Mr. Tow-wouse and the Surgeon visit Joseph Andrews, who tells them the story of his encounter with the Two Ruffians. Joseph then asks the Surgeon about the prospects for his recovery, and the Surgeon advises him to settle his worldly affairs. Mr. Tow-wouse accordingly sends for Mr. Barnabas, the clergyman, who approaches Joseph’s room only after having taken Tea with the landlady and Punch with the landlord. Mr. Barnabas then goes back for another drink and returns to find Joseph apostrophizing his sister, Pamela Andrews, and extolling the value of sexual purity. The clergyman concludes that Joseph is delirious and excuses himself from further interference.
The Surgeon returns and declares that Joseph is in fact not delirious but in command of his senses. They send for Mr. Barnabas again, and the clergyman urges Joseph to repent of all his sins and resign himself to leaving the world. Joseph is generally compliant but hedges when it comes to Fanny Goodwill, saying that he will have difficulty resigning himself to the divine will if the divine will proposes to separate him from his beloved. He agrees, however, to “divest himself of all human Passion, and fix his Heart above,” if the clergyman will only help him to do it. Mr. Barnabas recommends “Prayer and Faith.” He then urges Joseph to forgive the Two Ruffians “as a Christian ought,” but he gives no further specifics as to what the Christian manner of forgiveness entails. Mr. Barnabas soon wraps up the visit and returns to the parlor, where the punch has been waiting for him. There he reports to Mrs. Tow-wouse that Joseph has expressed a desire for tea; Mrs. Tow-wouse does not want to spare it, however, so Betty the chambermaid goes out to buy some tea for Joseph herself.

Chapter XIV

In the evening, “a grave Person” arrives at the inn and sits down by the kitchen fire. There he hears Mrs. Tow-wouse and Betty discussing their injured guest, whom Betty now believes to be a gentleman on the basis of his fine skin. The grave person feels compassion for the injured guest and questions the Surgeon about him. The Surgeon uses medical jargon to rebuff the inquiries of the grave person, who claims to have some little expertise in surgery and whom the Surgeon seems to consider impudent.

Meanwhile, some young men from the neighborhood arrive at the inn with one of the Ruffians. Betty informs Joseph, who asks her to look out for a token he received from Fanny, a piece of gold with a ribbon. A search of the Ruffian reveals the gold piece, which Betty conveys to an ecstatic Joseph. Some other young men recover a bundle of Joseph’s clothes in a ditch, and the grave person, recognizing the livery as that of the Booby household, goes upstairs to meet the injured guest. A happy reunion thus takes place between Joseph and Mr. Abraham Adams.

Back in the kitchen, the mob that apprehended the Ruffian finds that it has no real evidence to prove his involvement in the robberies. Mr. Barnabas and the Surgeon argue over whether the recovered goods belong to the lord of the manor or to some other party. The Ruffian nearly makes allies of Barnabas, the Surgeon, and Tow-wouse, but Betty intervenes to inform everyone of the gold piece, which would seem to prove the Ruffian’s guilt. They resolve to keep the Ruffian overnight and take him to the Justice in the morning.

Chapter XV

Betty tells Mrs. Tow-wouse that Joseph, who appears to be on familiar terms with Mr. Adams, may be “a greater Man than they took him for”; as a result, Mrs. Tow-wouse begins to feel better about having extended charity to him. Mr. Barnabas and the Surgeon approach Joseph, wanting to use his gold piece as evidence against the Ruffian, but Joseph will not give it up and Mr. Adams supports him.

Mr. Adams explains to Joseph that he is on his way to London to publish some volumes of sermons. He encourages Joseph to take a light meal, which Joseph accordingly does. In the morning Mr. Barnabas and the Surgeon come to the inn to help convey the Ruffian before the Justice. They are both quite zealous in bringing the Ruffian to justice, and in order to account for their zeal Fielding explains that these two gentlemen have long competed to perform the function of lawyer in the parish, since there is no proper lawyer in it. Fielding concludes the chapter with an apostrophe to vanity, eventually admitting that the reason for this passage is merely “to lengthen out a short Chapter.”
Chapter XVI

The Ruffian turns out to have escaped during the night. The Constable who was guarding him comes under suspicion of having aided his escape, not so much because his name is Tom Suckbribe as because, “not having been concerned in the taking of the Thief, he could not have been entitled to any part of the Reward, if he had been convicted.”

Joseph rises but still is not well enough to travel. Mr. Adams, having bought meals for himself and Joseph, is running low on money and attempts to borrow three guineas from Mr. Towwouse, leaving as a pledge a volume of his sermons. The landlord declines this plan, disappointing Mr. Adams, who has run out of ideas. Mr. Adams goes off to smoke his pipe, and meanwhile a coach and six drives up, carrying a young fellow and a coachman named Jack, who insults each other lustily as they settle themselves in the inn. Meanwhile, the footmen from the coach go to the kitchen, where they discuss having seen “Parson Adams smoking his Pipe in the Gallery.” Mr. Barnabas, overhearing them, decides to sit down Mr. Adams to a bowl of punch, now that he knows him to be a fellow man of the cloth. Mr. Adams accepts the invitation, and the conversation comes around to the volumes of sermons that he wishes to publish. Mr. Barnabas warns him that he knows from experience that no one reads sermons anymore.

When the punch is gone, Mr. Adams goes upstairs to check on Joseph, who is sitting down to a loin of mutton. The Surgeon enters and attributes Joseph’s recovery to the powers of a medicine that, as it happens, Joseph has not touched. Joseph takes another three days to recover from his wounds, and then resolves to set off again the next day, urging Mr. Adams to continue on to London. Mr. Adams still expects great things of his sermons, so he agrees to Joseph’s plan. In the evening they repair to Joseph’s room and spend “a considerable time in Prayer and Thanksgiving.”

Chapter XVII

Mr. Barnabas sends for Mr. Adams so that he can meet a London Bookseller who has recently arrived. Mr. Adams is delighted with the opportunity to make some cash without leaving the inn. The Bookseller does not indulge Mr. Adams for very long, explaining that most sermons do not sell well and concluding, “I had rather be excused.” He offers, however, to take the manuscript to London with him and send his opinion of it to Mr. Adams shortly. They go on to discuss the publishing trade and which genres sell the best, and the Bookseller remarks that, far from objecting to the publication of sermons per se, he is happy to publish the abnormally lucrative sermons of the Methodist George Whitefield. Mr. Adams and Mr. Barnabas then argue over the merits and demerits of Whitefield: Barnabas finds Whitefield’s advocacy of clerical poverty offensive, whereas Adams shares Whitefield’s objection to “the Luxury and Splendour of the Clergy” but cannot accept “the detestable Doctrine of Faith against Good Works.” Adams imagines a soul in Whitefield’s scheme appearing before God on the last day and pleading, “Lord, it is true I never obeyed one of thy Commandments, yet punish me not, for I believe them all”; he even suggests that “a virtuous and good Turk, or Heathen, are more acceptable in the sight of their Creator, than a vicious and wicked Christian, tho’ his Faith was as perfectly orthodox as St. Paul’s himself.” The Bookseller, suspecting that Mr. Adams’s doctrines would not sit well with the bishops and thereby would suffer on the market, once again begs to be excused from the project. Mr. Adams goes on to express further low-church opinions on the nature and purpose of Sunday service, whereupon Mr. Barnabas rings for the bill, eager to flee the company of such a heterodox clergyman.

A great commotion erupts somewhere else in the inn: “Mrs. Tow-woose, Mr. Tow-woose, and Betty, all lifting up their Voices together.” The landlady is heard to accuse her husband of “abusing my Bed, my own Bed, with my own Servant”; she also threatens violence against
Notes

Betty and calls her a derogatory name that Fielding makes a great show of rendering, delicately, as “She Dog.” Betty objects to the slur, and Mrs. Tow-wouse brandishes the spit; Mr. Adams, however, intervenes and prevents the assault.

Chapter XVIII

Fielding enumerates Betty’s personality attributes, which include “Good-nature, Generosity and Compassion,” but also lasciviousness. He then summarizes her sexual history, which is less promiscuous than it might have been. She has been attracted to Joseph since his arrival, but just today she made a move, which Joseph rebuffed. Lustful and wrathful, Betty considered stabbing Joseph, “devouring him with Kisses,” and committing suicide; without resolving these issues, she went to her master’s room to make his bed and, finding him there, received his advances in lieu of Joseph’s. Mrs. Tow-wouse walked in at the end of the encounter, and the uproar of the last chapter ensued. Mrs. Tow-wouse discharges Betty and brings her husband back under her thumb.

2.3.1 Analysis

Fielding bestowed on his exemplary parson, Mr. Abraham Adams, a resoundingly biblical and paternal name: the Adam of Genesis was the father of mankind, while Abraham was the father of the people of Israel (and by extension, in the Christian tradition, of all the faithful). Nor does Parson Adams fail to live up to his namesakes: as a dedicated clergyman and the spiritual advisor of our young hero, he serves as the novel’s moral touchstone, which is to say that other characters reveal their own moral quality through their responses to him. The goodness of Joseph Andrews shows through in his love and admiration of Adams, while the parson’s endless tribulations at the hands of others — in the words of one critic, Adams “is laughed at, maligneditiously, physically bruised, confined, dismissed, humiliated, and repeatedly made a butt for abuse” — are an index of society’s alienation from Christian values. Mr. Adams, of course, is not without his own flaws, which include forgetfulness, naïveté, and mild vanity; all of these cause him to look foolish from time to time, and Fielding does not shrink from joining in the laughter. The novelist’s leading idea, however, seems to be that anyone who exemplifies Adams’s virtues of poverty and charity will inevitably appear foolish by worldly standards.

Mr. Adams is, to begin with, physically eccentric: tall, thin, and strong, he is proud of his athleticism but careless of his appearance, and Fielding never tires of recording his sartorial lapses. Thus, in Chapter XVI, we learn: “He had on a Night-Cap drawn over his Wig, and a short great Coat, which half covered his Cassock; a Dress which, added to something comical enough in his Countenance, composed a Figure likely to attract the Eyes of those who were not over-given to Observation.” (This is in fact one of the less ridiculous chapters in Fielding’s chronicle of Mr. Adams’s toilette.) Mr. Adams’s sartorial incompetence is only one aspect of his inability to adapt himself to his surroundings: he is totally unworldly, constantly losing track of his money or engaging to spend money he does not have; he is perfectly humorless, with no sense of how others, such as the mocking Surgeon, perceive him; he is endlessly gullible; and he is optimistic to a fault, as in his serene faith that his sermons will find a publisher and take London by storm. All of these foibles have a common denominator, namely Mr. Adams’s childlike innocence; seen in its proper context, then, Adams’s physical shabbiness should only enhance our sense of his moral dignity.
Self Assessment

Fill in the blanks:

4. Joseph appears in all his splendor before ................. .
5. The ................. go on beating the senseless Joseph.
6. Mr. Adams goes upstairs to check on ................. .
7. ................. discharges Betty and brings her husband back under her thumb.

All of Fielding’s novels are crawling with clergyman characters, and Joseph Andrews presents several who serve as contrasts to the paragon Mr. Adams. In these chapters, Mr. Barnabas shows himself to be perfectly sociable and impeccably orthodox but not much interested in bettering the lot of his fellow-man: refreshing himself first with tea and then with punch before approaching the bedside of the injured Joseph, he is clearly one of those clergymen who looks on his vocation more as a platform for socializing than as a sacrificial commitment. Barnabas’s moral inadequacy is further limned in the discussion of George Whitefield that emerges from Adams’s fruitless negotiations with the Bookseller. Mr. Barnabas’s objection to Methodism has to do with its emphasis on clerical poverty: Barnabas sees no reason why a clergyman in the Church of England should not be able to amass as much luxury as anyone else, whereas both Adams and Fielding consider poverty an ideal for the clergy, at least insofar as temporal concerns should not interfere with a clergyman’s charitable ministrations. Mr. Adams’s objection to Methodism, which is also Fielding’s objection, has to do with its emphasis on faith over charity or good works: he gives his opinion “that a virtuous and good Turk, or Heathen, are more acceptable in the sight of their Creator, than a vicious and wicked Christian, tho’ his Faith was as perfectly orthodox as St. Paul’s himself.” For Adams, a man’s formal religious commitments matter far less than his active benevolence. Hearing this moral scheme, Mr. Barnabas exits the scene and the novel in a manner that confirms his moral worthlessness: ringing the bell “with all the Violence imaginable” in order to make his escape from Mr. Adams, he exiles himself from the circle of approved characters.

Fielding does not expect the clergy alone to practice charity; rather, it is a standard that he sets for the citizenry at large. Betty the chamber-maid is an interesting case in point because Fielding’s presentation of her conduct reveals that, despite all the uproar in the novel over the virtue of chastity, he in fact prizes charity much more highly. When Joseph arrives at the inn, Betty distinguishes herself through her willingness to assist him in his need: when Mrs. Towwouse refuses to supply Joseph with either a shirt or a cup of tea, Betty takes it upon herself to procure these items for him. Her other distinguishing characteristic, however, is her sexual promiscuity: she has been “not entirely constant to [her sweetheart] John, with whom she permitted Tom Whipwell the Stage-Coachman, and now and then a handsome young Traveller, to share her Favours”; she also has “a Flame in her,” namely venereal disease, “which required the Care of a Surgeon to cool.” This sexual voracity aligns her with Lady Booby and Mrs. Slipslop, especially insofar as it prompts her to make an attempt on Joseph’s purity, and yet Fielding does not subject Betty to anything like the level of criticism that we have seen in the previous two cases. As Simon Varey notes, the scene in which Betty throws herself at Joseph perhaps makes Joseph look a bit ridiculous, as he leaps away “in great Confusion” and tells her priggishly that “he was sorry to see a young Woman cast off all Regard to Modesty”; by contrast, Betty’s subsequent impulses toward recrimination, while they do not reflect well on her, nevertheless do not encourage readers to laugh at her in the manner of Lady Booby’s mood swings or Mrs. Slipslop’s satirical embodiment as the “hungry Tygress.” In keeping with the Preface’s definition of “the true Ridiculous,” Betty never seems ridiculous because she has no affectation; unlike Lady Booby and Mrs. Slipslop, she never sets herself above other people or pretends to be sexually virtuous. Moreover, “[s]he had Good-nature, Generosity and
Compassion,” as her previous behavior toward Joseph has demonstrated. Perfect sexual continence outside marriage, then, appears in Fielding’s moral scheme to be similar to doctrinal orthodoxy, laudable in a person who is otherwise benevolent but hardly the most important moral quality.

**Task** Why fielding does not expect the clergy alone to practice charity?

Fielding even seems to suggest that there may be a connection, psychologically speaking, between the disposition to perform acts of charity and the disposition to enjoy sex: anyone who remembers that Mr. Tow-wouse dispatched Betty to give one of his own shirts to Joseph before Mrs. Tow-wouse intervened should not be surprised, after the chambermaid’s rejection by Joseph, to find Betty and Mr. Tow-wouse once more in league together against his wife. Mrs. Tow-wouse, too, occupies a familiar role, that of standing on the sidelines and carping at her husband and the maid. Fielding’s physical description of Mrs. Tow-wouse is revealing: it reads in part, “Her Lips were two Bits of Skin, which, whenever she spoke, she drew together in a Purse. Her Chin was peeked, and at the upper end of that Skin, which composed her Cheeks, stood two Bones, that almost hid a Pair of small red Eyes.” It is a withered, pinched, sour countenance, and one may conjecture that Mrs. Tow-wouse is scarcely more pleasant as a bedmate than as a giver of alms and succor. Fielding admires honesty, straightforwardness, and fellow-feeling, no less in sexual relations than in normal social interactions. Unlike his literary foil Richardson, he is never coy about sex, as will soon be evident in respect of Joseph and Fanny, who despite (or because of) their goodness are hardly less frank about their mutual attraction than are Betty and her many lovers.

### 2.4 Summary

- Fielding defines and defends his chosen genre, the comic epic, or “comic Epic-Poem in Prose.”
- Fielding anticipates the criticism that, in addition to affectation, he has given a great deal of space in the novel to “Vices, and of a very black Kind.”
- Fielding detested the novel and the moral system implicit in it, and both Joseph Andrews and his previous effort in fiction, Shamela, are spoofs of Richardson’s novel.
- Fielding introduces “Mr. Joseph Andrews, the Hero of our ensuing History”.
- Fielding soon presents two paragons of hypocrisy in Lady Booby and her servant and imitator Mrs. Slipslop.
- Fielding enumerates Betty’s personality attributes, which include “Good-nature, Generosity and Compassion,” but also lasciviousness.
- All of Fielding’s novels are crawling with clergyman characters, and Joseph Andrews presents several who serve as contrasts to the paragon Mr. Adams.
- Fielding admires honesty, straightforwardness, and fellow-feeling, no less in sexual relations than in normal social interactions.

### 2.5 Keywords

- **Ridiculous**: absurd.
- **Egregious**: outstandingly bad, shocking.
- **Burlesque**: a comically exaggerated imitation.
**Hankerings**: feel a desire for or to do something.

**Conquered**: overcome and take control of by military force.

### 2.6 Review Questions

1. Explain why Fielding introduce Mr. Joseph Andrews, the hero of our ensuing history.
2. Who falls under the influence of the big-city footmen and why?
3. Why the two Ruffians stop the coach?
4. Why Mr. Tow-wouse and the Surgeon visit to Joseph Andrews?

#### Answers: Self Assessment

1. False
2. True
3. False
4. Lady Booby
5. Ruffians
6. Joseph
7. Mrs. Tow-wouse

### 2.7 Further Readings

**Books**

- Joseph Andrews (Non-Detailed) — Henry Fielding
- Henry Fielding: a life — Martin C. Battestin
- Henry Fielding — Simon Varey

**Online links**

- [CliffsNotes Study Guide](http://www.cliffsnotes.com/study_guide/literature/Joseph-Andrews-Summary)
- [En.wikisource.org wiki](http://en.wikisource.org/wiki/The_History_of_the_Adventures_of_Joseph)
- [Readbookonline.net title](http://www.readbookonline.net/title/9400/)
Unit 3: Joseph Andrews-II:
Detailed Study of the Text

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Objectives
After studying this unit, you will be able to:
• Explain Joseph Andrews-II, detailed study of the text of Book II, all chapters.
• Discuss analysis of Book II all chapters.

Introduction
During his stay in the inn, Adams’ hopes for his sermons were mocked in a discussion with a travelling bookseller and another parson. Nevertheless, Adams remains resolved to continue his journey to London until it is revealed that his wife, deciding that he would be more in need of shirts than sermons on his journey, has neglected to pack them. The pair thus decide to return to the parson’s parish: Joseph in search of Fanny, and Adams in search of his sermons.

With Joseph following on horseback, Adams finds himself sharing a stagecoach with an anonymous lady and Madam Slipslop, an admirer of Joseph’s and a servant of Lady Booby. When they pass the house of a teenage girl named Leonora, the anonymous lady is reminded of a story and begins one of the novel’s three interpolated tales, ‘The History of Leonora, or the Unfortunate Jilt’. The story of Leonora continues for a number of chapters, punctuated by the questions and interruptions of the other passengers.

After stopping at an inn, Adams relinquishes his seat to Joseph and, forgetting his horse, embarks ahead on foot. Finding himself some time ahead of his friend, Adams rests by the side of the road where he becomes so engaged in conversation with a fellow traveller that he misses the stagecoach as it passes. As the night falls and Adams and the stranger discourse
on courage and duty, a shriek is heard. The stranger, having seconds earlier lauded the virtues of bravery and chivalry, makes his excuses and flees the scene without turning back. Adams, however, rushes to the girl’s aid after a mock-epic struggle knocks her attacker unconscious. In spite of Adams’ good intentions, he and the girl, who reveals herself to be none other than Fanny Goodwill (in search of Joseph after hearing of his mugging), find themselves accused of assault and robbery.

After some comic litigious wrangling before the local magistrate, the pair are eventually released and depart shortly after midnight in search of Joseph. They do not have to walk far before a storm forces them into the same inn that Joseph and Slipslop have chosen for the night. Slipslop, her jealousy ignited by seeing the two lovers reunited, departs angrily. When Adams, Joseph and Fanny come to leave the following morning, they find their departure delayed by an inability to settle the bill, and with Adams’ solicitations of a loan from the local parson and his wealthy parishioners failing, it falls on a local peddler to rescue the trio by loaning them his last 6s 6d.

The solicitations of charity that Adams is forced to make, and the complications which surround their stay in the parish, bring him into contact with many local squires, gentlemen and parsons, and much of the latter portion of Book II is occupied with the discussions of literature, religion, philosophy and trade which result.

### 3.1 Book II, Chapters I through V

#### Chapter I

At the start of Book II, Fielding addresses the authorly practice of dividing literary works into books and chapters. He compares the chapters of a book to the stages of a physical journey, with the white spaces between them standing for inns and resting-places. At the ends of chapters, Fielding suggests, the reader should pause to consider what he has read, just as a traveler considers the “curious Productions of Nature.” The “Contents prefixed to every Chapter” parallel the inscriptions over the gates of inns indicating what entertainment the traveler can expect. Fielding goes on to claim Homer as a precedent in dividing a literary work into books, with Virgil and Milton following him.

#### Chapter II

Mr. Abraham Adams and Joseph Andrews are about to part ways, but the curate decides against London when it appears that he has in fact left his manuscript sermons at home. Mr. Adams, looking on the bright side, interprets the disappointment as providence intended for his good. When the inn bill comes, Mr. Adams has only a shilling to spare, and he would have been even worse off if a servant belonging to the coach and six had not lent him a guinea. He and Joseph set off together for the country seat of the Booby family, planning to take turns riding the horse. While Mr. Adams starts on foot, however, the Hostler detains Joseph at the inn, demanding payment for the horse’s board. Joseph refuses to pay with Fanny Goodwill’s gold piece, so the dispute bogs down. Meanwhile, Mr. Adams has forgotten all about Joseph during a meditation on Aeschylus. After a time he remembers his companion and gradually begins to wonder what is keeping him. He sits down to read some Aeschylus, and when Joseph still does not appear, he enters a nearby alehouse.

#### Chapter III

In the alehouse, Mr. Adams overhears two travelers discussing Joseph’s quandary; he resolves to return to the inn, though he has no real plan for making the payment. A rainstorm prevents
him, however, and he stays for a beer with the two travelers, who give him their separate opinions about a neighboring gentleman landowner: one considers the gentleman a cruel tyrant and an arbitrary Justice of the Peace, and the other considers him reasonable and just. Confused, Adams applies to the Host, who explains to him that the two travelers were opposing parties in the only cause the Justice has decided recently; the Host then gives his opinion that “neither of them spoke a Syllable of Truth.” Mr. Adams expresses to the cynical Host his religious horror of lying.

A stage coach arrive carrying Mrs. Slipslop, who has paid for Adams’s horse during a stopover at the inn. Joseph then arrives on the horse, and he and Mr. Adams settle between them that the curate should continue the journey in the stage coach while Joseph continues on horseback. In the carriage, Mr. Adams and Mrs. Slipslop discuss the recent developments in the Booby family. Slipslop reports that Lady Booby has acted “like a Madwoman” since the departure of Joseph, and when Mr. Adams expresses his regret over her decline, Slipslop suggests that he knows less about the family than he thinks: Lady Booby, she says, was the stingy one, and Sir Thomas would have been more generous to the poor in the parish if his wife had let him. Mr. Adams remarks that Mrs. Slipslop once took the opposite view of the Boobys. Soon another lady in the carriage informs her fellow passengers that “yonder lives the unfortunate Leonora” and their entreaties soon induce her to relate the story of Leonora.

Chapter IV

Leonora was the daughter of a wealthy gentleman and the possessor of many superficial charms. At eighteen, while she was living with an aunt in the north of England, she began a flirtation with a sardonic young lawyer named Horatio. Horatio soon conceived “the most violent Passion for Leonora” and proposed marriage to her, which proposal Leonora initially resisted but ultimately accepted. The lovers then exchanged some letters and set the date for the wedding. When the happy day was two weeks off, Horatio had to attend the sessions for their county, leaving Leonora alone to gawk at a passing coach and six and exclaim, “O, I am in love with that Equipage!” The owner of the coach and six, a Frenchified cavalier named Bellarmine, admired Leonora conspicuously at that evening’s assembly. Leonora found herself the happy target of every woman’s hatred: “She had before known what it was to torment a single Woman; but to be hated and secretly cursed by a whole Assembly was a Joy reserved for this blessed Moment.”

Did u know? Leonora danced the night away with Bellarmine, despite her earlier resolution not to dance while Horatio was away.

The next day Bellarmine proposed to Leonora, who referred him to her father and then worried, though briefly, that she had wronged Horatio. Her primary motive in changing fiancées was financial: “How vast is the difference between being the Wife of a poor Counsellor, and the Wife of one of Bellarmine’s Fortune!” She further rationalized the action by reasoning that if Horatio mourned the loss of his beloved, “Bellarmine may be as miserable for me too.” The next morning her Aunt advised her to accept Bellarmine, arguing that “there is not any thing worth our Regard besides Money.” Leonora accepted this reasoning, and she and Bellarmine settled it between them that he would seek her father’s consent soon. After supper the lovers sat chatting about French and English clothing when Horatio appeared unexpectedly, triggering “a long Silence.” Horatio finally broke the ice, whereupon Leonora played dumb about their engagement. Staggered, Horatio exclaimed, “I am in a Dream; for it is impossible I should be really esteemed a common Acquaintance by Leonora, after what has passed between us!”
Some sparring ensued between Horatio and Bellarmine concerning the role each occupied with respect to Leonora, but the lady’s Aunt soon entered and updated Horatio about “a small Alteration in the Affections of Leonora.” The lawyer would have dueled the cavalier then and there, had not the ladies prevented it. Horatio soon took his leave.

Leonora awoke the next morning to the news that “Bellarmine was run through the Body by Horatio, and the Surgeons had declared the Wound mortal.” The Aunt advised Leonora to go back to Horatio, but Leonora claimed that she must have time to grieve before strategizing; she then argued that Horatio would never forgive her and that it was all the fault of the Aunt. A cheerful note from Bellarmine, however, reconciled the ladies to each other and dispelled all thoughts of returning to Horatio. Leonora’s passion for Horatio revived “with greater Force after its small Relaxation than ever,” and she planned, against the advice of her Aunt, to visit Bellarmine during his recovery.

Before the lady in the coach can finish her story, however, the coach arrives at an inn for dinner, “sorely to the dissatisfaction of Mr. Adams,” who has been listening avidly.

Task Who was Horatio?

Chapter V

At the inn, Mr. Adams encounters Joseph, who is in the kitchen recovering from a riding accident with the aid of the Hostess. The surly Host enters and, finding his wife tending to a mere footman, curses at her and directs her to attend the more genteel guests. Mr. Adams has sharp words with the Host, and Joseph intervenes to advise the Host to have more respect for the socially superior Mr. Adams. A brawl ensues, and when the Host goes down for the count, the Hostess dashes a pan of hog’s blood in Mr. Adams’s face. Mrs. Slipslop arrives and assaults the Hostess, whose cries bring three more guests to the kitchen. The Host, recovering, reproaches his wife for having wasted the hog’s blood and says that she deserved the beating she received at the hands of Mrs. Slipslop. One of the other guests, who happen to be one of the litigious gentlemen who gave an opinion of the Justice of the Peace in Chapter III, urges the Host to bring legal action against Mr. Adams; the Host, however, has seen neighbors ruin themselves through frivolous lawsuits. The other litigious gentleman, meanwhile, urges Mr. Adams to bring legal action against the Host; Mr. Adams, however, admits to having struck the first blow, and he recoils from the suggestion that Joseph, being the only bystander, could support him in lying on this point. Mr. Adams asserts with some dignity the integrity of his character and his office, and the two litigious gentlemen cease meddling to congratulate themselves on having effected reconciliation between the two parties.

As the coach is preparing to leave again, Mrs. Grave-airs snobbishly resists admitting Joseph, a mere footman but too injured to go on horseback, into the coach. Mrs. Slipslop advocates for Joseph, and the argument continues until Mrs. Grave-airs notices her father, who has just arrived and who invites her to ride on with him. The Coachman then reveals to Mr. Adams that Mrs. Grave-airs’s father is now the steward in a prominent household and has servants himself, but that he is low-born and once worked as a postillion. Mr. Adams passes this information along to Mrs. Slipslop, expecting that it will please her, but she regrets having antagonized a family of upper servants in the neighborhood and fears that the story might get back to Lady Booby. Once the coach has departed, all the female passengers begin to disparage Mrs. Grave-airs for trying to act above her station. Mrs. Slipslop speaks feelingly on behalf of Joseph, wondering aloud how any “Christian Woman” could object to the sight of Joseph. The other ladies grow anxious about the turn Slipslop’s conversation seems to be taking, so one of them suggests that they hear the end of the story of Leonora.
3.1.1 Analysis

The action of Book II starts with Mr. Adams finding himself in what will become a highly characteristic predicament: he lacks the funds to pay the bill he has racked up at the inn. Mr. Adams, like Fielding himself at the time of composing the novel, is constantly in debt; fortunately, however, the same unworldliness that leads to these bouts of insolvency prevents him from despairing. Instead, he asks trustingly for help, for as he himself would never refuse a request for financial assistance, he always expects that others will lend him the money he needs. In this particular instance, the people around him reward his faith: a servant from the coach and six springs Adams and Joseph from the inn, and later Mrs. Slipslop (albeit with a less than virtuous motive) releases the parson’s horse and Joseph along with it.

No less characteristic of Adams is his having forgotten his manuscripts at home; as the episode of his wading needlessly through a stream suggests, Mr. Adams is prone to these errors because he is both literally and figuratively short-sighted. The detail of his sitting down to read the works of the classical tragedian Aeschylus gives a clue as to the literary influences behind Fielding’s characterizing him in this way. Mr. Adams resembles Cervantes’s Don Quixote in having a vision that is naïve in a peculiarly bookish way: as Homer Goldberg observes, Adams’s continual horror at the wickedness of others arises not only from his own natural goodness, which he tends to project onto others, but also from his assumption that “the noble sentiments of the ancient poets and philosophers . . . delineate human nature as it is, rather than as it might or ought to be.” Thus, the story moves from examples of Adams’s absent-mindedness (with respect to money, manuscripts, and moving water) straight to an incident in which a couple of worldlings display a less exalted side of human nature: while stopping at the next inn, Adams is shocked to learn that two litigious gentlemen would allow self-interest to guide their moral judgments of others. Mr. Adams errs in confusing erudition with practical wisdom and insight into the minds and actions of everyday human beings; this lack of emphasis on the practical side of things manifests itself in his forgetfulness, his accumulation of debt, and his idealistic expectation of good faith in others.

The first chapter of Book II, like that of Book I, contains Fielding’s commentary on his procedure as a novelist; here, he addresses his division of the novel into books and chapters that allow the reader to pause for reflection. Fielding claims once again to be taking his cues from classical writers such as Homer, and indeed the use of numbered books is an organizational technique typical of the epic. Another structural inheritance from the epic, one that Fielding does not discuss, is the interpolation of digressive tales such as that of Leonora, which begins in Chapter IV. Readers who are inclined to criticize the weakness of Fielding’s plot structure, with its many improbable occurrences and flat characters popping in and out, often disapprove of these digressions as distractions from the main story. Nevertheless, the tales do serve the main narrative, as the telling of Leonora’s demonstrates: not only does the characterization of Mr. Adams gather an amusing new wrinkle (as the upright clergyman turns out to be an avid consumer of gossipy stories), but Leonora’s biography underscores important themes as well. Some critics have called the digressive tales “negative analogues,” meaning that they express negatively the positive moral themes of the main story. Thus, while Joseph and Fanny embody everything that young lovers ought to be and do; Leonora manages to get everything wrong. The fact that she begins with every earthly advantage makes her folly all the less forgivable: she is wealthy, attractive, popular, and shrewd; her only weakness is a moral one, as she brings to her selection of husbands a form of pragmatism that is really just applied selfishness. This pragmatism misfires when Leonora abandons the man she really loves for a wealthier man who, as will be seen in the conclusion of her story, is no less self-interested than she is. For being too clever by half, the novel punishes Leonora, rewarding instead the dogged loyalty of Joseph and Fanny; the contrast between her sophistication and their straightforwardness implies that Fielding’s providence favors simplicity, which Fielding considers an attribute of goodness.
Fielding’s classical influences manifest themselves also in the farcical battle scene of Chapter V: serious epics are full of lavishly detailed scenes of combat that substantiate the heroic qualities of the participants, but in Fielding the narrative specificity serves, of course, not to glorify the action but to underscore its ludicrousness. Naturally, Mr. Adams epitomizes this ludicrousness: the Hostess dashes the hog’s blood into his face “with so good an Aim, that much the greater part first saluting his Countenance, trickled thence in so large a current down his Beard, and over his Garments, that a more horrible Spectacle was hardly to be seen or even imagined”; when the smoke has cleared, “the principal Figure, and which engaged the Eyes of all, was Adams,” who, as usual, looks the silliest. He does not, however, descend to the level of the guiltiest: the hog’s blood battle provides a useful window into Fielding’s ethics, and the fact that neither Adams nor Joseph thinks of turning the other cheek indicates that Fielding does not use violence and nonviolence as a basis on which to distinguish the wicked characters from the virtuous. Whether a particular violent act is ethical or not turns out to be a question of motive: the Host has threatened the two travelers because he is irritated with Adams and Joseph for requesting charity from his wife and because he resents Joseph’s suggestion that Adams is his social superior; by contrast, the violence of Adams and Joseph is simply reactive, part self-defense and part retaliation against the Host’s gratuitous aggression. In Fielding’s world, where violence is normative, even the best Christians cannot be pacifists.

Self Assessment

Multiple Choice Questions:

1. Mr. Adams has forgotten all about Joseph during a ........ on Aeschylus.
   (a) Promotion
   (b) Construction
   (c) Meditation
   (d) None of these.

2. Mr. Adams and Mrs. Slipslop discuss the recent developments in the ........ family.
   (a) Booby
   (b) Leonora
   (c) Horatio
   (d) Joseph.

3. ........ asserts with some dignity the integrity of his character and his office.
   (a) Mrs. Adams
   (b) Mrs. Slipslop
   (c) Booby
   (d) Mr. Adams.

4. Leonora was the daughter of a ........ .
   (a) Selfish man
   (b) Wealthy gentleman
   (c) Mad woman
   (d) None of these.

3.2 Book II, Chapters VI through XII

Chapter VI

Leonora acted as Bellarmine’s nurse, and her almost constant presence in his apartment became a subject for gossip among the ladies of the town. After his recovery, Bellarmine finally set out to seek the approval of Leonora’s father. The miserly old gentleman had no objection to his daughter’s making such an advantageous match, but he also had no intention of providing her with a dowry. When Bellarmine clarified that he would not take Leonora without a dowry, the old gentleman expressed his regret that Leonora should lose such an eligible match. Failing to persuade his would-be father-in-law, Bellarmine left the house and the country, returning
Chapter VII

Mr. Abraham Adams has forgotten all about his horse and has been walking ahead of the coach all this time. When the passengers notice him and try to overtake him, he treats it as a game and outruns the coach. Once he has gotten three miles ahead, he sits down with his Aeschylus to wait for the coach to catch up. A Sportsman hunting partridge soon comes upon him, and they start a conversation about the scarcity of game in the area, which the Sportsman blames on the soldiers who are quartered in the neighborhood. When Adams remarks that shooting is a soldier’s line of work, the Sportsman wishes that the soldiers were “so forward to shoot our Enemies.”

Chapter VIII

Mr. Adams says that though he has never made “so noble a Sacrifice” as soldiers make, nevertheless he too has suffered, in his own small way, “for the sake of [his] Conscience.” He once had a nephew who kept a shop and was an Alderman of a Corporation, and he more than once missed out on opportunities of employment within the church when he refused to sell his influence over his nephew’s vote. Eventually he encouraged the nephew to vote for Sir Thomas Booby, having been impressed with Sir Thomas’s command of “Affairs.” Sir Thomas won the election and became a classically verbose Member of Parliament, but Adams never received the living Sir Thomas had promised him, as Lady Booby preferred to bestow it elsewhere. Nor has Mr. Adams ever had much access to the Booby family, presumably because Lady Booby “did not think [his] Dress good enough for the Gentry at her Table.” Adams remembers Sir Thomas fondly, however, as Sir Thomas always allowed him to take a glass of ale from his cellar on Sundays. Mr. Adams no longer has much political clout since the death of his Alderman nephew, though he does take advantage of his pulpit to advocate certain causes during election season, hoping thereby to gain the support of the local gentry in getting an ordination for his son, who is at a disadvantage because he has not been to university. Like his father before him, the Mr. Adams the Younger strives to serve God and country.

Chapter IX

The Sportsman expresses his opinion that any man not willing to die for his country is not willing to live in it, and he says that he disinherited a nephew who joined the army but refused to be stationed in the West Indies. Mr. Adams counsels greater patience, arguing that
“if Fear had too much Ascendance in the Mind, the Man was rather to be pitied than abhorred.”

The Sportsman repeats his conviction of the transcendent importance of courage and country and then, upon hearing Adams mention the stage-coach, tells him that the last coach is three miles ahead of them and invites the curate to stay the night at his house. Mr. Adams accepts, and they begin the walk to the Sportsman’s house, with the Sportsman “renewing his Discourse on Courage, and the Infamy of not being ready at all times to sacrifice our Lives to our Country.”

While they are walking, they hear a woman’s screams. Mr. Adams, armed with a stick, hastens to the spot, while “the Man of Courage made as much Expedition towards his own House, whether he escaped in a very short time without once looking behind him: where we will leave him, to contemplate his own Bravery, and to censure the Want of it in others.” Mr. Adams finds the screaming woman fending off a sexual assault; he bludgeons the attacker with the stick and then endures a “drubbing” from him, playing rope-a-dope until the attacker tires himself and Mr. Adams can deliver a series of punches, including a well-placed blow to the chin, which succeeds so well that Mr. Adams fears he may have killed his opponent. He and the woman discuss the circumstances of the attack, and he learns that she is on her way to London. Mr. Adams, who believes that he has killed the attacker, then begins to consider whether the woman’s testimony will be sufficient to acquit him of murder, and “whether it would be properer to make his Escape, or to deliver himself into the hands of Justice.”

Chapter X

The woman Adams has rescued does not entirely trust him, worrying that he may be no better a companion than was her attacker. While Adams stands considering whether to run or turn himself in, a group of young men comes by, looking for birds to catch; Adams asks them to hold their lantern over the felled attacker to determine whether he is alive or not. He is alive, in fact, and he extemporize a story for the young men, claiming to be “a poor Traveller, who would otherwise have been robbed and murdered by this vile Man and Woman.” The young men lay hold of Mr. Adams and the woman to carry them before the Justice. As they all walk along, Mr. Adams tries to comfort and encourage the woman he has rescued while the young men argue about how they will split their reward. When Mr. Adams mentions Joseph Andrews, the woman realizes who her rescuer is and introduces herself as Joseph’s beloved, Fanny Goodwill. In the ensuing discussion, Fanny feigns a lack of interest in Joseph but then asks “a thousand Questions, which would have assured any one but Adams, who never saw farther into People than they desired to let him, of the Truth of a Passion she endeavoured to conceal.” Word had reached her about the attack on Joseph by the Two Ruffians, and she immediately set out to find the man “whom, notwithstanding her Shyness to the Parson, she loved with inexpressible Violence, though with the purest and most delicate Passion.”

Chapter XI

They reach the Justice’s house, where the Justice does not wish to interrupt his dinner and so orders that the prisoners should be detained in the stable, where they soon attract a crowd. Eventually the Justice, “being now in the height of his Mirth and his Cups,” sends for the prisoners, thinking to “have good Sport in their Examination.” He makes several lewd jokes about Fanny while his clerk takes down the depositions. The assembled companies also ridicule Mr. Adams’s clerical dress, assuming that he has stolen it. They play along with his clergyman persona by addressing him in Latin, prompting him to criticize their pronunciation; when he disputes a quotation and agrees to bet a guinea on it, he finds he lacks the requisite funds and the retraction of his bet allows the company to award the distinction in Latin expertise to his opponent.
The Justice declines to read the clerk’s depositions and skips right to the mittimus (a warrant to commit the accused to prison). When Mr. Adams objects to being sent to prison without having been able to speak in his own defense, the Justice explains that there will be time for that at his trial at the Assizes in several months. The clerk also presents to the Justice Mr. Adams’s volume of Aeschylus, which is “written, as he apprehended it, in Ciphers.” The company eventually recognizes the characters as Greek, and the Parson of the Parish, who is in attendance, pronounces the volume “a Greek Manuscript, a very fine piece of Antiquity,” which Adams has undoubtedly stolen.

Luckily, a Squire in the crowd has recognized Mr. Adams and vouches for his being a real clergyman “and a Gentleman of a very good Character.” The Justice immediately agrees not to commit Mr. Adams, though he still plans to commit Fanny Goodwill. He agrees, however, to hear Adams’s version of events, which he then believes entirely on the strength of Adams’s social status. Fanny’s attacker makes his escape during this tale, angering the Justice, but eventually things settle down and the Justice and Mr. Adams have a drink together while Fanny goes off in the care of a maid-servant. Soon a quarrel erupts outside among the young men, who are drunk now and still contesting who would have received the greatest share of the reward if Adams had been convicted. Mr. Adams regrets “to see so litigious a Temper in Men” and tells a story about three candidates for a clerkship in one of his parishes, the moral of which is “the Folly of growing warm in Disputes, in which neither Party is interested.” The Justice then begins to “sing forth his own Praises,” but a dispute arises between the Justice and the clergyman regarding the former’s handling of the recent case, with Mr. Adams actually arguing that the Justice ought, “in strictness of Law, to have committed him, the said Adams,” to prison. They might have quarreled, had not Fanny interrupted with the news that a young man is about to depart for the very inn where Joseph has stopped.

Chapter XII

Mr. Adams, Fanny, and their young Guide set out for the inn in the middle of the night. A violent storm forces them to shelter in an alehouse, where Fanny impresses everyone with her appearance. Fielding gives a complimentary description of her as a type of unpretentious rural beauty, possessing “a natural Gentility, superior to the Acquisition of Art, which surprised all who beheld her.” While Fanny and Adams are sitting by the fire, she hears a voice singing and recognizes it as Joseph’s. Her shocked reaction alarms Mr. Adams, who throws his Aeschylus into the fire and calls for assistance. Joseph arrives to revive Fanny from her swoon, and the lovers have an ecstatic reunion. Mr. Adams is delighted, until the sight of his smoldering Aeschylus ruins his mood. He rescues Aeschylus while Fanny recovers herself and becomes suddenly self-conscious. She curtseys to Mrs. Slipslop, who scornfully refuses to return the gesture and withdraws from the room.

3.2.1 Analysis

The conclusion of “The Unfortunate Jilt” winds up Leonora’s biography in a manner consistent with Fielding’s vigorous ethics. Leonora and Bellarmine are, in a sense, made for each other. The lady has a “greedy Appetite of Vanit[y],” and the cavalier has not only a coach and six to gratify that appetite but also a wardrobe that is “as remarkably fine as his Equipage could be”: “he had on a Cut-Velvet Coat of a Cinnamon Colour, lined with a Pink Satten,” and so on, “all
in the French Fashion.” Their union cannot last, however, despite (or because of) the complementarity of their affectionations: Leonora and Bellarmine lack the one thing needful, not love in their case but money. In this they represent the negative converse of Joseph and Fanny, but other correspondences with the main story exist as well. For instance, Leonora provides a variation on the conduct of Lady Booby, particularly in how her swerving between suitors echoes Lady Booby’s mood swings. Leonora’s volatility, however, is both less dramatic than Lady Booby’s and more reprehensible because its outcome is preordained: her decision-making process is not genuine psychological turmoil but is itself an affectation designed to foist responsibility onto her Aunt, whom she can and does blame when eventually the scheme blows up. By contrast, Horatio shares characteristics with the virtuous characters of the main plot: like Mr. Adams and Joseph, Horatio is a straight shooter who is not averse to fighting any man who has wronged him, and accordingly Fielding’s comic providence looks out for him and brings about his ultimate triumph. Not only does Horatio get the better of his duel with Bellarmine, but he goes on to prosper in his law practice (differing in this, one might add, from Fielding himself) and is, one imagines, probably better off without Leonora, notwithstanding his nostalgia for her name and memory.

The long-awaited introduction of Fanny Goodwill occurs in these chapters, and Fielding’s detailed physical description of her in Chapter XII contrasts her strongly with Lady Booby by emphasizing her rural origins and unaffected simplicity. Her arms are “a little reddened by her Labour,” and her figure is robust and “plump” rather than fashionably delicate: she is “not one of those slender young Women, who seem rather intended to hang up in the Hall of an Anatomist, than for any other Purpose.” Fielding is careful also to note physical imperfections, such as the slight unevenness of her teeth and a pox-mark on her chin, details that paradoxically heighten her beauty by rendering it natural and credible.

The “natural Gentility, superior to the Acquisition of Art,” which Fielding notes at the end of the description, is justified thematically; in his opposition to affectation, Fielding inevitably propounds a sense in which straightforwardness substitutes for the social graces of the sophisticated upper classes. In suggesting, however, that this “natural Gentility” is Fanny’s most striking attribute, such that it “surprised all who beheld her,” Fielding betrays the basic gist of the whole description and indeed of his presentation of Fanny throughout the novel. Again and again he will draw the attention of his both his characters and his readers not to any abstract quality of “Gentility” in Fanny’s bearing but rather, as here, to her luscious physical presence. The fact that he does so, moreover, seems important to his presentation of the relation between sex and virtue. As Richard J. Dircks observes, Joseph and Fanny complement each other because both are vibrant natural creatures who embody the reality of sex “without the suggestion of the lustful extravagance of Slipslop and Lady Booby, who appear in marked contrast to” Fanny. The mutual attraction of Joseph and Fanny is full of “attractive innocence” rather than “pretense and hypocrisy”; the novelist’s frank acknowledgment of Fanny’s sexual appeal, which does not require the certification of gentility in order to be legitimately attractive, is crucial to the presentation of a love that is both virtuous and robustly physical.

The scene of Adams and Fanny’s trial before the negligent Justice is an excellent and sinister example of those minor vices, “the accidental Consequences of some human Frailty, or Foible,” which the Preface indicated would be the main object of Fielding’s satire. As Hamilton Macallister observes, Fielding’s “satire is usually directed against some form of the arrogant abuse of power: the petty power of innkeepers, or the greater power of squires and justices.” Here, the Justice who very nearly sends Adams and Fanny to prison for the very crime of which they themselves were nearly victims (namely assault and robbery) is not actively and deliberately malevolent; he merely wants to finish his dinner and afterward is in no mood to give the case careful attention. His lack of seriousness is deplorable, but it is not malicious. Further diffusing the Justice’s culpability are the young men who apprehended Adams and Fanny and presented the Justice with a skewed case. No more than the Justice are these young men actively wicked:
they simply believed the convincing performance of Fanny’s assailant and hoped to get a reward out of it. As a crowd gathers at the Justice’s home and the bystanders begin throwing in their two cents, the situation grows increasingly confused: “chaotic as the situation is,” remarks Macallister, “nobody is particularly responsible, and it is just this that gives a nightmare quality to the scene.” The episode is perhaps too mundane even to merit the phrase “banality of evil,” as human nature reveals itself in the psychology of the crowd and the nonchalance of the Justice.

At length, of course, providence intervenes in the form of an anonymous gentleman who recognizes Adams from across the room. The readiness and even politeness with which the Justice backs away from his resolution to send Adams and Fanny before the Assizes is both uncanny and naturalistic: once his mistake is clear to him he becomes what he has always been, namely a very average man, conscious now of his inadequacies and rather conciliatory. At this point even the lying assailant simply melts into the night as if he had never been. Fielding’s world, then, is on the one hand reassuringly providential, as there is no disaster that the benign hand of the omnipotent novelist cannot avert. On the other hand, however, Fielding’s world has a dimension that is quite dark, for when deliberate malice is not operative in the story, “the accidental Consequences of some human Frailty, or Foible” can always pick up its slack.

3.3 Book II, Chapters XIII through XVII

Chapter XIII

Fielding clarifies that Mrs. Slipslop has not forgotten her old coworker Fanny Goodwill but has merely asserted her social prerogative in cutting her. He goes on to explain, with a facetious display of logic, the social gradations separating High People from Low People, or People of Fashion from People of No Fashion. Mrs. Slipslop, being near the top of the servant class, has adopted many of the attitudes of Lady Booby, who is near the bottom of the gentry class. Those who have any kind of status in this scheme will “think the least Familiarity with the Persons below them Condescension, and if they were to go one Step farther, a Degradation.” Mr. Abraham Adams, who has no conception of these prejudices, believes that Mrs. Slipslop has actually forgotten Fanny and seeks to jog her memory, whereupon Mrs. Slipslop utters a slur on Fanny’s virtue. Adams defends Fanny, expressing his wish “that all her Betters were as good,” and tells the story of his rescuing her from the rape attempt. Slipslop disparages the unclerical behavior Adams displayed during that episode and then, hearing that the storm has passed, sends for Joseph Andrews, with whom she intends to proceed. He will not leave without Fanny, however, and eventually Slipslop goes on without him. She bitterly regrets the presence of Fanny, and Fielding slyly remarks that Joseph, no less than Fanny, has been in the presence of a would-be rapist this evening.

Adams, Fanny, and Joseph sit all night by the fire, where Fanny finally confesses her love for Joseph, prompting him to wake the curate and ask to be married on the spot. Mr. Adams refuses, however, on the grounds that they have not published the banns, as the forms of the church require. Fanny, blushing at Joseph’s haste, backs up the clergyman. When the sun has been up for several hours, they all prepare to set out but are thwarted by a seven-shilling bill that they cannot come close to paying. After a few minutes Adams comes up with the idea to seek the wealthy clergyman of the parish and borrow the funds from him.

Chapter XIV

Parson Trulliber is a parson only on Sundays and a farmer on the other six days of the week, and he is as fat as the hogs he tends. Mrs. Trulliber mistakenly introduces Mr. Adams as a
prospective buyer of hogs, and Adams’s “natural Complacence” forces him to go through the motions of inspecting the livestock before purchasing. One unruly hog throws him in the mire, however, whereupon Mr. Adams declares in Latin that he has no interest in pigs. Parson Trulliber blames his wife for the confusion and disparages her as a fool. While Mr. Adams is washing up, Trulliber insults his wife again and invites Adams into the kitchen for refreshment, telling Mrs. Trulliber under his breath to bring “a little of the worst Ale.” The two clergymen sit down to eat breakfast, with Mrs. Trulliber serving and Parson Trulliber criticizing her cookery. After breakfast, Adams gets down to business, explaining his need for a loan of seven shillings for the current bill plus seven shillings more for the road. Trulliber recoils from this request, pretending to take offense at the suggestion that he has amassed any worldly wealth, as if a Christian’s treasure were of this world. Mr. Adams is delighted with Trulliber’s otherworldly virtue but persists in his request for the sake of his friends. Parson Trulliber then accuses him of impersonating a clergyman in order to beg for money. Mr. Adams suggests, “Suppose I am not a Clergyman, I am nevertheless thy Brother, and thou, as a Christian, much more as a Clergyman, art obliged to relieve my Distress.” He warns that faith is nothing without good works and declares, “Whoever therefore is void of Charity, I make no scruple of pronouncing that he is no Christian.” Parson Trulliber threatens him with his fist, but Mr. Adams departs with a smile.

Chapter XV

Mr. Adams returns to Joseph and Fanny, where Joseph suggests as a last resort that they ask the Hostess, a sour-faced old woman, to trust them to pay their bill later. The Hostess surprises them by complying. Fielding attributes this kindness to the Hostess’s confusion over the relation between Adams and Parson Trulliber: as she believes them to be not “brothers” in the cloth but biological brothers, she does not wish to affront the fearsome Parson by insisting on an upfront payment of the bill. When a servant of hers goes to fetch the greatcoat and hat Adams has left at the Trulliber’s, however, the illusion is shattered and the Hostess retracts her offer of credit. Mr. Adams thus has to canvass the parish for charity, but in vain; he returns disillusioned with the lack of Christian charity in the country.

A poor Pedlar, meanwhile, has been listening to the Hostess’s remarks on her unfortunate guests, and he loans Mr. Adams enough money to cover what he cannot pay. The three companions thank him profusely, tell him where he can call for repayment, and depart: “And thus these poor People, who could not engage the Compassion of Riches and Piety, were at length delivered out of their Distress by the Charity of a poor Pedlar.”

Chapter XVI

After walking for about two miles, the companions reach another inn, where a courteous and gregarious Squire sits smoking by the door. This Squire, who says that he owns the large house nearby, invites the travelers into the inn for refreshment. During the meal, he applauds Mr. Adams’s affection for his two parishioners, contrasting him favorably with his own parson, who tends to view the less wealthy among his parishioners as members of another species. He then claims to have the living “in [his] Gift” (that is, to have the prerogative of conferring it), and as the incumbent is old and ailing, the gentleman promises to award the living to Adams. When Adams expresses amazement at this generosity, the Squire replies, “I esteem Riches only as they give me an opportunity of doing good.” He then invites the travelers to stay the night in his mansion, adding that he will be able to furnish them with a coach and six. Mr. Adams accepts these offers ecstatically, but while they are all preparing to leave the inn, the talkative Squire recalls that his housekeeper is abroad, so that all the rooms are locked up; he
therefore recommends that the travelers stay in the inn after all. He then leaves them at the
inn, promising to send the coach and horses in the morning.

In the morning, however, a servant arrives with the information that his master’s horses are
temporarily out of commission because the groom has administered to them a course of physic.
Mr. Adams regrets that this Squire’s staff should inconvenience him so frequently. Joseph
raises the issue of their bill, which again they cannot pay, and suggests that Mr. Adams write
to their new acquaintance requesting funds. The answer they receive, however, is that their
acquaintance has departed on a long journey. Mr. Adams is shocked, but Joseph says that he
had suspicions from the beginning, since there is a saying among footmen that “those Masters
who promise the most perform the least.” The Host then enters and chaffs the travelers for
having been duped. Mr. Adams frets about their bill and says that even if the Host trusts them
to pay it later, they live at such a distance that they might never find an opportunity to send
the money; paradoxically, the Host says that Adams’s admission that they might never pay
has made him trust them more, since every failure to pay a debt has so far been preceded by
an ironclad guarantee.

\[\text{Did u know?} \text{ The Host therefore waives the bill and sits down for a drink with Mr. Adams while the lovers go off into the garden.}\]

Chapter XVII

The Host tells several stories of the false-promising Squire’s promising more than he meant
to deliver and gouging his victims as a result. The final story tells of the Host’s own career
as master of a ship and the false-promising Squire’s bogus promise to procure him an elevation
to the lieutenancy of a man of war. Mr. Adams regrets these evidences of the man’s bad
coloracter but holds out hope for his redemption, especially given the signs that his face bears
of “that Sweetness of Disposition which furnishes out a good Christian.” The Host, with his
wide experience of the world, counsels against inferring a man’s character from his countenance.
Mr. Adams indigantly argues for his own wide reading as a form of worldliness and invokes
Socrates in behalf of his theory of moral physiognomy. This argument leads to a debate about
the relative merits of trade and the learned professions, but Joseph and Fanny soon interrupt,
and Adams and the Host part with less good humor than prevailed between them formerly.

3.3.1 Analysis

Starting in Chapter XIII, when Joseph assents to Adams’s requirement that the marriage be
delayed until the formal pronouncement of the wedding banns, Fielding puts the Joseph-Fanny
romance plot on hold and focuses on Adams and the comedy of his innocence; that comedy
reaches a climax in the final chapters of Book II. Homer Goldberg points out how Fielding
designed the events of Book II to exhibit a progression from examples Adams’s everyday
absent-mindedness to increasingly dramatic evidence of his benevolent naïveté regarding human
nature. The ever-more-despicable behavior of those around him fails to dispel his generous
illusions until finally “the display of his essential simplicity culminates in his vain defense of
classical learning as the essential source of the knowledge of men.” When in Chapter XVII
Adams sits down with the Host and argues that the only knowledge worth having is found
in books, he finally states explicitly the unworldly attitudes that have been determining his
outlook all along.

Adams’s run-ins with Parson Trulliber and the false-promising Squire are each exemplary
instances of his innocent dealings with the world of affectation. In the case of Trulliber,
Adams encounters the epitome of the type of selfish clergyman to whom he has stood in contrast since his discussion with Barnabas about the doctrines of Methodism. Trulliber would rather tend his hogs than care for souls (indeed, he is better suited to the former task), and he treats Adams to some truly wretched hospitality, gorging himself while giving Adams “a little of the worst Ale.” Eventually the two parsons engage in a debate about the true nature of Christianity and the relationship between faith and works, and it emerges that Trulliber believes that his duty as clergyman and a Christian is simply to believe certain religious tenets, not to conduct himself according to the behaviors enjoined by those tenets. In professing immaculate Christian principles but abstaining from the performance of charity toward his fellow-man, Trulliber shows himself to be the quintessential hypocrite, a devotee of self-interest masquerading as a paragon of virtue. Nor is Trulliber merely a corrupt clergyman; he is also a bully, a lover of power who is given to brutal intimidation of his wife. His authority within the parish derives in large part from his ability to lord it over his parishioners, all of whom “lived in the utmost Fear and Apprehension of him.”

Trulliber’s vices, then, are reprehensible, but what should be noted is that they are, as one may say, natural — they are extensions of the ordinary human desire to acquire things, such as money or power, for oneself. With the false-promising Squire the case is different and rather bizarre: if Trulliber responds too negatively when Adams approaches him for aid, the false-promising Squire approaches Adams on his own initiative and deceives him with a gratuitous display of sham generosity. His sadistic foible is to counterfeit that quality of spontaneous benevolence which is the substance of Adams’s ethics and which Adams so constantly expects to find in those around him. The false-promising Squire is, then, as exemplary a hypocrite as Trulliber, though in a stranger way. As Goldberg puts it, he engages in “motiveless mischief”; his wickedness is unconventional in that it confers no obvious benefit on him, and as a result, Adams takes a while to recognize and condemn it.

Only after the Host’s lengthy account of the Squire’s past wrongdoing does Adams concede that “he is indeed a wicked Man,” though even then he protests that the Squire “hath in his Countenance sufficient Symptoms of . . . that Sweetness of Disposition which furnishes out a good Christian.” The Host’s rather worldly response, that to take people at face value in this way is to invite deception, strikes Adams as too cynical, and it is telling that when the Host invokes his world travels in support of his argument from experience, Adams counters by invoking his own wide reading. Adams insists that his knowledge of books helps him to see the world clearly, but when he cites Socrates on behalf of the false-promising Squire it becomes clear to the reader that Adams’s literacy also has the potential to confirm the parson in his chosen vision of reality.

**Self Assessment**

Fill in the blanks:

5. Mr. Adams the ...... to serve god and Country.
6. Mr. Adams, Fanny, and their ...... set out for the inn in the middle of the night.
7. Parson Trulliber is a parson only on ...... and a farmer on the other six days of the week.

We have now reached the midpoint of the novel, and it would appear that, in a sense, Mr. Adams is incapable of learning: his adventures have not served to make him any more realistic about the world, and experience washes off him like the pig-slop from Trulliber’s sty. In another sense, of course, there is nothing that Adams needs to learn, as he already embodies Fielding’s definition of goodness as active charity. Perhaps, however, Mr. Adams’s goodness
would be more effectual if he could incorporate some of the Host’s practical wisdom; after all, the Host is no covetous misanthrope in spite of his sober realism, for he has just taken a risk on Adams by extending credit to him when Adams has admitted how difficult it will be for him to pay it back. Fortunately, Joseph, as Adams’s protégé, seems to be incorporating experience into his parson’s Christian teaching rather effectively: he has suspected the Squire as a phony from the start, and eventually he passes judgment on him with a maxim that is the fruit of the accumulated wisdom of generations of footmen. Whereas at the beginning of the novel Joseph could not believe that Lady Booby, being socially so superior, could ever condescend to proposition her own servant, by now he has begun to look on the upper classes and the world with an eye not cynical but definitely more experienced.

### 3.4 Summary

- Mr. Adams and Mrs. Slipslop discuss the recent developments in the Booby family.
- Leonora was the daughter of a wealthy gentleman and the possessor of many superficial charms.
- Mr. Adams encounters Joseph, who is in the kitchen recovering from a riding accident with the aid of the Hostess.
- The woman Adams has rescued does not entirely trust him, worrying that he may be no better a companion than was her attacker.
- Fielding clarifies that Mrs. Slipslop has not forgotten her old coworker Fanny Goodwill but has merely asserted her social prerogative in cutting her.
- Mr. Adams returns to Joseph and Fanny, where Joseph suggests as a last resort that they ask the Hostess, a sour-faced old woman, to trust them to pay their bill later.

### 3.5 Keywords

- **Litigious**: tending to go to law to settle disputes.
- **Frivolous**: not having any serious purpose or value.
- **Robust**: not perturbed by or attending to subtleties.
- **Omnipotent**: having unlimited or very great power.

### 3.6 Review Questions

1. Who was Leonora? Explain.
2. Write briefly about Parson Trulliber.
3. Who were proposed to Leonora and why?
4. Why Leonora acted as a nurse of Bellarmine?

**Answers: Self Assessment**

1. (c)  
2. (a)  
3. (d)  
4. (b)  
5. Younger Strives  
6. Young Guide  
7. Sunday
3.7 Further Readings

Books

- Joseph Andrews (Non-Detailed) — Henry Fielding
- Henry Fielding: a life — Martin C. Battestin
- Henry Fielding — Simon Varey

Online links

- http://www.cliffsnotes.com/study_guide/literature/Joseph-Andrews-Summary-and
- http://www.readbookonline.net/title/9400/
Unit 4: Joseph Andrews-III: Detailed Study of the Text

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Objectives

After studying this unit, you will be able to:
- Explain Joseph Andrews-III, detailed study of the text of Book III, all chapters
- Discuss analysis of Book III all chapters.

Introduction

The three depart the inn by night, and it is not long before Fanny needs to rest. With the party silent, they overhear approaching voices agree on ‘the murder of any one they meet’ and flee to a local house. Inviting them in, the owner, Mr Wilson, informs them that the gang of supposed murderers were in fact sheep-stealers, intent more on the killing of livestock than of Adams and his friends. The party being settled, Wilson begins the novel’s most lengthy interpolated tale by recounting his life story; a story which bears a notable resemblance to Fielding’s own young adulthood.

At the age of 16, Wilson’s father died and left him a modest fortune. Finding himself the master of his own destiny, he left school and travelled to London where he soon acquainted himself with the dress, manners and reputation for womanising necessary to consider himself a ‘beau’. Wilson’s life in the town is a facade: he writes love-letters to himself, obtains his fine clothes on credit and is concerned more with being seen at the theatre than with watching the play. After two bad experiences with women, he is financially crippled and, much like Fielding himself, falls into the company of a group of Deists, freethinkers and gamblers. Finding himself in debt, he turns to the writing of plays and hack journalism to alleviate his financial burden. He spends his last few pence on a lottery ticket but, with no reliable income, is soon forced to exchange it for food. While in jail for his debts, news reaches him that the ticket he gave
away has won a £3,000 prize. His disappointment is short-lived, however, as the daughter of the winner hears of his plight, pays off his debts, and, after a brief courtship, agrees to become his wife.

Wilson had found himself at the mercy of many of the social ills that Fielding had written about in his journalism: the over-saturated and abused literary market, the exploitative state lottery, and regressive laws which sanctioned imprisonment for small debts. Having seen the corrupting influence of wealth and the town, he retires with his new wife to the rural solitude in which Adams, Fanny and Joseph now find them. The only break in his contentment, and one which will turn out to be significant to the plot, was the kidnapping of his eldest son, whom he has not seen since.

Wilson promises to visit Adams when he passes through his parish, and after another mock-epic battle on the road, this time with a party of hunting dogs, the trio proceed to the house of a local squire, where Fielding illustrates another contemporary social ill by having Adams subjected to a humiliating roasting. Enraged, the three depart to the nearest inn to find that, while at the squire’s house, they had been robbed of their last half-guinea. To compound their misery, the squire has Adams and Joseph accused of kidnapping Fanny, in order to have them detained while he orders the abduction of the girl himself. She is rescued in transit, however, by Lady Booby’s steward, Peter Pounce, and all four of them complete the remainder of the journey to Booby Hall together.

4.1 Book III, Chapters I through III

Chapter I

Fielding again takes up issues of genre and begins by elevating biography over history. Historians are always accurate in reporting circumstantial detail, but they are careless in their evaluations of persons; thus, “Some represent the same Man as a Rogue, while others give him a great and honest Character, yet all agree in the Scene where the Fact is supposed to have happened; and where the Person, who is both a Rogue, and an honest Man, lived.” Biographers have exactly the opposite priorities, presenting persons faithfully while occasionally mistaking the where and the when. Fielding clearly sides with the biographers in this scenario, but he reserves his highest praise for the authors of romances and novels, “who without any Assistance from Nature or History, record Persons who never were, or will be, and Facts which never did nor possibly can happen: Whose Heroes are of their own Creation, and their Brains the Chaos whence all their Materials are collected.” These imaginative works are not bound to the particulars of history, and they can be “Histories of the World in general,” expressing its eternal truths. Accordingly, Fielding’s novel includes many instances of eternally recurring human types: the Lawyer, the Wit, the Prude; and Fielding clarifies that none of these figures corresponds to any one individual in real life. As he says, “I describe Men, not Manners; not an Individual, but a Species.” Fielding’s goal is “not to expose one pitiful Wretch” in real life but “to hold the Glass to thousands,” criticizing the common flaws of human nature. This distinction, says Fielding, makes the difference between the libeler and the satirist.

Chapter II

The companions, who are nearing their destination, walk until nightfall and then sit down to rest. Mr. Abraham Adams notices a light, which he takes to be a ghost. When they hear voices “agreeing on the Murder of anyone they met,” Adams brandishes his stick and advances on the menacing lights until Joseph Andrews pulls him back and convinces him that they should flee. During their flight Mr. Adams trips and rolls down a hill, luckily to no ill effect. After
they have crossed a great deal of countryside they arrive at a house, where a Man and his Wife offer shelter and refreshments. Mr. Adams tells the story of his confrontation with the “evil Spirits,” but he is interrupted by a knock at the door. During a tense interval, while the Man goes to answer the door, Mr. Adams worries that an exorcism might be in order; the Man returns, however, to inform them that Mr. Adams’s murderous ghosts are actually sheep-stealers, two of whom the shepherds have apprehended, and the murder victims are sheep. Everyone then settles down cheerfully before the fire, and the Man begins to probe his guests regarding their status. Mr. Adams clarifies that Joseph is not his footman but his parishioner, and the Man puts to Mr. Adams some literary questions designed to verify whether he is a real clergyman or not. Adams holds forth at length on Aeschylus and Homer, finally concluding, “The Heavens opened, and the Deities all seated on their Thrones. This is Sublime! This is Poetry!” The Man is by now more than convinced of Mr. Adams’s authenticity as a clergyman and even wonders “whether he had not a Bishop in his House.” Soon the women go off to bed, with the men planning to sit up all night by the fire.

**Notes**

In response to a request by Mr. Wilson, Mr. Adams tells the story of Joseph’s life, and then asks the Man to tell the story of his own.

**Chapter III**

The Man, who has introduced himself as Mr. Wilson, was born and educated as a gentleman. At sixteen, following the death of his father, he took his inheritance and went to London, “impatient to be in the World” and attain the character of “a fine Gentleman.” He learned how to dress, dance, ride, fence, and so forth, before embarking on trumped-up “Intrigues” with several of “the finest Women in Town.” Mr. Adams condemns this “Course of Life” as “below the Life of an Animal, hardly above Vegetation.” After two years, a confrontation with an Officer of the Guards led Wilson to retreat to the Temple, where he lived among people who pursued the frivolous life less convincingly than had his former companions: “the Beaus of the Temple . . . are the Affectation of Affectation.” Wilson’s base new pleasures eventually brought him a venereal disease, which in turn brought him a resolution of amendment. His swearing-off of prostitutes soon compelled him, however, to satisfy his passion for women by keeping a mistress, from whom however he soon parted upon discovering her inconstancy. After another round of venereal disease, he debauched the daughter of a military gentleman; the young lady soon began a moral and psychological decline that ended with her miserable death in Newgate Prison.

After another disease and a couple more mistresses, Wilson joined a club of Freethinkers but left in disgust after finding that the members’ conduct belied their own rationalistic ethical code. He began instead to frequent playhouses, in which context he found the occasion to remark that “Vanity is the worst of Passions, and more apt to contaminate the Mind than any other.” He attempted to become a playwright, seeking aristocratic patronage in vain, and his play was never performed. In need of money to pay his debts, he took a job doing translations for a bookseller and in this line of work did so much reading and writing that he nearly went blind and temporarily lost the use of his writing hand. He consequently lost this job and, after using his earnings to buy a lottery ticket, was arrested by his tailor for debt. The lottery ticket then returned £3,000, which Wilson however did not receive because he had sold the ticket to a relative who now refused to share the prize with him. One day, while in prison, he received a note from a lady named Harriet Hearty, the daughter of the man to whom he had sold the ticket; Harriet informed him that her father had died, leaving her all his fortune, and that she
thought it right to send Wilson £200, which sum she had enclosed with the note. Wilson was delighted not only to receive the money but especially to receive it from Harriet Hearty, for whom he had long cherished a secret love. In their first meeting after his release from prison, he professed his love, which he found the lady reciprocated, and they married shortly thereafter. Wilson took her father’s place in the wine trade but soon began losing money at it due to his refusal to adulterate his wine. Around this time he concluded that “the Pleasures of the World are chiefly Folly, and the Business of it mostly Knavery; and both, nothing better than Vanity: The Men of Pleasure tearing one another to Pieces, from the Emulation of spending Money, and the Men of Business from Envy in getting it.” He then retired with his wife and their two children to the countryside, where they have lived happily, except for the abduction of their eldest son by gypsies.

Task Who had consequently lost his Job and why?

4.1.1 Analysis

Continuing a trend that began in the episode of the false-promising Squire, the character of Joseph deepens and matures in the course of Book III. Rather than passively absorb the buffets of fortune, as he largely did throughout the first two books, Joseph now asserts himself more readily, both dissenting from Mr. Adams’s plans when appropriate and springing into physical action against beatable adversaries. Thus, in the “ghost” sequence of Chapter II, the steady and sensible Joseph checks Adams’s impulse to charge the sheep-stealers, carries Fanny safely down the slope that tumbled Adams, and guides his companions to a bridge when Adams would have waded through the river. Joseph, then, has emerged as a prudent foil for his dreamy and impetuous pastor.

The character of Mr. Adams likewise undergoes a shift of sorts during the transition between Books II and III, but in his case the change occurs not so much in his personality per se as in Fielding’s presentation of it. Whereas previously Fielding has focused on the contrast between Adams and the world, thereby endorsing his innocence over others’ affectations, now he begins to measure Adams against other men who are just as virtuous but more prudent, thereby highlighting Adams’s weaknesses and vanity. The first of these other virtuous men is of course Joseph; the second is Mr. Wilson.

The story of Mr. Wilson’s reformation after a misspent youth occupies the center of the novel for good reason. As one critic has said, “the mature Wilson functions as the novel’s central norm of sensible humanity,” and his fitness for this role is apparent in his conduct toward the three strangers who show up on his doorstep after their encounter with the “ghosts”: charitable yet wary, Wilson welcomes the trio into his home but seeks a way of verifying that they are who they say they are, and even then he only gradually warms to them as their good nature becomes increasingly evident. He has seen “too much of the World to give a hasty Belief to Professions”; unlike Mr. Adams, Mr. Wilson has learned something from his experiences of the world. As Homer Goldberg observes, Wilson’s “satiric exposure of the moral state of the world as it is forcibly points up the error of Adams’s persistent naïve vision of it as it ought to be.”

Wilson’s biography presents “the World” with a capital “W”: it is a survey of the classic vices that characterize the urban lifestyle of affectation, sophistication, and sensuality. (This Hogarthian “rake’s progress” may also contain an autobiographical element, as the young Fielding was himself a dissolute Londoner for several years before eloping with his beloved wife.) Physical lust would appear to be the leading vice among these cosmopolitan types, if Wilson’s recurrent
spells of venereal disease are any indication. Wilson’s London career of course contrasts with Joseph’s in this regard, and Fielding indicates that this moral degradation had its origins in Wilson’s “early Introduction into Life, without a Guide,” as he had no Parson Adams to mentor him. Religious heterodoxy then compounded this faulty education, with the young Wilson joining a club of freethinking deists and atheists. Like many frivolous young men, Wilson kept expecting “Fortune” to smile on him, hence his purchase of the lottery ticket; his long acquaintance with adversity, however, would teach him that redemption comes not through luck but through charity, which Harriet Hearty helpfully embodied.

Wilson’s journey, like Joseph’s, takes him from town to country, from the life of folly and vice to the life of chaste love and cheerful industry. The geographical symbolism is deliberate, for as Martin C. Battestin remarks, “in a book whose satiric subject is vanity, provision had to be made for a long look at London, always for Fielding the symbol of vanitas vanitatum.” In their rural life, it is true, the Wilsons can temper the classical ideal of detachment and solitude with the Christian ethic of active benevolence, living out of “the World” and yet not abstaining misanthropically from charitable deeds; their way of life provides Joseph and Fanny with an example of how to settle down after marriage. Nevertheless, the abduction of the Wilsons’ eldest son demonstrates that vice knows no geographical boundaries: the country may be the georgic site of contented retirement, but even here sin and sadness can intrude.

4.2 Book III, Chapters IV through VI

Chapter IV

Mr. Abraham Adams speculates about the fate and identity of Mr. Wilson’s abducted son, suggesting that he might now be a German adventurer or a Duke. Wilson replies that he would know his son among ten thousand, due to the distinctive mark on the left side of his chest. Soon the sun comes up, and Adams and Wilson rouse Joseph Andrews for a walk in the garden. The garden, which Wilson tends himself, is functional rather than ornamental. Wilson explains the family’s daily schedule and expresses his respect and affection for his wife and his devotion to their children. Soon they go in to breakfast, where the Wilsons admire Fanny Goodwill’s beauty and the guests commend the Wilsons’ charity toward their neighbors. Soon, however, a dog belonging to the Wilsons’ eleven-year-old daughter comes limping in mortally wounded, having been shot by the young Squire from the nearby manor. The Squire, apparently, is a petty tyrant who routinely kills dogs, confiscates guns, and tramples crops and hedges.

Joseph and Fanny are eager to return home and have their wedding, so the travelers decline the Wilsons’ dinner invitation and continue on their way.

Did u know? When Joseph and Fanny leave, Mr. Adams declares “that this was the Manner in which the People had lived in the Golden Age.”

Chapter V

As the travelers walk along, Mr. Adams and Joseph discuss the first part of Wilson’s story, which Joseph heard before falling asleep. Adams designates Wilson’s public school education as the source of all his youthful unhappiness: “Public Schools are the Nurseries of all Vice and Immorality.” Joseph, says Adams, may attribute the preservation of his virtue to the fact that he never attended a public school. Joseph protests, however, that Sir Thomas Booby attended
a public school and became “the finest Gentleman in all the Neighborhood.” No amount or kind of training will alter a person’s basic nature, argues Joseph: “[T]he Boy be of a mischievous wicked Inclination, no School, though’ ever so private, will ever make him good; on the contrary, if he be of a righteous Temper, you may trust him to London, or wherever else you please, he will be in no danger of being corrupted.” Mr. Adams continues to argue rather petulantly for the superiority of private education, and Fielding attributes his zeal in this cause to something that might be called vanity: “He thought a Schoolmaster the greatest Character in the World, and himself the greatest of all Schoolmasters.”

Around noon they rest in a beautiful spot and unpack the provisions Mrs. Wilson gave them. Among the food and wine they discover a gold piece, which Wilson evidently intended should prevent their getting trapped in any more inns along their way. Mr. Adams, however, plans to repay Mr. Wilson when the latter passes through Adams’s parish within the week.

Chapter VI

Joseph discourses on the virtue of charity, which he says contributes infinitely more to a man’s honor than does the acquisition of money or fine articles. In viewing an expensive painting, for example, no one bears in mind the painting’s owner; when, by contrast, people discuss a good deed such as redeeming a debtor from prison, they always emphasize the author of the deed. Moreover, people often disparage others’ possessions out of envy, but “I defy the wisest Man in the World to turn a true good Action into Ridicule.” Eventually Joseph looks up to see Mr. Adams asleep and accordingly turns to canoodling with Fanny, albeit in a manner “consistent with the purest Innocence and Decency.” Soon they hear a pack of hounds approaching, and a hare, the dogs’ quarry, appears beside them. Fanny wants to catch the hare and protect it, but the hare does not recognize her as an ally and goes on its way. Soon the hounds catch it and tear it “to pieces before Fanny’s face, which was unable to assist it with any Aid more powerful than Pity.” The capture happens to occur within two yards of Mr. Adams, with the result that some of the dogs end up attacking the clergyman’s clothes and wig. Mr. Adams awakes and flees before the dogs can taste his flesh, but the Master of the Pack sends the dogs after him. Joseph, seeing his companion in distress, takes up his cudgel, an heirloom which Fielding describes minutely in a mock-heroic passage, and hastens, “swift of foot,” to Adams’s assistance. Fielding declines to characterize Joseph with an epic simile because no simile could be adequate to “the Idea of Friendship, Courage, Youth, Beauty, Strength, and Swiftness; all which blazed in the Person of Joseph Andrews.”

The hounds catch up with Mr. Adams, and Joseph beats them off one at a time until the Squire, whom Fielding calls a “Hunter of Men,” finally calls them off. Fielding acknowledges the humorously elevated diction in which he has related this incident when he concludes: “Thus far the Muse hath with her usual Dignity related this prodigious Battle, a Battle we apprehend never equalled by any Poet, Romance or Life-writer whatever, and having brought it into a Conclusion she ceased; we shall therefore proceed in our ordinary Style with the Continuation of this History.” The hunters, formerly amused by the spectacle of Joseph and Mr. Adams contending with the hounds, now begin to worry about the injuries the hounds have sustained in the combat. The Hunter of Men demands what Joseph meant by assaulting the dogs. Joseph defends his actions, but all arguments cease when Fanny approaches and staggers the hunters with her beauty. Soon it becomes apparent that only two dogs have sustained mortal wounds, so the hunters’ anger subsides and the Hunter of Men invites the travelers to dinner.
Self Assessment

Fill in the blanks:

1. At the age of .......... Wilson’s father died.

2. Mr. Abraham Adams notices a light, which he takes to be a .......... .

3. .......... plans when appropriate and springing into physical action against betable adversaries.

4. Mr. Adams and .......... discuss the first part of wilson’s story.

5. .......... demands what Joseph meant by assaulting the dogs.

4.2.1. Analysis

Wilson’s biography prompts Mr. Adams and Joseph to have a nature-versus-nurture debate about how men acquire moral insight; the ensuing exchange provides further evidence both of Adams’s faulty ideas about human nature and of Joseph’s increasing shrewdness and confidence. Adams, it appears, has some unsound notions regarding the origins of virtue and vice: in declaring public schools “the Nurseries of all Vice and Immorality,” he implies that moral character, for good or ill, derives from external conditioning, so that a proper moral education entails sheltering boys from depravity and keeping them forever “in Innocence and Ignorance.” Such a theory hardly has room for the doctrine of Original Sin; one thing it can accommodate, however, is Mr. Adams’s high opinion of his own skill and importance as a pedagogue: as Fielding observes, Adams’s emphasis on the moral significance of education owes much to his belief in the schoolmaster as “the greatest Character in the World, and himself as the greatest of Schoolmasters.” As if this reference to the parson’s vanity were not enough to render his arguments suspect, Homer Goldberg points out a discrepancy between Adams’s theory and his practice: whereas Adams here professes to consider the world at large to be corrupt in the main, when he himself is abroad in the world he demonstrably expects that its inhabitants will be as innocent and ignorant as the most sheltered private-school boy or as Adams himself.

Joseph propounds a more cogent theory of moral education and in the process shows himself to have a better command than his mentor of some of the most important themes of the novel. Fundamentally, Joseph rejects Adams’s premise of the universality of original innocence, suggesting instead that while some boys are born with basically virtuous natures, others are naturally vicious. External factors, including education, exert only limited influence on the development of moral character, for “if a Boy be of a mischievous wicked inclination, no School, though’ ever so private, will ever make him good; on the contrary, if he be of a righteous Temper, you may trust him to London, or wherever else you please, he will be in no danger of being corrupted.” Joseph himself, having emerged immaculate from the cesspool of London, is Exhibit A in support of this argument; nor does the case of Wilson, who eventually transcended his corrupt environment (and after all had left his public school early), at all disprove it. Thus, having previously excelled only in commonsensical matters, Joseph suddenly evinces superior insight into human nature; his ability to overshadow the parson in the parson’s own specialty, namely education and moral philosophy, suggests that Fielding may be priming him to retake center stage, which Adams has occupied since his entrance late in Book I.

Joseph is not infallible, however, and ensuing events belie his assertion that a good action defies ridicule: the bizarre Squire whose hunting dogs harass Adams so relishes “everything ridiculous, odious, and absurd in his own Species” that he does not hesitate to “turn even Virtue and Wisdom themselves to Ridicule.” Readers have often criticized the scene in which the pack of hounds dismantles the “poor innocent” hare and then turns its attentions to the poor innocent parson, on the grounds that the slapstick action goes beyond comedy to cruelty.
Certainly the Hunter of Men is barbaric in his valuation of dogs above humans and, later, in his pleasure in subjecting Adams to a series of nasty practical jokes, and it may be tempting to conclude that Fielding, insofar as he expects the reader to laugh along with the Hunter of Men, has descended to barbarism as well. What seems more likely, however, is that Fielding did not in fact intend for the dogs’ attack on Adams to be humorous in itself (though whether it is humorous in the manner of its telling is a separate issue, on which see more below); rather, the episode allows Adams to recover some of the sympathy that he forfeited during the recent exposures of his vanity and naïveté. If Adams’s characteristic foible, usually endearing but recently exasperating, has been his willingness to become a dupe and victim of the vicious world, here the vicious world victimizes him so cruelly that the reader’s sympathies cannot help but return to him. As Goldberg puts it, “Here the world’s baiting of Adams, which began with his entrance into the Dragon Inn, is carried to its savage extreme.” The Hunter of Men exemplifies the vices of the world because, unlike most of the people who have victimized Adams and his companions, he is not self-interested in the ordinary way; his pleasure, like that of the false-promising Squire (only more darkly and violently), is to perpetrate mischief for its own sake.

Fielding tempers the unpleasantness of the incident, however, by rendering it in humorous or burlesque diction. The battle with the hounds, in fact, constitutes the lengthiest application of mock-epic diction in the entire novel; it spoofs elaborately a number of conventions of epic combat, including the invocation of the Muse (“who presidest over Biography”), the Homeric epithet (“the Plain, the young, the gay, the brave Joseph Andrews”), the minute description of the hero’s weapon (“It was a Cudgel of mighty Strength and wonderful Art,” etc.), the brief biographies of fallen warriors (“Ringwood the best Hound that ever pursued a Hare, . . . Fairmaid, a Bitch which Mr. John Temple had bred up in his House,” etc.), and, almost, the epic simile (“Reader, we would make a Simile on this Occasion, but for two Reasons . . .”). All of this ironical classicism exemplifies the Preface’s definition of “burlesque” as “appropriating the Manners of the highest to the lowest,” and it does so more dramatically than does any other burlesque passage in the novel. Whereas a more conventional burlesque passage would describe a lowly human brawl in terms appropriate to heroic combatants (the hog’s-blood battle is a good example of this approach), the battle with the hounds takes burlesque to another level by using the same heroic terms to describe sub-human combatants, a pack of dogs.

One of the effects of this verbal humor is to impart a sense of narratorial oversight: the counter intuitively funny presentation of violent actions calls attention to Fielding’s ability to frame his tale, modulating his own and the reader’s reactions to it, and thereby reminds us that all events are under the novelist’s control. In turn, the use of mock-epic diction implies the presence of a benevolent designer, with Fielding functioning as a substitute deity who watches over his characters even when they seem to be in the most danger. Aside from being funny, then, Fielding’s burlesque diction fits violent events into a comic frame and reassures the reader that, notwithstanding the shocking depravity on display in this scene, providence has not ceased to operate.

4.3 Book III, Chapters VII through XIII

Chapter VII

Mr. Abraham Adams sits down to dinner with the Hunter of Men while Joseph Andrews and Fanny Goodwill dine in the kitchen. The Hunter’s has plan is to get both Adams and Joseph drunk so that he can have his way with Fanny. Fielding summarizes the Hunter’s biography. He received his education at home, where his tutor “had Orders never to correct him nor to
compel him to learn more than he liked”; at twenty he embarked on his grand tour of Europe, which he treated less as an educational trip than as an opportunity to acquire French manners, clothes, and servants. As an adult he has been distinguished by “a strange Delight which he took in every thing which is ridiculous, odious, and absurd” in human beings, and he has collected around him an entourage of misfits; visiting him now are “an old Half-pay Officer, a Player, a dull Poet, a Quack-Doctor, a Scraping-Fiddler, and a lame German Dancing-Master.”

The Hunter’s odd guests perpetrate a number of cruel jests against Mr. Adams, until the clergyman scolds the Hunter for violating the laws of hospitality in failing to protect his guest. The Quack-Doctor is the last to take a shot at Adams, and he does so by giving pompous speeches in mock-approbation of everything that Mr. Adams has said in defense of civility and the clerical state. He then describes what he claims was “a favourite Diversion of Socrates,” a ceremony in which Socrates would approach a throne that was flanked by a King and Queen, deliver “a grave Speech, full of Virtue and Goodness, and Morality, and such like,” and seat himself on the throne to enjoy a royal entertainment. The assembled company agrees to duplicate the ceremony, with Mr. Adams playing the role of Socrates. The “throne” turns out to be a tub of water covered by a blanket, and Adams gets soaked. Adams manages to dunk the Hunter of Men several times by way of revenge before finding Joseph and Fanny and exiting the house.

Chapter VIII

The Hunter of Men sends his entourage in pursuit of the three travelers, primarily because of his plans for Fanny, which he has so far failed to enact. The travelers reach an inn, where they meet a Catholic Priest who discourses on the vanity of riches, concluding, “I have Contempt for nothing so much as for Gold.” The Priest then asks Mr. Adams for eighteen pence to pay his reckoning; Adams is happy to oblige, but upon searching his pockets he finds that the Hunter and his friends have stolen Wilson’s gold piece. The Priest, seeing that he will be unable to pay his bill, decides not to stay the night; Adams and his companions, though no more able than the Priest to pay their bill, decide to stay the night anyway.

Chapter IX

The next morning Joseph awakes to hear the servants of the Hunter of Men knocking on the door of the inn and inquiring after “two Men and a young Woman.” Joseph suspects what is going on and denies that anyone answering that description is in the building. The Host, however, answers in the affirmative, prompting the three travelers to throw on their clothes and prepare to flee. In the standoff between the travelers and the servants, Joseph empties the chamber-pot in the face of the Half-pay Captain, and the battle seems to be turning in the travelers’ favor; the Host intervenes, however, and distracts Joseph while one of the servants strikes him unconscious. The servants take advantage of this development to abduct Fanny and tie Joseph and Mr. Adams to the bedposts.

Chapter X

While conveying Fanny back to the Hunter of Men, the Poet and the Player each lavish compliments on each other. The Poet says to the Player, among other things, “Every time I have seen you lately, you have constantly acquired some new Excellence, like a Snowball.” Each derogates his own profession, gallantly taking the blame for the mediocrity of the contemporary theater, prompting the other to object that present company is a rare exception. The cooperative flattery ends when the Player confesses that he cannot recite from memory one of his own
speeches from one of the Poet’s plays. The Player defends himself by noting that the play was such a failure with the audience that its run only lasted one night.

Chapter XI

Joseph despairs over the loss of Fanny, prompting Mr. Adams to lecture him on the reasonable response to grief, which involves patience and submission. In order to demonstrate that he sympathizes with Joseph, Adams enumerates Fanny’s good qualities and sketches a vision of their happy life together, then observes, “You have not only lost her, but have reason to fear the utmost Violence which Lust and Power can inflict upon her.” Joseph must bear in mind, Adams continues, that “no Accident happens to us without the Divine Permission, and that it is the Duty of a Man and a Christian to submit.” Understandably, Joseph protests that Adams has failed to comfort him.

Chapter XII

On the way back to the Hunter’s house, the Captain and Fanny argue about whether the corrupted luxury that awaits her is a superior or inferior fate to her prospective life with Joseph. The Captain then advises Fanny to cooperate with the Hunter, who will treat her better if he does not have to deflower her by force. When a horseman approaches, Fanny begs for assistance but the Captain convinces him that she is not a victim but an adulterous wife. Soon two more horsemen, armed with pistols, approach, and one of them recognizes Fanny. The horsemen stop to confront the servants, and while they are arguing the carriage arrives that the horsemen are escorting. The gentleman in the carriage, who turns out to be Peter Pounce on his way back to the Booby country seat, takes Fanny into the carriage and officiously orders the Captain to be conveyed as a prisoner behind. The carriage continues to the inn, where Fanny has a joyful reunion with Joseph. Peter Pounce greets Mr. Adams, who naïvely holds the hypocrite in high esteem, and thus has occasion to observe the clergyman’s spectacularly disordered appearance: not only is he half-dressed, but he is showing the effects of having been in the line of fire when Joseph threw the chamber-pot.

Upon seeing the Captain a prisoner, the Player and the Poet make their exit, fleeing on the Poet’s horse. Joseph gives the Captain “a most severe drubbing,” after which the servants allow the Captain to go free, thwarting Peter Pounce’s intention of conveying the prisoner imperiously to the local Justice of the Peace. The servants have brought with them the horse that Mr. Adams left behind him at the inn, and Adams insists that Joseph and Fanny ride the horse for the rest of the journey. Joseph, however, insists that Adams ride the horse, and they reach a stalemate that Peter Pounce breaks by inviting Adams into the carriage.

Did u know? Joseph and Fanny find Adams’s horse too refractory, so they switch horses with someone else, whereupon the group departs.

Chapter XIII

Mr. Adams and Peter Pounce observe the landscape, with Adams valuing it for its natural beauty and Pounce calculating its monetary value. They then move on to the subject of charity, which Pounce considers “a mean and Parson-like Quality”; “the Distresses of Mankind,” he claims, “are mostly imaginary.” He claims that he is not as wealthy as people take him to be, that he is barely solvent, because “I have been too liberal of my Money.” He then asks
Mr. Adams what other people have said that he is worth, and Adams replies, “I have heard some aver you are not worth less than twenty thousand Pounds.” Without confirming or denying this estimate, Pounce declares that he does not care what the world thinks of him and his fortune. He boasts that he has acquired all his wealth on his own, inheriting none of it, and remarks that many heirs of estates fail to manage their money properly and might end up in situations as pitiful as that of Mr. Adams, “glad to accept of a pitiful Curacy for what I know.” When Pounce congratulates himself for his generosity in sharing a carriage with “as shabby Fellows as yourself,” Mr. Adams exits the carriage with as much dignity as he can muster, though he forgets his hat, and walks beside Joseph and Fanny for the final mile to Booby Hall.

Task Who promise to visit Adams and Why?

4.3.1 Analysis

The Quack-Doctor turns out to be devilishly insightful when he designs his Socratic prank to appeal to Adams’s moral gravity, his devotion to Greek literature and philosophy, and of course his vanity; as critic Homer Goldberg remarks, “An invitation to present one of his treasured sermons would be welcome in any circumstance; to do so in the role of Socrates before an imaginary royal court . . . is irresistible.” Much as the prank exposes the parson’s familiar foibles, however, it is one part of a long episode, the general effect of which is surely to increase the reader’s protective sympathy for Adams and indignation for his tormentors.

Following the scene of Adams’s “roasting,” however, Joseph continues his return to the spotlight. The abduction of Fanny is the first time the young couple have been menaced since they reunited in Book II, and it is a more serious and frightening attack than was the attempted rape that heralded Fanny’s entrance into the story. In the earlier incident, the danger to Fanny (still unnamed at that point) came to the reader’s attention only as Mr. Adams and his crabstick were about to spring into action; here we learn of the Hunter’s criminal designs long before he enacts them and long before Joseph and Adams have caught on, and we are aware of the great importance of Fanny’s welfare to Joseph’s strand of the plot. The shift toward greater suspense regarding the fate of Fanny is consistent with the general raising of the stakes in regard to the lovers’ plot and with the refocusing of the narrative onto the lovers.

Self Assessment

State the following sentences are True or False:

6. Mr. Wilson sits down to dinner with the Hunter of men while Joseph Andrews and Fanny goodwill dine in the kitchen.


8. The player and the poet make their exit, feeling on the poet horse.

In terms of characterization, though, more remains to be said about Fanny as a magnet for attempted sexual assaults, of which the current episode is the second of three. Unlike Joseph when he is under assault from Lady Booby and Mrs. Slipslop, Fanny never even attempts to extricate herself from these encounters on her own; instead, she awaits the intervention of various male protectors, at least one of whom will always be providentially on hand. The thematic point of these episodes of near-rape would seem to involve the distinction Fielding would like to draw between lust on the one hand and virtuous physical love on the other. Only the violent characters ever try to force Fanny to gratify their desires, and forcible gratification appears to be the only kind of sexual gratification these characters can imagine.
Many readers have considered Fanny a less than satisfactory character; her passivity and attractiveness to sexual predators may appear to serve the plot rather too conveniently. At best, her psychology must be said to be uncomplicated. Fielding seems to have designed her to be a perpetual victim, for she not only outdoes Mr. Adams in naiveté but adds an element of chronic passivity as well. To the former point, she made herself vulnerable to the first assault when she accepted a strange man’s offer to accompany her on a country road at night; it was a rather stunning error that emphasized her compliant nature. She is, as Fielding said in Book II, Chapter XII, “extremely bashful.” Individual readers may decide whether her thoroughgoing docility makes Fanny too simply a damsel in distress or whether, on the contrary, the flatness of her characterization arises realistically from the simplicity that Fielding suggests is an attribute of true goodness.

Peter Pounce, whose welcoming Adams into his coach leads to a comical exchange between innocence and hypocrisy, is more sharply characterized, and he provides a vital contrast to Mr. Adams. Peter has a dilemma: fearing the schemes and envy of others, he feels compelled to downplay his own fortune; simultaneously, however, he is proud of his success as a part-time finance capitalist and likes to hear people marvel at how well he has done for himself. His default pretense, in which he begins the scene, is a show of contentment with his “little” fortune. As the discussion proceeds, however, Adams’s mention of charity triggers Peter’s defensive mode, and he begins to rail against charity and wonder aloud where people imagine he can have gotten all the money they seem to think he has. Adams, characteristically, assumes that Peter is complaining in good faith and, thinking to commiserate with him, confides that he never found the reports of the steward’s wealth credible, given that “your Wealth is your own Acquisition.” The parson has blundered into a sore spot by reminding Peter that his wealth is new rather than inherited, deriving from business rather than from land, and thereby not especially prestigious. It only gets worse from there, as Adams sees Peter frown over the estimate of his fortune at £20,000, construes Peter’s unhappiness as arising from modesty (in fact, Peter is worth well over £20,000), and assures him that he personally never thought he worth half that much. The exasperated hypocrite then casts off his pretense of contented poverty and derides both Mr. Adams and the decadent gentry class, revealing his true nature in the process. Peter’s attitude to money is dehumanizing; it causes him to be savage toward the poor and prompts him to speak in such locutions as “how much I am worth,” as if the value of a man’s life could be measured in monetary units. Mr. Adams, by contrast, shows that he has no clue of the value of money; it is a form of ignorance that he has displayed on many previous occasions but perhaps never so appealingly as here. In the presence of his polar opposite, a hypocritical miser, Adams stands out in his most essential qualities and we are reminded that, for all its drawbacks, his unworldliness remains a positive value and a moral touchstone.

4.4 Summary

- Mr. Adams clarifies that Joseph is not his footman but his parishioner, and the Man puts to Mr. Adams some literary questions designed to verify whether he is a real clergyman or not.
- The Man, who has introduced himself as Mr. Wilson, was born and educated as a gentleman.
- The story of Mr. Wilson’s reformation after a misspent youth occupies the center of the novel for good reason.
- Wilson’s journey, like Joseph’s, takes him from town to country, from the life of folly and vice to the life of chaste love and cheerful industry.
• Joseph defends his actions, but all arguments cease when Fanny approaches and staggers the hunters with her beauty.

• Mr. Adams and Peter Pounce observe the landscape, with Adams valuing it for its natural beauty and Pounce calculating its monetary value.

4.5 Keywords

Disparage : represent as being of little worth.
Hypocrite : a person who indulges in hypocrisy.
Misspent : foolishly or waste fully.
Odious : repulsive.

4.6 Review Questions

1. Write a note about Wilson.
2. What is the goal of Fielding?
3. Write a note about Wilson’s Journey.

Answers: Self Assessment

1. Sixteen
2. Ghost
3. Mr. Adams
4. Joseph
5. The Hunter of men
6. False
7. True
8. True

4.7 Further Readings

Books
Joseph Andrews (Non-Detailed) — Henry Fielding
Henry Fielding: a life — Martin C. Battestin
Henry Fielding — Simon Varey

Online links
http://www.cliffsnotes.com/study_guide/literature/Joseph-Andrews-Summary-and
http://www.readbookonline.net/title/9400/
Unit 5: Joseph Andrews-IV: Detailed Study of the Text

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Objectives

After studying this unit, you will be able to:

• Explain Joseph Andrews-IV, detailed study of the text of Book IV, all chapters
• Discuss analysis of Book IV all chapters.

Introduction

On seeing Joseph arrive back in the parish, a jealous Lady Booby meanders through emotions as diverse as rage, pity, hatred, pride and love. The next morning Joseph and Fanny’s banns are published and the Lady turns her anger onto Parson Adams, who is accommodating Fanny at his house. Finding herself powerless either to stop the marriage or to expel them from the parish, she enlists the help of Lawyer Scout, who brings a spurious charge of larceny against Joseph and Fanny in order to prevent, or at least postpone, the wedding.

Three days later, the Lady’s plans are foiled by the visit of her nephew, Mr Booby, and a surprise guest: Booby has married Pamela, granting Joseph a powerful new ally and brother-in-law. What is more, Booby is an acquaintance of the justice presiding over Joseph and Fanny’s trial, and instead of Bridewell, has them committed to his own custody. Knowing of his sister’s antipathy to the two lovers, Booby offers to reunite Joseph with his sister and take him and Fanny into his own parish and his own family.

In a discourse with Joseph on stoicism and fatalism, Adams instructs his friend to submit to the will of God and control his passions, even in the face of overwhelming tragedy. In the kind of cruel juxtaposition usually reserved for Fielding’s less savoury characters, Adams is informed that his youngest son, Jacky, has drowned. After indulging his grief in a manner contrary to his lecture a few minutes previously, Adams is informed that the report was premature, and that his son had in fact been rescued by the same pedlar that loaned him his last few shillings in Book II.
Lady Booby, in a last-ditch attempt to sabotage the marriage, brings a young beau named Didapper to Adams’ house to seduce Fanny. Fanny is unattracted to his bold attempts of courtship. Didapper is a little too bold in his approach and provokes Joseph into a fight. The Lady and the beau depart in disgust, but the pedlar, having seen the Lady, is compelled to relate a tale. The pedlar had met his wife while in the army, and she died young. While on her death bed, she confessed that she once stole an exquisitely beautiful baby girl from a family named Andrews, and sold her on to Sir Thomas Booby, thus raising the possibility that Fanny may in fact be Joseph’s sister. The company is shocked, but there is general relief that the crime of incest may have been narrowly averted.

The following morning, Joseph and Pamela’s parents arrive, and together with the pedlar and Adams, they piece together the question of Fanny’s parentage. The Andrews identify her as their lost daughter, but have a twist to add to the tale: when Fanny was an infant, she was indeed stolen from her parents, but the thieves left behind a sickly infant Joseph in return, who was raised as their own. It is immediately apparent that Joseph is the above mentioned kidnapped son of Wilson, and when Wilson arrives on his promised visit, he identifies Joseph by a birthmark on his chest. Joseph is now the son of a respected gentleman, Fanny an in-law of the Booby family, and the couple no longer suspected of being siblings. Two days later they are married by Adams in a humble ceremony, and the narrator, after bringing the story to a close, and in a disparaging allusion to Richardson, assures the reader that there will be no sequel.

5.1 Book IV, Chapters I through VIII

Chapter I

Lady Booby returns to Booby Hall, to the relief of the parish poor who depend on her charity. Mr. Abraham Adams receives a more heartfelt welcome, however, and Joseph Andrews and Fanny Goodwill enjoy a similarly kind reception. Adams takes his two companions to his home, where Mrs. Adams provides for them.

Fielding gives a record of the emotional turbulence Lady Booby has endured since the departure of Joseph from London. She eventually resolved to retire to the country, on the theory that this change of scene would help her to conquer her passion for Joseph. On her first Sunday in the country, however, she goes to church and spends more time leering at Joseph than attending to Parson Adams. During the service, Adams announces the wedding banns of Joseph and Fanny, and later in the day Lady Booby summons the clergyman for a chat.

Chapter II

Lady Booby criticizes Mr. Adams for associating with a footman whom Lady Booby dismissed from her service and for “running “about the Country with an idle Fellow and Wench.” She rebukes him for “endeavouring to procure a Match between these two People, which will be to the Ruin of them both.” Mr. Adams defends the couple, but Lady Booby takes offense at his emphasize on Fanny’s beauty and orders Adams to cease publishing their banns. (A couple’s wedding banns must be published three times before a marriage can take place.) When Adams demands a reason for this action, Lady Booby denounces Joseph as a “Vagabond” whom she will not allow to “settle” in her parish and “bring a Nest of Beggars” into it. Adams advises her, however, of what he has learned from Lawyer Scout, “that any Person who serves a Year, gains a Settlement [i.e. legal residence] in the Parish where he serves.” The clergyman indicates that he will marry the hopeful couple, in spite of Lady Booby’s threat to have him dismissed.
from his curacy, and that their “being poor is no Reason against their marrying.” Lady Booby tells him that she will never allow him in her house again, which punishment Mr. Adams accepts with relative calm.

Chapter III

Lady Booby summons Lawyer Scout and demands that he supply the legal justification for her resolution “to have no discarded Servants of mine settled here.” In order to oblige her, Scout makes a hair-splitting distinction between settlement in law and settlement in fact, saying that if they can demonstrate that Joseph is not settled in fact, then Mr. Adams will have no standing to publish Joseph’s wedding banns. If, however, Joseph manages to get married, the situation would change: “When a Man is married, he is settled in Fact; and then he is not removable.” Scout promises to persuade Mr. Adams not to publish the banns, so that Lady Booby will, with the help of the obliging Justice Frolick, be able to remove both Joseph and Fanny from the parish. Fielding then reveals that Scout acts as a lawyer without having the proper qualifications.

Chapter IV

Lady Booby endures further emotional turbulence, and on Tuesday she goes to church and hears Mr. Adams publishing the second of Joseph and Fanny’s wedding banns. Upon returning home she learns from Mrs. Slipslop that Joseph and Fanny have been brought before the Justice. Lady Booby is not entirely pleased with this news, because “though’ she wished Fanny far enough, she did not desire the Removal of Joseph, especially with her.” While Lady Booby is considering how to act, a coach and six drives up containing her nephew, Mr. Booby, and his wife, Pamela. Lady Booby is hearing of Mr. Booby’s marriage for the first time. The new-minted Mrs. Pamela Booby is, of course, the former Pamela Andrews.

Chapter V

Mr. Booby’s servants soon begin to ask after Joseph, who has not corresponded with Pamela since his dismissal from Lady Booby’s. The servants soon apprise Mr. Booby of Joseph’s situation, and Mr. Booby resolves to intervene and liberate Joseph before Pamela finds out what has happened. He arrives on the scene just as Justice Frolick, an acquaintance of his, is about to send Joseph and Fanny to Bridewell Prison. Mr. Booby demands to know what crime they have committed; he reads the deposition and finds that Joseph and Fanny stand accused of having stolen a twig from Lawyer Scout’s property. When Mr. Booby objects, Justice Frolick takes him aside and explains that the Constable will probably let the prisoners escape but that the accusation of theft is the only way that Lady Booby can “prevent their bringing an Incumbrance on her own Parish.” Mr. Booby gives his word that Joseph and Fanny will never encumber the parish, and the Justice delivers the couple into Mr. Booby’s custody, burning the mittimus. While Joseph gets dressed in a suit of Mr. Booby’s clothes, the Justice invites Fanny to settle with Joseph in the Justice’s own parish. Mr. Booby then takes Joseph and Fanny in his own coach, and they drive back to Lady Booby’s; on the way they pick up Mr. Adams when they meet him walking in a field. Mr. Booby reveals that he has married Pamela, and everyone rejoices. Upon their arrival back at Booby Hall, Mr. Booby reintroduces Joseph to Lady Booby, explaining that he expects her to receive Joseph and treat him with respect as a member of the family. Lady Booby complies delightedly, but she refuses to receive Fanny.
Chapter VI

Joseph and Pamela have a tearful reunion, and Joseph recounts all the adventures he had after leaving London. In the evening he reluctantly agrees to stay the night in Booby Hall rather than joining Fanny and Mr. Adams. Lady Booby retires to her room and, with help from Mrs. Slipslop, defames both Pamela and Fanny. They then discuss Joseph and whether Lady Booby degrades herself in being attracted to him. Slipslop defends Joseph passionately against the charge of being “coarse” and avers that she wishes she herself were a great lady so that she could make a gentleman of him and marry him. Lady Booby tells Mrs. Slipslop that she is “a comical Creature” and bids her good-night. In the morning Joseph visits Fanny at the Adams household, and they settle on Monday as their wedding date.

Chapter VII

Fielding explains why it is that women often discover in love “a small Inclination to Deceit”: from childhood, women are taught to fear and avoid the opposite sex, so that when as adults they begin to find him agreeable, they compensate by “counterfeiting the Antipathy,” as Lady Booby has done with respect to Joseph. She “loves him much more than she suspects,” especially now that she has seen him “in the Dress and Character of a Gentleman,” and she has formed a plan to separate him from Fanny. She convinces Mr. Booby to dissuade Joseph from marrying Fanny on the grounds that the alliance would make it impossible for the Boobys to gentrify the Andrews family. Mr. Booby assents to this plan and approaches Joseph, who resists his brother-in-law’s suggestions even when Pamela joins the argument.

Fanny walks in an avenue near Booby Hall and meets a Gentleman with his servants. The Gentleman attempts to force himself on Fanny and, when he fails, continues on to Booby Hall while leaving a Servant behind to persuade Fanny to go home with the Gentleman. This Pimp, failing in his office, makes an attempt on Fanny himself. Fortunately, Joseph intervenes before the Pimp can get very far and eventually beats him off. During the scuffle the Pimp tore at Fanny’s clothing, uncovering her “snowy” bosom, which entrances Joseph once he has time to notice it. He averts his eyes, however, once he perceives her embarrassment, and together they proceed to the Adams household.

Chapter VIII

Just before the arrival of Joseph and Fanny, Mr. and Mrs. Adams conclude an argument about whether Mr. Adams should, for the sake of the family, have avoided offending Lady Booby. In Mrs. Adams’s opinion, the clergyman should oblige the Lady by ceasing to publish the banns; Adams, however, “persists in doing his Duty without regarding the Consequence it might have on his worldly Interest.” Joseph and Fanny enter and sit down to breakfast. Joseph expresses his eagerness to be married, and Adams warns him to keep his intentions in marriage pure and not value Fanny above the divine will: “No Christian ought so to set his Heart on any Person or Thing in this World, but that whenever it shall be required or taken from him in any manner by Divine Providence, he may be able, peaceably, quietly, and contentedly to resign it.” Just as Adams has finished saying this, someone enters and tells him that his
youngest son has drowned. Joseph attempts to comfort Adams by employing many of the clergyman’s own arguments about the conquering of the passions by reason and grace, but Adams is in no mood to listen. Before long, however, the weeping Mr. Adams meets his young son running up to the house, not drowned after all. As it turns out, the child was rescued from the river by the same Pedlar who delivered the travelers from one of the inns where they could not pay their bill. Mr. Adams rejoices to have his son again and greets the Pedlar with genuine gratitude. Once things have calmed down, Adams takes Joseph aside to repeat his advice not to “give too much way to thy Passions, if thou dost expect Happiness,” but after all this Joseph has lost patience and objects that “it was easier to give Advice than to take it.” An argument ensues as to whether Joseph’s love for Fanny is of the same pure and elevating sort as Mr. Adams’s parental love for his son, or whether intense marital love “savours too much of the Flesh.” Mrs. Adams interrupts this conversation, objecting that Mr. Adams does not enact his own disparagement of marital love: not only has he been a loving husband, but “I declare if I had not been convinced you had loved me as well as you could, I can answer for myself I should have hated and despised you.” She concludes, “Don’t hearken to him, Mr. Joseph, be as good a Husband as you are able, and love your Wife with all your Body and Soul too.”

5.1.1 Analysis

The opening chapters of Book IV lay the groundwork for the novel’s final conflict and eventual resolution: the principal “good” characters have returned to the place of their origin, and their primary adversary, Lady Booby, arrives back on the scene as well (along with Slipslop, her subaltern and imitator). Book IV will turn out to be a more unified book than the preceding three, in terms of both the place and the time of the action, as Fielding confines the events to the Boobys’ parish and specifies the passage of a discrete number of days. The overall effect gives a sense of coherent dramatic conflict, rather different from the diffuse picaresque plotting of Books I through III.

A burgeoning cast of secondary characters also lends heft to the building action: the family of Mr. Adams enters the story for the first time, as do the newly married Mr. Booby and Pamela. The Pedlar turns up again, a Lawyer and Justice materialize, and an embodiment of the vacuous fashionable world appears in the person of a would-be Bellarmine (whose name will turn out to be Beau Didapper). These secondary characters, whose ranks will swell in succeeding chapters, do more than fill out the stage; they also increase the tension between Lady Booby and the lovers, as Lady Booby schemes to get all of these originally neutral players on her side: Mr. Booby’s amiability, Pamela’s snobbery, Lawyer Scout’s unscrupulousness, and Mrs. Adams’s fear of poverty all present her with opportunities for driving apart the lovers and neutralizing their advocate, Mr. Adams; she even has plans for the selfish lust of Didapper. The Pedlar, of course, remains an instrument of providence, and he will continue to perform this role in the coming chapters.

The episode in which Mr. Adams again counsels Joseph against passionate attachments and then, hearing of his own son’s supposed drowning, fails to practice what he has preached reveals another dimension of Adams’s fallibility, though whether his weakness makes him more or less sympathetic will be up to the eye of the beholder. This scene has had a precursor in Book III, Chapter XI, when Adams, bound with Joseph to a bedpost, “comforted” his young friend by urging him to give up the “Folly of Grief” and resign himself contentedly to the cosmic plan that is about to subject “the prettiest, kindest, loveliest, sweetest” Fanny to “the utmost Violence which Lust and Power can inflict”; the parson even construed the impending rape of Fanny as an act of divine justice, a punishment of Joseph for the sin of repining. The scene at the bedpost, then, revealed Adams as an inhuman sermonizer, failing to enact the
spontaneous, sympathetic good nature that has generally distinguished him. He has a rationalistic side to his personality; it is the part of him that responds to the literature of classical stoicism with its injunction to transcend all human feelings and attachments.

In the opposition between the sternly sententious clergyman and the warm and disconsolate lover, the former surely forfeits a great deal of the reader’s sympathy. In Book IV, Chapter VIII, however, Fielding revisits this opposition and may qualify it somewhat, depending on one’s interpretation. Here, Adams again admonishes his parishioner to “divest himself of all human Passion”; this time he is concerned that Joseph is too eager to get married, and he warns that if sexual avidity is the motivation then Joseph is sinning, while if anxiety for Fanny’s welfare is the motivation then Joseph ought to be putting his trust in providence. Adams instructs Joseph to prepare himself to accept even the loss of his beloved Fanny “peaceably, quietly, and contentedly,” “at which Words one came hastily in, and acquainted Mr. Adams that his youngest Son was drowned.” Suddenly, the preacher who insisted that anyone who indulges in exorbitant grief is “not worthy the Name of a Christian” begins lamenting his own personal loss. Like the biblical Abraham, Mr. Abraham Adams has to confront the idea that the divine will has demanded the death of his beloved son; in both cases, the apparent necessity of the son’s death is a test of the father’s faith and resignation. Joseph urges the parson to follow his own advice, resign himself, and look forward to a reunion in heaven; Adams, with unconscious irony, refuses this counsel, so it is doubly fortunate that Dick eventually turns out not to have drowned at all. As usual, however, Adams fails to see when his weaknesses have been exposed, and he quickly snaps back to his formal sermonizing mode.

Mr. Adams’s conspicuous failure by the lights of his own code has emboldened Joseph: the young man points out his mentor’s inconsistency and observes that it is “easier to give Advice than to take it.” Adams’s rather petulant response to this challenge of his authority sharpens the issue for the reader, who must decide whether the parson has revealed that all his supposed virtue is in fact just a hypocritical penchant for arrogating a position of moral authority. Despite how neatly this scene seems to fit into Fielding’s dominant theme of the exposure of pretense, however, few readers are likely to take the condemnation of Adams as far as this; Homer Goldberg articulates a sensible position when he observes that “although the incident is similar in structure to Fielding’s unmaskings of hypocrisy, the paradox of Adams’s behavior is not that he is worse than he pretends to be but that he is better than he knows.” Indeed, the passive-resignation brand of Christianity that Adams has recommended in his stoical sermonizing is by no means identical with the active charitable love of neighbor that he elsewhere advocates and consistently enacts; his extraordinary goodness takes its distinctive character not from his erudition or from his reason but rather from his natural and spontaneous affections, of the sort that he keeps censuring in Joseph. The proper attitude toward Mr. Adams is probably the one that Mrs. Adams espouses near the end of the scene when, after expressing at length her affection for the husband who is more generous that he will admit, she undercuts his teaching authority by saying, “Don’t hearken to him, Mr. Joseph.” As Maurice Johnson suggests, Fielding likely means for readers to follow Mrs. Adams in regarding the parson as thoroughly lovable but not always a reliable moral philosopher.

5.2 Book IV, Chapters IX through XVI

Chapter IX

Lady Booby meets the Gentleman who assaulted Fanny Goodwill and immediately conceives plans of using him to get Joseph Andrews away from Fanny. In order to give this Gentleman, Beau Didapper, access to his intended victim, Lady Booby takes her guests to see the Adams
household, promising the amusing spectacle of a large family subsisting on a meager income. Mrs. Adams is embarrassed to receive her upper-class visitors without having tidied up the house for them. The Beau flirts with Fanny, and Lady Booby compliments the young son, Dick Adams, on his appearance. When she asks to hear him read, Mr. Abraham Adams issues the command in Latin, confusing Dick, but eventually they understand each other and Dick consents to read.

Chapter X

Dick reads the story of Leonard, a married man, and Paul, his unmarried friend. Paul pays a lengthy visit to Leonard and his wife and discovers that the couple is prone to have vigorous disputes, often concerning the most trivial matters. Paul always maintains neutrality during these disputes, but one day in private talks he tells each spouse that he or she may be right on the merits of the argument but ought to yield the point anyway, “for can any thing be a greater Object of our Compassion than a Person we love, in the wrong?” This Doctrine of Submission has such good effects on the couple that they begin separately to appeal to Paul for advice during every disagreement. One day, however, they have an argument in his absence and begin to compare notes regarding the counsel he has given each of them; soon they discover numberless “Instances, in all which Paul had, on Vows of Secrecy, given his Opinion on both sides.” The couple is now united in their anger toward the two-faced Paul, who returns to find both husband and wife suddenly cold toward him. Paul figures out quickly what has happened, and he and Leonard have a confrontation, the conclusion of which is preempted by an event that interrupts Dick’s reading of the story.

Chapter XI

Beau Didapper makes a move on Fanny, prompting Joseph to box him on the ear. A melee ensues, which Mr. Booby finally breaks up. In the aftermath, Lady Booby, Mr. Booby, and Pamela Andrews Booby all suggest that Fanny’s virtue was hardly worth defending and that Joseph’s marriage to her would shame the family. Joseph leaves with Fanny, “swearing he would own no Relation to any one who was an Enemy to her he loved more than all the World.” After all the visitors have left, Mrs. Adams and their eldest daughter scold the clergyman for advocating for the young couple. Suddenly Joseph and Fanny return with the Pedlar to invite the Adamses to dine at a nearby alehouse.

Chapter XII

The Pedlar has been researching the Booby family and has discovered that Sir Thomas bought Fanny from a traveling woman when Fanny was three or four. After the dinner at the alehouse, he offers to reveal to Fanny who her parents are. He tells a story of having been a drummer with an Irish regiment and coming upon a woman who thereafter lived with him as his mistress. Eventually she died of a fever, but on her deathbed she confessed having stolen and sold a child during a time when she was traveling with a band of gypsies. The buyer was Sir Thomas, and the original parents were a couple named Andrews who lived about thirty miles from the Squire. Everyone reacts strongly to this information; Mr. Adams falls on his knees and gives thanks “that this Discovery had been made before the dreadful Sin of Incest was committed.”
Notes

Chapter XIII

Lady Booby retires to her room early, throws herself on her bed, and endures “Agonies of Love, Rage, and Despair.” Mrs. Slipslop arrives and commiserates her, informing her of Beau Didapper’s plan to abduct Fanny. Lady Booby dismisses Slipslop with an order to report back when the abduction of Fanny has been executed. Alone, Lady Booby goes back to talking to herself about her degrading passion for Joseph and the absurdity of his preference for Fanny. Soon, however, Slipslop returns with the news that Joseph and Fanny have been revealed to be siblings. Lady Booby rushes off to tell Pamela, who disbelieves the report because she has never heard that her parents had any children other than herself and Joseph. Lady Booby summons Joseph, Fanny, and the Pedlar to the Hall, where the Pedlar repeats his tale.

Did u know? Mr. Booby persuades everyone to withhold judgment on the story until the next day, when Mr. and Mrs. Andrews will arrive to meet their daughter and son-in-law.

Chapter XIV

Late at night, Beau Didapper goes off in search of the sleeping Fanny and accidentally jumps into bed with Slipslop, who takes the Beau to be Joseph. Once the participants discover their mistakes, Slipslop decides to pretend that Didapper has scandalized her by making this attempt, hoping thereby to “restore her Lady’s Opinion of her impregnable Chastity.” Her cry of “Murther! Murther! Rape! Robbery! Ruin!” brings the barely clad Adams to the rescue, but in the dark he takes the soft-skinned Didapper to be the woman and the bearded Slipslop to be the man, so he attacks Slipslop and allows Didapper to make his escape. He scuffles with Slipslop, and when Lady Booby arrives to find them together in bed and in states of undress, she naturally misinterprets the situation. She soon spots Didapper’s laced shirt and diamond buttons, however, and together they sort out what has happened. Lady Booby laughs and departs, and Mr. Adams soon follows suit, but instead of returning to his own bed, he accidentally enters Fanny’s room. Fanny is sleeping so deeply that she does not wake up, so she and the clergymen share the bed innocently until morning. Joseph enters the chamber at dawn, whereupon the two bedfellows awake and are surprised to see each other. Joseph is briefly angry at the clergyman, but Adams explains the events of the night before, and Joseph concludes that Adams simply “turned right instead of left.” He then leads Mr. Adams back to his room.

Self Assessment

Fill in the blanks:

1. Fielding gives a record of the emotional turbulence Lady Booby has endured since the departure of Joseph from ...........
2. The arrival of Joseph and Fanny, Mr. and Mrs. ........... conclude an argument about whether.
3. Wilson identifies Joseph by a ........... on his chest.
4. Lady Booby meets the gentleman who assaulted ...........
Chapter XV

Joseph returns to Fanny’s room after she has dressed, and they vow that in case they should turn out really to be siblings, they will both remain perpetually celibate. Mr. and Mrs. Andrews arrive after breakfast, and when Mr. Booby broaches the topic of the stolen child, Mr. Andrews denies that he and his wife ever lost a child in that manner. Lady Booby calls the Pedlar to repeat his story, however, and it prompts Mrs. Andrews to claim Fanny as her child. Mrs. Andrews then explains to her husband that she bore him a daughter when he was a soldier away in Gibraltar and that the gypsies stole the child and replaced it with a sickly boy, whom she soon named Joseph. The Pedlar asks Mrs. Andrews whether the boy had a distinctive mark on his chest; she answers in the affirmative, and Joseph unbuttons his coat to show the evidence. At the mention of the birthmark Mr. Adams begins to remember his conversation with Wilson, but the Pedlar makes the crucial connection, assuring Joseph “that his Parents were Persons of much greater Circumstances than those he had hitherto mistaken for such.” It so happens that Wilson has just arrived at the gates of Booby Hall for his promised visit to the parish. A servant apprises him of the connection that has just been discovered, and Wilson hastens to the room to embrace Joseph as his long-lost son. Joseph, after things have been explained to him, falls at the feet of his new father and begs his blessing.

Task Who identify Joseph by a birthmark on his Chest?

Chapter XVI

Mr. Booby invites everyone to accompany him and Pamela to their country home, since Lady Booby is now too bitter over the loss of Joseph to entertain any company. They all comply, and during the ride Joseph arranges with Wilson that he and Fanny will marry after Mrs. Wilson is with them. Everyone arrives safely, and Saturday night brings Mrs. Wilson. Soon the happy day arrives, and Fielding describes the wardrobe and wedding arrangements in some detail. The events of the wedding night he leaves to the reader’s imagination, though he makes clear in general terms that it is a rousing success.

Soon the Wilsons return home with the newlyweds in tow. Mr. Booby awards Fanny a fortune of £2,000, with which Joseph purchases a small estate near his father’s; Fanny manages the dairy and is soon on her way to producing their first child. Mr. Booby also awards Mr. Adams a living of £130 per year and makes the Pedlar an excise-man. Lady Booby soon returns to London, where card games and a young soldier allow her to forget Joseph.

5.2.1 Analysis

Fielding’s great theme of appearance versus reality dominates the last chapters of the novel, obtruding itself in a couple of spectacular plot developments. The climactic sequence in which both Joseph and Fanny turn out to have been involved in separate but linked gypsy-changeling incidents is of course the most consequential deployment of the theme in the entire novel; by far the funniest, however, is the episode in which a number of the overnight guests at Booby Hall find themselves in the wrong beds. In addition to being good screwball comedy, the nocturnal confusion sequence epitomizes the entire story and culminates the novel’s pervasive sexual comedy. As Hamilton Macallister remarks, “Each character re-enacts the role he plays in the novel. It is Didapper’s fate not to
get his woman, Mrs. Slipslop’s to lust unsatisfied. . . . It is the fate of Lady Booby to come too late and misunderstand, Adams to rush to the help of a woman in distress and cause worse confusion, Fanny to see her virtue in apparent extreme danger. The humor is not mere slapstick, as it is sometimes elsewhere in the novel; always it is true to character.” One may add that it is Adams’s fate to endure humiliations: as with his fall into Trulliber’s sty and his run-ins with hog’s blood and a chamber pot, the parson here endures severe humiliations but, as ever, he successfully washes off the sordidness of the ordeal. Detected in the beds of two women who are not his wife, Adams earns the condemnation of Mrs. Slipslop (of all people), who hypocritically calls him “the wickedest of all Men,” and the laughter of Lady Booby; he even endures the suspicions of Joseph and Fanny, whose virtue he has cultivated and defended but who in the harsh light of morning wonder whether he has not finally joined the long line of Fanny’s would-be debauchers. Through it all Parson Adams remains, in the words of Homer Goldberg, “transcendentally comic,” though as Goldberg further observes, the scene of Joseph momentarily sitting in judgment of his mentor and then “mellowing into indulgent superiority” continues the process of the younger man’s asserting himself against Adams and supplanting him as protagonist.

Beau Didapper, whose mistaking of Slipslop’s chamber for Fanny’s initiates the hi-jinx, plays an interesting role in dramatizing the theme of pretense. In his repulsive effeminacy he exemplifies the vanity of fashionable society, its essential hollowness and enervation: like Bellarmine but with less success, he attempts to lure a woman with the enticements of wealth and social elevation. In his physical person he is dandyish and diminutive, so little threatening that when he attempts to force himself on Fanny she manages, for once, to fight off her attacker on her own. Her resistance forces him to assign the work of her seduction to a servant — an abject admission of weakness, not at all the same thing as the Hunter of Men’s sending his servants to bring Fanny where he himself plans to assault her. Only Didapper’s extreme conceit allows him to believe that he could successfully impersonate Joseph and seduce Fanny; to the reader, who appreciates the gulf between Joseph’s masculinity and Didapper’s effeminacy, the notion is risible. For all the Beau’s ludicrousness and corruption, however, he is consummately acceptable to polite society. Simon Varey points out the euphemistic delicacy with which Didapper leaves his servant to “make [Fanny] any offers whatever”; whatever else he is, Didapper is Lady Booby’s “polite Friend,” an emissary from fashionable or “polite” society.

The comedy of appearance and reality reaches its climax with the revelations of the respective origins of Joseph and Fanny; not only do the two lovers turn out to be other than they were thought to be, but in plot terms the main structure is a reversal of perceptions and expectations. To the former point, it is interesting to re-read the novel in the knowledge of Joseph’s real parentage: such details as the precise wording of Fielding’s introduction of the hero (“Joseph Andrews . . . was esteemed to be the only son of Gaffar and Gammer Andrews”) show the novelist keeping up the fiction but being careful to say nothing he will have to contradict later. For readers who have some familiarity with romance conventions, of course, Fielding may effectively have given the game away when Wilson mentions (with Joseph conveniently asleep) the kidnapping of his eldest son and the son’s convenient identifying birthmark. Other markers have been present all along; as in fairy tales, a fair complexion is an index of gentility, and Betty the chamber-maid once argued for Joseph’s high birth on the basis of his white skin. If Joseph is a gentleman in disguise, then, he has certainly been hiding in plain sight.

With respect to the final movement of the plot, the revelation of Fanny’s having been born to Mr. and Mrs. Andrews initially makes it seem that, in addition to battling Lady Booby, the
lovers have lost the support of providence and their friends; as Goldberg points out, “even Adams rejoices at the prevention of their marriage.” Their predicament, which seems to be growing more dire, is in truth progressively ameliorating, as the discovery of Fanny’s parentage leads to the discovery of Joseph’s parentage, and both these discoveries ultimately contribute to the happiness and prosperity of the lovers. This drastic reversal, which owes much to the plots of such classical dramatists as Mr. Adams’s beloved Aeschylus, enhances the impact of the lovers’ eventual bliss by making it seem fortuitous despite the fact that most readers will have been confident of the happy outcome from the first news of Joseph’s marital aspirations.

5.3 Summary

- Fielding gives a record of the emotional turbulence Lady Booby has endured since the departure of Joseph from London.
- Mr. Adams defends the couple, but Lady Booby takes offense at his emphasize on Fanny’s beauty and orders Adams to cease publishing their banns.
- Joseph and Pamela have a tearful reunion, and Joseph recounts all the adventures he had after leaving London.
- Dick reads the story of Leonard, a married man, and Paul, his unmarried friend.
- Joseph returns to Fanny’s room after she has dressed, and they vow that in case they should turn out really to be siblings, they will both remain perpetually celibate.
- Mr. Booby invites everyone to accompany him and Pamela to their country home, since Lady Booby is now too bitter over the loss of Joseph to entertain any company.

5.4 Keywords

Espouse: adopt or support (a way of life).
Ordeal: a prolonged painful or horrific experience.
Stoicism: stoical behaviour.
Savoury: salty or spicy.

5.5 Review Questions

1. Why Joseph arrive back in the parish?
2. Why Lady Booby endures further emotional turbulence?
3. Write about the episode in which Mr. Adams again counsels Joseph against passionate.

Answers: Self Assessment

1. London 2. Adams
5.6 Further Readings

Books
- Joseph Andrews (Non-Detailed) — Henry Fielding
- Henry Fielding: a life — Martin C. Battestin
- Henry Fielding — Simon Varey

Online links
- http://www.cliffsnotes.com/study_guide/literature/Joseph-Andrews-Summary-and
- http://www.readbookonline.net/title/9400/
Unit 6: Joseph Andrews: Picaresque Novel, Its Application and Characterization

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Objectives

After studying this unit, you will be able to:

• Know about picaresque novel
• Know about the character in this novel.

Introduction

“Joseph Andrews”, can’t be called a regular picaresque novel for Fielding employs elements of this tradition in an exposition of his own theory of the ridiculous. He was writing a “comic epic-poem in pose”. He adapts the picaresque tradition to his own theory of the novel, which shows the influence of various other literary forms besides the picaresque.

However, the picaresque motif helps Fielding to fulfill his aim of ridiculing the affectations of human beings. The different strata of society can be represented through the picaresque mode. The travelers meet squires, innkeepers, landladies, persons, philosophers, lawyers and surgeons, beggars, pedlars and robbers and rogues. Particular social evils prevalent in the day, and follies and foibles of human nature in general are effectively exposed. Fielding’s satire is pungent as he presents the worldly and crafty priests and the callous, vicious and inhuman country squires. Malice, selfishness, vanities, hypocrisies, lack of charity, all are ridiculed as human follies.

The picaresque tradition belongs to Spain and derived from the word “picaro”, meaning a rogue or a villain. The picaresque originally involved the misadventure of the rogue-hero, mainly on the highway. Soon, however, the rogue was replaced by a conventional hero – gallant and chivalric. The comic element lay in the nature of the hero’s adventures, through which, generally, society was satirized.

The Picaresque novel is the loosest in plot – the hero is literally let loose on the high road for his adventures. The writer got the opportunity to introduce a large variety of characters and events. The hero wanders from place to place encountering thieves an drogues, rescuing damsels in distress, fighting duels, falling in love, being thrown in prison, and meeting a vast
section of society. The opportunity of representing a large section of society gave the author the power of exploring the follies of the widest possible range of humanity. As the hero meets a gamut of characters from the country squire to the haughty aristocrat, from hypocrite to ill-tempered soldiers, the writer is able to introduce with the least possible incongruity, the saint and the sinner, the virtuous and the vicious. The writer has a chance to present the life, culture and morality prevalent in his time, and to satirize the evils.

Fielding acknowledged his debt to Cervantes, whose Don Quixote is the best known picaresque novel in Spanish. Like the Don and Sancho Panza, Parson Adams and Joseph set out on a journey which involves them in a series of adventures, some of them burlesque, at several country inns or rural houses. Like the Don, Parson Adams is a dreamy idealist. But there are differences, too, between Joseph Andrews and the picaresque tradition, vital enough to consider Fielding’s novel as belonging to the genre of its own.

Did you know? The central journey in Joseph Andrews is not mainly a quest for adventure as it is in the picaresque tradition. It is a sober return journey homewards. Joseph and Lady Booby are taken to London and the reader is given a glimpse of society’s ways in the great city.

The Toll-ouse Inn provides a grim picture of callous human beings – the vain and ignorant surgeon and the drinking parson. Once again kindness and generosity come from an apparently immoral girl, Betty the chambermaid. With the arrival of Parson Adams, the picaresque journey takes on a more humorous tone, with plenty of farce. The encounter with the “Patriot” who would like to see all cowards banged but who turns tail at the first sight of danger, leads to the meeting with Fanny. She is rescued by Adams in proper picaresque-romance style with hero. Several odd characters are met on the way – such as the hunting squire – the squire who makes generous but false promises. Then comes the abduction of Fanny – and the reintroduction of something more serious.

6.1 Joseph Andrews: Picaresque Novel and its Application

What is a picaresque novel? The term picaresque has been derived from the Spanish word pícaro which means a rogue or a villain. Originally, a type of romance that dealt with rogues or villains was called picaresque. A picaresque novel presented, in an extravagant style, a series of adventures, and misadventures, mostly on the highways. The earliest examples of the picaresque novel are Lizarite de Tormes and Guzman de Alfarachi. Fielding was considerably influenced by Gil Blass and Don Quixote. In fact there are marked resemblance between Joseph Andrews and Don Quixote. Parson Adam is clearly a quixotic figure.

The Picaresque element in the novel is introduced in Book 1 chapter 10 with Joseph setting out on his journey in the moonlight. From here onwards to the end of Book 111, it follows the picaresque tradition closely. Joseph soon gets robbed and is thrown into a ditch, stripped and half dead. The stage – coach episode provides Fielding with an opportunity to expose their hypocrisy and callousness of the respected people.

Notes Joseph and Parson Adams run into the picaresque journey that began with satirical exposure of the society assumes a partially humorous tone.
In a part of the book 1 and the middle two Books where the picaresque motif is followed, Fielding brings his major characters in contact with different strata of society- country squires, divines and philosophers, lawyers and surgeons, landladies, beggars and highway men and exposes the contemporary social evils as well as human follies and foibles of a more general nature.

Finally, the rambling or destructive narrative of Joseph Andrews also enforces the picaresque motif of the novel. Fielding employs here a very lose plot, for his purpose is the depiction of the society and the plot is not given much importance.

Fielding is considered to be the pioneer of the realistic novel in England. Defoe’s novels, apparently grounded in reality, are romantic in spirit. In fact, Fielding based all his novels on actual experience. In “Joseph Andrews”, Fielding presents a very realistic picture of the life of the English countryside in the first half of the 18th century. The first thing that strikes us about the society is its extra-ordinary callousness, even downright cruelty. Most of the members are selfish, insensible and hard-hearted. The stage-coach episode where Joseph, half killed and stripped naked by robbers, is reluctantly rescued by the passengers in the stage coach, but receives no sympathy or comfort from any except the poor position, full brings out this callous temper. The surgeon refuses to leave his bed to attend Joseph just because he has no means of paying HIS FEE. Mrs. Tow-Wouse forbids her husband to lend him a shirt. Parson Trulliber can’t afford even 14 shillings to assist Parson Adams; on the contrary, he accuses him of being a vagabond. Lawyer Scout is of the opinion that there are far too many poor and those we would ought to have an Act to hang or transport half of them.”

The novel also depicts the wide gulf that seems to separate the high people from the low people. The distinction between these two groups is quite rigid. Lady Booby refers to her country neighbors as brutes. She grossly insults Adams on his insistence on publishing the banns of marriage.

These high class people show utter disregard of the rights and interests of the poor people. For example, Lady Booby is not concerned whether or not servants get their wages in time.

### 6.2 Joseph Andrews: Characterization

**Joseph Andrews**

Joseph Andrews is a handsome and virtuous young footman whom Lady Booby attempts to corrupt. He is a protege of Mr. Adams and the devoted but chaste lover of Fanny Goodwill. His adventures in journeying from the Booby household in London back to the countryside, where he plans to marry Fanny, provide the main plot of the novel.

**Mr. Abraham Adams**

Mr. Abraham Adams is a benevolent, absent-minded, impecunious, and somewhat vain curate in Lady Booby’s country parish. He notices and cultivates Joseph’s intelligence and moral earnestness from early on, and he supports Joseph’s determination to marry Fanny. His journey back to the countryside coincides with Joseph’s for much of the way, and the vibrancy of his simple good nature makes him a rival of Joseph for the title of protagonist.
Fanny Goodwill
The beautiful but reserved beloved of Joseph, a milkmaid, believed to be an orphan. She endures many unsuccessful sexual assaults.

Sir Thomas Booby
Sir Thomas Booby is the recently deceased master of Joseph and patron of Mr. Adams. Other characters’ reminiscences portray him as decent but not heroically virtuous; he once promised Mr. Adams a clerical living in return for Adams’s help in electing Sir Thomas to parliament, but he then allowed his wife to talk him out of it.

Lady Booby
Sir Thomas’s widow, whose grieving process involves playing cards and propositioning servants. She is powerfully attracted to Joseph, her footman, but finds this attraction degrading and is humiliated by his rejections. She exemplifies the traditional flaws of the upper class, namely snobbery, egotism, and lack of restraint, and she is prone to drastic mood swings.

Mrs. Slipslop
Mrs. Slipslop is a hideous and sexually voracious upper servant in the Booby household. Like her mistress, she lusts after Joseph.

Peter Pounce
Lady Booby’s miserly steward, who lends money to other servants at steep interest and gives himself airs as a member of the upwardly striving new capitalist class.

Mr. Booby
Mr. Booby is the nephew of Sir Thomas. Fielding has adapted this character from the “Mr. B.” of Samuel Richardson’s Pamela; like Richardson’s character, Mr. Booby is a rather snobbish squire who marries his servant girl, Pamela Andrews.

Pamela Andrews
Joseph’s virtuous and beautiful sister, from whom he derives inspiration for his resistance to Lady Booby’s sexual advances. Pamela, too, is a servant in the household of a predatory Booby, though she eventually marries her lascivious master. Fielding has adapted this character from the heroine of Samuel Richardson’s Pamela.

Mr. Andrews
Mr. Andrews is the father of Pamela and, ostensibly, Joseph.

Mrs. Andrews
Mrs. Andrews is the mother of Pamela and, ostensibly, Joseph.
Two Ruffians
Highwaymen who beat, rob, and strip Joseph on the first night of his journey.

Postillion
Postillion is the man, who lends Joseph his greatcoat when Joseph is naked following the attack by the Ruffians.

Mr. Tow-wouse
Mr. Tow-wouse is the master of the inn where Joseph boards after being attacked by the Ruffians. He intends to lend Joseph one of his own shirts, but his stingy wife prevents him. Later he is discovered in bed with Betty the chambermaid.

Mrs. Tow-wouse
Mrs. Tow-wouse is the frugal, nagging wife of Mr. Tow-wouse.

Betty
Betty is a chambermaid in the inn of Mr. and Mrs. Tow-wouse. Her initial care of Joseph bespeaks her basic good nature, but she is also lustful, and her association with him ends badly.

Mr. Barnabas
Mr. Barnabas clergyman who never passes up a drink and halfheartedly attends Joseph during his recovery from the attack by the Ruffians.

Surgeon
Belatedly addresses the injuries Joseph sustained during his attack by the Ruffians.

Bookseller
Bookseller is a friend of Mr. Barnabas declines to represent Mr. Adams, author of several volumes of sermons, in the London book trade.

Tom Suck bribe
Tom Suck bribe is the Constable who fails to guard an imprisoned Ruffian and may have some financial incentive for failing in this office.

Leonora
Leonora is the reclusive inhabitant of a grand house along the stage-coach route, a shallow woman who once jilted the hard-working Horatio for the frivolous Bellarmine and then was jilted in turn.
Notes

Horatio

Horatio is an industrious lawyer who intended to marry Leonora but lost her to the wealthy and flamboyant Bellarmine.

Bellarmine

Bellarmine is a Frenchified cavalier who values Leonora’s beauty enough to steal her away from Horatio but who finally rejects her when her father refuses to supply a dowry.

Leonora’s Father

Leonora’s Father is a miserly old gentleman who refuses to bestow any money on his daughter during his life and thereby causes her to lose Bellarmine as a suitor.

Leonora’s Aunt

Leonora’s chaperone during the period of her courtship by Horatio and then Bellarmine; encourages Leonora to pursue her financial self-interest in choosing a mate.

Mrs. Grave-airs

Mrs. Grave-airs snobbish stage-coach passenger who objects to traveling with the footman Joseph but turns out to be the daughter of a man who was once a lower servant.

Sportsman

Encounters Mr. Adams while out shooting one night; extolls bravery when conversing with Adams but flees the scene when the cries of a distressed woman are heard.

The Justice

The Justice is a local magistrate who does not take his responsibilities very seriously. He handles the case of Mr. Adams and Fanny when Fanny’s attacker accuses them of having beaten and robbed him.

Mr. Wilson

Mr. Wilson is a gentleman who, after a turbulent youth, has retired to the country with his wife and children and lives a life of virtue and simplicity. His eldest son, who turns out to have been Joseph, was stolen by gypsies as a child.

Mrs. Wilson

Mrs. Wilson is the wife of Wilson. She once redeemed him from debtor’s prison, having been the object of his undeclared love for some time.

Pedlar

Pedlar is an apparent instrument of providence, who pays one of Mr. Adams’s many inn bills, rescues Mr. Adams’s drowning son, and figures out the respective parentages of both Joseph and Fanny.
Mrs. Adams

Mrs. Adams is the wife of Mr. Adams and mother of his six children, prone to nagging but also appreciative of her husband’s loving nature.

Parson Trulliber

Parson Trulliber is an entrepreneurial and greedy clergyman more dedicated to hog farming than to the care of souls, who refuses to lend Mr. Adams money for his inn bill.

Mrs. Trulliber

Mrs. Trulliber downtrodden wife of Parson Trulliber.

Hunter of Men

Hunter of Men is an eccentric and rather sadistic country gentleman who sets his hunting dogs on Mr. Adams, allows his friends to play cruel jokes on him, and attempts to abduct Fanny.

Captain

Captain is one of the Squire’s friends, abducts Fanny on the Squire’s orders but is himself taken prisoner by servants of Lady Booby.

Player

Player is one of the Squire’s friends, a failed actor who pursues Fanny on the Squire’s orders but flees when the Captain is taken prisoner.

Poet

Poet is one of the Squire’s friends, a failed playwright who pursues Fanny on the Squire’s orders but flees when the Captain is taken prisoner.

Quack-Doctor

Quack-Doctor is one of the Squire’s friends; comes up with a Socratic practical joke that exploits Mr. Adams’s pedantry.

Priest

Priest is a man, who discourses on the vanity of riches before asking Mr. Adams for money to pay his inn bill.

Lawyer Scout

Lawyer Scout tells Mr. Adams that Joseph has worked long enough to gain a settlement in Lady Booby’s parish, but then becomes a willing accomplice in Lady Booby’s attempt to expel Joseph and Fanny.
Justice Frolick
Justice Frolick is the local magistrate who cooperates with Lady Booby’s attempt to expel Joseph and Fanny from her parish.

Beau Didapper
Beau Didapper is a guest of Lady Booby’s, lusts after Fanny and makes several unsuccessful attempts on her.

Pimp
Pimp is a servant of Beau Didapper’s, attempts to persuade Fanny to accept his master’s advances and then makes a few attempts on his own behalf.

Dick Adams
Dick Adams is a son of Mr. and Mrs. Adams, nearly drowns in a river but is rescued by the Pedlar. He then reads the story of Leonard and Paul to his parents’ guests.

Leonard
Leonard is a married man who argues frequently with his wife while entertaining his friend Paul in their home. Like his wife, he eventually accepts Paul’s advice always to yield in disputes, even and especially when he knows himself to be right.

Leonard’s Wife
Leonard’s wife is the wife of Leonard, with whom she argues frequently while they are entertaining his friend Paul in their home. Like her husband, she eventually accepts Paul’s advice always to yield in disputes, even and especially when she knows herself to be right.

Paul
Paul is Leonard’s friend separately advises both Leonard and Leonard’s wife to adhere to the “Doctrine of Submission.”

Self Assessment
Fill in the blanks:
1. Fielding is considered to be the pioneer of the realistic novel in ......... .
2. ......... adapts the picaresque tradition to his own theory of the novel.
3. ......... is a handsome and virtuous young footman.
4. Bookseller is a friend of ......... .
5. ......... is an industrious lawyer.

6.3 Summary
- The Tow-house Inn provides a grim picture of callous human beings – the vain and ignorant surgeon and the drinking parson.
• A picaresque novel presented, in an extravagant style, a series of adventures, and misadventures, mostly on the highways.
• Fielding is considered to be the pioneer of the realistic novel in England.
• The novel also depicts the wide gulf that seems to separate the high people from the low people.

6.4 Keywords

Aristocrat : a member of the aristocracy.
Grossly : unattractively large or bloated.
Reluctantly : unwilling and hesitant.
Vagabond : a rough or rascal.

6.5 Review Questions

1. What is a picaresque novel? Explain.
2. Write about the character of Joseph Andrews.
3. Who is Leonora and Horatio?

Answers: Self Assessment

1. England 2. Fielding
5. Horatio

6.6 Further Readings

Books
Joseph Andrews (Non-Detailed) — Henry Fielding
Henry Fielding: a life — Martin C. Battestin
Henry Fielding — Simon Varey

Online links
http://faculty.ksu.edu.sa/drmsabha/The%20Rise%20of%20the%20Novel/week9.pdf
Unit 7: Joseph Andrews: Character Analysis, Comic Epic in Prose and Plot Construction

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Objectives
After studying this unit, you will be able to:

• Discuss the character analysis of Joseph Andrews
• Know about plot construction and historical background
• Explain comic epic in prose.

Introduction
Whatever pleasure may be derived from the reading of Joseph Andrews, the Plot of the novel, in the sense of an ordered sequence of causally related incidents, is not one of its main sources. In the most extensive and most significant part of the narrative, the central section, it is too tenuous and produces too little expectation to act as an effective organizing factor, the development of the action as a whole has a definite air of arbitrariness about it, and the story fails to acquire a balanced shape in spite of its tendency to formal symmetry. In comparison with the much-celebrated organization of Tom Jones, Fielding’s first novel is less contrived but also much more inconsistent, and the character of the plot contributes to this effect considerably. The unsatisfactory arrangement of the story is a symptom of its inferior position in the structure of the novel.

The thematic pattern of the book is brought out by the way in which the characters are presented assisted by the inflection of the narrator’s voice and his occasional comments. The characters do not develop, but our knowledge of them increases as the protagonists and some of the minor figures acquire new dimensions in the process of accretion, refinement, or change. Most of the action aims at supplying us with such knowledge, and as the characters operate
primarily on a moral plane, it is so designed as to reflect credit or discredit upon them or upon their professed views. The limited imaginative appeal of such a rendering, together with a strong rhetorical element, considerably reduces the function of the chain of cause and effect and gives the story an essentially non-dramatic character.

The role of the plot is also weakened by a tendency to reveal theme through juxtapositions of differing attitudes. In order to make these juxtapositions especially prominent and conducive to the reader’s involvement in the problem, events leading to the encounter of the bearers of these attitudes as well as events resulting from their confrontation are usually rendered in a very low key or reduced to a bare minimum. As a thorough investigation of a problem requires many such encounters to take place, the story has to supply secondary characters in profusion and let them disappear as soon as they are no longer needed.

7.1 Character Analysis of Joseph Andrews

Joseph, the hero, is described in a style that sounds more like the introduction to a play than a novel. His entire history (as far as the author claims to credibly know it) is provided, beginning with lineage. This herald back to the classic works that Fielding sought to emulate. He is described as arising from a dunghill’ (very ironic considering the high regard in which he is held), just as the Athenians sprang from the earth.

Indeed, he seems almost to be one of their demigods: the lyrical description depicts a beautiful, tender, virtuous youth. He is both humble and hard working, and appears as almost an encapsulation of the author’s ideal Christian.

Mrs. Slipslop sharply contrasts this beautiful image. The diction in her section is courser and more prosaic. She is old, ugly, scheming, the antithesis of all that Joseph represents. She is also a somewhat humorous character: she is ridiculous and amusing. She thinks that because she has been a maiden (which is her qualification for considering herself virtuous) for so long that she can commit any sin she pleases now. Contrastigly, Joseph’s dearest possession is his virtue, and he upholds it throughout many temptations.

By giving his character Biblical names, Fielding has instantly created associations between his characters and their Biblical counterparts. These names can reveal characteristics and background without being explicitly explained in the text. It connects the work to something familiar and traditional that is part of our collective consciousness. Without even realizing it, we link the characters to their namesakes.

Task Explain about the character of Joseph.

Joseph’s character is aligned with the Old Testament Joseph most famous for his coat of many colours. Yet the differences between the two are as important as the similarities in this case. Both Josephs are separated from their homes and families and work as servants, where both distinguish themselves through their outstanding character. Yet the Biblical Joseph is sold into slavery by his jealous brothers, whereas the novel’s Joseph has only a sister. She is famous for her virtue, and he repeatedly thanks her for her excellent example. Yet his name foreshadows an unfortunate event in Andrew’s life: the wife of his master (in the novel’s version she is recently widowed) takes a fancy to him and tries to seduce him. When he refuses her, she strips him of his livery (although Fielding later contradicts himself on this point by repeatedly mentioning his livery) and turns him out (in the Bible, he is imprisoned on fake charges of trying to rape her). Both are reduced to the humblest circumstances (Andrews is robbed and
beaten), yet their virtue and righteousness provide them with the strength to continue to a better situation than previously enjoyed.

Parson Abraham Adams is an extremely good, albeit nave, man. He is described as without vice, always seeking out the best in people and treating them well. Yet his extreme goodness is also his flaw he cannot account for the failings and dishonesties that mankind is prone too, and so sets himself up to be deceived and disappointed. The Abraham of the Bible presents one of the most powerful and memorable prophets of that sacred book. He received extensive revelations and is regarded as the father of the covenant people. He is remembered for his humility and faith.

In his elderly years, he and his wife still had not had a child, and they greatly desired one. After much pleading with the Lord, they were blessed with Isaac. Yet Abraham was commanded to sacrifice his beloved son on an alter. With incredible faith and submissiveness, Abraham prepared to comply with God’s command. This compared directly with God the Father’s loss of his beloved son, Jesus Christ. What a powerful namesake to give someone. Yet both could be seen as foolish in their extremity. Both have an excess of blind faith and humble trust.

Fielding is more prone to use general types than particular characters. He uses the traditional stereotypes to tell his tale: the seductive mistress, the rude housemaid who thinks herself higher than her position, the virtuous siblings, the bumbling parson, and so forth. His story feels almost allegorical or parable-like, and these pre-packaged characters lend themselves well to this style. Everyone knows characters similar to these. These generic figures make it easier for him to apply a lesson to all of the readers.

7.2 Plot Construction

Joseph, the virtuous and true footman, is forced to leave the service of his mistress, Lady Booby, when he is no longer able to ward off her amorous advances. He starts out to reunite with his sweetheart, Fanny. Misfortunes on his journey continually waylay him and his kindly traveling companion, Parson Adams. They encounter kindness and villainy, generosity, and selfishness on their journey. Joseph and the Parson maintain their innocence and culpability throughout their trials and tribulations. All ends well when Fanny and Joseph are reunited, and the secret of their parentage is revealed.

7.2.1 Setting and Historical Background

The novel takes place in the early eighteenth century, and it mirrors the lifestyle, caste system, hypocrisy, and corruption of the times. England was becoming a commercial power, and wealth was shifting from the landowners to the merchants. The defeat of the Jacobites (the Catholics who wished to restore the Stuart dynasty to the throne of England) at Culloden Moor in 1745 brought to a close any serious threat to the Hanoverian line of British royalty. The rural populace was being drawn to the cities with the lure of higher wages, but the living conditions of the poor were still deplorable. The social caste system was rigid, but beginning to give under the pressure of the new, moneyed middle class.

7.3 Summary of Joseph Andrews

Joseph Andrews, a handsome young footman in the household of Sir Thomas Booby, has attracted the erotic interest of his master’s wife, Lady Booby. He has also been noticed by the parson of the parish, Mr. Abraham Adams, who wishes to cultivate Joseph’s moral and intellectual potential. Before he can start Joseph on a course of Latin instruction, however, the Boobys depart the country for London, taking Joseph with them.
In London, Joseph falls in with a fast crowd of urban footmen, but despite his rakish peers and the insinuations of the libidinous Lady Booby he remains uncorrupted. After a year or so Sir Thomas dies, leaving his widow free to make attempts on the footman’s virtue. Joseph fails to respond to her amorous hints, however, because he is too naive to understand them; in a letter to his sister Pamela, he indicates his belief that no woman of Lady Booby’s social stature could possibly be attracted to a mere servant. Soon Joseph endures and rebuffs another, less subtle attempt at seduction by Lady Booby’s waiting-gentlewoman, the middle-aged and hideous Mrs. Slipslop.

Lady Booby sends for Joseph and tries again to beguile him, to no avail. His virtue infuriates her, so she sends him away again, resolved to terminate his employment. She then suffers agonies of indecision over whether to retain Joseph or not, but eventually Joseph receives his wages and his walking papers from the miserly steward, Peter Pounce. The former footman is actually relieved to have been dismissed, because he now believes his mistress to be both lascivious and psychologically unhinged.

Joseph sets out for the Boobys’ country parish, where he will reunite with his childhood sweetheart and now fiancée, the illiterate milkmaid Fanny Goodwill. On his first night out, he runs into Two Ruffians who beat, strip, and rob him and leave him in a ditch to die. Soon a stage-coach approaches, full of hypocritical and self-interested passengers who only admit Joseph into the coach when a lawyer among them argues that they may be liable for Joseph’s death if they make no effort to help him and he dies.

The coach takes Joseph and the other passengers to an inn, where the chambermaid, Betty, cares for him and a Surgeon pronounces his injuries likely mortal.

Joseph defies the Surgeon’s prognosis the next day, receiving a visit from Mr. Barnabas the clergyman and some wretched hospitality from Mrs. Tow-wouse, the wife of the innkeeper. Soon another clergyman arrives at the inn and turns out to be Mr. Adams, who is on his way to London to attempt to publish several volumes of his sermons. Joseph is thrilled to see him, and Adams treats his penniless protégé to several meals. Adams is not flush with cash himself, however, and he soon finds himself trying unsuccessfully to get a loan from Mr. Tow-wouse with a volume of his sermons as security. Soon Mr. Barnabas, hearing that Adams is a clergyman, introduces him to a Bookseller who might agree to represent him in the London publishing trade. The Bookseller is not interested in marketing sermons, however, and soon the fruitless discussion is interrupted by an uproar elsewhere in the inn, as Betty the chambermaid, having been rejected by Joseph, has just been discovered in bed with Mr. Tow-wouse.

Mr. Adams ends up getting a loan from a servant from a passing coach, and he and Joseph are about to part ways when he discovers that he has left his sermons at home and thus has no reason to go to London. Adams and Joseph decide to take turns riding Adams’s horse on their journey home, and after a rocky start they are well on their way, with Adams riding in a stage-coach and Joseph riding the horse. In the coach Mr. Adams listens avidly to a gossipy tale about a jilted woman named Leonora; at the next inn he and Joseph get into a brawl with an insulting innkeeper and his wife. When they depart the inn, with Joseph in the coach and Adams theoretically on horseback, the absent-minded Adams unfortunately forgets about the horse and ends up going on foot.

On his solitary walk, Adams encounters a Sportsman who is out shooting partridge and who boasts of the great value he places on bravery. When the sound of a woman’s cries reaches them, however, the Sportsman flees with his gun, leaving Adams to rescue the woman from her assailant. The athletic Adams administers a drubbing so thorough that he fears he has killed the attacker. When a group of young men comes by, however, the assailant suddenly recovers and accuses Adams and the woman of robbing and beating him. The young men lay hold of Adams and the woman and drag them to the Justice of the Peace, hoping to get a reward for turning them in. On the way Mr. Adams and the woman discover that they know
each other: she is Joseph’s beloved, Fanny Goodwill, who set out to find Joseph when she heard of his unfortunate encounter with the Ruffians.

The Justice of the Peace is negligent and is about to commit Adams and Fanny to prison without giving their case much thought when suddenly a bystander recognizes Adams and vouches for him as a clergyman and a gentleman. The Justice readily reverses himself and dismisses the charges against Adams and Fanny, though the assailant has already slipped away and will not be held accountable. Soon Adams and Fanny depart for the next inn, where they expect to meet Joseph.

Joseph and Fanny have a joyous reunion at the inn, and Joseph wishes to get married then and there; both Mr. Adams and Fanny, however, prefer a more patient approach. In the morning the companions discover that they have another inn bill that they cannot pay, so Adams goes off in search of the wealthy parson of the parish. Parson Trulliber, who spends most of his time tending his hogs rather than tending souls, reacts badly to Adams’s request for charity. Adams returns to the inn with nothing to show for his efforts, but fortunately a generous Pedlar hears of the travelers’ predicament and loans Adams the money he needs.

After a couple more miles on the road, the travelers encounter a gregarious Squire who offers them generous hospitality and the use of his coach but then retracts these offers at the last minute. Adams discusses this strange behavior with the innkeeper, who tells him about the Squire’s long history of making false promises.

Walking on after nightfall, the companions encounter a group of spectral lights that Mr. Adams takes to be ghosts but that turn out later to be the lanterns of sheep-stealers. The companions flee the scene and find accommodations at the home of a family named Wilson. After the women have retired for the evening, Mr. Adams and Joseph sit up to hear Mr. Wilson tell his life story, which is approximately the story of a “rake’s progress” redeemed by the love of a good woman. Wilson also mentions that since moving from London to the country, he and his wife have lost their eldest son to gypsy abduction.

The travelers, who are quite won over by the Wilson family and their simple country life, depart in the morning. As they walk along, Mr. Adams and Joseph discuss Wilson’s biography and debate the origins of human virtue and vice. Eventually they stop to take a meal, and while they are resting, a pack of hunting dogs comes upon them, annihilates a defenseless hare, and then attacks the sleeping Mr. Adams. Joseph and his cudgel come to the parson’s defense, laying waste to the pack of hounds. The owner of the hounds, a sadistic Squire whom Fielding labels a “Hunter of Men,” is at first inclined to be angry about the damage to his dogs, but as soon as he sees the lovely Fanny he changes his plans and invites the companions to his house for dinner.

The Hunter of Men and his retinue of grotesques taunt Mr. Adams throughout dinner, prompting the parson to fetch Joseph and Fanny from the kitchen and leave the house. The Hunter sends his servants after them with orders to abduct Fanny, whom he has been planning all along to debauch. The servants find the companions at an inn the next morning, and after another epic battle they succeed in tying Adams and Joseph to a bedpost and making off with Fanny. Luckily for Fanny, however, a group of Lady Booby’s servants come along, recognize the milkmaid, and rescue her from her captors. They then proceed to the inn where Adams and Joseph are tied up, and Joseph gets to take out his frustrations on Fanny’s primary captor before they all set off again. Mr. Adams rides in a coach with the obnoxious Peter Pounce, who so insults the parson that he eventually gets out of the coach and walks beside Joseph and Fanny’s horse for the last mile of the journey.

The companions finally arrive home in Lady Booby’s parish, and Lady Booby herself arrives shortly thereafter. At church on Sunday she hears Mr. Adams announce the wedding banns of Joseph and Fanny, and later in the day she summons the parson for a browbeating. She claims to oppose the marriage of the young lovers on the grounds that they will raise a family of beggars in the parish. When Adams refuses to cooperate with Lady Booby’s efforts to keep the lovers apart, Lady Booby summons a lawyer named Scout, who trumps up a legal pretext for preventing the marriage. Two days later Joseph and Fanny are brought before the Justice of the Peace, who is perfectly willing to acquiesce in Lady Booby’s plans.
The arrival of Lady Booby’s nephew, Mr. Booby, and his new wife, who happens to be Joseph’s sister Pamela, thwarts the legal proceedings. Mr. Booby, not wanting anything to upset his young wife, intervenes in the case and springs her brother and Fanny. He then takes Joseph back to Booby Hall, while Fanny proceeds to the Adams home. The next day Lady Booby convinces Mr. Booby to join in her effort to dissuade Joseph from marrying Fanny. Meanwhile, Fanny takes a walk near Booby Hall and endures an assault by a diminutive gentleman named Beau Didapper; when the Beau fails to have his way with Fanny, he delegates the office to a servant and walks off. Fortunately, Joseph intervenes before the servant can get very far.

Joseph and Fanny arrive at the Adams home, where Mr. Adams counsels Joseph to be moderate and rational in his attachment to his future wife. Just as Adams finishes his recommendation of stoical detachment, someone arrives to tell him that his youngest son, Dick, has just drowned in the river. Mr. Adams, not so detached, weeps copiously for his son, who fortunately comes running up to the house before long, having been rescued from the river by the same Pedlar who earlier redeemed the travelers from one of their inns. Adams rejoices and once again thanks the Pedlar, then resumes counseling Joseph to avoid passionate attachments.

Did u know? Joseph attempts to point out to Adams his own inconsistency, but to no avail.

Meanwhile, Lady Booby is plotting to use Beau Didapper to come between Joseph and Fanny. She takes him, along with Mr. Booby and Pamela, to the Adams household, where the Beau attempts to fondle Fanny and incurs the wrath of Joseph. When the assembled Boobys suggest to Joseph that he is wasting his time on the milkmaid, Joseph departs with his betrothed, vowing to have nothing more to do with any relations who will not accept Fanny.

Joseph, Fanny, the Pedlar, and the Adamses all dine together at an alehouse that night. There, the Pedlar reveals that he has discovered that Fanny is in fact the long-lost daughter of Mr. and Mrs. Andrews, which would make her the sister of Joseph and thereby not eligible to be his wife. Back at Booby Hall, Lady Booby rejoices to learn that Joseph and Fanny have been discovered to be siblings. Everyone then gathers at the Hall, where Mr. Booby advises everyone to remain calm and withhold judgment until the next day, when Mr. and Mrs. Andrews will arrive and presumably will clear things up.

Late that night, hi-jinx ensue as Beau Didapper seeks Fanny’s bed but ends up in Mrs. Slipslop’s. Slipslop screams for help, bringing Mr. Adams, who mistakenly attacks Slipslop while the Beau gets away. Lady Booby then arrives to find Adams and Slipslop in bed together, but the confusion dissipates before long and Adams makes his way back toward his room. Unfortunately, a wrong turn brings him to Fanny’s room, where he sleeps until morning, when Joseph discovers the parson and the milkmaid in bed together. After being briefly angry, Joseph concludes that Adams simply made a wrong turn in the night.

Once Adams has left them alone, the apparent siblings vow that if they turn out really to be sibling, they will both remain perpetually celibate. Later that morning Mr. and Mrs. Andrews arrive, and soon it emerges that Fanny is indeed their daughter, stolen from her cradle; what also emerges, however, is that Joseph is not really their son but the changeling baby they received in place of Fanny. The Pedlar suddenly thinks of the Wilson family, who long ago lost a child with a distinctive birth-mark on his chest, and it so happens that Joseph bears just such a distinctive birth-mark. Mr. Wilson himself is luckily coming through the gate of Booby Hall at that very moment, so the reunion between father and son takes place on the spot.

Everyone except Lady Booby then proceeds to Mr. Booby’s country estate, and on the ride over Joseph and Fanny make their wedding arrangements. After the wedding, the newlyweds settle near the Wilsons. Mr. Booby dispenses a small fortune to Fanny, a valuable clerical living to Mr. Adams, and a job as excise-man to the Pedlar. Lady Booby returns to a life of flirtation in London.
Fiction

7.4 Joseph Andrews: Comic Epic in Prose

It is true that we can term “Joseph Andrews” as a ‘comic epic poem in prose’ because it has almost all the prerequisites that are important for labeling it as a ‘comic epic poem in prose’.

Fielding himself termed it as a ‘comic epic poem in prose’ in the “Preface to Joseph Andrews”. Fielding claimed that he was founding a new genre of writing but this was not entirely accurate. There was a long tradition of such writing before him, though it was not completely developed or established. According to Aristotle, Homer had produced a ‘comic epic in verse’ but again according to Aristotle verse is not the only criterion for poetry. Fielding has only combined the ideal of ‘comic epic’ and the ‘prose epic’ to produce what he termed as ‘comic epic poem in prose’.

An epic is a story of “a conspicuous man who falls from prosperity to adversity because of his some error of judgment i.e. Hamartia. His death is, however, not essential. But his fall arises a sense of pity and fear in us”. It also has heroic style and bombastic language. And a comic epic is just reverse to it in most of its prerequisites except a few.

A heroic epic has a conspicuous hero, grand theme, and a continuous action, a journey to underworld, wars, digressions, discovery, high seriousness, a high moral lesson and bombastic diction in it and in “Joseph Andrews” there is an ordinary hero, a journey from one place to another place, mock-wars, digressions, discovery, humour, a high moral and a bombastic diction in it. So, it can be termed as a ‘comic epic poem in prose’. We can also call “Joseph Andrews” as “The Odyssey on the road” because both the works, Homer’s “Odyssey” and Fielding’s “Joseph Andrews” in the first place involve a journey. Like Odysseus, Joseph Andrews after the displeasure of a lady, who is superior from him in position and power, sets out on his way home and meets with many misfortunes on the way by the lady who has fallen in love with him. So it would be fairly justified to call “Joseph Andrews” an “Odyssey on the road”. Hence it is a ‘comic epic poem in prose’ as well.

Unlike a heroic epic, the hero of “Joseph Andrews” is an ordinary boy. He is a foot-man of Lady Booby who has fallen in love with him. But Joseph is very innocent and virtuous. Therefore, he leaves the service of the Lady and goes to meet his beloved Fanny. On the way he has to face many hardships.

Task Write a note on comic epic poem in prose.

Though the action of the novel is not as great as the action of an epic yet it is enough to term the novel a comic epic. Joseph sets out from London to Somersetshire to see Fanny. On the way, Joseph crosses many roads, highways, country sides, stays at many inns and meets many people; all this constitute a big action.

Through the journey of Joseph, Fielding satirizes the society of the day and ridicules them. The corrupt and hypocritical clergy, Parson Trilluber and Parson Barnabas, individual like Lady Booby and Mrs. Slipslop, the Squire of Fools and the Squire of False Promises have been satirized.

The element of wars is very important in an epic and it is no exception in “Joseph Andrews”. We see a war took place in an inn where Joseph was insulted by the host. Parson Adams was annoyed and challenged the host. There started the first war between both the parties. Soon Mrs. Slipslop and landlady also joined in the battle. There are many other epical elements in the novel to call it a comic epic.

Another epic convention is the use of digression. There are two major digressions in “Joseph Andrews”. There are, seemingly, irrelevant stories of Leonara and Mr. Wilson. Epic writers considered them as embellishments. Fielding, however, makes the interpolations thematically relevant. For, these are not irrelevant in reality.
The formula of discovery, as described by Aristotle, an essential element of an epic, has also been used by Fielding. In the end of the novel, we see that Joseph is recognized to be Mr. Wilson’s child and Fanny as the sister of virtuous Pamela.

High seriousness is an important element in epic. But in “Joseph Andrews” there is a great deal of comedy and humour, because it is a comic epic novel. But behind this comedy, there lies a serious purpose of reformation. We have a gamut of vain and hypocritical characters in Parson Trilluber, Parson Barnabas, passengers in the stage-coach, Mr. Tow-wouse, Mrs. Slipslop, Peter Pounce and the various Squires. The surgeon and the lawyer and the magistrate are also some other example of hypocrisy and vanity. Each of these characters provides a great deal of humour and amusement under a serious purpose.

Every epic has a moral lesson in it and this is no exception with a comic epic. Fielding’s views on morality are practical, full of common sense and tolerance, liberal, flexible and more realistic. These are devoid of prudish and rigid codes. Fielding wanted to tear the veil of vanity and hypocrisy.

**Self Assessment**

State the following sentences are True or False:

1. Abraham Adams character is aligned with the old testament Joseph most famous for his coat of many colours.
2. Sir Thomas Booby is the virtuous and true footman.
3. The novel takes place in the early eighteenth century, and it mirrors the lifestyle, caste system, hypocrisy and corruption of the times.
4. Joseph and Fanny are brought before the Justice of the peace, who is perfectly willing to acquiesce in Lady Booby’s plans.
5. It is true that we can term Abraham Adams as a comic epic poem in prose.

The use of grand, bombastic and elevated language is an important element in an epic. It has heroic diction. But in “Joseph Andrews” we see that Fielding has used prose for poetry because it brings us close to the real and actual life and it is much more suitable for Fielding’s purpose of dealing with human nature. However, his use of prose is very good, up to the mark and apt for his novel.

So, we can conclude that the theory of the ‘comic epic poem in prose’ as described by Fielding in the preface of “Joseph Andrews” manifests itself in the novel. Fielding has assimilate the rules and adapted them to his way of writing so well that we are not consciously aware of the formal principles which give unity to his materials.

**Did you know?** According to Thornbury, “Joseph Andrews” by Fielding is:

“An art which conceals art, but is the art of a conscious artist”.

It is true that in “Joseph Andrews”, the scale is not as large as one can except in an epic, though it has all other elements of a ‘comic epic poem in prose’, as claimed by Fielding.

**7.5 Summary**

- Joseph, the hero, is described in a style that sounds more like the introduction to a play than a novel.
Notes

• Joseph’s character is aligned with the Old Testament Joseph most famous for his coat of many colours.

• The novel takes place in the early eighteenth century, and it mirrors the lifestyle, caste system, hypocrisy, and corruption of the times.

• The Hunter of Men and his retinue of grotesques taunt Mr. Adams throughout dinner, prompting the parson to fetch Joseph and Fanny from the kitchen and leave the house.

• Joseph and Fanny arrive at the Adams home, where Mr. Adams counsels Joseph to be moderate and rational in his attachment to his future wife.

7.6 Keywords

*Odyssey*: a long and eventful journey.

*Grotesque*: a style of decorative painting or sculpture consisting of the interweaving of human and animal forms with flowers.

*Captor*: a person who imprisons or confines another.

*Sibling*: more children or offspring having one or both parents in common.

7.7 Review Questions

1. Examine the features of Plot construction of the text.
2. Describe the concept of comic prose in Joseph Andrews.
3. What do you mean by the term comic epic?

Answers: Self Assessment

1. False 2. False
3. True 4. True
5. False

7.8 Further Readings

*Books*

Joseph Andrews (Non-Detailed) — Henry Fielding

Henry Fielding: a life — Martin C. Battestin

Henry Fielding — Simon Varey

*Online links*

http://forum.r-khleeg.com/t2344.html

http://www.cliffsnotes.com/study_guide/literature/Joseph-Andrews-Character
Unit 8: Jane Austen—Emma

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Objectives

After studying this unit, you will be able to:

- Know about Emma Introduction to the Author and to the Text
- Discuss Emma detailed study of the text.

Introduction

Emma, by Jane Austen, is a novel about the perils of misconstrued romance. The novel was first published in December 1815. As in her other novels, Austen explores the concerns and difficulties of genteel women living in Georgian-Regency England; she also creates a lively 'comedy of manners' among her characters.

Before she began the novel, Austen wrote, “I am going to take a heroine whom no one but myself will much like.” In the very first sentence she introduces the title character as “Emma Woodhouse, handsome, clever, and rich.” Emma, however, is also rather spoiled; she greatly overestimates her own matchmaking abilities; and she is blind to the dangers of meddling in other people’s lives and is often mistaken about the meanings of others’ actions.

Jane Austen began to write Emma in January of 1814 and finished it a little over a year later, in March of 1815. At the time of completion, Austen was thirty-nine years old. Emma was published at the end of 1815, with 2,000 copies being printed—563, more than a quarter, were still unsold after four years. She earned less than forty pounds from the book during her lifetime, though it earned more after her death. Austen died a year and a half after publication.

Emma was Austen’s fourth published novel, and the last to appear before her death. Both Persuasion and Northanger Abbey would be published posthumously. Though she published anonymously, her previous works were noticed by critics and literature lovers. One of her admirers was H.R.H. the Prince Regent. Through the prince’s librarian, Austen was invited to dedicate one of her works to the prince, she complied with the royal command in the dedication of Emma—though her reluctance to do so is apparent in the wording of the dedication.
8.1 Jane Austen–Emma: Introduction to the Author and to the Text

8.1.1 Introduction to the Author

Jane Austen was born on December 16, 1775, at Steventon rectory in Hampshire, England. Her father, Reverend George Austen (1731-1805) was the rector at Steventon and had married Cassandra Leigh Austen (1739-1827), a daughter of a patrician family, in 1764. Austen was the youngest daughter of the large, closely-knit family, with six brothers and one sister. Austen was particularly close to her sister, Cassandra, and her brother, Henry, who became her sister’s literary agent.

When Austen was eight years old, she had Cassandra were sent to Oxford and then Southampton to be educated. After an outbreak of typhus at the school, during which Jane nearly died, both girls returned home to continue their education. From 1785 to 1786, Austen and her sister attended the Reading Ladies Boarding School, where they studied French, spelling, needlework, music, and dancing. Forced to return home for economic reasons, Austen continued to develop her literary mind under the guidance of her father, who maintained a large library and indulged his daughters with materials for writing and drawing.

Beginning in her teen years, Austen wrote poems, stories, and comic pieces for the amusement of her family. She compiled several of the pieces written between 1787 and 1793 into three bound notebooks, which are now referred to as Austen’s “Juvenalia.” Austen was also exposed to drama and comedy; the younger children in the family often staged theatrical productions at home. As she continued her experiments in writing, Austen became adept at parodying the sentimental and Gothic style of eighteenth-century novels. Among her early works, one finds a novel with a deliberately misspelled title, “Love and Friendship,” a satirical “History of England,” and the epistolary work, “Lady Susan.” During this time, Austen also began to sketch out ideas for the novel that would later become “Sense and Sensibility.”

In 1795, Austen met Tom Lefroy, the nephew of their neighbors at Steventon. According to her letters to Cassandra, Austen spent a great deal of time with Tom Lefroy and may have had romantic feelings for him. Unfortunately, a marriage between the two was impractical, and Lefroy’s family soon sent him away. After her brief romance with Lefroy, Austen began work on a second novel called “First Impressions,” which would later become “Pride and Prejudice.” Austen then began a serious revision of her the initial sketches for “Sense and Sensibility,” as well as working on a satire on the Gothic literary genre called “Northanger Abbey.”

The Austen family resided at Steventon until 1801, when Reverend Austen announced his retirement from the ministry and moved the family to Bath. Austen’s mixed feelings about moving from her childhood home was clear by her sudden lack of productivity as a writer: during her time at Bath, she only made minimal revisions to the draft of “Northanger Abbey” and started (and abandoned) a fourth novel. While in Bath, Austen also received her only marriage proposal: from Harris Bigg-Wither, the younger brother of family friends and an Oxford graduate six years her junior. Although he was apparently unremarkable both physically and intellectually, his considerable fortune made him an attractive bachelor. Austen accepted initially, but changed her mind the following day and rescinded her promise. For Austen, turning down the marriage proposal was a significant decision, since marriage would have freed her from the embarrassing situation of being dependent on her family. The marriage would also have provided a home for Cassandra and could even have helped her brothers in their efforts to secure better careers.
After her father’s death in 1805, Austen and her mother and sister were left in an unstable financial position and had to move in with her brother Francis. In 1809, they moved to a cottage at Chawton, where her wealthy brother Edward had an estate. Life in Chawton was much quieter than it had been in Bath, which gave Austen the opportunity to write more often. While living at Chawton, Austen also saw the anonymous publication of four of her novels: “Sense and Sensibility” in 1811, “Pride and Prejudice” in 1813, Mansfield Park in 1814, and “Emma” in 1815.

Did u know? In July 1816, Austen completed the first draft of her next novel, titled “The Elliots,” which would later be published as “Persuasion.”

In early 1816, Austen suffered an onset of illness that culminated in her death the following year. Most biographers believe that she suffered from Addison’s disease. Despite her illness, Austen continued to work on her writing, revising the ending to “The Elliots” and starting work on “Sandition.” She died on July 8, 1817, leaving “Sandition” unfinished, and was buried at the city’s famous cathedral. The two novels “Northanger Abbey” and “Persuasion” were published posthumously as a set in 1817.

Austen’s novel, focusing on courtship and marriage, remain well-known for their satiric depictions of English society and the manners of the era. Her insights into the lives of women during the late eighteenth century and the early nineteenth century Regency period – in addition to her ability to handle form, satire, and irony – have made her one of the most studied and influential novelists of her time. In 1833, publisher Richard Bentley published the first collected edition of Austen’s novels; since then, her works have been continually in print.

As with many great authors, however, Austen’s death preceded her renown. Although her novels were fashionable with prominent members of British society, including Princess Charlotte, the daughter of the Prince Regent, they were largely ignored by critics. In the twentieth century, Austen’s novels began to attract attention from literary scholars who approached the texts as serious academic studies. There have been more than 200 literary adaptations of Austen’s works in the twentieth century, as well as numerous film versions.

8.1.2 Introduction to the Text

“Emma” was first published by John Murray in December of 1815. It was the last of Austen’s novels to be published before her death, and, like her earlier works, was published anonymously. Shortly before the publication of “Emma,” Austen was invited to meet with the Prince Regent’s librarian, who encouraged her to dedicate her next novel to the Prince Regent a great admirer of her work. Although Austen was not particularly fond of the Prince, she chose to follow the librarian’s suggestion and later satirized her meeting with him in “Plan of a Novel, according to hints from various quarters.”

There were two thousand copies of “Emma” printed in the first edition, but more than a quarter remained unsold after four years. The novel was generally well-received by the public. Unfortunately, Austen earned very little from its publication: most of the profits were used for the ill-timed printing of a second edition of “Mansfield Park” a few months later, and she ultimately only earned 40 pounds from the novel in her lifetime.

“Emma” was a departure for Austen because, unlike her other novels, the work focuses on a wealthy and beautiful heroine with no financial concerns or need to marry. The quest for financial security and an appropriate husband is central to her other works and adds a serious element to their narrative structures. “Emma” has a generally lighter tone because it lacks this
dramatic conflict. The heroine of the novel is also unique because of her seeming immunity to romantic sensibility. It is only at the end of the work that Emma succumbs to love; before that point, she shows minimal romantic interest in any of the male characters.

Because Austen’s works were published anonymously, they received little critical attention during her time period. Although her books sold well and were favored by prominent figures in British society (such as the Prince Regent and his daughter), Austen received only a few short reviews. After her death, her works continued to be steady sellers but were not widely popular among readers in the 19th century. In general, audiences preferred the dramatic style of George Eliot and Charles Dickens over Austen’s mild forays into British society.

Task When did Emma published and by whom?

However, Austen’s work was still highly praised by prominent literary scholars. Authors Sir Walter Scott and Henry James and philosopher George Henry Lewes lauded Austen’s narrative style; Henry James, in particular, compared her writing to that of Shakespeare, Cervantes, and Henry Fielding. After James Edward Austen-Leigh published his biography of his “dear aunt Jane” in 1869, Austen was introduced to the wider public, who clamored for new editions of her works. Austen-Leigh’s biography also spurred a rift between the literary elite, who called themselves “Janeites,” and the larger public, who was presumed not to properly understand her works.

In the 20th century, Austen’s works began to receive major scholarly attention, specifically with the publication of A.C. Bradley’s essay on Austen in 1911. The 20th century also saw a surge of adaptations of Austen’s works, including films, prequels, sequels, and revised novels (such as Seth Grahame-Smith’s “Pride and Prejudice and Zombies”).

Notes “Emma,” in particular, has been adapted for film multiple times, including the 1995 film “Clueless” with Alicia Silverstone, and revised as comic horror novel “Emma and the Werewolves” by Adam Rann.

8.2 Emma: Detailed Study of Text

Chapters 1-7

Chapter One: The first chapter introduces the novel’s title character and protagonist, Emma Woodhouse, a twenty-one-year-old heiress and the youngest of two daughters. Emma’s mother died long ago, leaving Emma to be brought up by Miss Taylor, a governess who “fell little short of a mother in affection.” However, at the novel’s beginning Miss Taylor has just married Mr. Weston, leaving Emma contemplative and lonely. After the wedding, Emma is alone playing backgammon with her father, a hypochondriac who tends to overindulge his daughter. They are joined by Mr. George Knightley, a wealthy neighbor whose brother had married Emma’s elder sister. They discuss Miss Weston’s marriage and confirm that Emma will miss her friend. Only Mr. Woodhouse pities Miss Taylor, absurdly thinking that she must be unhappy to be married and thus separated from the Woodhouse household. Emma tries to take credit for the marriage, claiming that she matched Miss Taylor and Mr. Weston.

Analysis: Within the first few paragraphs of the book, Austen gives the reader a clear sense of Emma’s character. While she is “handsome, clever and rich,” she is also spoiled and self-
centered, less concerned with Miss Taylor’s new happiness than her own loss of a companion. Austen also identifies the main problem of the book and the arc of Emma’s development: Emma must learn to be a better person with greater respect for others. Mr. Woodhouse is presented as partially to blame for Emma’s self-absorbed nature: his constant complaints and focus on what he perceives to be his numerous burdens has given him a narrow view of the world that Emma has come to share.

Here we find a view of Emma’s world. It is one of leisure, in which she spends time drawing, visiting with friends, or playing games, but more importantly, Emma’s world is static and orderly. There is little change in her life, and what changes occur, in this case the marriage of Miss Taylor, greatly disturb her. When Emma desires change (as when she suggests that Mr. Elton should be married), it is to set things in greater order.

Emma’s viewpoint predominates the novel, and Austen gives her perspective on nearly every event, but it is not the only perspective. The novel is told from the third person, which gives Austen the ability to critique Emma’s own behavior. The character Mr. Knightley serves this same purpose, acting as the voice of sound judgment in the novel and pointing out where Emma is faulty in thought or action. This chapter clearly juxtaposes Mr. Knightley with Mr. Woodhouse, with Mr. Knightley representing a sensible view of the world in contrast to Mr. Woodhouse’s unduly occupation with his own feeling and comforts.

**Chapter Two:** This chapter begins with the background of Mr. Weston, who was first married to a Miss Churchill during his youth. Miss Churchill was of a higher social status and lived a life beyond what the couple could afford, a fact that contributed to their unhappy marriage. She died only a few years after their marriage but left a child to be raised by Mr. Weston. Lacking the financial stability to care for a child, Mr. Weston sent the boy to be raised by his late wife’s relative. The child, now grown and having adopted the name of those who raised him (Frank Churchill), keeps in contact with Mr. Weston and is considered a curiosity to those in Highbury.

**Analysis:** An important consideration in Emma and, Jane Austen’s novels in general, is social status, particularly when it concerns marriage. Part of the reason that Mr. Weston’s first marriage failed is that he married a woman who was accustomed to a different life style. Although the marriage benefited Mr. Weston socially, he suffered from his wife’s inability to lower herself to his level. The story also details some peculiar aspects of marriage and courtship during the time period: in this case, Miss Churchill’s parents took offense to her choice of partner and promptly cut her off without any inheritance. This severe decision foreshadows some of the problems that Frank Churchill will encounter from his family when he decides to marry, especially if he chooses a woman who is not deemed to be his equal.

Another recurring theme in the novel is the relationship between profession and social status. Mr. Weston is below only the Woodhouses and Mr. Knightley in terms of social rank in Highbury, but this was not always the case. Mr. Weston had to climb the social hierarchy, moving from the military up to trade and then finally establishing himself as the owner of an estate. Other than the nobility, the highest members of British society were people who had owned property and did not have an actual profession. Working, whether as a clergyman or governess or merchant, denotes a lower social rank.

**Chapter Three:** This chapter introduces a number of minor characters, including the impoverished Mrs. Bates and her daughter, Miss Bates; Mr. Elton, a local clergyman; Mrs. Goddard, the mistress of a boarding school; and most importantly Harriet Smith, a young girl whom Emma takes under her wing. Emma takes it upon herself to improve Harriet, starting with an adjustment of her choice of acquaintances, specifically the Martin family.
Analysis: The best and worst of Emma Woodhouse is revealed in her attempts to improve Harriet Smith. She has good intentions toward Harriet and genuinely wishes to help the young lady by introducing her into society and finding her a suitor, but Emma is also meddlesome and condescending. She assumes that she is the most appropriate person to “improve” her friend and has no qualms in persuading Harriet to go against her personal feelings. Emma immediately assumes that the Martins are inappropriate friends for Harriet, solely based on their social status and common upbringing. Mr. Knightley, however, thinks very highly of the family, despite their profession.

The chapter also clarifies the social hierarchy of Highbury society. The Woodhouses, the Westons and Mr. Knightley are at the top, since they own the largest estates. Below them in status is Mr. Elton, who is important in Highbury not because of wealth but because of his position as the vicar. Mrs. Bates, as the widow of the former vicar, also retains some status, though she has little money. At the lowest rung of society are single women such as Harriet Smith and Miss Bates. Miss Bates takes part in social functions because of her mother, but Harriet is only allowed among the better persons of Highbury because of her connection to Emma. Parentage is crucial for determining a character’s social status, and Harriet does not know who her parents are. Emma assumes that Harriet’s father must be a gentleman, and, because of her own social status, she can determine who is included.

Chapter Four: Emma introduces Harriet Smith into her social circle, using her as a companion to replace Mrs. Weston. Harriet is unable to tell Emma anything about her parents as Mrs. Goddard given her little information, but Emma is easily persuaded that Harriet’s father was, in fact, a gentleman. Emma grows increasingly concerned about Harriet’s connection to the Martin family when she discovers that Robert Martin, the son, may have romantic interest in Harriet. In conversation with Harriet, Emma attempts to belittle Robert Martin as uneducated, not handsome, and too young to marry. After Emma briefly meets Mr. Martin, she promptly informs Harriet that he is plain and clownish. She encourages Harriet to compare Robert to better men such as Mr. Weston or Mr. Elton and privately wonders if Mr. Elton might be a more appropriate match. After all, although he does not have low social connections, he does not have a family who would object to Harriet’s doubtful birth.

Analysis: Harriet Smith reveals herself to be the perfect case for Emma: she impressionable and naïve and dotes upon Emma. She serves as a replacement for Mrs. Weston as a companion, but unlike Mrs. Weston, she does criticize Emma or attempt to improve her in any way. Instead, she flatters Emma in every way. Significantly, it is because of Harriet’s dissimilarities from Mrs. Weston that Emma selects her to be a friend. Since she cannot find a suitable replacement for Mrs. Weston, she decides to find a different sort of relationship. Instead of finding another teacher, Emma finds a student of her own.

The reason that Emma gives to dissuade Harriet Smith from a romance with Robert Martin is significant. He lacks proper manners, with his “awkward look,” “abrupt manner” and “uncouthness of a voice.” She does this through contrast: Robert Martin lacks the grace and breeding of Mr. Knightley, Mr. Weston, and Mr. Elton. But for Emma, “manners” actually mean status. She disapproves of Robert Martin before she has even met him, simply because he is not a gentleman.

Emma’s judgmental decision about Robert Martin brings up a recurring theme in the book: the relationship between status and manners. She emphasizes the fact that Mr. Knightley and Mr. Elton have manners that befit their social situation. Each place in society has manners that are proper to it: behavior that might be acceptable to a woman such as Emma might not be appropriate for a woman such as Harriet Smith.

Chapter Five: Mr. Knightley and Mrs. Weston discuss Emma’s new friendship with Harriet Smith. Mrs. Weston approves of the friendship, believing that it will be beneficial to both. Mr. Knightley, on the other hand, believes that Harriet will do nothing to stimulate Emma on an
intellectual level. Moreover, Harriet will do nothing but flatter her, something with which Emma is already well-acquainted. Mrs. Weston’s position as a governess was ideal preparation, Mr. Knightley argues, because it trained her to think of others and often submit her own will. Still, he praises Emma for her beauty when Mrs. Weston presses him.

**Analysis:** More than any other character in the novel, Mr. Knightley expressed the author’s views on each character. While other characters are overwhelmed by social status and wealth, Mr. Knightley is able to recognize personality traits and the truth of each character. In this chapter, Mr. Knightley takes the opportunity to point out Emma’s flaws, an action that he will repeat consistently throughout the novel. Not only does this criticism contrast sharply with the way that Emma is treated by everyone else in the novel, but it suggests that Mr. Knightley may have deeper feelings for Emma. He is greatly concerned with Emma’s behavior and worries that she has been misguided by those around her.

Therefore, when Mr. Knightley tells Mrs. Weston that Harriet Smith is not an appropriate friend for Emma, this must be taken as foreshadowing. The harm in the friendship is that Harriet will flatter Emma and indulge her worst qualities, while Emma will teach Harriet to be so refined that she will not fit among her true social equals. Again, status is significant: Harriet, given her suspicious birth and upbringing, must know her lower place in society. A friendship with the woman at the center of Highbury society will only be confusing and even damaging.

Mr. Knightley makes an important comment about how Emma prepared Mrs. Weston for marriage by making her submit to another’s wishes. This highlights the role of a wife in marriage as completely subservient to the husband and indicates how exceptional Emma is in her circumstances. Emma, because of her fortune and status, has the power of a married man and must submit to no one’s wishes. If she did marry, she would have to give up a great deal of her independence.

**Chapter Six:** Emma starts working to develop a romantic match between Mr. Elton and Harriet. She speaks to Mr. Elton about Harriet Smith, but for every compliment he gives Harriet, Mr. Elton gives Emma the credit. Emma decides to draw a portrait of Harriet Smith for Mr. Elton, even though he seems more interested in having a picture by Emma Woodhouse than of Harriet Smith. When Emma completes the picture of Harriet Smith, Mr. Weston and Mr. Knightley note how Emma has improved Harriet’s appearance, giving her better features and making her taller. Mr. Elton gallantly offers to take the picture to London so that it can be framed.

**Analysis:** This chapter rests on situational irony. Harriet Smith is interested in Mr. Elton, but Mr. Elton is interested in Emma, the woman who is attempting to set up the two. It also creates a number of ambiguities. Mr. Elton gladly accepts the portrait, but is not clear whether or not he cherishes it for the subject (Harriet) or the artist (Emma). Certain qualities in both Emma and Harriet Smith allow this delusion to continue. Emma has idealized both Harriet and Mr. Elton in her attempts to play matchmaker, and she cannot presume that her plans would ever go awry. Harriet, in turn, is so trusting that she cannot see the signals that Mr. Elton gives. At this point it is unclear whether or not Mr. Elton is aware of the circumstances, but that point is critical. He does know that he is in their company for the purpose of courtship. But, if he knows that Emma intends him for Harriet and not herself, then he is deliberately and cruelly manipulating Harriet Smith.

The chapter also reinforces the life of leisure that Emma Woodhouse lives. She spends her days working on a portrait of Harriet Smith. Yet also interesting is that the others also have a similar life of leisure, even though Harriet does not have Emma’s resources, and Mr. Elton actually has a career. Austen never shows Mr. Elton actually at work or considering his duties at the parish.
Chapter Seven: Mr. Martin sends letter to Harriet in which he proposes marriage. Although Emma admits that the letter is better than she expected, she still speaks ill of the letter to Harriet (claiming that one of his sisters must have written it). Emma ultimately dissuades Harriet from accepting the proposal, claiming that a woman should always say no if there is even the slightest doubt. Harriet is disappointed to reject Mr. Martin, but she cedes to Emma’s wishes. Emma encourages her to rid herself of thoughts of Mr. Martin and instead think of Mr. Elton getting her portrait framed in London.

Analysis: Emma continues to disparage Robert Martin because of his lack of manners, but considering evidence to the contrary (his well-written letter), she still reinforces the idea that he is uncouth to Harriet. The idea that one of his sisters wrote the letter is absurd (in Austen’s England, it is highly unlikely that a woman of the Martin’s status would receive an education greater than her brother), and Emma promoting the idea is borderline malicious. Emma’s interest is not in Robert Martin’s manners, but his status.

The chapter also reinforces the dynamics of Emma’s relationship with Harriet Smith. Harriet depends on Emma for all of her opinions and decisions and cannot decide whether or not to marry Robert Martin without first getting Emma’s approval. They have a friendship, but it is not one between equals. It is particularly important that Harriet Smith asks Emma for her opinion even though Harriet obviously has her own concrete opinion on the matter. She may have some doubt but is clearly disappointed when Emma advises her to reject Robert Martin. Still, Harriet does not have the strength to go against Emma’s opinion.

Chapters 8-14

Chapter Eight: Harriet sleeps at Hartfield that night, as she now does frequently. Mr. Knightley, speaking alone with Emma, credits her with improving Harriet by curing her of her schoolgirl temperament. When Mr. Knightley tells Emma that he suspects that Mr. Martin will propose soon, Emma proudly informs him that Harriet has already rejected Mr. Martin’s marriage proposal. Mr. Knightley is furious, thinking that Harriet is a simpleton for refusing. He claims that Mr. Martin is Harriet’s superior, for while he is settled, she is a foolish girl with dubious origins. Angered by Mr. Knightley’s reproof, Emma argues for Harriet’s superiority and touts the belief that Harriet’s parents must be gentility. She also alludes to a possible match between Harriet and Mr. Elton, an idea that Mr. Knightley swiftly dismisses.

Analysis: The revelation that Harriet is a constant guest at Hartfield strikes a discordant note. It indicates that Harriet may think of herself as a resident of Hartfield, which would obviously accord her greater status than she deserves. Mr. Knightley prediction seems to be coming true: Harriet is beginning to perceive herself as a member of high society. For Mr. Knightley, the best of example of this is that Harriet turned down Robert Martin. When he thinks that Harriet will marry Robert Martin, he gives Emma credit for improving Harriet. This is the first compliment that he gives to Harriet Smith, but he soon retracts it when he hears of her rejection. Moreover, since Mr. Knightley serves as Austen’s voice of reason in the novel, it is clear that, because of Emma, Harriet has made a mistake.

Again, class is the primary consideration for marriage. Because Harriet does not know her parentage, she is unlikely to marry well, and she must rely on a husband to ensure her a place in society. Emma’s great fault is making Harriet Smith believe that she can expect a man of higher status than she can actually claim. Thisties in with Emma’s matchmaking plans for Mr. Elton, who is, if not as high as the Woodhouses or Mr. Knightley, nevertheless much higher than Harriet Smith. Mr. Knightley thinks that Mr. Martin is a good match for Harriet because he is close to her rank but is also a rational and reliable man who makes a decent living.
Significantly, when Mr. Knightley and Emma discuss Harriet’s possibilities for marriage, they specifically do not mention love. For the characters in novel, the primary consideration is marrying for status and for security, not for any great romantic considerations. Mr. Knightley feels that Harriet should marry Robert Martin because he would provide for her and give her an acceptable (if somewhat low) place. He also mentions that Mr. Elton, in contrast, will want to marry someone who will ensure his place in society: he wants a woman who will bring him respectability through her status and financial security through her dowry. This gives some explanation for his interest in Emma. He may want to marry her for her money and not for love.

Chapter Nine: Mr. Elton gives Emma a poem that she assumes is intended for Harriet. When the riddle is deciphered, it is clearly a love poem, which convinces Emma of Mr. Elton’s intentions toward Harriet. She continues to advise Harriet on romantic matters, specifically telling her to not betray her feelings to Mr. Elton. Mr. Woodhouse tells Emma and Harriet that Isabella (Emma’s sister) and her family will be coming to Hartfield soon.

Analysis: Once again Mr. Elton makes a romantic overture that is directed to an ambiguous source. The poem he writes is intended for Harriet Smith’s collection, yet he first shows it to Emma. The poem itself is equally confusing; the answer to the riddle is “courtship,” yet the object of said courtship is described as a witty, intelligent, and beautiful woman, a description that even Emma cannot relate to Harriet. Manners provide some obstacle to resolving the situation. Since nothing can be openly declared, both Emma and Harriet must rely on the subtle clues that Mr. Elton gives. He can write a private riddle with the solution ‘courtship,’ but he cannot discuss the actual topic with either woman.

Games and riddles dominate this chapter of the novel, apt metaphors for Mr. Elton’s courtship tactics. The title of the poem is “Charade,” and its solution is romance. And in this situation Mr. Elton is deliberately engaged in a charade. He now seems quite aware of Emma’s intentions and plays along with them to remain close to Emma. He uses a number of means (pronouns with an ambiguous meaning, conditional clauses that indicate his intentions without expressly saying them) to obscure the situation. His actions are certainly deliberate.

The chapter also reinforces the idea that the friendship between Emma and Harriet Smith does neither much good. Austen notes that Emma has done little reading since she became close with Harriet, and that all of their attempts to improve their minds ended with no effect. Furthermore, she gives another reminder that Harriet is intellectually inferior to Emma when they attempt to solve the riddle. Harriet gives only absurd answers, while Emma easily guesses the answer.

Chapter Ten: Emma and Harriet make a charitable visit to a poor family outside Highbury. She tells Harriet that she never wishes to marry because she would have to find someone superior to herself first. She reminds Harriet that, even unmarried, she would never be as pathetic as Miss Bates, for it is a lack of money that makes celibacy contemptible and Emma would still have her fortune. In conversation, Harriet brings up Miss Bates’ niece, Jane Fairfax, who Emma dislikes because she is so highly praised. Emma continues to contrive a romance between Harriet and Mr. Elton.

Analysis: The reason that Emma is unmarried becomes clear in this chapter. As a highly independent woman who will never need to marry, she resolves only to marry for love and only to marry when she finds someone superior, a condition that, considering Emma’s own vanity, is unlikely to be fully satisfied. Marriage entails a sacrifice: Emma would lose her authority and have to submit to a husband. As a single woman with a fortune, however, she has the power to do whatever she chooses.
This chapter also returns to Austen’s distinctions between marriage for love and marriage for status. It is only the very few such as Emma Woodhouse who can marry for love, while status and security must be the overriding concern for women such as Harriet Smith. Austen also contrasts the reputations of Emma Woodhouse and Miss Bates, both of whom are single but are differentiated by fortune.

In addition to providing a contrast to Emma’s comfortable life, the characters of Mrs. Bates and Miss Bates serve as comic relief in the novel. Miss Bates chatters on incessantly about any topic, while Mrs. Bates’ hearing difficulties result in aggravating situations. Yet, Austen also makes it clear that these women deserve pity and compassion, and that is the sole reason why Emma frequently visits the Bates family. It is certainly not; as Emma makes clear, out of any particular regard for the two women.

The introduction of the character Jane Fairfax gives some dimension to Emma’s vanity. With the exception of money, Jane is presented as Emma’s equal in terms of beauty, wit, intelligence, and talent. Emma is unsettled by this competition, and her automatic dislike of Jane is no doubt linked to some jealousy on her part.

Chapter Eleven: Mr. John and Mrs. Isabella Knightley visit her father and sister at Hartfield. They discuss Frank Churchill, noting that he has not yet visited the Westons since they have been married. When discussing the Westons, Mr. John Knightley reminds Emma that she is not a wife, and says that few think highly of the Churchill family. Emma dislikes her brother-in-law and wishes to contradict him, thinking that his comments reflect badly on Mr. Weston. Yet, she holds her tongue for the sake of her sister and keeping the peace.

Analysis: John Knightley’s pointed remark about Emma’s marital status is yet another reminder that Emma has more power as a single woman than a married one. While Emma often seems petty and self-centered in her dealings with Harriet Smith and Mr. Knightley, here she reveals herself to be more honorable, letting her brother-in-law’s rude comments about Mr. Weston’s social activities pass in order to keep the peace. She behaves with propriety.

This interaction also confirms Austen’s use of manners to define the value of each character. The first descriptions of Mr. John Knightley and his wife mention their manners. While Isabella’s manners are acceptable, her husband’s are too reserved to be pleasing, and he is too judgmental towards other’s behavior. He criticizes Frank Churchill for qualities without possibly knowing whether he possesses these negative qualities.

This chapter also returns to the story of Frank Churchill, foreshadowing that he will soon play an important place in the novel. The fact that he has not yet visited his father since his marriage is presented as an affront to propriety. However, since there is every indication that he has proper manners, there must be a reason that why he has not visited Highbury.

Chapter Twelve: Emma decides that Mr. George Knightley must dine with them upon his brother’s visit, as a means for reconciliation over their argument about Harriet and Mr. Martin. Although Emma has no plans to concede the argument, she wishes to restore their friendship. Isabella mentions Jane Fairfax in conversation, claiming that only she could be as accomplished and superior as Emma, a more suitable companion than Harriet Smith.

Analysis: Despite Emma’s numerous faults, she has a near-faultless sense of politeness and decorum. She will not admit that she was wrong concerning Harriet Smith and Robert Martin, but her stubbornness is not enough to stand in the way of her friendship with Mr. Knightley.

Interestingly, Austen points out that Emma is not as worldly as she would like to believe. While Isabella and her husband travel a great deal, Emma admits that she has never even seen the ocean. Her father never travels, which is to be expected considering his anxiety over his health, but it is peculiar that Emma never leaves Highbury, when there are numerous instances
when other characters do travel often. Perhaps Emma never leaves Highbury because there is no need: she has everything she desires there.

This chapter is also significant for the mention of Jane Fairfax, whose talents and bearing make her a continual aggravation to Emma. Austen contrasts the ideal Jane Fairfax, who would be Emma’s intellectual match, with Harriet Smith, who will only flatter Emma. Significantly, only Mr. Woodhouse, who refuses to think badly of his daughter under any circumstances, thinks that Harriet is a perfect friend for Emma.

Chapter Thirteen: Mr. Weston invites members of Highbury society to dine with his family at Randalls on Christmas Eve. Although Harriet Smith is invited, she cannot attend because of a cold. Despite Emma’s reluctance, Mr. Elton still resolves to attend. Mr. Woodhouse also attends the party, despite inclement weather that would usually force his absence - still, he remains unpleasant and anxious. Emma is displeased that Mr. Elton seems unaffected by Harriet’s absence; she is also taken aback by his overly familiar manner in addressing her. Mr. John Knightley comments to Emma how Mr. Elton seems infatuated with her.

Analysis: Emma finally realizes that Mr. Elton has no interest in Harriet Smith and is instead infatuated with Emma herself. Like his brother, Mr. John Knightley serves to shatter Emma’s delusions, but in his manner he differs sharply. While Mr. Knightley has impeccable taste and manners, Emma’s brother-in-law abrupt and direct. When Emma realizes that Mr. Elton is interested in her, her opinion of him worsens considerably. She begins to realize his flaws, most importantly that he is too eager to please when it concerns women.

Chapter Fourteen: During her visit with the Westons, Mr. Elton continually attempts to be near Emma, who still hopes that she can fix the situation in Harriet’s favor. Emma hears more about Frank Churchill and begins to wonder about the possibility of a match between them. Of all the men that she knows, Frank seems to suit her the best in terms of age, character, and condition.

Analysis: At the Weston’s Christmas Eve party, Emma suffers from her two companions. Mr. Elton is too eager to please, while Mr. John Knightley is completely unwilling to do so. Despite her growing dislike for Mr. Elton, she remains civil to him, still holding some hope that she can fix the situation. Yet again, Emma demonstrates her best trait and bears every slight or inconvenience without making a mistake in etiquette.

This is the first part of the novel in which Emma actually considers marriage for herself. It is significant that even Emma, who can presumably marry anyone she wants, thinks of marriage in practical terms. Her considerations are age, character, and condition, essentially, the same status considerations that other women must take into account. At no point does Austen mention love. It is even more striking that Emma decides that Frank Churchill would be a suitable husband before even meeting him. She already knows what she needs to know—his age, his status, and his familial connections—and other information is secondary.

Frank Churchill’s story echoes that of his late mother. The Churchill family exerted great control and influence over their daughter, cutting her off when she disobeyed their wishes by marrying Mr. Weston. Similarly, Frank Churchill’s aunt (who raised him) is very demanding, and seems to prevent him from visiting his father. Some bitterness remains from Mr. Weston’s first marriage, and thus the Churchill family wishes to keep Frank away from him when at all possible.

Chapters 15-21

Chapter Fifteen: Mr. Elton asks Emma about Harriet Smith’s illness, but it seems as if he were more concerned that Emma might possibly fall sick. By the end of the visit with the Westons,
Mr. Woodhouse is in an ill temper. It has started to snow, and Mr. Woodhouse fears that they will be unable to leave Randalls. The snow, however, subsides and carriages are brought to take the guests home. Emma finds herself in the same carriage as Mr. Elton, who professes his love for her. When Emma brings up Harriet Smith, he disparages her for her low social status and reminds Emma that he only spent time with Harriet when Emma was near and claims that Emma gave him encouragement. Emma is appalled by this revelation and promptly rejects Mr. Elton.

Analysis: This chapter contains some sharp insights into the social life in Austen’s England. A light snow is enough to keep the guests of the Westons from possibly leaving, and to walk in such weather, as Isabella suggests that she could do, is unthinkable. Travel, even between two relatively close estates, can be arduous if conditions are not perfect.

Mr. Elton reveals himself to be far less sympathetic than before. When he contrives to be in the same carriage with Emma, he arranges a very private encounter with her outside of normally accepted social space. This is the first instance in the novel in which Emma is alone with a man (whenever Mr. Knightley visits, her father is always nearby), and the enclosed space of the carriage heightens the intimacy of the encounter. His protestations to Emma show that he deliberately feigned an interest in Harriet to be close to Emma, and his quick dismissal of Harriet as not of his rank shows a petty snobbery.

However, his quick dismissal of Harriet Smith for her status recalls similar objections that Emma herself made in regards to Harriet and Robert Martin. What Emma finds acceptable behavior for Harriet, she finds unacceptable for Mr. Elton. This turn of events is a perfect ironic retribution for Emma’s earlier actions. The concern for status and breeding that Emma used as a weapon for Harriet Smith against Robert Martin she now finds used against her.

Chapter Sixteen: The next day, Emma is miserable that she was so deceived by Mr. Elton that she failed to recognize his true motives. She realizes that the situation is entirely her fault because she tried to meddle in Harriet’s and Mr. Elton’s affairs. Mr. Knightley, despite the inclement weather, visits Hartfield that Christmas day.

Analysis: Emma is upset about Mr. Elton’s behavior towards her for several reasons. The first and most obvious reason is that it humiliates Harriet, and Emma has the burden of telling Harriet that Mr. Elton never had the slightest interest in her. The second relates to Mr. Elton’s motives for pursuing Emma. Among Emma’s objections to Mr. Elton is the fact that his devotion to her is largely fiscal. He so desperately wants to move up in society and perceives marriage to Emma as the ideal opportunity.

Emma is also insulted that a person of Mr. Elton’s social status would consider himself fit for her. This demonstrates some arrogance, for Mr. Elton is too low for Emma but good enough for her close friend. She dismisses Mr. Elton because he does not come from a reputable family, the same reason that he rejects Harriet Smith. Also, the qualities that Emma finds objectionable in Mr. Elton - he is “proud, assuming, conceited; very full of his own claims”—are the very qualities that she instills in Harriet.

Still, even Austen makes some distinction between what Mr. Elton does and what Emma attempts to do for Harriet. Mr. Elton uses Harriet’s attentions to get to Emma and behaves with no sense of polite manners (as when he expresses his feelings in the carriage). Emma, Harriet and Mr. Elton may have the same reasons for pursuing and rejecting suitors, but the two women behave with tact, while Mr. Elton is manipulative and rude.

Yet another reason why Emma is upset is that her plans go awry. Emma wishes everything to be orderly, and in this situation nothing has gone as she planned. Nevertheless, she shows some newfound signs of maturity. She accepts the blame for the situation and realizes that she
erred. She also concedes that both Mr. Knightley and his brother were correct in their appraisal of the situation.

**Chapter Seventeen:** Mr. and Mrs. John Knightley soon leave Highbury, as does Mr. Elton, who, to Emma’s great relief, travels to Bath. Emma resolves to tell Harriet Smith about Mr. Elton’s behavior. Harriet bears the news well, blaming nobody. Emma realizes that Harriet is superior to her in some ways because she is artless and sincere in her emotions. She also discovers that Harriet was more resolutely in love with Mr. Elton than she had foreseen.

**Analysis:** In this chapter, Jane Austen contrasts Emma and Harriet in a different manner than she has at early points in the novel. The earlier distinction between the two is that, while Emma has fortune, wit and talents, Harriet is gullible and foolish. Yet in this situation, Austen makes clear that Harriet Smith is unspoiled and has a sincere and pure heart. This relates back to Mr. Knightley’s earlier warnings about Emma’s influence on Harriet. Might Emma cause Harriet to lose those qualities that make her, in some small way, Emma’s equal? The contrast between Emma and Harriet causes Emma to question her own value. This is not a minor point: for the first time Emma begins to realize that she may lack some quality.

It is also notable that Mr. Elton leaves Highbury so soon after Emma rejects him. There are a number of possible motives for this, including embarrassment. However, his claim that he will visit friends during his absence leads back to an earlier comment by Mr. Knightley, who suspected that Mr. Elton already had a romantic attachment to a young lady who lived elsewhere. The purpose of the trip may be to secure that relationship.

**Chapter Eighteen:** The Westons are disappointed that Frank Churchill has not come to Highbury, and once again postponed his visit once. Mr. Knightley suspects that the Churchills are to blame for Frank’s absence, but notes that Frank is nevertheless a grown, independent man who can do as he wishes. He feels that Frank Churchill is more interested in leisure activities. Emma argues with Mr. Knightley, by asserting that going against the Churchills’ wishes would be impractical. Emma defends Frank Churchill at nearly every opportunity, while Mr. Knightley predicts that Frank Churchill will turn out to be insufferable.

**Analysis:** Without having met Frank Churchill, Emma has already decided that he is a wonderful person. When she quarrels with Mr. Knightley about Frank, she automatically assumes that Frank has good intentions and is perfectly honorable. Mr. Knightley, in contrast, suspects Frank Churchill to be lazy and dishonorable. Since Mr. Knightley tends to echo Austen’s own views and predict character flaws, his objections must raise some doubt about Frank Churchill. Whatever influence that the Churchills have on Frank, he is still a grown man and can make decisions for himself; the Churchills can only do so much to prevent him from visiting his father.

Once again, the issues of social status and decorum are important considerations. Mr. Knightley assumes that one of the Churchills’ great mistakes with Frank is making him believe that he is above his actual connections: he is too proud, luxurious, and selfish for his status in society. Frank Churchill therefore joins Harriet Smith and Mr. Elton as characters chastised for not knowing their proper place in society. In addition, Mr. Knightley claims that Frank Churchill may lead a disreputable life dedicated only to the pursuit of pleasure. In other words, he does not behave with the sense of honor and decency that a man of his situation should.

**Chapter Nineteen:** Emma and Harriet call upon Mrs. and Miss Bates. Miss Bates speaks incessantly and pointlessly, but Emma behaves with exemplary manners, even asking about Jane Fairfax when Miss Bates mentions her. Miss Bates received a letter from Jane, who intends to visit next week. She will be sent by the Campbells, who paid for her education. Emma begins to suspect that Jane Fairfax might be involved with a married man with Mr. Dixon.
Analysis: Emma’s mistakes with regard to Harriet Smith have led her to greater self-examination. For the first time, Emma begins to consider her own faults and attempts to improve them. When she visits the Bates, this is an attempt to correct one of these faults: she acknowledges that she is negligent towards Mrs. and Miss Bates, who depend on the compassion of the higher members of Highbury society. Once again, it is Mr. Knightley who has pointed out this flaw in Emma. He is certainly the only one who has both the status and temperament to challenge her.

Miss Bates resembles Harriet Smith in a number of respects. Both are limited in wit and imagination and have positions at the fringes of society. However, with her grating, incessant chatter, Miss Bates is primarily comic relief. Whatever pity Austen has for Miss Bates is abstract and relates only to her social status: one should pity Miss Bates because she is a spinster with little income, not because of any intrinsic qualities. Harriet Smith, in contrast, is a more rounded character with greater shadings. Austen grants her some dignity, as when Emma remarks about how Harriet is superior for her sincerity.

Once again, the mention of Jane Fairfax reminds the readers of Emma’s vanity. To satisfy Emma’s jealousy towards Jane, she invents the idea that Jane may be involved with some illicit affair with a married man. This is not a well-supported notion, but it does instill the idea that Jane Fairfax may be involved in some secretive arrangement.

Chapter Twenty: This chapter tells the story of Jane Fairfax, the granddaughter of Mrs. Bates, whose mother died when Jane was a small child. Jane was brought up by the Campbells, for Colonel Campbell had served in the army with Jane’s late father, and the young girl had been well educated on his behalf. Emma is sorry to have Jane Fairfax visit, although her dislike is truly unfounded. When Jane visits, Emma is polite to her, despite her jealousy, and she even gains some minor information about Frank Churchill from Jane, who has met him.

Analysis: Jane Fairfax is an exemplar of the self-made woman, whose high regard in society comes not from her familial connections but from her talents and charm. Except for status, she equals Emma in every respect, and it is Emma’s competitive nature that causes her to dislike Jane, assuming negative qualities where none may actually exist. Yet in their respective fates, Emma and Jane Fairfax differ considerably. Because of her lack of fortune, Jane Fairfax must enter a profession as a governess, a condition that requires her to sacrifice all of the pleasures of her life, while Emma will retain her life of leisure and luxury under all but the most extreme circumstances.

One of the major functions that Jane Fairfax serves in the novel is as juxtaposition against the other characters. Although equal to Emma in all regards, she lacks status. This serves as a reminder that it is not Emma’s sharp intelligence or talents that ultimately make her the head of Highbury society, but instead her family and fortune. And while her lack of a solid familial standing gives her a similar status to Harriet Smith, Jane Fairfax is poised, talented and refined. It is she who deserves to marry higher in society and to be Emma’s closest companion, yet Emma’s inability to be anything less than the center of attention makes this impossible.

Also notable are the parallels between Jane Fairfax and Frank Churchill, which Austen reinforces when Jane says that she has met the elusive Frank. Both are somewhat mysterious visitors connected to Highbury society through familial connections, but were raised outside of it by more elite families after their mothers had died. They share the ambiguity of belonging to one social group by birth but residing within a higher one by breeding.

Chapter Twenty-One: Mr. Knightley compliments Emma on how well she treated Jane Fairfax when they dined together. As Mr. Knightley tells Emma that he has news for her, Miss Bates and Jane Fairfax interrupt them. Jane thanks Emma for the hind-quarter of pork that she had sent to her, and tells Emma that Mr. Elton is to be married to a Miss Hawkins from Bath.
Emma assumes that Mr. Elton’s acquaintance with Miss Hawkins must not be very long. Later, Harriet comes to Highbury in the rain, with news that she saw Robert Martin and his sister while shopping at the Highbury linen shop. They were polite to each other, but Harriet was extremely embarrassed. Emma is relieved that Harriet has little opportunity for contact with the Martins.

**Analysis:** This chapter continues to develop the contrast between Mr. Woodhouse and Mr. Knightley in terms of their interactions with Emma. While the former has an incredibly idealized picture of Emma, even going as far as to praise her for deep kindness towards Jane Fairfax, the latter is realistic and perceptive. Although he compliments Emma for treating Jane Fairfax kindly when they dined together, he indicates that he is aware of Emma’s true jealousy towards Jane Fairfax. Yet again, Emma has demonstrated great tact and manners toward a person she dislikes.

In this chapter, both of Harriet Smith’s prospective suitors return to some prominence in the plot, and each one makes Harriet ill at ease. Mr. Elton’s imminent marriage to Miss Hawkins demonstrates the true reason for his vacation from Highbury and confirms what Mr. Knightley had suspected was true. He did have a prospective marriage possibility elsewhere and immediately set upon this prospect once he realized that he could not have Emma. Harriet must now realize how badly Mr. Elton treated her and how badly she treated Robert Martin, yet there is a crucial difference. The supposedly coarse Martins remain kind and cordial, honorable where Mr. Elton is cruel and deceptive. Nevertheless, despite how kind the Martins remain to Harriet Smith, Emma has not moved past her prejudice against them and is relieved that they are unlikely to have much contact with Harriet.

**Chapters 22-28**

**Chapter Twenty-two:** Not a week after Miss Augusta Hawkins’ name had been mentioned among Highbury, she had already been revealed to be handsome, elegant, accomplished and highly amicable, although Emma notes that she has no truly respectable family connections. Mr. Elton returns to Highbury with renewed spirits as he is to be married shortly. Harriet’s spirits worsen upon Mr. Elton’s return, although she has now resumed contact with Elizabeth Martin. Emma suggests that Harriet visit the Martins out of considerations for propriety.

**Analysis:** Wealth is the primary motive for Mr. Elton’s marriage to Miss Hawkins. She has a fortune that she brings to the marriage, but certainly not the social status that Emma has. It is here that Austen makes the distinction between wealth and status. Miss Hawkins is certainly wealthy, but the source of this wealth is important. Her family’s fortune comes from the somewhat disreputable trade industry, not from the ownership of property, which is the source of the income for the Woodhouses and Mr. Knightley.

For the first time, Emma assents to Harriet’s contact with the Martins. It is significant that Harriet is so dependent upon Emma for her decisions, virtually unable to decide anything without first checking with her friend. In addition, Harriet’s preoccupation with Mr. Elton borders on obsession. She has a limited attention span. If she does not think or speak about Mr. Elton, the subject is Mr. Martin. This may be part of the reason that Emma suggests that Harriet visit the Martins, if only to give her an opportunity to think of something other than Mr. Elton. An additional concern, as always, is propriety. Whatever embarrassment there may be between Harriet and the Martin family, she must be kind and civil to them.

**Chapter Twenty-three:** Harriet gives Emma the details of her visit with the Martins. Fatigued by the business of Harriet, the Martins, and Mr. Elton, Emma visits the Westons. Frank Churchill, a very good looking man, finally arrives in Highbury, and Emma immediately likes him, for he is quite charming and well spoken. Emma, Mr. Woodhouse and the Westons socialize with
Frank Churchill, and Emma is pleased by the beginning of this acquaintance.

**Analysis:** Through Harriet’s long tale of her visit with Robert Martin, Austen gives some insight into Harriet’s limited imagination. The mere sight of a trunk that will be delivered to Mr. Elton disturbs poor Harriet and ruins her visit to the Martins. This reaches past mere shame and mourning over her unsuccessful courtship with Mr. Elton and absolves Emma of some blame for her pain. Emma may have attempted to design a romance between Harriet and Mr. Elton, but it is now Harriet’s duty to let go of her obsessive pain.

Frank Churchill’s final arrival at Highbury reveals little substantial information about the young man, who still remains a mystery. More significant is that, despite this lack of any more tangible information, Emma is quite pleased with Frank. She knows that she will like Frank at first sight, when he has had no opportunity to exhibit any personal qualities, positive or negative, and she takes every minor shading to his personality as an example of his excellence, just as she earlier idealized Harriet Smith and Mr. Elton when she had designs for them.

Although the two plotlines have no apparent connection, Austen continues to tie together Jane Fairfax and Frank Churchill. Since there is little reason for the two plotlines to connect with one another, this must be taken as foreshadowing for later developments between the two characters.

**Chapter Twenty-four:** Frank Churchill and Mrs. Weston visit Emma, who decides that Mr. Knightley must have been wrong about him. When visiting the Crown Inn and seeing its ballroom, Frank suggests to Emma that she, with her resources, should hold dances there. Surprisingly, Frank disparages Jane Fairfax to Emma, who defends her. While they shop for gloves at Ford’s, Frank tells Emma more about Jane Fairfax and how she is destined to be a teacher. He even mentions Mr. Dixon. Emma finds Frank to be more moderate and warmer than she expected, and less a spoiled child of fortune.

**Analysis:** Frank Churchill reveals himself to be more complicated than Emma originally imagined in this chapter, more interested in his family and Highbury society and also more intelligent and engaging. This seems to confirm suspicions that Frank Churchill was kept from Highbury through his aunt’s influence. Yet one must take into account perspective: these positive shadings to his character are taken from Emma’s eyes and not those of a more objective or authoritative source such as Mr. Knightley. Emma seems to take every detail of Frank’s personality to be a credit to him; even when he makes a catty comment; it is about the one person with whom Emma competes. This seems to echo Mr. Elton’s earlier manipulation of Emma. Frank Churchill flatters her vanity, but in a more subtle way, by disparaging the one person for whom Emma holds any jealousy.

Also, Frank Churchill’s comments seem to presume knowledge of Jane Fairfax that goes beyond mild acquaintance. Earlier comments connecting the two indicated that they had met each other only briefly, but Frank Churchill knows a considerable deal about Jane Fairfax, even the gossip about Mr. Dixon. This foreshadows later developments: what does Frank know about Jane Fairfax, and how does he know it?

**Chapter Twenty-five:** Emma’s good opinion of Frank Churchill is shaken when she hears that he has gone to London simply to get a haircut. The Coles, a family of low origin involved in trade, invite the better families of Highbury to dine with them. Although Emma thinks that this is an affront to her high place in society - she should decide her social circle and not have it decided for her - she accepts the invitation.

**Analysis:** Frank Churchill’s trip to London for a haircut reveals a suspicious arrogance—travel is difficult, and to go to London simply for a haircut is an immense waste of time and resources—but Emma thinks only slightly less of him for it. She has made up her mind that she would like him, and perhaps marry him, far before she actually met him, and vain, indulgent actions
such as this are downplayed or ignored. This resembles how she ignored Mr. Elton’s faults until it was too late. However, in this situation it is Emma herself, not Harriet Smith, who risks humiliation and heartbreak. Austen, however, gives a more negative appraisal, noting that his actions show “vanity, extravagance, love of change, restlessness of temper.”

The Coles’ party indicates how social life in Highbury is stratified. The Cole family may be wealthy, but they are involved in trade and thus should not presume to set the terms under which they interact with the higher members of their society (the Woodhouses, Mr. Knightley, and the Westons). The chapter also returns to the idea that different segments of society have different forms of acceptable behavior: Emma is at its peak, and thus must consider how she treats others - leaving the Coles’ party early would be an embarrassment to them. The Coles, in contrast, should know that they cannot presume to set social functions for their superiors and must wait for the Woodhouses, Westons and Mr. Knightley to reach out to them.

Chapter Twenty-six: Frank Churchill returns from London, unashamed of what he had done. At the Coles’ party, Mrs. Cole tells how Jane Fairfax received a new piano from an unknown source. Frank Churchill is obviously amused by the story, and Emma tells him her suspicions that it is a gift from Mrs. Dixon. He suggests to Emma that Mr. Dixon has fallen in love with her, and that is why she chose to come to Highbury instead of accompanying the Campbells to Ireland. He also tells how Mr. Dixon saved Jane Fairfax’s life when she nearly fell overboard during a water party. In passing, Frank notes that Mr. Knightley must have provided a carriage to transport Jane Fairfax and Miss Bates to the party. Emma wonders if this indicates Mr. Knightley’s partiality for Jane and becomes upset when she considers that he might marry her. She speaks with Mr. Knightley to assuage her fears, and he disparages Frank Churchill for showing off his own voice by singing at the party.

Analysis: Frank Churchill’s sense of etiquette is crucial in this chapter. He realizes that people think that his journey for a haircut was a foolish choice, and, although he is shows no sense of shame about his actions, he is able to downplay this fault as neither something to be gloried in nor something to be ashamed of. The greater fault of Frank Churchill is not his foolishness, but his constant need for attention. As Mr. Knightley points out, Frank Churchill revels in showing off his singing voice at the party.

This chapter also features another instance in which Frank mentions Jane Fairfax to Emma. After suggesting that Jane may be involved with Mr. Dixon, he suggests that Mr. Knightley may have an interest in her. This is obvious manipulation, for Frank wants to suggest that any man is interested in Jane Fairfax except for him. His insults and rumors, always delivered with a self-regarding smile, are obviously sincere and are clearly meant to indulge Emma. He feeds her information about Jane Fairfax that is ambiguous yet likely disparaging, playing into Emma’s tendency to gossip.

Austen uses jealousy as a primary motivation for her characters’ actions and realizations. Emma shows an inclination toward Mr. Knightley for the first time when she believes that he might marry Jane Fairfax. Her argument is that he must remain single so that her nephew will inherit Donwell Abbey, but her intense feelings on the matter suggest that she might have other motivations. In turn, Mr. Knightley appears quite jealous of Frank Churchill for his attentions to Emma. He is preoccupied with Frank Churchill’s vanity and self-absorption and points out these qualities to Emma at every opportunity.

Chapter Twenty-seven: Harriet Smith visits Emma and tells her that she suspects Robert Martin to be involved with Anne Cox. They shop at Ford’s together, and Emma sees Mrs. Weston and Frank Churchill going to visit Miss Bates. While Emma and Harriet continue to shop, Miss Bates invites them to hear Jane Fairfax play at her new piano.
Notes

Analysis: Just as jealousy over Jane Fairfax and Frank Churchill, respectively, seem to motivate romantic feelings in Emma and Mr. Knightley, Harriet Smith’s suspicions about Anne Cox cause a rekindling of her worry over Robert Martin and whether or not she made the right decision.

Frank Churchill is deliberately ambiguous toward Emma when she meets him on his way to Mrs. Bates’ home. He wavers between shopping with Emma and visiting with the Bates family, but chooses to go with his stepmother to Mrs. Bates’ home. His words favor spending time with Emma, but his actions favor visiting with Mrs. Bates. Since Jane Fairfax is staying with Mrs. Bates, this decision proves an obvious choice between the two. There are other indications that Frank Churchill might match well with Jane Fairfax. Both are musical (he sings and she is a pianist).

Chapter Twenty-eight: At the Bates’ home, Emma listens to Jane play. Mr. Knightley stops by the Bates’ while Emma and Frank are there, but because of the numerous visitors he promises to call another time. Miss Bates thanks Mr. Knightley for sending them his store of apples.

Analysis: At the Bates home, Jane Fairfax is the obvious center of attention. When Emma arrives, Frank Churchill is helping her fix her new piano so that she may play. Mr. Knightley arrives to call on Mrs. and Miss Bates, and by extension, Jane Fairfax. Austen is deliberately ambiguous about Jane Fairfax’s courtship possibilities. The actions of both Frank Churchill and Mr. Knightley indicate a possible romantic interest in her, but Frank disguises any possible interest by showing such great attention to Emma, while Mr. Knightley behaves with such dignity that no action can be perceived as outwardly romantic. The main subtlety in the chapter is that Mr. Knightley’s behavior is consistent throughout the novel. It seems more likely that he would treat Jane Fairfax kindly without having an ulterior motive, since he has such a high regard for decency and benevolence.

Chapters 29-35

Chapter Twenty-nine: Frank Churchill, who so enjoyed dancing at the Cole’s party, plans another one for Highbury. Although initially planned for Randalls, the lack of acceptable space for dancing leads him to plan it instead for the Crown Inn.

Analysis: This chapter demonstrates the planning that goes into the various social events that occur throughout Austen’s novels. Everyone’s tastes and opinions must be considered, even—to a lesser degree—Miss Bates. There are deep considerations about who to invite and why, how comfortable each person will be, whether or not a location is suitable to all. This is what occupies most of the time of the elites in Highbury such as Emma and the Westons.

Frank Churchill differs from the other men of Highbury, as this chapter makes clear. He has no profession, like Mr. Elton, and he does not behave with the authority or reserve that Mr. Knightley or Mr. Weston show. His major concern is pleasure, the very reason why he organizes a dance for Highbury. Austen shows this through the contrast between what Emma focuses on while planning the party and what Frank Churchill considers. While Emma wants to please everybody, Frank, who obsesses over whether or not there will be enough room to dance, thinks more about ensuring that he enjoys himself at the ball.

Chapter Thirty: A letter arrives from Mr. Churchill to urge his nephew’s instant return due to Mrs. Churchill’s sudden illness. This ruins the preparations for the ball, and they must postpone the event. When Frank leaves, Emma is certain that he almost tells her that he loved her. She convinces herself that she is in love.

Analysis: Mrs. Churchill exhibits a great influence on her nephew, essentially ordering him home when she feels unwell. There is little sense that Mrs. Churchill’s actions are informed
by actual health concerns. She becomes most ill and most in need of her family’s company when she wishes to exert control on Frank Churchill.

There is a moment before he leaves in which Frank Churchill nearly breaks down his consistent air of insincerity. He speaks of his warm regard for Hartfield and shows a genuine wish to reveal some honest or true emotion. It is this moment in which Emma believes that Frank Churchill may be in love with her. However, whether or not Frank is interested in Emma or someone else entirely is still unclear. When Frank is prepared to admit to something, it is soon after he mentions a visit he made to see Miss Bates, in which he implies that he spoke to Jane Fairfax.

This is perhaps the best evidence that Frank Churchill does not intend to manipulate Emma into believing that he loves her, but that his attention to her stems instead from his naturally social demeanor. There is a sincerity of emotion here that is never present in Mr. Elton. Despite Frank Churchill’s faults, in this matter, his purpose is not to deceive.

Nevertheless, Emma finds herself believing that Frank might love her and convinces herself that she might be in love with him. Emma, who has previously thought of romance only in practical terms, finds herself considering actual love. However, she has no concrete idea what love actually entails. She lists as examples of her love listlessness, weariness, and stupidity, indicating a passing fancy or crush and not substantial emotion. Furthermore, this doubt is inconsistent with Emma’s normal behavior. She usually holds firm to her emotions to the point of stubbornness - as she did with Harriet Smith - and the fact that she is unsure whether or not she is in love is a good indication that she is not.

Chapter Thirty-one: Emma has no doubt that she is in love but wonders how much she can actually love Frank Churchill if she is no less happy during his absence. She realizes that she is not in love to her vow never to marry or quit her father. Emma starts to wonder if Frank might instead be a good match for Harriet. Emma scolds Harriet for worrying about Mr. Elton, claiming that it is a constant reminder of her mistake. She asks Harriet to speak less of Mr. Elton for her own sake, and Harriet apologizes for being ungrateful.

Analysis: After Emma has opened herself to the idea of falling in love with Frank Churchill (and not simply marrying him as a pragmatic move), she realizes that she does not truly love him. Her realization shows a practical reasoning and introspection previously uncharacteristic of Emma. Still, although she does not love Frank Churchill, she still enjoys his attention. It bolsters her own very high self-regard to know that a man such as Frank is so attentive to her.

However, Emma continues to make the same errors that caused her so much aggravation earlier in the book. She has not learned the lesson of Mr. Elton and fancies the idea of making a match between Frank Churchill and Harriet. She knows the dangers of such thinking and actions but is inordinately tempted.

What Emma does realize with regard to Harriet Smith is how unfortunately obsessive she can be with regards to Mr. Elton. This vexes Emma for a number of reasons. It is a reminder of Emma’s mistake in judgment, and, in talking about Mr. Elton, Harriet does not serve her primary purpose to Emma. Harriet is useful by flattering Emma, and, in this situation, she annoys. The situation is only remedied when Harriet apologizes and resumes her role as the obedient, dutiful friend.

Chapter Thirty-two: Emma first sees the new Mrs. Elton at church, but she cannot be in the vicinity of the Elton’s without recollecting Mr. Elton’s bad behavior and Emma’s meddling. Emma finds that Mrs. Elton has no elegance and maintains that Harriet would have been a better wife for Mr. Elton because of her higher social connections. When Emma meets with Mrs. Elton, she compares Hartfield to Maple Grove, where her brother resides, and is quite
presumptuous, calling Mrs. Weston surprisingly ladylike considering her former occupation. She even calls Mr. Knightley the much less formal “Knightley.”

**Analysis:** In Augusta Hawkins, Mr. Elton has found a perfect match: a woman as vapid and socially conscious as he is. The new Mrs. Elton drops names, constantly offers her own superiority, and treats the members of Highbury society with much less respect than normally accorded. The woman is self-important, ignorant, and ill-bred, with none of the talents that could redeem her as they did Jane Fairfax. As bad as the new Mrs. Elton’s manners are, they are made worse by her position in society. Her snobbery and comparisons of Hartfield to Maple Grove are made worse by the fact that her connections in Maple Grove are wealthy but lower class. This perpetuates the theme that social class determines proper manners; Mrs. Elton does not know her proper rank in society.

Calling Mr. Knightley by his last name is a particular affront to propriety, for it presumes equality and intimacy between the two, neither of which is the case. Even Emma and her father speak of their close friend as Mr. Knightley, despite their long acquaintance and equal social status. Assuming that the character names reflect Emma’s point of view, there are only a few times when a less formal name is used: between close friends of the same age, between siblings or by an adult to a child, or with regard to an unmarried woman.

**Chapter Thirty-three:** Mrs. Elton, offended by the little encouragement given by Emma, becomes cold and distant to her. Her manners, and those of Mr. Elton, also become more unpleasant toward Harriet. Mrs. Elton does, however, take a great fancy to Jane Fairfax, a fact which causes Emma to pity Jane for the first time. Jane refuses an invitation to join the Campbells, and Emma suspects that she has some ulterior motive. Mrs. Weston predicts that Mr. Knightley has spent so much time occupied with the idea of not being in love with Jane Fairfax that he will probably end in marrying her.

**Analysis:** Emma is quite decisive about whom she likes and dislikes, and once she decides that she dislikes Mrs. Elton, there is little chance that she will substantially alter this opinion. In only one respect does her low opinion of Mrs. Elton change: It becomes worse. Once again status plays a consideration. Emma dislikes Mrs. Elton because she presumes herself to be higher in society than she actually, believes that her connections at Maple Grove make her quite respectable. Yet part of this dislike stems from Mrs. Elton’s apparent mutual dislike of Emma. While Emma is invariably polite to Mrs. Elton, as she is to nearly all, the bitterness between the two women indicates that manners can only obscure so much. Despite Emma’s outward propriety, Mrs. Elton can sense that Emma dislikes her and the victim of her animosity is none other than poor Harriet Smith. Although Mrs. Elton cannot openly scorn Emma, she can openly treat the lowly Harriet Smith with contempt.

However, the polite feud between Emma and Mrs. Elton does serve to show that Emma herself has harmed others socially. It is Mr. Knightley who reminds Emma that Jane Fairfax has become friends with Mrs. Elton primarily because only Mrs. Elton pays attention to Jane. This implies that Jane Fairfax is somewhat of an outcast in society, likely because Emma has made this the case. Just as Mrs. Elton certainly sensed Emma’s dislike of her, others in Highbury society likely realize that Emma dislikes Jane Fairfax and follow her lead. Jane Fairfax is a victim because of Emma’s envy.

**Chapter Thirty-four:** Emma decides to have a party for the Eltons at Hartfield to hide her contempt for the couple. Besides the Eltons, Emma invites Mr. Knightley, the Westons, and Jane Fairfax. During the party, they discuss Jane’s trip to the post office and her handwriting. Mr. Knightley makes another disparaging comment about Frank Churchill, claiming his writing is like a woman’s, while Emma wonders what letters Jane might receive. Are they sent by Mr. Dixon, or the Campbells, or another person altogether?
Analysis: Although it is obvious to all that Emma dislikes Mrs. Elton, she is forced to invite the Eltons to dinner at Hartfield for reasons of propriety. There may be subtle signs and indications of animosity between the two women, but Emma cannot allow such a public statement of dislike. Propriety takes precedence over true feelings and emotions.

In light of Mr. Knightley's earlier comment about how others have snubbed Jane Fairfax, Emma attempts to remedy the situation. Her invitation to Jane, unlike inviting Mrs. Elton, is genuine and sincere. She invites Jane Fairfax as a way to right her earlier wrongs, but she is also interested in unraveling the mystery of Jane and Mr. Dixon. She still suspects that Jane is somehow involved with Mr. Dixon, even though she has no real evidence. All of the real evidence points to Frank Churchill instead. (This chapter also reinforces Mr. Knightley's dislike of Frank Churchill, which goes beyond the objections that he states). This suggests a different motive for Emma's interest in Jane Fairfax. It is now less jealousy and more an idle curiosity. Jane is hiding some important information. What that entails will soon be clearer to Emma.

Chapter Thirty-five: During the later part of the party, Jane mentions that she must become a governess, which she compares to the slave trade. Mr. Weston arrives at the party after a day of business in London and gives Mrs. Weston a letter from Frank Churchill, who is returning to Highbury since his aunt's health improved.

Analysis: This chapter reveals the likely fate of Jane Fairfax—since she is not from a wealthy family, although raised by one, she must go into a profession as a governess. This is a sharp step down the social ladder. As raised by the Campbells, she was part of the elite and lived as Emma does now. While Emma is an heiress who will be at the center of society even if she remains single, Jane Fairfax, despite her equal talents, must depend on a good marriage (the solution Mrs. Weston found to increase her status in society) or else she will be forced into a demeaning life as a spinster, much like her aunt, Miss Bates. The comparison between the governess trade and the slave trade highlights this injustice.

The chapter also indicates that Frank Churchill will soon return to Highbury. His aunt's health was not the reason for his absence, as Mr. Weston indicates. It was rather her need to exert control over Frank and demand his loyalty. Now that she has flexed her authority over Frank, he is temporarily free to return to Highbury. This is an additional reminder that Frank Churchill is not able to act without considering his aunt's demands. This may explain whatever reluctance he has to admit his feelings or emotions with regards to Emma or anyone else.

Chapters 36-42

Chapter Thirty-six: Mr. Weston discusses Frank Churchill and his aunt with Mrs. Elton and reveals more about the Churchill family. They are proud people and, while his pride is harmless, her pride manifests as arrogance and insolence, even though she has no great familial connections. Mr. John Knightley leaves his sons, Henry and John, to stay with Emma, although he worries that they will be a burden to her, considering her increasing social life. Mr. Knightley suggests that the children stay with him instead, but Emma reminds him that he has as many social functions as she does, for they attend the same ones, and that she is never absent from her estate.

Analysis: Just as Mr. John Knightley serves as the voice of things that one cannot properly say in Emma, Mrs. Elton serves as the voice for questions that normally would be too rude to ask. Through her persistent questioning about Frank Churchill, we learn more about the ill feelings that Mr. Weston has toward the Churchill family. Mrs. Elton even makes the comparison between Mrs. Suckling (her low-born relative in Maple Grove) and Mrs. Churchill, which is apt considering they are both somewhat low-born but exert influence through ‘new’ money.
Mr. John Knightley indicates that Emma cares too much for social functions and amusements. Although this fits with his dour character, it nevertheless wounds Emma’s pride, for her brother-in-law has suggested that her social activity takes precedence over her family. Mr. Knightley made a similar criticism about Frank Churchill, which foreshadows his later concerns about Frank Churchill’s influence over Emma.

Chapter Thirty-seven: Emma’s attachment to Frank Churchill has subsided, but she is now concerned that he is in love with her. When Frank returns, he and his aunt and uncle decide to stay a house nine miles away from Mr. Weston. He begins preparations for a ball at the Crown Inn, and Emma is surprised that he only visits her once in ten days.

Analysis: Emma’s concern for Frank Churchill distresses her because she believes that he must be in love with her even though she does not share his feelings. The likelihood that he is in love with her is slim, considering his lack of attention to her in the two months since he left Highbury, but she still worries. When he does arrive, she is convinced that he is no longer infatuated with her - if he ever was—but this does not worry her. If her belief that Frank Churchill must love her indicates some vanity and self-delusion, her reaction to his apparent indifference to her shows some improvement. She does not feel slighted to have less attention.

Chapter Thirty-eight: Frank Churchill behaves oddly towards Emma at the ball at the Crown Inn. During the first dance, Emma and Frank dance second to Mr. Weston and Mrs. Elton, and Mrs. Elton is completely gratified by this. Emma wishes that she could like Frank better than she actually does. When Mrs. Weston encourages Mr. Elton to dance with Harriet, he blatantly refuses, much to Harriet’s humiliation. To recover Harriet’s dignity, Mr. Knightley asks her to dance. After the ball, Mr. Knightley tells Emma that the Eltons’ intention was to wound both Emma and Harriet. They cannot forgive her for wanting Harriet to marry Mr. Elton.

Analysis: Although Emma enjoys Frank Churchill’s company and his attention during the Crown Inn ball, this is the extent of her feelings. The only regret she feels is that she cannot feel more towards him. The two can now be completely comfortable with each other’s company. Nevertheless, all is not right with Frank Churchill. He is in an uncharacteristically bad mood during the ball, yet the reason remains as yet unclear.

The Eltons’ actions in this chapter continue to develop the theme of propriety and the difference between overt behavior and subtle signals. Mr. Elton does not do anything outwardly rude toward Harriet, but it is clear that he intends to snub and humiliate her. The Eltons hide behind the façade of propriety, but their behavior is anything but well-mannered. It is important that Harriet is the victim of the snub rather than Emma because she is an easy target. They can snub the socially inferior Harriet with few consequences, but a similar snub against Emma could not be tolerated.

In rescuing Harriet Smith from humiliation, Mr. Knightley is the paragon of behavior for Emma. For the first time he exhibits a change of behavior toward Harriet: he admits her positive qualities and takes pity on her situation. This is not the only change in Mr. Knightley: his feelings toward Emma become more clear. He dismisses the idea that Emma and he are like siblings, giving greater indication of possible romantic feelings.

Chapter Thirty-nine: Frank Churchill and Harriet arrive at Hartfield the day after the ball. The night before, when Harriet was walking home, a party of gypsies approached Harriet and her companions and chased them. Harriet was assaulted by a group of them and was saved by Frank Churchill, who was on his way to return a pair of scissors to Mrs. Bates. Emma still wonders if Harriet and Frank Churchill might make a good couple but vows not to meddle. Soon the news of Frank’s heroism is known throughout Highbury.

Analysis: The story that Harriet Smith tells about Frank Churchill is a reminder that there are less reputable elements outside of the genteel estates of Hartfield and Randalls. The story is
told from Harriet’s point of view, therefore one can assume that some of the details of her assault have been exaggerated (she was accosted mainly by children, who could hardly prove too great a threat). Also notable is that Frank Churchill’s destination is Mrs. Bates’ home. It seems odd that, immediately after the ball, he would want to visit merely to borrow a pair of scissors. This seems like a feeble excuse for his visit and yet more evidence that he has a secret liaison with Jane Fairfax.

Chapter Forty: Harriet visits Emma several days later to make a confession. She has a parcel with items that reminded of Mr. Elton, including a small box with a court plaster that was used to cover a small cut that Mr. Elton had. Harriet claims that she is now done obsessing over Mr. Elton and vows never to marry, for the person she prefers is too great her superior. Emma gives Harriet some hope that she might be able to marry this unnamed man.

Analysis: Harriet Smith shows her more absurd and immature side in this scene, revealing a childish obsession with Mr. Elton. The remnants that she keeps as mementos are foolish trifles: a bit of a bandage, a small pencil, and such. This makes it quite clear that Harriet does not have very good judgment, an appraisal that causes some concern when she vows never to marry. Considering Harriet’s lowly rank, vowing never to marry is as foolish a choice as keeping a bandage as a memento. In this declaration, Harriet continues to mirror and emulate Emma, vowing never to marry just as Emma did. Yet while Emma refuses to marry because she feels that she could never find someone who would measure up to her, Harriet refuses to marry because she feels she will never measure up to the unnamed man she adores.

Harriet and Emma are deliberately ambiguous about the object of Harriet’s affection. The two only establish that the man saved her the night of the Crown Inn ball and is someone of high rank, so much so that it is unlikely that the match would ever be successfully made. Emma assumes that Harriet is referring to Frank Churchill, who rescued her from the gypsies, but both of these characteristics also apply to another respectable man of Highbury who showed kindness to Harriet Smith.

Chapter Forty-one: Mr. Knightley only grows to dislike Frank Churchill more, as he suspects double-dealing in Frank’s pursuit of Emma. It seemed indisputable that Emma was the object of his affections, but Mr. Knightley suspects that he had an interest in Jane Fairfax the whole time. Over tea at Hartfield, Emma, Frank, Harriet and Jane play word games in which they must guess words. The word that Frank gives Jane to guess is “Dixon,” which greatly annoys Jane, who promptly quits the game. Mr. Knightley tells Emma about his suspicions about Frank and Jane, but she thinks that there is no romance between them. Mr. Knightley is irritated by the entire situation.

Analysis: Even though Emma is convinced that Frank Churchill has no romantic interest in her, Mr. Knightley is concerned that he is still pretending to have an feelings for her. He believes that Frank and Jane are having an affair and, as Mr. Knightley has been consistently correct in judging others’ actions, this suspicion is almost significant evidence of the affair. Where he errs is in the idea that Frank Churchill will harm Emma through the deception. Emma is perfectly clear that she does not love Frank, but Mr. Knightley still feels threatened by him. This continues to build the possibility that Mr. Knightley is interested in Emma. His greatest care in this situation is that Emma does not get hurt.

Still, although Emma will not be hurt by Frank Churchill, his behavior is still inappropriately deceptive. He does have a manipulative nature, but does not direct it towards Emma. During the game, Frank teases and taunts Jane Fairfax to the point that she must leave the game. The purpose of Frank Churchill’s games seems to push Jane Fairfax into losing her sense of reserve and to reveal her true emotions. This scene parallels the earlier incident in which Mr. Elton uses the riddle “charade” to declare his feelings to Emma via Harriet Smith. Emma finds
herself yet again in the middle of a romantic game in which true feelings and emotions cannot properly be conveyed.

Chapter Forty-two: Mrs. Elton plans a picnic, and Mr. Knightley offers Donwell Abbey as the location. She presumes to make all of the invitations herself, even though it takes place at his estate, but Mr. Knightley tells her that only one woman can invite anyone to Donwell Abbey, and that is the future Mrs. Knightley, whoever she may turn out to be. During the picnic, Emma sees Mr. Knightley and Harriet together, which she finds odd. Jane Fairfax leaves early while Frank Churchill arrives late, primarily due to delays from Mrs. Churchill. Frank is not in a good mood during the party and, while talking with Emma, claims that he is not at all a fortunate person and that he wishes to leave England. He turns down Emma’s invitation to a picnic at Box Hill the next day, but finally relents.

Analysis: Mrs. Elton receives a long-awaited comeuppance in this chapter when her presumptions and breaks of etiquette reach an unreasonable level. Her great mistake is to demand the power to invite whomever she pleases to Donwell Abbey, a power that only Mr. Knightley may have. Mr. Knightley’s reproach of Mrs. Elton contains an interesting comment. When he says that only the future Mrs. Knightley may invite whomever she chooses to his estate, he gives the first indication that he is interested in marriage. The automatic assumption before this point was that Mr. Knightley had resigned himself to remaining a bachelor.

It now seems more and more likely that Jane Fairfax will suffer the indignation of becoming a governess, and even worse, she may owe her position to Mrs. Elton’s intervention. This chapter bolsters the suspicions that Frank Churchill and Jane Fairfax are involved, considering the strange circumstances of his late arrival and her early departure—both are unhappy during their separate visits to the picnic at Donwell Abbey.

Also, Harriet spending time with Mr. Knightley is a notable change in events. This is a reminder of Harriet’s earlier claim that she was in love with a man of great status. Harriet has shown no interest in Frank Churchill, so it must be assumed that Emma was mistaken and Harriet has developed feelings for Mr. Knightley.

Chapters 43-49

Chapter Forty-three: The next day, the party goes to Box Hill for a picnic. Frank Churchill is still in a bad mood, but his mood improves when he concentrates only on amusing Emma. The party is listless, so Frank proposes a little game: everyone must say one thing very clever to Emma, or else two things moderately clever, or three things dull. When Miss Bates begins to chatter on incessantly, Emma puts her down harshly, telling her that she is limited to only three dull things. Later on, Emma, Jane and Frank discuss marriage. Jane speaks about how quick marriages can be salvaged, while Frank tells Emma to choose a wife for him and mold her (in her own image). Emma returns to the idea of Frank and Harriet. Afterwards, Mr. Knightley scolds Emma for treating Miss Bates so rudely, telling her that Miss Bates deserves her compassion and not her scorn.

Analysis: Although Miss Bates previously acted only as comic relief in Emma, she serves a greater purpose in this chapter. No matter how absurd, chattering or boorish she may be, even Miss Bates deserves to be treated with some dignity. Her low situation makes her deserving of even kinder treatment, which makes Emma’s sharp remark particularly cruel.

As Mr. Knightley reminds Emma, she made a great mistake when she puts down Miss Bates. As one of the highest members of Highbury society, Emma has a duty to treat those of lesser rank with kindness and to take pity on those such as Miss Bates. This is a turning point in Emma’s behavior. Although she has thought ill of a number of Highbury residents before (the Eltons, Jane Fairfax), this is the first time that Emma has not behaved politely to one of them.
This parallels the events of Chapter Forty-two, in which Mr. Knightley acts as the voice of propriety and good manners in terms of Mrs. Elton. He upbraids Emma just as decisively, but there is genuine warmth to his criticism. When he speaks to Emma about her mistake, he points out how admired and warmly considered Emma is. His wish is to improve Emma and not to put her in her place, as he did Mrs. Elton.

**Chapter Forty-four:** Ashamed of what she has done, Emma visits Miss Bates to apologize for her behavior at Box Hill, but she is not home. Emma waits for her with Mrs. Bates. Miss Bates does arrive and tells Emma that Jane was crying and writing letters to Colonel Campbell and Mrs. Dixon. She will be going to be the governess for Mrs. Smallridge of Maple Grove, thanks to Mrs. Elton, and will be paid well, according to Miss Bates. She also learns that Frank Churchill has suddenly left, since the Churchills requested that he return home.

**Analysis:** Emma is appropriately ashamed of her behavior, and her attempts to remedy her situation with Miss Bates are sincere and commendable. But Austen spends little time on Emma’s newfound modesty, instead switching to news of Jane Fairfax. It is confirmed that Jane must enter a profession as a governess, a job that she earlier compared to the slave trade, and now she is in ill health. Despite Miss Bates’ protests that she will be happy as a governess, Jane Fairfax is quite upset by this turn of events. Emma explicitly contrasts her fate with that of Mrs. Churchill. Jane Fairfax is a gracious, talented woman who must take a subservient position merely because of status, while Mrs. Churchill is a demanding, cruel woman who is important in society.

There is now some explanation for Frank Churchill’s recent bad mood. Mrs. Churchill yet again demands that Frank Churchill return home. There is more evidence that the fates of Jane Fairfax and Frank Churchill are connected. Both suffer depression almost simultaneously, and both are set to leave Highbury around the same time.

**Chapter Forty-five:** When Emma returns home, she finds that Mr. Knightley and Harriet have arrived. He tells Emma that he is going to London to spend time with John and Isabella, and is touched to learn that Emma has gone to see Miss Bates. He takes her hand and is about to kiss it, yet suddenly lets it go. The following day, there is news that Mrs. Churchill has died. Emma now realizes that there is no obstacle between Frank and Harriet. She also learns that Jane Fairfax is now in ill health, likely depressed that she must go to Maple Grove.

**Analysis:** In this chapter, Emma is determined to set right her previous ill will toward Jane Fairfax. She strenuously attempts to visit her and wish her better health. Not only does she think well of Jane, she now wishes to do something about it. Emma acts with a newfound modesty. She is even embarrassed when her devoted but delusional father compliments her for kindness toward Miss Bates.

Mr. Knightley’s romantic attentions toward Emma become more overt in this chapter, although he remains reluctant. He takes her hand when he senses her embarrassment over the false praise, a subtle physical gesture that represents a shift from verbal expressions of emotion that predominate the novel. He is at the verge of expressing his love for Emma but still hesitates.

The death of Mrs. Churchill is a truly unexpected event in the novel, for whatever illness she earlier claimed seemed to be a false pretense for getting Frank Churchill to be near her. Still, the major obstacle for Frank Churchill is now removed. He no longer is prevented from declaring his love for anyone. Yet despite the overwhelming evidence that he must be in love with Jane Fairfax, Emma persists in believing that he could love Harriet Smith.

**Chapter Forty-six:** Mr. Weston urgently requests Emma’s presence at Randalls, for Mrs. Weston has important news. When Emma arrives, Mrs. Weston looks quite disturbed. She has news that Frank Churchill and Jane Fairfax have been secretly engaged. Emma tells Mrs. Weston honestly that she was once interested in Frank, but that interest subsided. Still, she criticizes
Frank for pretending to show affection for her when he was engaged to Jane, particularly when Jane was present.

**Analysis:** Whether or not Frank Churchill was wrong in devoting his attention to Emma and hiding his engagement to Jane Fairfax is up for debate. As Emma points out, he came to Highbury with professions of openness and simplicity but instead duped everyone. Still, it was evident from his first introduction that Frank harbored some secret and was deliberately deceptive. And although he gave the appearance that he had an interest in Emma, she realized almost immediately that this was not the case and that his interest was more out of vanity and their shared sociability. Also, Mrs. Churchill made it impossible for him to make his romance public without retribution.

The one unqualified positive circumstance of the engagement is that Jane Fairfax will no longer have to become a governess. While Frank does have his faults, he will certainly improve Jane Fairfax’s situation, while her impeccable manners will improve his sometimes disreputable behavior. The parallels between Frank Churchill and his father are striking. Both men were constrained in their actions by the Churchill family, and both found happiness with an educated and respectable governess whose status they improve.

**Chapter Forty-seven:** Emma realizes that Harriet might be upset by the turn of events; for this is the second time that Emma has suggested that someone might be interested in the poor woman. Emma is angry with Frank Churchill for the deception but is at least relieved that Jane will not sink into an insignificant life. When Emma sees Harriet, Mr. Weston has already told her about Frank Churchill. Harriet denies that she ever had an interest in Frank Churchill; instead, Harriet has been fixated on Mr. Knightley. (When she earlier spoke to Emma about her feelings, she mentioned that the man in question saved her. While Emma assumed she meant Frank’s actions with the gypsies, in fact she meant Mr. Knightley’s kind behavior at the Crown Inn ball after she had been slighted). Emma finally realizes that nobody should marry Mr. Knightley but Emma herself, and that she has lead Harriet to believe that Mr. Knightley could be in love with her. Emma realizes that she has made Harriet believe that her claims are greater than they actually are; she has made the humble Harriet now vain.

**Analysis:** Mr. Knightley’s words to Emma, “you have been no friend to Harriet Smith,” prove prophetic in this chapter, as Emma herself realizes. She believes that she has yet again misled Harriet Smith into expecting the wrong romantic attachment. Nevertheless, Mr. Knightley’s warning was not prophetic in the manner that Emma imagines. Emma did not damage Harriet Smith by setting her up for another heartbreak. Rather, Emma’s great fault is that she made Harriet believe that she could aspire to an unreasonable social status. Emma realizes that part of her vanity is the belief that she knows the secrets of everybody else’s feelings. She has been proven consistently wrong on this account because she views the world as she would like it to be. She assumed that Mr. Elton loved Harriet because she wanted it to be so. As her own feelings for Frank Churchill grew, she was convinced that he loved her; as they waned, she believed that his did as well.

Jealousy once again motivates romance in this novel: it takes Frank Churchill to make Mr. Knightley show greater affection toward Emma, and now it is Harriet Smith who makes Emma realize that she loves Mr. Knightley. The great horror of the possible match between Mr. Knightley and Harriet Smith is that, from his actions, Emma believes it to be possible. But, the match must be prevented, for it would cause Mr. Knightley constant difficulties and expose him to intense mockery.

Class once again enters into discussions of marriage. Even if Mr. Knightley does love Harriet Smith, Emma cannot imagine the marriage taking place. Whatever love the two of them have would be fraught with such difficulties that there is virtually no possibility of success.
Chapter Forty-eight: Emma gives up hope that Mr. Knightley is in love with her. Even if he were, she would still not be able to marry him because of her father’s need for constant attention. Mrs. Weston tells Emma that Jane Fairfax regrets being involved in a suspicious private engagement and wishes that she had handled the situation with greater decorum. Emma feels disheartened and alone, since the pregnant Mrs. Weston will soon be preoccupied with her child and Frank Churchill will no longer visit frequently.

Analysis: Emma Woodhouse has thus far been completely satisfied with the condition of her life. In her mind she has everything that she desires: fortune, status, and a comfortable social circle. Yet in this chapter she realizes that an integral part of her happiness depends on Mr. Knightley’s affections. Although they have no romantic attachment as of yet, it is important to Emma that she is the most important woman in his life. Realizing that she might lose this position to Harriet Smith makes Emma aware how deeply she cares for him. This helps to explain why no attachment between Emma and Mr. Knightley has been formed earlier. Both already realized that they were the most important person in the other’s life.

The discussion between Mrs. Weston and Emma concerning Frank Churchill and Jane Fairfax brings up the important point that the two must certainly be in love with one another. Both endured a great deal of pain during their secret engagement and risked their reputation among society by deceiving their friends and family. As Emma states, “her affection must have overpowered her judgment.” Yet love cannot entirely excuse deception; both Jane and Frank behaved improperly. Since all turned out well for the two of them - they hurt nobody during their deception and will be properly married soon - Austen’s major point is that their behavior was wrong for reasons of manners. Even without any negative consequences, the deception was wrong as a breach of decorum.

At this point in the novel, Emma is alone, outside the social interactions of her friends. Everyone else has already married (the Westons) or plans to (Frank and Jane). She may always have her wealth and status, but Emma still may risk loneliness by clinging to her self-absorption. This isolation will not come from becoming a social pariah but will instead occur if she remains immature and vain among responsible adults with greater responsibilities to consider.

Chapter Forty-nine: Mr. Knightley stops by Hartfield to see Emma, and they discuss Frank Churchill and Jane Fairfax. He fears that Jane will be miserable with a man as intolerable as Frank Churchill but hopes that she will improve him. Mr. Knightley admits that he envies Frank in one respect. Emma fears that he will mention Harriet, but Mr. Knightley then professes his love for Emma. The two are now reassured of their love for one another.

Analysis: The final decision on Frank Churchill’s actions comes when Emma discusses his engagement with Mr. Knightley. While Mr. Knightley has always held a low opinion of Frank Churchill out of jealousy for his apparent affection for Emma, he now realizes how he underrated him. Mr. Knightley serves as the final judge of character in the novel, and, when he comes to forgive Frank Churchill this is a cue from Austen that Frank, for all of his faults, should not be considered disreputable.

Once again, manners and etiquette obscure the true emotions and cause dangerous mixed signals. The great propriety that Emma shows in dealing with Frank Churchill makes it unclear what feelings she may have. Mr. Knightley from this concluded that she might be in love with Frank. It is necessary to discern what each character does or does not feel under the heavy veil of polite behavior. In believing that Emma might love Frank, Mr. Knightley made his one major error.

Mr. Knightley professes love in a measured and utterly dignified manner that is very different from the fawning adoration that Mr. Elton showered upon Emma in the carriage ride. When he and Emma declare their love for one another, it is occasion for relief, rather than for
abundant joy. Austen suggests that a match between the two was inevitable as they are the two highest members of Highbury society. Moreover, Mr. Knightley’s criticisms of her were merely preparation for making her a suitable wife.

Chapters 50-55

Chapter Fifty: Emma now has two obstacles to a marriage with Mr. Knightley: her father and Harriet Smith. Emma cannot marry Mr. Knightley while her father lives, for any marriage would greatly inconvenience him. Moreover, she does not know how to break the news to Harriet. She attempts to get Harriet invited to stay with Isabella in London, where she could be distracted. Frank Churchill writes a letter to Mrs. Weston, which expresses regret for his deception and clarifies some of his behavior. He writes that Emma is a young woman unlikely ever to be attached, for she is so complete in herself, and that he was often tempted to let her know about Jane.

Analysis: This chapter serves mostly to clarify earlier inconsistencies in Frank Churchill’s behavior, as well as immanent obstacles that Emma and Mr. Knightley must face. The letter from Frank Churchill also solves some of the plot’s mysteries: he was the one who sent the piano to Jane Fairfax, and he ordered it when he was in London ostensibly getting his hair cut. When he left Emma to return to the Churchills and seemed to be on the verge of admitting something, he was considering telling her the secret of his engagement. Finally, when Jane Fairfax was miserable and ready to accept the job as a governess, it was because she was so ashamed of her secretive behavior she broke off the engagement.

The letter also once again returns to the issue of manners and unspoken emotions. Frank Churchill’s assumptions contrast directly with Mr. Knightley’s. While Mr. Knightley assumed that Emma believed herself to be the object of Frank Churchill’s affections, Frank Churchill assumed that Emma realized that Frank and Jane were secretly in love. Because Emma’s great propriety left so much unspoken, both men made equally invalid assumptions about what she believed.

Chapter Fifty-one: Mr. Knightley and Emma discuss Frank Churchill’s letter come to the same conclusion: Frank Churchill did not behave well, but he was partially justified, especially since there has been no final harm. They also consider the various options to deal with Emma’s father. Mr. Knightley suggests moving him to Donwell Abbey with Emma, but Emma is concerned that it will cause the old man great discomfort. Finally they decide that Mr. Knightley will move to Hartfield instead.

Analysis: This chapter makes very clear that Mr. Woodhouse is more than just a harmless curmudgeon who takes pleasure in his complaints. He is an intractable obstacle for Emma, too concerned with his own comfort to allow his own daughter to marry. In this manner he parallels Mrs. Churchill: both characters use appearances of frailty and ill health to demand obedience from children they raised.

Austen also returns to the theme that Emma Woodhouse has the societal power of a man, rather than a single young woman. In this way, the relationship between Emma and Mr. Knightley reverses traditional gender roles. Mr. Knightley is the one who makes sacrifices and must modify his customs and behavior. It is he who must give up his home to move elsewhere upon marriage.

The considerations that the two of them make about their marriage reinforce the social dynamic in Highbury. A marriage between Mr. Knightley and Emma affects more than just those two. It affects Mr. Woodhouse, who might lose the daughter who cares for him. It affects Isabella’s son, Henry, who now might lose his place as the inheritor of Donwell Abbey if Emma and Mr. Knightley produce an heir. This particular situation also affects Harriet Smith, who once
again must bear the pain of rejection from a man who is too socially superior for her to rightfully consider.

**Chapter Fifty-two:** Harriet finally learns about Emma and Mr. Knightley and bears the news well enough. Emma visits the Bates in order to see Jane Fairfax. Mrs. Elton is also there and tells Emma that she knows the good news about her and Mr. Knightley. Emma learns that Frank Churchill and Jane Fairfax will marry soon, after an appropriate period of mourning for Mrs. Churchill.

**Analysis:** With Harriet gone from Highbury, Emma is free to enjoy Mr. Knightley’s presence. This chapter emphasizes the inappropriateness of Emma’s friendship with Harriet Smith. Harriet is a burden to Emma with her consistent heartbreaks and fragile nature, but in this case she bears the news about Mr. Knightley well. Austen gives the sense that Harriet’s disappointment is necessary and even appropriate in order to force her to settle on a man who has an equal status. Unlike her situation with Mr. Elton, Harriet is entirely to blame for any pain she has suffered because of her feelings for Mr. Knightley. Since Mr. Knightley did not mislead her, Harriet’s belief that he might love her is entirely a product of her developing vanity.

The chapter also reinforces the earlier theme that marriage does not simply affect the prospective husband and wife. Just as Emma and Mr. Knightley have to think about others’ desires and emotions, Jane Fairfax and Frank Churchill must show the proper respect to others. Marrying so soon after Mrs. Churchill died would be an affront to her memory, since it would indicate how the ill-tempered old woman prevented Frank from doing as he pleased.

**Chapter Fifty-three:** Mrs. Weston gives birth to a little girl, Anna, and begins discussing the possibility of marrying her to one of Isabella’s sons. Emma and Mr. Knightley publicly share the news of their engagement. Mr. Woodhouse dislikes the idea of Emma marrying Mr. Knightley because it would force him to change his habits. Still, he inevitably assents to the marriage, and Emma hopes that time and reassurance will inevitably soften the old man. Emma tells Mr. Knightley that she cannot call him by his first name but promises to call him George after they are married.

**Analysis:** Austen explores the dynamics of marriage and courtship in this chapter with the reminder that elite parents immediately plan for their child’s marriage. Just barely after she has been delivered, Anna Weston already seems a possible match for Henry Knightley. Also, the birth of Anna Weston gives additional light on the role of the governess. Emma notes that Miss Weston will be performing essentially the same job for her daughter that she did when she taught Emma at Hartfield.

Other details of the formality of courtship emerge. To Emma, her fiancé will be Mr. Knightley until they marry, and only then will she call him George. She still considers it improper to use his first name, even though they are engaged. Also, there is proper etiquette for revealing news of the marriage that Emma and Mr. Knightley must follow. Mr. Woodhouse, the most difficult case, must know almost immediately, and it is also proper form to tell the Westons. However, there are few members of Highbury society who can be ignored; it would even be impolite not to tell Jane Fairfax and Miss Bates the news.

**Chapter Fifty-four:** Harriet Smith is to be married to Robert Martin. Emma is somewhat disappointed by Harriet’s decision, as Mr. Knightley suspects, but he reminds her that Harriet will be happy and secure. When Frank Churchill and Jane Fairfax visit Highbury, Emma realizes that Mr. Knightley was the most suitable choice for her.

**Analysis:** This chapter concludes Harriet Smith’s romantic pursuits, as she agrees to marry the man whom she was originally meant to marry. She has given up the pretensions that Emma instilled in her and finally accepted her devoted suitor. This emphasizes the negative effect that Emma had on the young woman. If not for Emma’s interventions, Harriet would have
married Robert Martin sooner and been immediately content. By taking Harriet under her wing and giving her a sense of vanity, Emma postponed Harriet’s happiness with a succession of heartbreaks.

It is important to note how Emma has changed in this chapter. She is a bit disappointed by Harriet’s engagement, for she still harbors some wish that Harriet could find a more highborn husband, but comes to realize that Harriet’s connections are worse than Robert Martin’s and that Harriet can only benefit from the match. Emma has come to agree with Mr. Knightley’s earlier view that Harriet’s marriage to Robert Martin is the most sensible choice.

The similarity between Emma Woodhouse and Frank Churchill becomes more evident in this chapter. Both require spouses who will bring out their best qualities while subduing their worst. Frank Churchill and Emma indulge each other’s vanity and immaturity, but with the influence of Jane Fairfax and Mr. Knightley, respectively, Frank and Emma become more sensible and decent persons. It becomes clear that they would ultimately have been unsuitable for one another.

In the novel, the relationships that work best are those in which the spouses complement each other but do not necessarily resemble one another—Mr. and Mrs. Elton share a vulgar attention to social status and an utter lack of consideration for others’ feelings. But Jane Fairfax counters Frank’s insubstantial character with a reserved demeanor, Robert Martin is sensible where Harriet Smith is foolish and gullible, and Mr. Knightley is perceptive where Emma misjudges situations.

Chapter Fifty-five: Harriet writes to Emma about Robert Martin and admits that she was silly to consider Mr. Knightley. Harriet has learned the truth about her parents: her father was a respectable tradesman who could provide for her stay at Mrs. Goddard’s school. Emma meets Robert Martin and becomes convinced that Harriet will be happy with him. Harriet marries Robert Martin, Frank Churchill marries Jane Fairfax, and later, after Mr. Woodhouse is placated, Emma marries Mr. Knightley.

Analysis: Everything is set right in this chapter: Harriet becomes a respectable member of society when she learns of her family connections and finds happiness with Robert Martin. She is, as Emma had hoped, from a decent family and can now enter society without any undue suspicion.

Not surprisingly, Mr. Woodhouse becomes supportive of his daughter’s marriage when he realizes that it will benefit his own comfort. He agrees to the marriage after a string of robberies because he thinks that Mr. Knightley’s presence at Hartfield will keep him safe.

Emma herself finally fulfills Austen’s expectations and is married to Mr. Knightley. Upon her marriage, she is set to leave Highbury for a vacation to the ocean, the first instance in the novel in which she leaves her home. If Emma has conceded some of her independence to Mr. Knightley and allowed herself to be less than the center of attention, she has opened herself to new experiences and the possibility of a life in which things remain acceptably beyond her control.

Self Assessment

Multiple Choice Questions:

1. Jane Austen was born on December 16, ......... .
   
   (a) 1785  
   (b) 1675  
   (c) 1775  
   (d) 1975
2. In 1795, Austen met Tom Lefroy, the ........ of their neighbors at Steventon.
   (a) Son (b) Daughter (c) Cousin (d) Nephew

3. Austen completed the first draft of her next novel, titled “The Elliots” in July ........ .
   (a) 1816 (b) 1814 (c) 1788 (d) 1917

4. In the ........ Austen works began to receive major scholarly attention.
   (a) 21st century (b) 20th century (c) 18th century (d) 19th century

8.3 Summary

• Emma, by Jane Austen, is a novel about the perils of misconstrued romance. The novel was first published in December 1815.

• Austen’s novel, focusing on courtship and marriage, remain well-known for their satiric depictions of English society and the manners of the era.

• “Emma” was first published by John Murray in December of 1815.

• In the 20th century, Austen’s works began to receive major scholarly attention, specifically with the publication of A.C.

• Emma’s viewpoint predominates the novel, and Austen gives her perspective on nearly every event, but it is not the only perspective.

• An important consideration in Emma and, Jane Austen’s novels in general, is social status, particularly when it concerns marriage.

8.4 Keywords

Epistolary : relating to writing of letter.
Uncouth : lacking good manners.

8.5 Review Questions

1. Write a note on the fiction “Emma”.
2. Write an essay on the biography of Jane Austen.
3. Explain the detailed study of the text.

Answers: Self Assessment

1. (c) 2. (d) 3. (a) 4. (b)
8.6 Further Readings

Books
- Emma (E Text) — Jane Austen
- Jane Austen — Tony Tanner
- Jane Austen: the World of her Novels — Deirdre Le Faye

Online links
- http://pinkmonkey.com/booknotes/monkeynotes/pmEmma02.asp
- http://www.austen.com/emma/
- http://www.online-literature.com/austen/emma/1/
Unit 9: Emma Plot Construction

Objectives

After studying this unit, you will be able to:

• Explain the plot construction of Emma

• Discuss the summary of the plot construction.

Introduction

Emma Woodhouse is the top girl in her town. She’s rich, pretty, and intelligent. She swears she will never marry, and takes up matchmaking, which she thinks she’s good at. While doing this she manages to break some hearts and get a marriage proposal from Mr Elton, who she was trying to match-make with her best friend, Harriet. While sunbbbing the other girl the village (who would’ve been her friend but who Emma looks down on), Jane Fairfax, a shy girl, she also gets involved in a friendly courtship with Mr. Frank Churchill, a young rich man who is very friendly and a big flirt. Her self-appointed mentor, Mr Knightley (brother-in-law) disapproves, but Emma doesn’t listen to him. He thinks Emma isn’t doing a good job at matchmaking or anything for that matter, but she just brushes him off mostly. He also thinks Frank Churchill is a improper guy, but he’s biased. Frank Churchill, while being friendly and flirty, manages to accidentally get a crush on Emma, but the next time she sees him, is over her. Meanwhile, he enjoys joining her in teasing Jane and mocking her and her aunt, Miss Bates. Jane is much more intelligent and prettier than Harriet, but Emma’s dislike for her stems in jealousy and grows through Jane’s shyness, which Emma interprets as snobbery, not realizing that she is the real snob. After awhile, after insulting Miss Bates publicly, Emma gets a rather large scolding from Mr Knightley, and goes to make amends with Jane and Miss Bates. Miss Bates, always agreeable, forgives her immediately, but Jane, pretending to be sick, refuses to see her. Emma goes home, puzzled. She tries to visit Jane again, when she hears that other people have seen her, but is told she is too sick to see anyone. She tried to send medicine, only to have it returned. Finally, realizing in despair that Jane is not so willing to forgive her, stops. She is confused, sorry for mocking Jane, and annoyed at her previous best friend, Harriet, who confessed she had a crush on Mr Knightley, who Emma is secretly in love with. Meanwhile, she finds the shocking news that Frank Churchill all this time was engaged to Jane Fairfax. Shocked and dismayed at Frank’s behavior, not only to herself, but his nasty treatment of Jane, understand’s Jane’s feelings towards her immediately. Jane saw Emma as competition. Now
that the engagement is open, Jane begs Emma to forgive her, and they become good friends. Harriet marries a Mr Martin, who she secretly loved all along, and Mr Knightley, over his grudge (jealousy) of Frank Churchill, proposes to Emma, who, although friendly with Frank, never was in love with Frank. Emma and Frank are still friends, and all ends happily.

9.1 Plot Construction

Although conceived that she herself will never marry, Emma Woodhouse, a precocious twenty-year-old resident of the village of Highbury, imagines herself to be naturally gifted in conjuring love matches. After self-declared success at matchmaking between her governess and Mr. Weston, a village widower, Emma takes it upon herself to find an eligible match for her new friend, Harriet Smith. Though Harriet’s parentage is unknown, Emma is convinced that Harriet deserves to be a gentleman’s wife and sets her friend’s sights on Mr. Elton, the village vicar. Meanwhile, Emma persuades Harriet to reject the proposal of Robert Martin, a well-to-do farmer for whom Harriet clearly has feelings.

Harriet becomes infatuated with Mr. Elton under Emma’s encouragement, but Emma’s plans go awry when Elton makes it clear that his affection is for Emma, not Harriet. Emma realizes that her obsession with making a match for Harriet has blinded her to the true nature of the situation. Mr knightley, Emma’s brother-in-law and treasured friend, watches Emma’s matchmaking efforts with a critical eye. He believes that Mr. Martin is a worthy young man whom Harriet would be lucky to marry. He and Emma quarrel over Emma’s meddling, and, as usual, Mr. Knightley proves to be the wiser of the pair. Elton, spurned by Emma and offended by her insinuation that Harriet is his equal, leaves for the town of Bath and marries a girl there almost immediately.

Emma is left to comfort Harriet and to wonder about the character of a new visitor expected in Highbury—Mr. Weston’s son, Frank Churchill. Frank is set to visit his father in Highbury after having been raised by his aunt and uncle in London, who have taken him as their heir. Emma knows nothing about Frank, who has long been deterred from visiting his father by his aunt’s illnesses and complaints. Mr. Knightley is immediately suspicious of the young man, especially after Frank rushes back to London merely to have his hair cut. Emma, however, finds Frank delightful and notices that his charms are directed mainly toward her. Though she plans to discourage these charms, she finds herself flattered and engaged in a flirtation with the young man. Emma greets Jane Fairfax, another addition to the Highbury set, with less enthusiasm.

Did u know? Jane is beautiful and accomplished, but Emma dislikes her because of her reserve and, the narrator insinuates, because she is jealous of Jane.
and he attempts to warn Emma. Emma laughs at Knightley’s suggestion and loses Knightley’s approval when she flirts with Frank and insults Miss Bates, a kindhearted spinster and Jane’s aunt, at a picnic. When Knightley reprimands Emma, she weeps.

News comes that Frank’s aunt has died, and this event paves the way for an unexpected revelation that slowly solves the mysteries. Frank and Jane have been secretly engaged; his attentions to Emma have been a screen to hide his true preference. With his aunt’s death and his uncle’s approval, Frank can now marry Jane, the woman he loves. Emma worries that Harriet will be crushed, but she soon discovers that it is Knightley, not Frank, who is the object of Harriet’s affection. Harriet believes that Knightley shares her feelings. Emma finds herself upset by Harriet’s revelation, and her distress forces her to realize that she is in love with Knightley. Emma expects Knightley to tell her he loves Harriet, but, to her delight, Knightley declares his love for Emma. Harriet is soon comforted by a second proposal from Robert Martin, which she accepts.

The novel ends with the marriage of Harriet and Mr. Martin and that of Emma and Mr. Knightley, resolving the question of who loves whom after all.

9.1.1 Summary of Plot Construction

Emma is a novel of courtship and social manners. The majority of the book focuses on the question of marriage: who will marry whom and for what reasons will they marry: love, practicality, or necessity? At the center of the narration is the title character, Emma Woodhouse, a heiress who lives with her widowed father at their estate, Hartfield. Noted for her beauty and cleverness, Emma is somewhat wasted in the small village of Highbury but takes a great deal of pride in her matchmaking skills. Unique among other women her age, she has no particular need to marry: she is in the unique situation of not needing a husband to supply her fortune.

At the beginning of the novel, Emma’s governess, Miss Taylor, has just married Mr. Weston, a wealthy ma who owns Randalls, a nearby estate. Without Miss Taylor as a companion, Emma feels suddenly lonely and decides to adopt the orphan Harriet Smith as a protege. Harriet lives at a nearby boarding school and knows nothing of her parents. Emma concludes that Harriet’s father must have been a gentleman and advises the innocent Harriet in virtually all things, including her choice of society. She suggests that Harriet does not spend any more time with the Martins, a local family of farmers whose son, Robert, has paid Harriet much attention. Instead, Emma plans to play matchmaker for Harriet and Mr. Elton, the vicar of the church in Highbury.

The friendship between Emma and Harriet does little good for either of them, a fact which Mr. Knightley, a neighbor and old friend, immediately notices. Harriet indulges Emma’s worst qualities, giving her opportunity to meddle and serving only to flatter her. Emma in turn fills Harriet Smith with grand pretensions that do not suit her low situation in society. When Robert Martin proposes to Harriet, she rejects him based on Emma’s advice, thinking that he is too common. Mr. Knightley criticizes Emma’s matchmaking because he views Robert Martin to be superior to Harriet; while he is respectable, she is from uncertain origins. Emma’s sister, Isabella, and her husband, Mr. John Knightley, visit Highbury, and Emma uses their visit as an opportunity to reconcile with Mr. Knightley after their argument over Harriet. Yet, she still believes that Mr. Elton is a far more suitable prospect than Robert Martin.

At first Emma seems to have some success in her attempts to bring Harriet and Mr. Elton together. The three spend a good deal of leisure time together, and he seems receptive to all
of Emma’s suggestions. When Harriet is unable to attend the Westons’ party on Christmas Eve, however, Mr. Elton focuses all of his attention solely on Emma. When they travel home by carriage from the party, Mr. Elton professes his adoration for Emma and dismisses the idea that he would ever marry Harriet Smith. Mr. Elton intends to move up in society and is interested in Emma primarily for her social status and wealth. Emma promptly rejects Mr. Elton, who is highly offended and promptly leaves Highbury for a stay in Bath.

Emma is shocked by her poor judgment of the situation and belief that Mr. Elton would be a good match for Harriet. She realizes that Mr. Knightley may have been correct in some of his advice to her, but she is still not convinced that Harriet should demean herself by associating with Robert Martin. After Mr. Elton’s departure, Emma is forced to break the news to a broken-hearted Harriet.

The village of Highbury is impatiently anticipating the visit of Frank Churchill, Mr. Weston’s son from his first marriage. After the death of his wife, Mr. Weston sent the child to be raised by his wife’s family, acknowledging that he did not have enough wealth to provide for the boy. Frank is thought to be an ideal match for Emma and, without having met him, Emma agrees that his age and breeding make him a good suitor for her.

Another character who occupies Emma’s thoughts is Jane Fairfax, the granddaughter of Mrs. Bates, the impoverished widow of the former vicar, and the niece of Miss Bates, a chattery spinster who lives with her mother. Jane is equal to Emma in every respect (beauty, education, talents) except for status and provokes some jealousy in Emma. Jane will soon visit Highbury because the wealthy family who raised her after her parents’ death has gone on vacation.

In the meantime, Mr. Elton returns from Bath with news that he is engaged to a Miss Augusta Hawkins. This news, along with an awkward meeting with the Martins, greatly embarrasses poor Harriet.

Frank Churchill finally visits the Westons, and Emma is pleased to discover that he lives up to her expectations. Emma and Frank begin to spend time together, but she notices that he seems to be somewhat insubstantial and immature. He makes a day trip to London for the sole reason of getting his hair cut; an act that even Emma acknowledges is superficial. As Frank and Emma continue to spend more time in each other’s company, Mr. Knightley becomes somewhat jealous. He disapproves of Frank, convinced that he is not to be trusted, especially with Emma’s heart. Emma in turn becomes jealous as she suspects that Mr. Knightley might be in love with Jane Fairfax.

Emma’s friendship with Frank Churchill is bolstered by his seemingly shared disdain for Jane Fairfax. Frank confirms Emma’s suspicions that Jane might be involved with Mr. Dixon, a married man, even though this is only idle gossip. Soon afterward, Jane Fairfax receives a pianoforte from London, and Emma and Frank conclude that it was sent to her by Mr. Dixon.

Frank Churchill must abruptly leave Highbury when he learns that his aunt is unwell. She is an insufferable woman, proud and vain, and she exercises great authority over her nephew. Thinking that Frank is ready to process his love for her, Emma convinces herself that she is in love with him but is uncertain how to tell if her feelings are sincere. Finally, she realizes that she must not be in love with him because she is as happy with him absent as she was with him present.
Mr. Elton brings his new wife back to Highbury. She is a vapid name-dropper, who compares everything to the supposedly grand lifestyle of her relatives and addresses her new peers in Highbury with a startling lack of formality. Emma takes an instant dislike to her, and upon realizing this, Mrs. Elton takes a dislike to Emma.

When Frank Churchill returns, he and Emma sponsor a ball at the Crown Inn. It is generally assumed that Frank and Emma have formed an attachment, but Emma has already ceased to imagine Frank as her own suitor and perceived him as a potential lover for Harriet. During the ball, Mr. Elton takes the opportunity to humiliate Harriet, openly snubbing her in front of the other guests. Mr. Knightley undercuts this social slight by graciously dancing with Harriet in Mr. Elton’s stead.

The next day, while walking home, Harriet is attacked by a group of gypsy beggars, but Frank Churchill saves her. His gallant rescue becomes the talk of Highbury and leads Emma to confirm her belief that he would be a suitable match for Harriet. While discussing the event, Harriet admits that she has feelings for the man who saved her, though she does not explicitly name Frank Churchill. Thanks to this new infatuation, Harriet is finally past her heartbreak for Mr. Elton.

Self Assessment

Fill in the blanks:
1. Emma Woodhouse is the ........ girl in her town.
2. Jane is .......... and accomplished, but Emma dislikes her.
3. Mr. Elton bring his new .......... back to Highbury.
4. Harriet is finally past her heartbreak for .......... .

Mr. Knightley begins to suspect that Frank Churchill has a secret relationship with Jane Fairfax, but Emma laughs at him and continues to flirt with Frank Churchill. At an outing at Box Hill, Frank Churchill’s bad influence over Emma comes to a head, and Emma insults Miss Bates to her face. Afterwards, Mr. Knightley severely scolds Emma for her behavior. When Emma visits Miss Bates to apologize, she discovers how much her insult has damaged her relationship with the family.

After the death of his aunt, Frank is suddenly free to reveal that he has been secretly engaged to Jane Fairfax. The engagement had to remain a secret because of his aunt’s disapproval and threat to disown him if he made a bad match. Frank Churchill’s flirtatious behavior toward Emma is revealed to be nothing more than a ruse meant to divert attention from his feelings for Jane. When Emma attempts to break the bad news of Frank Churchill’s engagement to Harriet, Emma learns that Harriet is actually in love with Mr. Knightley, who “rescued” her at the Crown Inn ball. With Harriet’s revelation, Emma realizes that she is in love with Mr. Knightley herself. Emma concludes that, not only has been put her friend in the position of yet another heartbreak, but she has done Harriet a great disservice by making her think that she could aspire to such heights of society.

Mr. Knightley soon professes his love for Emma, and they plan to marry. Yet there are two obstacles: first, if Emma were to marry, she would have to leave her father, who would not be able to bear the separation; second, she must break the news to Harriet. Mr. Knightley decides to move in to Hartfield after their marriage to allay Mr. Woodhouse’s fears of being left alone. Harriet takes the news about Mr. Knightley well and soon after reunites with Robert Martin. The novel concludes with three marriages: Robert Martin and Harriet, Frank Churchill and Jane Fairfax, and finally, Mr. Knightley and Emma.
9.2 Summary

- Mr. Knightley defends Jane, saying that she deserves compassion because, unlike Emma, she has no independent fortune and must soon leave home to work as governess.
- Without Miss Taylor as a companion, Emma feels suddenly lonely and decides to adopt the orphan Harriet Smith as a protege.
- The friendship between Emma and Harriet does little good for either of them, a fact which Mr. Knightley, a neighbor and old friend, immediately notices.
- At first Emma seems to have some success in her attempts to bring Harriet and Mr. Elton together.
- After the death of his aunt, Frank is suddenly free to reveal that he has been secretly engaged to Jane Fairfax.

9.3 Keywords

Conjuring: cause to appear as if by magic.
Infatuation: be inspired with an intense but short-lived passion for.
Adoration: love and respect deeply.

9.4 Review Questions

1. Examine the plot construction of work titled “Emma”.
2. Explain the summary of plot construction.

Answers: Self Assessment

1. Top girl 2. Wife

9.5 Further Readings

Books
- Emma (E Text) — Jane Austen
- Jane Austen — Tony Tanner
- Jane Austen: the World of her Novels — Deirdre Le Faye

Online links
- http://pinkmonkey.com/booknotes/monkeynotes/pmEmma78.asp
Unit 10: Emma Characterization and all Major and Minor Themes

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Objectives
After studying this unit, you will be able to:

- Explain the analysis of major characters
- Discuss all the major and minor themes.

Introduction
Jane Austen began writing Emma in 1814, and the book was published anonymously for the first time in 1816. Anne Taylor, Emma’s governess, who had been extremely close to both Emma and her father, moves out to live with Mr. Weston. In the absence of this confidante, Emma looks for a new friend and becomes acquainted with the seventeen years old, illegitimate girl Harriet. Emma Woodhouse, a twenty-one-year-old girl. She lives with her father, her mother already died at a very young age. So Emma was raised from the day of her fifth birthday on by a governess, Miss Taylor. Emma herself says about Miss Taylor that she ‘fell little short of a mother in affection.’ At the beginning of the novel, however, Miss Taylor has just married Mr. Weston. So Emma is being left alone after sixteen years of companionship of Miss Taylor. As much as she dislikes the fact that her governess married it was in fact she who brought the two lovers together.

10.1 Major Characters

Emma Woodhouse

Emma Woodhouse is the protagonist of the story, is a beautiful, high-spirited, intelligent, and ‘slightly’ spoiled young woman of the age of twenty. Her mother died when she was very young, and she has been mistress of the house ever since, certainly since her older sister got married. Although intelligent, she lacks the necessary discipline to practice or study anything in depth. She is portrayed as very compassionate to the poor, but at the same time has a strong
sense of class. Her affection for and patience towards her hypochondriac father are also noteworthy. While she is in many ways mature for her age, Emma makes some serious mistakes, mainly due to her conviction that she is always right and her lack of real world experience. Although she has vowed she will never ever marry, she delights in making matches for others.

Notes

Emma Woodhouse seems unable to fall in love, until jealousy makes her realize that she has loved Mr. Knightley all along.

George Knightley

George Knightley is about thirty-seven years old, is a close friend of Emma, and her only critic, although he cares deeply for her. Mr. Knightley is the owner of the neighbouring estate of Donwell Abbey, which includes extensive grounds and a farm. He is the elder brother of Mr. John Knightley, the husband of Emma’s elder sister Isabella. Mr Knightley is very annoyed with Emma for persuading Harriet to turn down Mr Martin, thinking that the advantage is all on Harriet’s side; he also warns Emma against matchmaking Harriet with Mr. Elton, correctly guessing that Mr. Elton has a much higher opinion of himself. He is suspicious of Frank Churchill and his motives; although his suspicion turns out to be based mainly on jealousy of the younger man, his instincts are proven correct by the revelation that Frank Churchill is not all that he seems.

Mr. Frank Churchill

Mr. Frank Churchill is Mr. Weston’s son by his previous marriage, is an amiable young man, who manages to be liked by everyone except Mr. Knightley, who considers him quite immature, although this partially results from his jealousy of Frank’s supposed ‘pursuit’ of Emma. After his mother’s death, he was raised by his wealthy aunt and uncle, whose last name he took. Frank enjoys dancing and music and living life to the fullest. Frank may be viewed as a careless but less villainous version of characters from other Austen novels, such as Mr. Wickham from Pride and Prejudice or Willoughby from Sense and Sensibility.

Jane Fairfax

Jane Fairfax is an orphan whose only family consists of an aunt, Miss Bates, and a grandmother, Mrs. Bates, is regarded as a very beautiful, clever, and elegant woman, with the best of manners, and is also very well-educated and exceptionally talented at singing and playing the piano; in fact, she is the sole person whom Emma envies. She has little fortune, however, and seems destined to become a governess – a prospect she dislikes.

Harriet Smith

Harriet Smith is a young friend of Emma’s is a very pretty but unsophisticated girl who is too easily led by others, especially Emma; she has been educated at a nearby school. The illegitimate daughter of initially unknown parents, she is revealed in the last chapter to be the daughter of a fairly rich and decent tradesman, although not a “gentleman”. Emma takes Harriet under her wing early in the novel, and she becomes the subject of some of Emma’s misguided matchmaking attempts. Harriet initially rebuffs a marriage proposal from farmer Robert Martin because of Emma’s belief that he is beneath her, despite Harriet’s own doubtful origins. She then develops a passion for Mr. Knightley, which is the catalyst for Emma realising her own feelings. Ultimately, Harriet and Mr. Martin are wed, despite Emma’s initial meddling. The now wiser Emma approves of the match.
Augusta Elton

Augusta Elton is formerly Miss Hawkins, is Mr. Elton’s wife. She is moneyed but lacks breeding and possesses moderately good manners, at best. She is a boastful, domineering, pretentious woman who likes to be the centre of attention and is generally disliked by Emma and her circle. She patronizes Jane, which earns Jane the sympathy of others.

Mrs. Anne Weston

Mrs. Anne Weston formerly Miss Taylor, was Emma’s governess for sixteen years and remains her closest friend and confidante after she marries Mr. Weston in the opening chapter. She is a sensible woman who adores and idolizes Emma. Mrs. Weston acts as a surrogate mother to her former charge and, occasionally, as a voice of moderation and reason, although she is the one to yield in arguments more often than not.

Mr. Weston

Mr. Weston is a recently wealthy man living in the vicinity of Hartfield, marries Emma's former governess, Miss Taylor, and by his first marriage is father to Frank Churchill, who was adopted and raised by his late wife’s brother and sister-in-law. Mr. Weston is a sanguine, optimistic man, who enjoys socializing.

Miss Bates

Miss Bates is a friendly, garrulous spinster whose mother, Mrs. Bates, is a friend of Mr. Woodhouse. Her accomplished niece, Jane Fairfax, is the light of her life. One day, Emma humiliates her on a day out in the country, when she pointedly alludes to her tiresome prolixity. Afterward, Mr. Knightley sternly rebukes Emma. Shamed, Emma tries to make amends.

Mr. Henry Woodhouse

Emma’s father, is always concerned for his own health and comfort, and to the extent that it does not interfere with his own, the health and comfort of his friends. He is a valetudinarian (i.e., similar to a hypochondriac but more likely to be genuinely ill). He assumes a great many things are hazardous to one’s health, and is generally a difficult person to handle because he is always fussing about the trifling things which bother him and which he assumes must bother everyone else just the same, to the point of trying to convince his visitors to deny foods he considers too rich. He laments that “poor Isabella” and especially “poor Miss Taylor” have married and been taken away from him, because since he is unhappy about their being gone, he assumes they must be miserable as well; moreover, he dislikes change in general, and marriage is a form of change.

Self Assessment

State the following sentences are True or False:

1. Emma Woodhouse is a beautiful young lady of the age of twenty-two.
2. Jane Austen began writing Emma in 1812.
3. Jane Fairfax is an orphan.
4. Harriet Smith is Mr. Weston’s son by his previous marriage.
Isabella Knightley (Woodhouse)

Isabella Knightley (Woodhouse) is the elder sister of Emma and daughter of Henry. She is married to John Knightley, and spends much of her time at home caring for her five children (Henry, ‘little’ John, Bella, ‘little’ Emma, and George).

John Knightley

John Knightley is Isabella’s husband and George’s younger brother. He is an old acquaintance of Jane Fairfax. He indulges his family’s desires for visits and vacations, although he would prefer to stay at home, especially if the weather is less than perfect.

Task

Explain about the character of Emma Woodhouse and Mr. Henry Woodhouse.

Mr. Philip Elton

The vicar of the church in Highbury whom Emma chooses as a possible suitor for Harriet Smith. Mr. Elton ultimately reveals his romantic interest in Emma herself, but she rejects him. He marries the pretentious and rude Augusta Hawkins.

Mr. Woodhouse

Emma’s father is a wealthy man possessed of a large estate, Hartfield. Isolated in his estate, Mr. Woodhouse has few enjoyments. Although he dotes on Emma, he also indulges her more selfish tendencies and is largely unpleasant. His complaints and lack of activity make him appear a much older man than he actually is.

Miss Bates

The daughter of Mrs. Bates, Miss Bates is neither young, married, handsome nor rich. She lacks any distinguishing traits such as intellect or cleverness, yet is generally happy and treats others with great goodwill. Emma’s cruel treatment of Miss Bates during the picnic at Box Hill is one of the turning points of the novel.

Mrs. Elton (Miss Augusta Hawkins)

Mrs. Elton is the daughter of a Bristol merchant who marries Mr. Elton. Her status in society rests only on the fact that her sister married very well, and her behavior when she arrives at Highbury is presumptuous, arrogant and rude. She refuses to treat others with the proper respect they are accorded, including even Mr. Knightley.

Mr. Weston

Mr. Weston is the older man that Miss Taylor marries. Mr. Weston had been married much earlier. From this marriage he had a son, Frank Churchill, whom he sent away to be raised by his late wife’s relatives. He is from a respectable family that has been progressively moving up in society and amassed a modest fortune.
Mrs. Bates

Mrs. Bates is the widow of a former vicar of Highbury and the mother of Miss Bates. She is considered a harmless old lady and is largely ignored by Highbury society.

Robert Martin

Robert Martin is a likable farmer who lives on Mr. Knightley's estate. Emma convinces Harriet to reject his first proposal of marriage because she believes that he is too coarse. He marries Harriet at the end of the novel.

Mrs. Goddard

Mrs. Goddard is the mistress of a Boarding school where girls might be sent to receive a little education. One of her former students is Harriet Smith, who now assists her.

10.1.1 Analysis of Major Characters

Emma Woodhouse

The narrator introduces Emma to us by emphasizing her good fortune: "handsome, clever, and rich, with a comfortable home and happy disposition," Emma "had lived nearly twenty-one years in the world with very little to distress or vexes her." But, the narrator warns us, Emma possesses "the power of having rather too much her own way, and a disposition to think a little too well of herself." Emma's stubbornness and vanity produce many of the novel's conflicts, as Emma struggles to develop emotionally.

Emma makes three major mistakes. First, she attempts to make Harriet into the wife of a gentleman, when Harriet's social position dictates that she would be better suited to the farmer who loves her. Then, she flirts with Frank Churchill even though she does not care for him, making unfair comments about Jane Fairfax along the way. Most important, she does not realize that, rather than being committed to staying single (as she always claims), she is in love with and wants to marry Mr. Knightley. Though these mistakes seriously threaten Harriet's happiness, cause Emma embarrassment, and create obstacles to Emma's own achievement of true love, none of them has lasting consequences. Throughout the novel, Knightley corrects and guides Emma; in marrying Knightley, Emma signals that her judgment has aligned with his.

Austen predicted that Emma would be "a character whom no one but me will much like." Though most of Austen's readers have proven her wrong, her narration creates many ambiguities. The novel is narrated using free indirect discourse, which means that, although the all-knowing narrator speaks in the third person, she often relates things from Emma's point of view and describes things in language we might imagine Emma using. This style of narration creates a complex mixture of sympathy with Emma and ironic judgment on her behavior. It is not always clear when we are to share Emma's perceptions and when we are to see through them. Nor do we know how harshly Austen expects us to judge Emma's behavior. Though this narrative strategy creates problems of interpretation for the reader, it makes Emma a richly multidimensional character.

Emma does not have one specific foil, but the implicit distinctions made between her and the other women in the novel offer us a context within which to evaluate her character. Jane is similar to Emma in most ways, but she does not have Emma's financial independence, so her
difficulties underscore Emma’s privileged nature. Mrs. Elton, like Emma, is independent and imposes her will upon her friends, but her crudeness and vanity reinforce our sense of Emma’s refinement and fundamentally good heart. Emma’s sister, Isabella, is stereo-typically feminine—soft-hearted, completely devoted to her family, dependent, and not terribly bright.

Did u know? The novel implicitly prefers Emma’s independence and cleverness to her sister’s more traditional deportment, although we are still faced with the paradox that though Emma is clever, she is almost always mistaken.

Mr. Knightley

Mr. Knightley serves as the novel’s model of good sense. From his very first conversation with Emma and her father in Chapter 1, his purpose—to correct the excesses and missteps of those around him—is clear. He is unfailingly honest but tempers his honesty with tact and kindheartedness. Almost always, we can depend upon him to provide the correct evaluation of the other characters’ behavior and personal worth. He intuitively understands and kindly makes allowances for Mr. Woodhouse’s whims; he is sympathetic and protective of the women in the community, including Jane, Harriet, and Miss Bates; and, most of all, even though he frequently disapproves of her behavior, he dotes on Emma.

Knightley’s love for Emma—the one emotion he cannot govern fully—leads to his only lapses of judgment and self-control. Before even meeting Frank, Knightley decides that he does not like him. It gradually becomes clear that Knightley feels jealous—he does not welcome a rival. When Knightley believes Emma has become too attached to Frank, he acts with uncharacteristic impulsiveness in running away to London. His declaration of love on his return bursts out uncontrollably, unlike most of his prudent, well-planned actions. Yet Knightley’s loss of control humanizes him rather than making him seem like a failure.

Like Emma, Knightley stands out in comparison to his peers. His brother, Mr. John Knightley, shares his clear-sightedness but lacks his unfailing kindness and tact. Both Frank and Knightley are perceptive, warm-hearted, and dynamic; but whereas Frank uses his intelligence to conceal his real feelings and invent clever compliments to please those around him, Knightley uses his intelligence to discern right moral conduct. Knightley has little use for cleverness for its own sake; he rates propriety and concern for others more highly.

Frank Churchill

Frank epitomizes attractiveness in speech, manner, and appearance. He goes out of his way to please everyone, and, while the more perceptive characters question his seriousness, everyone except Knightley is charmed enough to be willing to indulge him. Frank is the character that most resembles Emma, a connection she points out at the novel’s close when she states that “destiny ... connect[s] us with two characters so much superior to our own.” Like Emma, Frank develops over the course of the novel by trading a somewhat vain and superficial perspective on the world for the seriousness brought on by the experience of genuine suffering and love. He is a complex character because though we know we should judge him harshly in moral terms, we cannot help but like him more than he deserves to be liked.
Jane Fairfax

Jane’s beauty and accomplishment immediately make her stand out, but we are likely to follow Emma’s lead at first and judge Jane uninteresting on account of her reserve. As Jane gradually betrays more personality and emotion, she indicates that she harbors some secret sorrow. Eventually, she and Emma push the cloudy confusion behind and become friends. The contrast between Jane’s delicate sense of propriety and morality and the passionate nature of her feelings is much more dramatic than any of the conflicts that Emma experiences. Jane’s situation too is much more dire than Emma’s: if Jane does not wed, she must become a governess, because she lacks any money of her own. The revelation of Jane’s secret engagement to Frank makes Jane seem more human, just as Knightley’s humanity is brought out by his love for Emma.

10.2 Emma: All Major and Minor Themes

Courtship and marriage

As in all of Austen’s novels, courtship and marriage play major roles in “Emma.” The entire novel is structured around various courtships and romantic connections, from Harriet and Robert Martin to Jane Fairfax and Frank Churchill to Emma and Mr. Knightley. All of the conflicts in the novel also revolve around this topic, particularly in terms of characters striving to find appropriate matches. In this way, Austen presents marriage as a fundamental aspect of society during the time period. While marriage promotes families and serves romantic purposes, it also upholds the class structure of the community by ensuring that individuals marry appropriately (such as Harriet and Robert Martin, who are in the same class). At the same time, Austen also uses marriage to highlight the social limitations faced by Emma and other characters: in their small village, marriage and courtship are the sole catalysts of excitement or conflict.

Social class

Austen highlights the theme of social class throughout the novel, particularly in terms of Emma’s relationship with Harriet Smith. As a member of the wealthiest family in Highbury, Emma holds the highest social position in the community. While she interacts with other characters at an equal level (such as Mr. Knightley), she also has social responsibilities to less fortunate individuals, such as Miss Bates, Harriet Smith, and the poor families who live on her estate. Yes, while Austen encourages compassion and charity in members of the higher classes, she also maintains the importance of class distinctions. One of Emma’s biggest mistakes is taking the lower-class Harriet Smith and bringing her to an almost equal social level. While Harriet is a benevolent character, Austen asserts that she is not an appropriate member of high society and, in fact, would never be accepted if it were not for Emma’s influence. As a result of this confusion of classes, Harriet develops inappropriate expectations for marriage and her future and thus risks being rejected from her own peers, such as the Martin family. Austen also uses Mr. Weston’s first marriage as an example of this: because Mr. Weston’s first wife was from a higher social class, she was unable to adjust to his lower standard of living, and the marriage was ultimately an unhappy one.

Oppression of women

As a heroine, Emma possesses beauty, wealth, intelligence, high social standing, and financial independence. However, Austen makes it clear that Emma is unique in her position; most of the women in the novel lack Emma’s financial independence and, as a result, have much more limited options for their futures. This speaks to the ingrained oppression of women in British
society at the time. Most occupations were deemed inappropriate for women (akin to prostitution), which left women almost incapable of supporting themselves independently. Jane Fairfax is presented as an example of this ingrained oppression of women. Although she possesses all of the same personal qualities as Emma, she lacks the wealth that could give her financial and social security. The only options available for her are marriage or becoming a governess. Most of the other female characters in the novel are faced with a similar choice: Harriet Smith can either marry or continue to work at Mrs. Goddard’s school; Mrs. Weston only marries Mr. Weston after working as Emma’s governess. Although Emma is luckier than most, even she has limited options for her future: she can either marry or become a wealthy spinster. Ironically, Austen herself had to submit to this ingrained oppression: because she never married and could not publicly claim her novels, she was dependent on her family for support.

Miscommunication

Many of the major conflicts in the novel are a direct result of miscommunication between characters. One primary example is Mr. Elton’s misguided courtship of Emma during which Emma assumes that Mr. Elton is actually courting Harriet Smith. This misconception is perpetuated when Mr. Elton presents Emma with a riddle for Harriet’s book. Because there is no real communication between the three characters, the revelation of Mr. Elton’s true feelings is much more problematic. Similar problems arise because of miscommunication between Frank Churchill and Emma. While Emma initially views Frank Churchill as her future husband, Frank is secretly engaged to Jane Fairfax and manipulates Emma into promoting the façade. Even Mr. Knightley engages in miscommunication by failing to reveal his true feelings for Emma until the very end of the novel. As such, Emma assumes that Harriet and Mr. Knightley are in love with each other. In each of these cases, the required formality of social interactions ends up causing nothing but confusion and hurt feelings. However, in the end, all of these social mishaps are resolved, and each character is ultimately matched up with an appropriate partner.

Moral relativism

For the majority of the novel, Emma operates under the assumption that she knows what is best for those around her. A prime example of this is Emma’s relationship with Harriet Smith, in which Emma assumes that she has the right to determine Harriet’s choice of husband and future happiness. She even takes responsibility for Harriet’s personality: taking it upon herself to “improve” Harriet. Emma indulges in similarly condescending behavior with many other characters in the novel, including Mr. and Mrs. Weston, her sister and father, Mr. Elton, and Frank Churchill. Emma’s belief in her own infallibility is undermined by her behavior toward Miss Bates at the picnic at Box Hill. Although Emma had made mistakes with Harriet and Mr. Elton, this is the first time that Emma is blatantly wrong in her behavior. This forces her to acknowledge that her seeming infallibility regarding those around her is nothing more than ego and arrogance.

Love

For the majority of the novel, Emma considers herself to be immune to romantic love. Although she considers the possibility of marriage to Frank Churchill, she acknowledges that she does not actually love him, as she is just as happy during his absence as she is during his presence. This ability to exist without love relates to the larger theme of marriage that permeates the narrative. Austen makes it clear that love is not a requirement for marriage and can actually
be a detriment to the relationship (as with Mr. Weston’s first marriage). An individual must first consider social position, fortune, and other logical qualities when determining an appropriate match. However, because of Emma’s financial independence, these logical considerations are superfluous: she is in the unique position to be able to marry solely for love. Ironically, while Emma’s ultimate choice is made out of love, Mr. Knightley also combines all of the logical qualities of wealth, social status, and breeding that make a good husband. In the end, Austen also ensures that Harriet Smith and Jane Fairfax are able to marry for love, though their marriages also serve the important purpose of providing them with financial and social security.

Social conduct

Because “Emma” focuses so much on social interaction and society, conduct plays an extremely important role. Austen uses it as a way to measure worth in her characters, as well as establish which characters have behaved inappropriately. Although Emma is oblivious about her own faults for much of the novel, she is always very aware of appropriate conduct and manners in herself. She is also quick to recognize bad conduct in those around her, such as Mr. Elton, Mrs. Elton, Frank Churchill, and her own brother-in-law. Significantly, it is Emma’s realization of her bad conduct in insulting Miss Bates at the picnic at Box Hill that serves as a catalyst to her self-improvement toward the end of the novel. As a result of Frank Churchill’s influence, Emma had abandoned proper social conduct and symbolically lowered her status. She is forced to make amends to Miss Bates directly and, even then, is overcome with guilt. By the end of the novel, however, Emma is able to regain her sense of appropriate conduct and marries the only other character with equal awareness of manners and breeding: Mr. Knightley.

Money, Marriage, and the Women of “Emma”

Emma is the first of Jane Austen’s novels to feature a heroine who is free from financial concerns. While other Austen heroines view marriage as a financial necessity, Emma expresses no interest or desire to marry for the majority of the novel. Her fortune assures her of independence and security. In fact, her chief concern is that marriage will prevent her from maintaining that independence. Emma is also a unique Austen heroine because of her lack of romantic sensibilities. While Marianne Dashwood of “Sense and Sensibility,” Anne Elliot of “Persuasion,” and Jane Bennet of “Pride and Prejudice” have each of their actions qualified by their love, Emma is remarkable self-possessed and views love only from a detached and almost masculine standpoint. It is only after Emma discovers her true feelings for Mr. Knightley near the end of the novel that Emma transforms into a standard “romantic” heroine. The reader discovers that Emma’s detached view of love was nothing more than a naïve misconception. She was proud to play matchmaker in Highbury but served only to give proof of her poor understanding of the emotion. Significantly, once Emma discovers the meaning of love, she is more than happy to abandon her rule against marriage. Yet, even then, Austen assures the readers that Emma’s newfound love will not interfere with her independence: Mr. Knightley already has a fortune of his own and even agrees to move into Hartfield after the marriage.

Although Emma is clearly a departure from typical Austen heroines, the supporting female characters in the novel still highlight the difficulties facing women without financial independence. Miss Bates, Jane Fairfax, and Harriet Smith exemplify three possible scenarios for women who lack Emma’s high social status. Miss Bates never married and is dependent on her mother’s minimal income. With each passing year, her poverty increases, as does the amount of derision that she must endure from those around her. Harriet is equally poor and dependent on those around her. The daughter of a tradesman, she has few prospects until Robert Martin, and, thanks to Emma’s influence, is always in danger of stretching beyond her social capabilities. Jane Fairfax serves as a foil to Emma, and, in many ways, seems to be more appropriate as
an Austen heroine. She possesses all of Emma’s grace, beauty, and intelligence but lacks the income of a gentleman’s daughter, a fact that seems to doom her to a life as a governess. Through the characterization of Emma and her financially insecure counterparts, Austen offers a sharp critique of a society that gives so few options to women. Emma has the best opportunities and the brightest future as a result of her wealth and independence. Yet, despite all of her skills, she still only has two possible paths: marriage or spinsterhood. In the end, Austen gives her heroine the more appropriate choice but still ensures that Emma only marries a man who will allow her to maintain her independence.

Harriet and Jane Fairfax also receive their portion of contentment: Harriet marries Robert Martin, the male figure most suited to her, while Jane Fairfax ultimately marries Frank Churchill and achieves the high social status that she deserved all along. For both of these characters, marriage is the only possible option to prevent poverty and social stigma. Whether or not the marriages end happily, Austen assures her readers that the characters will at least have some financial security.

Self Assessment

Fill in the blanks:

5. Emma makes ........ major mistakes.
6. Austen highlights the themes of social class throughout the .......... .
7. As a ........ , Emma possesses beauty, wealthy, intelligence, high social standing and financial independence.

Only Miss Bates remains the perpetual spinster, serving as a warning to those women who are unable to achieve matrimony during their youth. Ironically, this is the path that Austen herself was forced to follow. Neither she nor her sister ever married, and Austen was dependent on the charity of her brothers for most of her adult life. Because of Austen’s personal financial difficulties, it is not surprising that almost all of her heroines struggle with similar issues (all of which are typically resolved by marriage at the end of the novel). Emma then becomes a sort of idealized vision of the best possible scenario for an intelligent woman to maintain her independence. Yet, as Austen notes by the end of the book, even a woman like Emma cannot help but get married in the end.

10.3 Summary

- Emma Woodhouse is the protagonist of the story, is a beautiful, high-spirited, intelligent, and ‘slightly’ spoiled young woman of the age of twenty.
- Austen predicted that Emma would be “a character whom no one but me will much like.”
- Knightley’s love for Emma—the one emotion he cannot govern fully—leads to his only lapses of judgment and self-control.
- Austen highlights the theme of social class throughout the novel, particularly in terms of Emma’s relationship with Harriet Smith.
- As a heroine, Emma possesses beauty, wealth, intelligence, high social standing, and financial independence.
- Emma is the first of Jane Austen’s novels to feature a heroine who is free from financial concerns.
10.4 Keywords

**Spinster**: typically an older women beyond the usual age for marriage.

**Matrimony**: the state or ceremony of being married.

**Feminine**: having qualities traditionally associated with women.

**Courtship**: especially with a view to marriage.

10.5 Review Questions

1. Examine the characterization of work titled “Emma”.
2. Explain the major mistakes which is done by Emma.

**Answers: Self Assessment**

1. False  
2. False  
3. True  
4. False  
5. Three  
6. Novel  
7. Heroine

10.6 Further Readings

**Books**

Emma (E Text) — Jane Austen  
Jane Austen — Tony Tanner  
Jane Austen: the World of her Novels — Deirdre Le Faye

**Online links**

http://pinkmonkey.com/booknotes/monkeynotes/pmEmma74.asp  
http://www.bookrags.com/notes/emma/CHR.html  
http://pinkmonkey.com/booknotes/monkeynotes/pmEmma75.asp  
http://pinkmonkey.com/booknotes/monkeynotes/pmEmma79.asp  
http://www.shmoop.com/emma/marriage-theme.html
Unit 11: Charles Dickens—Great Expectations

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Objectives
After studying this unit, you will be able to:

• Know about the Great Expectations of Charles Dickens.

Introduction
Great Expectations is a novel by Charles Dickens. It was first published in serial form in the publication All the Year Round from 1 December 1860 to August 1861. It has been adapted for stage and screen over 250 times. Great Expectations is written in the first person from the point of view of the orphan Pip. The novel, like much of Dickens’s work, draws on his experiences of life and people.

11.1 Charles Dickens—Great Expectations (Non-detailed):
   Introduction to the Author and the Text

11.1.1 Introduction to the Author
Charles Dickens was born in Portsmouth, England, on February 7, 1812, to John and Elizabeth Dickens. He was the second of eight children. His mother had been in service to Lord Crew, and his father worked as a clerk for the Naval Pay office. John Dickens was imprisoned for debt when Charles was young. Charles Dickens went to work at a blacking warehouse, managed by a relative of his mother, when he was twelve, and his brush with hard times and poverty affected him deeply. He later recounted these experiences in the semi-autobiographical novel David Copperfield. Similarly, the concern for social justice and reform which surfaced later in his writings grew out of the harsh conditions he experienced in the warehouse.
As a young boy, Charles Dickens was exposed to many artistic and literary works that allowed his imagination to grow and develop considerably. He was greatly influenced by the stories his nursemaid used to tell him and by his many visits to the theater. Additionally, Dickens loved to read. Among his favorite works were Don Quixote by Miguel de Cervantes, Tom Jones by Henry Fielding, and Arabian Nights, all of which were picaresque novels composed of a series of loosely linked adventures. This format no doubt played a part in Dickens’ idea to serialize his future works.

Dickens was able to leave the blacking factory after his father’s release from prison, and he continued his education at the Wellington House Academy. Although he had little formal schooling, Dickens was able to teach himself shorthand and launch a career as a journalist. At the age of sixteen, Dickens got himself a job as a court reporter, and shortly thereafter he joined the staff of A Mirror of Parliament, a newspaper that reported on the decisions of Parliament. During this time Charles continued to read voraciously at the British Library, and he experimented with acting and stage-managing amateur theatricals. His experience acting would affect his work throughout his life—he was known to act out characters he was writing in the mirror and then describe himself as the character in prose in his novels.

Fast becoming disillusioned with politics, Dickens developed an interest in social reform and began contributing to the True Sun, a radical newspaper. Although his main avenue of work would consist of writing novels, Dickens continued his journalistic work until the end of his life, editing The Daily News, Household Words, and All the Year Round. His connections to various magazines and newspapers as a political journalist gave him the opportunity to begin publishing his own fiction at the beginning of his career.

While he published several sketches in magazines, it was not until he serialized The Pickwick Papers over 1836-37 that he experienced true success. A publishing phenomenon, The Pickwick Papers was published in monthly installments and sold over forty thousand copies of each issue. Dickens was the first person to make this serialization of novels profitable and was able to expand his audience to include those who could not normally afford such literary works. Within a few years, he was regarded as one of the most successful authors of his time, with approximately one out of every ten people in Victorian England avidly reading and following his writings. In 1836 Dickens also married Catherine Hogarth, the daughter of a fellow co-worker at his newspaper. The couple had ten children before their separation in 1858.

Oliver Twist and Nicholas Nickleby followed in monthly installments, and both reflected Dickens’ understanding of the lower classes as well as his comic genius. In 1843, Dickens published one of his most famous works, A Christmas Carol. His disenchantment with the world’s economic drives is clear in this work; he blames much of society’s ills on people’s obsession with earning money and acquiring status based on money.

His travels abroad in the 1840s, first to America and then through Europe, marked the beginning of a new stage in Dickens’ life. His writings became longer and more serious. In David Copperfield (1849-50), readers find the same flawed world that Dickens discovered as a young boy.
Did u know? Dickens published some of his best-known novels including A Tale of Two Cities and Great Expectations in his own weekly periodicals.

The inspiration to write a novel set during the French Revolution came from Dickens’ faithful annual habit of reading Thomas Carlyle’s book The French Revolution, first published in 1839. When Dickens acted in Wilkie Collins’ play The Frozen Deep in 1857, he was inspired by his own role as a self-sacrificing lover. He eventually decided to place his own sacrificing lover in the revolutionary period, a period of great social upheaval. A year later, Dickens went through his own form of social change as he was writing A Tale of Two Cities: he separated from his wife, and he revitalized his career by making plans for a new weekly literary journal called All the Year Round. In 1859, A Tale of Two Cities premiered in parts in this journal. Its popularity was based not only on the fame of its author, but also on its short length and radical (for Dickens’ time) subject matter.

Dickens’ health began to deteriorate in the 1860s. In 1858, in response to his increasing fame, he had begun public readings of his works. These exacted a great physical toll on him. An immensely profitable but physically shattering series of readings in America in 1867-68 sped his decline, and he collapsed during a “farewell” series in England.

On June 9, 1870, Charles Dickens died. He was buried in Poet’s Corner of Westminster Abbey. Though he left The Mystery of Edwin Drood unfinished, he had already written fifteen substantial novels and countless shorter pieces. His legacy is clear. In a whimsical and unique fashion, Dickens pointed out society’s flaws in terms of its blinding greed for money and its neglect of the lower classes of society. Through his books, we come to understand the virtues of a loving heart and the pleasures of home in a flawed, cruelly indifferent world. Among English writers, in terms of his fame and of the public’s recognition of his characters and stories, he is second only to William Shakespeare.

11.1.2 Introduction to Great Expectations

When Dickens started his thirteenth novel, Great Expectations, in 1860, he was already a national hero. He had come from humble beginnings, working as a child in a shoe polish factory while his family was in debtor’s prison, to become the quintessential Victorian gentleman. He was involved in all aspects of English life: writing, acting, producing, going on book tours, publishing magazines, and, as always, active in social welfare and criticism.

Amidst all this, however, Dickens’ private life had entered a dark period. Dickens had just separated from his wife two years earlier, there were rumors of an affair with a young actress in the newspapers, and he was spending more and more time at his home in Chatham.

Dickens himself had risen to achieve greater expectations than any clerk’s boy could expect, but he had not found happiness, the idea that one must search beyond material wealth and social standings and look within themselves for happiness becomes the major theme in Great Expectations.

Some time in 1860, Dickens had started a piece that he found funny and truthful and thought it might do better as a novel: “...it so opens out before me that I can see the whole of a serial revolving on it, in a most singular and comic manner,” he wrote. Dickens had told friends that he had gone back and read David Copperfield and was quite struck by the story now that he looked back upon it. Copperfield was a happy novel, the story of a young man who came into his fortune though hard work and luck. Its influences and similarities are seen in Great Expectations. There are, however, some major thematic differences.
Self Assessment

Fill in the blanks:

1. Great Expectations is a novel by .......... .
2. Charles Dickens was born in Portsmouth, England on .......... .
3. Charles Dickens was exposed to many .......... and .......... .
4. Charles Dickens travels abroad in the .......... first to America and then through Europe.

Though not considered as autobiographical as David Copperfield which he had published some ten years earlier, the character of Pip represented a Dickens who had learned some hard lessons in his later life. Especially strong throughout the novel are the concepts of fraternal and romantic love, how society thwarts them, how a man should find them.

For financial reasons, Dickens had to shorten the novel, making it one of his tighter and better written stories. It was published in serial form, as were all of his novels, and the reader can still see the rhythm of suspense and resolution every couple of chapters that kept all of England waiting for the next issue.

Though a dark novel, Great Expectations was deliberately more humorous than its predecessor A Tale of Two Cities, and even while it presented Dickens’ ever present social critique, it did so in a way that made people laugh.

The greatest difference between Great Expectations and Dickens’ earlier novels is the introduction of dramatic psychological transformations within the lead characters, as opposed to characters that are changed only through their circumstances and surroundings. The story of Pip is a Bildungsroman — a story that centers on the education or development of the protagonist — and we can follow closely the things that Pip learns and then has to unlearn.

All in all, Great Expectations is considered the best balanced of all of Dickens’ novels, though a controversy still persists over the ending. Dickens had originally written an ending where Pip and Estella never get back together. Many critics, including George Bernard Shaw, believe that this rather depressing ending was more consistent with the overall theme and tone of the novel, which began, continued, and perhaps should have finished with a serious, unhappy note.

Nevertheless, Dickens published the ending where all is forgiven and Estella and Pip walk out of the Satis House garden together. It was, perhaps, an ending that Dickens would have like to have had for his own life. Dickens published one more novel, Our Mutual Friend, before dying in 1870.

11.2 Summary

- Great Expectations is a novel by Charles Dickens. It was first published in serial form in the publication All the Year Round from 1 December 1860 to August 1861.
- Charles Dickens was born in Portsmouth, England, on February 7, 1812, to John and Elizabeth Dickens.
- A publishing phenomenon, The Pickwick Papers was published in monthly installments and sold over forty thousand copies of each issue.
- Dickens had just separated from his wife two years earlier, there were rumors of an affair with a young actress in the newspapers.
11.3 Keywords

Exposed : a report in the media that reveals something discreditable.
Mystery : a hadicraft or trade.
Revitalize : give new life and vitality.
Shattering : cause to break suddenly and violently into pieces.

11.4 Review Questions

1. When and where Charles Dickens was born? What was the name of his parents?
2. Describe the introduction to the work “Great Expectations”.
3. Write about the theme of Great Expectations.

Answers: Self Assessment

1. Charles Dickens 2. February 7, 1812
3. Artistic and Literary 4. 1840
5. 1860

11.5 Further Readings

Books
Great Expectations (Non-Detailed) — Charles Dickens
Charles Dickens — Michael Slater
Charles Dickens — Harold Bloom

Online links
http://www.online-literature.com/dickens/greatexpectations/
http://www.victorianweb.org/authors/dickens/ge/pva10.html
http://www.pinkmonkey.com/booknotes/monkeynotes/pmGreatExpect02.asp
Unit 12: Great Expectations: Detailed Study of Text-I

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Objectives
After studying this unit, you will be able to:
• Explain Great Expectations detailed study of text part I all chapters
• Discuss Analysis of part I all chapters.

Introduction
Phillip Pirrup (Pip) is an orphan, and an only remaining child. He is being brought up by his sister, a blacksmith’s wife. He lives in the marsh country near the Thames, twenty miles off the sea. One day, in the graveyard, visiting the graves of his parents and brothers, he is come upon by an escaped convict. The convict frightens him, hanging him upside down and threatening to kill him. Pip must bring him food and an iron file to be saved. If he betrays him, the convict will summon a companion he has, who will torture Pip with pleasure. Pip watches the convict leave, and stagger away, towards the gibbet that is visible on the shore.

During the evening, the sound of a gun is heard—another convict has escaped from the Hulks. Pip is sent to bed for asking too many questions, and lies in terror of the sin he is about to commit, but at dawn creeps down, and takes food from the pantry, and a file from Joe’s tools, and leaves for the marshes.

Arriving at the graveyard, Pip comes across another convict, who starts and runs, and finally finds Magwitch at the Battery. Magwitch takes the food and wolfs it down. Pip reveals that he saw ‘the young man’ whom Magwitch claimed was hiding with him. Magwitch exclaims, and goes after him then and there. Pip hears him desperately filing the chain from his leg.

Pip returns home and discovers that his sister has not found the pantry empty. She is preparing for Christmas lunch. She cleans the house while Joe and Pip are at church. Pip feels such guilt about his theft that he seriously considers called the minister aside and confessing all.
12.1 Part I, Chapters 1-10 (1-10)

Chapter 1

The story opens with the narrator, Pip, who introduces himself and describes an image of himself as a boy, standing alone and crying in a churchyard near some marshes. Young Pip is staring at the gravestones of his parents, who died soon after his birth. This tiny, shivering bundle of a boy is suddenly terrified by the voice of a large, bedraggled man who threatens to cut Pip’s throat if he doesn’t stop crying.

The man, dressed in a prison uniform with a great iron shackle around his leg, grabs the boy and shakes him upside down, emptying his pockets. The man devours a piece of bread which falls from the boy, then barks questions at him. Pip tells him that yes, he is an orphan and that he lives with his sister, Mrs. Joe Gargery, the wife of a blacksmith, about a mile from the church. The man tells Pip that if he wants to live, he’ll go down to his house and bring him back some food and a file for the shackle on his leg. Pip agrees to meet him early the next morning and the man walks back into the marshes.

Analysis

Dickens introduces us immediately to Pip, who serves as both the young protagonist of Great Expectations and the story’s narrator looking back on his own story as an adult. With this two-level approach, Dickens leads the reader through young Pip’s life with the immediacy and surprise of a first person narration while at the same time guiding with an omnipotent narrator who knows how it will all turn out.

Notes

The adult narrator Pip will foreshadow future events throughout the story by using signs and symbols.

Dickens uses this duality to great effect in the first chapter, where we are personally introduced to Pip as if we were in a pleasant conversation with him: “I give Pip as my father’s family name...” Immediately after this, however, we are thrown into the point of view of a terrified young child being mauled by an escaped convict.

The narrator Pip then presents an interesting, and prophetic, relationship between the boy and the bullying man. At first, the relationship appears to be based solely on power and fear. The man yells at the boy only to get what he wants, a file and some food, and the boy only responds for fear of his life. And yet, after they part, the young Pip keeps looking back at the man as he walks alone into the marshes. The image of the man holding his arms around him, alone on the horizon save a pole associated with the death of criminals, is strikingly familiar to the initial image of young Pip, holding himself in the cold, alone in the churchyard with the stones of his dead parents. For a moment, then, the relationship seems to warm. They share a common loneliness and a common marginalization from society, the orphan and the escaped convict. Even while he is afraid, Pip instinctively displays a sympathetic reaction.

This initial meeting, between a small boy and a convict, will develop into the central relationship in the book. It is the relationship which will cause Pip’s great expectations for himself to rise and fall.

Chapter 2

Pip runs home to his sister, Mrs. Joe Gargery, and his adoptive father, Joe Gargery. Mrs. Joe is a loud, angry, nagging woman who constantly reminds Pip and her husband Joe of the difficulties she has gone through to raise Pip and take care of the house. Pip finds solace from
these rages in Joe, who is more his equal than a paternal figure, and they are united under a common oppression.

During the dinner, Pip nervously steals a piece of bread. Early the next morning, Pip steals food and a pork pie from the pantry shelf and a file from Joe’s forge and runs back to the marshes.

Analysis

The reader’s sympathy once again is directed at Pip who not only lost his parents but is being raised by a raging, bitter woman. A common criticism inherent in many of Dickens’ novels is the abuse of children in society at large. Although he paints Mrs. Joe in a rather humorous light at times, the reader is still keenly aware of the fear in which this poor child grew up.

Character names in Dickens’ works are often codes which reflect a characteristic of the person or their station. Mrs. Joe’s name can be decoded to reflect humorous irony on Dicken’s part. Although the wife of Joe has taken both his names in the classic patriarchal manner (usually connoting that the wife is the property of the man) the Gragery household is anything but patriarchal. In fact, her husband is treated as little more than a child and Pip and he are the submissive ones.

Chapter 3

The next morning, Pip sneaks out of the house and back to the marshes. He finds a man, wet and cold and dressed like a convict, but he turns out to be a different convict from the man who had threatened him the night before. This man has a badly bruised face and wears a broad-brimmed hat. He runs away from Pip without speaking to him. Pip finally finds his man and gives him the food. The man reacts with anger when Pip tells him about the other convict. Pip leaves him filing at his shackle and returns home.

Analysis

The second meeting of Pip and the convict is much more civil and sympathetic than the first. Pip even puts away his fear to say, “I am glad you enjoy it,” as the convict eats. Since he stole the food and file, Pip is now the convict’s partner in crime and feels closer to the man.

Great Expectations is sometimes called, among other things, a mystery or suspense novel, and in this chapter we see elements of that genre. Dickens uses secrets as a way of heightening suspense throughout the novel. Someone is always hiding something from someone else. Sometimes these secrets are clear to the reader and make the reader a partner in crime with the characters, as we are with Pip last as he sneaks around his house, terrified of getting caught, stealing food. Other times the reader is left out of the secret but we are given the impression that it is an important thing that we need to find out, as in the case of the two convicts. We know that there is some connection between the two that is important to the story but we are given very few clues to help us.

Task What is the nature of relationship between these two characters?

Chapter 4

Pip returns home to find Mrs. Joe preparing the house for Christmas dinner. She has invited Mr. Wopsle, the church clerk, Mr. Hubble the wheelwright and Mrs. Hubble and Uncle Pumblechook.
who was a “well to do corn-chandler” who “drove his own chaise-cart.” The discussion over dinner was how fortunate Pip should feel about being raised “by hand” by Mrs. Joe and how much trouble she has gone through in that endeavor, though Pip’s opinion was never requested. Mr. Pumblechook nearly chokes on some brandy after the meal and Pip realizes that he poured tar water in the brandy bottle when he stole some for the convict. Mrs. Joe becomes too busy in the kitchen to afford a full investigation, but then announces that she is going to present the pork pie. Sure that he is going to get caught, Pip jumps up from the table and runs to the door, only to meet face to face with a group of soldiers who appear to be there to arrest him.

Analysis

The suspense grows in this chapter as the reader and Pip fearfully await the discovery by Mrs. Joe of the things which are missing from the kitchen. The apprehension is kept light, however, with a foolish dialogue between the adults over how much trouble Pip is to raise for Mrs. Joe. Mr. Pumblechook is presented as a loud mouth idiot, full of himself. The only sympathetic character is Joe, who continues to make gestures of support toward Pip. Dicken’s little social commentary here is clear: It is often the dim witted and poor (Joe) who acts with more grace and charity than wealthy loud mouths (Mr. Pumblechook and Mr. Wopsle) who claim that they do.

Chapter 5

The soldiers do not want to arrest Pip but they do need a pair of handcuffs fixed by Joe. They are invited in, Mr. Pumblechook offers up Mrs. Joe’s sherry and port, and Joe gets to work on the handcuffs in the forge. They are, in fact, hunting two convicts who were seen recently in the marshes. After Joe fixes the handcuffs, he, Pip, and Mr. Wopsle are allowed to follow the soldiers into the marshes. They soon find the two convicts wrestling each other in the mud. The one with the hat accuses the other, Pip’s convict, of trying to kill him, but the other replies that he would have done it if he really wanted to. Instead, he had been the one who had called for the soldiers and was willing to sacrifice himself just so the one with the hat would get caught again.

The bring the two back to a boathouse where Pip’s convict, eyeing Pip, admits to stealing Mrs. Joe’s pork pie by himself, thus getting Pip off the hook. Joe and Pip watch as the two convicts are brought back to the prisonship.

Analysis

The reader is presented with the question of why the two convicts are fighting each other. Pip’s convict goes so far as to say that he deliberately got himself caught, just so he could make sure the man with the hat would go back to prison. What hatred did this man have that would make him go back to prison just to see another suffer as well?

The relationship between the convict and Pip continues to grow as well, even though they do not speak and the convict hardly looks at him. The convict obviously wants to protect the boy and, suspecting Pip may be threatened, takes the blame for stealing the pork pie. The two are, once again, united in secrecy.

Chapter 6

Joe, Pip, and Mr. Wopsle walk back home. Pop decides not to tell Joe the truth about his file and the pork pie — he is afraid of losing his respect. When they return, the topic of discussion is the question of how the convict managed to get into the locked house. Through his bombastic overbearance, Mr. Pumblechook argument wins: the convict crawled down the chimney. Mrs. Joe sends Pip to bed.
Analysis

Pip’s fear that Joe would “think worse of me than I was” if Pip told him about the file and pork pie is a fear that Pip will revisit throughout his young life. Joe is the only friend in the world for Pip, he is his entire society.

Did u know? Pip fears to lose this companionship by telling the truth. In the future, Pip will struggle with telling the truth because of the fear that society will think less of him.

Chapter 7

Pip describes a little of his education with Mr. Wopsle’s great aunt, a “ridiculous old lady” who had started a small school in her cottage. The education, as Pip describes it, is less than satisfactory, but Pip does learn some basics from Biddy, an orphan girl who works for Mrs. Wopsle.

While doing his homework one night, Pip discovers that Joe is illiterate. Joe explains that he never stayed in school long because his father, a drunk and physically abusive to him and his mother, kept him out. Joe goes on to explain to Pip that, because of his father, Joe stays humble to Mrs. Joe. “I’m dead afeard of going wrong in the way of not doing what’s right by a woman,” he says. He lets Mrs. Joe “Ram-page” over him because he sees how difficult it is to be a woman, remembering his mother, and he wants to do the right thing as a man. Pip has new understanding and respect for Joe.

Mrs. Joe comes home, quite excited, and proclaims that Pip is going to “play” for Miss. Havisham, “a rich and grim lady who lived in a large and dismal house.” Uncle Pumblechook suggested Pip to Miss. Havisham when she asked if he knew any small boys. Pip was to go tomorrow and spend the evening at Uncle Pumblechook’s in town.

Analysis

Chapter Seven and Chapter Eight mark a key turning point in the novel, separating Pip’s young childhood in the humble company of Joe from the beginnings of greater expectations in the company of higher society.

The chapter presents a relationship between Joe and Pip which is growing in love and respect. Joe is at the bottom of the social hierarchy, and, particularly, at the bottom of his household’s hierarchy but Pip finds new respect for his position. “I had a new sensation of feeling conscious that I was looking up to Joe in my heart.” The image is almost ideal: the young Pip and Joe sitting next to the fire, Pip admiring him and teaching him the alphabet.

Dickens contrasts this humble setting with the opportunity presented at the end of the chapter by the noisy entrance and rather insolent announcement by Mrs. Joe. She introduces the first of Pip’s “great expectations” in the form of the job given to Pip “to play” for Miss. Havisham: “...this boy’s fortune may be made by his going to Miss Havisham’s.” Although little is known about the wealthy woman, and less is known exactly how Pip is supposed to “play,” the opportunity is one where Pip will be in the company of a higher social and economic class of people.

Chapter 8

Pip spends the evening at Mr. Pumblechook’s and is brought to Miss. Havisham’s after a meager breakfast. They are met at the gate by a young woman, Estella, “who was very pretty
and seemed very proud.” Estella lets Pip in, but sends Mr. Pumblechook on his way. She leads him through a dark house by candle and leaves him outside a door. He knocks and is let in. There he meets Miss Havisham, a willowy, yellowed woman dressed in an old wedding gown. She calls for Estella and the two play cards, despite Estella’s objection that Pip was just a “common labouring-boy.” “Well,” says Miss Havisham, “you can break his heart.” Estella insults Pip’s coarse hands and his thick boots as they play.

Smarting from the insults, Pip later cries as he eats lunch in the great house’s yard. He explores the yard and the garden, always seeing Estella in the distance walking ahead of him. Finally, she lets him out of the yard and he walks the four miles home, feeling low.

Analysis

Dickens uses strong imagery to describe Miss Havisham’s house (“The Manor House” or the “Satis House”) as barren of feelings or even life, even before we meet the bitter Miss Havisham and the rude Estella: “The cold wind seemed to blow colder there, than outside the gate...” Again we have a strange mystery: Why is this woman always in the dark, and dressed in a wedding gown? Who is the young and pretty Estella and what is she doing in such a morbid place?

Pip’s first taste of “higher society” is a bitter one, and it leaves him ashamed and embarrassed rather than justifiably angry. Pip is, in fact, just a toy for both Miss Havisham, who wants him to “play,” and Estella, who treats him roughly while at the same time flirts. Pip, torn between being insulted and his attraction to Estella, opts to feel ashamed of his upbringing — so much so that he “wished Joe had been rather more genteelly brought up.” His new found respect and love for Joe was being spoiled by his embarrassment of being brought up in a lower class family.

Chapter 9

Pip is forced to talk about his day to Mrs. Joe and Mr. Pumblechook. Pip lies in a fantastical matter, making up stories about dogs being fed veal and Miss Havisham lounging on a velvet couch. He lies, partly in spite, but also because he is sure that the two would not understand the situation at the Satis House even if he described it in detail.

Later, Pip tells Joe the truth, and also confesses that he is embarrassed about being a “commoner” because of his attraction to Estella. Joe reassures him that he is not common; he is uncommon small and an uncommon scholar. Referring to Pip’s lies, he adds, “If you can’t get to be on common through going straight, you’ll never get to do it through going crooked.”

Analysis

Joe’s analysis, though phrased in what Pip would call “common” language, is accurate: Pip is trying to become “uncommon” by lying about his experiences. Pip made up lies about the Satis House with the intention of glorifying it in front of the eager Mr. Pumblechook and Mrs. Joe, both of whom eat it up. While Pip is naively honest in admitting to Joe that he wants to become uncommon, he is intelligent enough to know that he can become uncommon by being dishonest, or, as Joe would have it, “crooked.”

One of the main themes of the book is spelled out in this chapter, specifically, the desire to rise above one’s social station. Dickens, writing this book toward the end of his life, is speaking directly of his own youthful desires and those of his father as well. As the story of Pip unfolds and we witness the different ways in which Pip tries to climb the social ladder — by making up fantastical stories in this case — it will be interesting to listen to the running commentary made by the narrator, the older Pip, who, like Dickens himself, is looking back on this theme and reflecting on how it affected his happiness later on in life.
Chapter 10

Pip states plainly that he wants to be uncommon and so, taking to heart Joe’s advice that “you must be a common scholar afore you can be a on common one,” he asks Biddy at the small school to help him get educated. Mr. Wopsle’s great-aunt’s school is little more than a play school and Pip understands it will be hard to concentrate on some actual learning, but Biddy agrees and gives Pip some books to start with.

On the way home, Pip goes into a pub to pick up Joe. He finds Joe sitting with a stranger, a man with one eye pulled closed and a worn hat on his head. The man asks Joe all kinds of personal questions, some about Pip’s relation to him, the whole time staring at Pip. At one point, the man stirs his drink with Joe’s file — the file Pip stole to give to the convict! As Joe and Pip depart, the stranger hands Pip a coin wrapped in paper.

When they get home, Pip realizes that the paper is actually a two pound note. Thinking it was a mistake (though Pip knows somehow that it wasn’t) Joe runs back to the pub to give it back but the man is gone.

Analysis

Pip, excited at the beginning of the chapter by the prospect of educating himself to become uncommon, is reminded of his common, and somewhat illegitimate, past by the stranger in the pub. As he goes to sleep, he is bothered by the fact that it is uncommon to be “on secret terms of conspiracy with convicts.”

The man clearly knew something about Pip assisting the convict and wanted Pip to know that he did. How he knows remains a mystery, but Pip’s immediate fear is how his past will “haunt” him as he tries to climb out of his common background.

12.2 Part I, Chapters 11–19 (11–19)

Chapter 11

A few days later, Pip returns to Miss Havisham’s as directed. This time, the house seems full of people waiting to see her but she sees him first. She brings him into a great banquet hall where a table is set with food and large wedding cake. But the food and the cake are years old, untouched except by a vast array of rats, beetles and spiders which crawl freely through the room. Miss. Havisham has Pip walk her around the room as four guests are brought in: Sarah Pocket, a “vicious,” “dry, brown, corrugated woman;” Georgiana, “the grave lady;” Camilla, an old melodramatic woman; and her husband, Cousin Raymond. All are, apparently, the same age or a little younger than the withered Miss. Havisham and all come to see her on the same day of the year: her birthday, which also happens to be the day when the cake was set out and the clocks were stopped so many years ago; i.e. the day Miss Havisham stopped living.

Miss. Havisham continues walking around the room, saying little to her guests, until the mention of a certain Matthew, whereupon she stops short. The guests leave, and Miss. Havisham once again asks that Estella and Pip play cards as she watches.

As Pip is once again allowed to explore the yard, he runs into a pale, young gentleman who challenges him to fight. Despite the young man’s jumping about and expert preparation (bringing some water and explaining the rules), Pip gives him a bloody nose, a black eye, and a general whopping. They end the fight and the boy, cheerful as ever, wishes Pip a good afternoon. At the gate, Estella tells Pip that he may kiss her if he likes. Pip kisses her on the cheek.
Notes

Analysis

Pip is introduced to a number of strange characters in this chapter but, more importantly, he is given some more hints about Miss Havisham’s strange lifestyle. It is clear that the decay of her and the house stem from her wedding day that none of her relatives dare to mention. Miss Havisham’s relationship with her relatives — Georgiana, Sarah Pocket, Cousin Raymond, and Camilla — is even more loveless than her relationship with Pip. For her relatives, their visit to Miss Havisham is based on greed, hoping to please her enough to be given some of her money at her death. Miss Havisham is well aware of this, and a number of times refer to her dead body laid out as a meal for her relatives on the same table where her decaying cake now sits.

It is ironic that the loveless environment of the Satis House is representative of the higher society that Pip would like to rise to. The relationships of the house are based on money and power, while the relationship at the forge with Joe is based on mutual respect. Pip feels unnatural with how he acts with this kind of society, as is the case when he feels guilty for hitting the pale young gentleman. But he is rewarded for his violence by Estella’s kiss, symbolic of society’s rewarding of violent behavior. Though unclear to young Pip, the narrator is making clear that Pip’s desire to enter into higher society is a decision to choose empty relationships where people are tools (or, as in Pip’s case, simple walking sticks). It is also a decision to choose death and decay, as reflected in the Satis House setting. Lastly, it is an environment where Pip instinctively feels he is going against his nature.

Chapter 12

Pip returns once again to Miss Havisham’s, but he does not run into the boy again. He begins pushing Miss Havisham in a wheelchair from her room to the large banquet hall, and continues to do so over the course of eight months. Sometimes they are joined by Estella and the three sing little ditties together.

During this same time, Mr. Pumblechook makes a habit of visiting Mrs. Joe and discussing Pip’s promising prospects, now that he is routinely seeing Miss Havisham.

But the prospects seem to fall away when one night Miss Havisham asks Pip to bring Joe to visit her in order that Pip may start his indenture as a blacksmith.

Analysis

By this time it is clear that Miss. Havisham is bringing up Estella to “…break their hearts and have no mercy.” That is, to break the hearts of men, like Pip, in revenge for what they have done to Miss Havisham. Although what they have done to Miss Havisham is not completely clear, we can assume that the reason for her unchanged state and the decaying state of the house is that she was jilted on her wedding day by a man. Estella, then, is to revenge this sin for Miss Havisham by causing men to fall in love with her and then breaking their hearts. With Pip, she is obviously succeeding, who is continuing to be abused and insulted by her while admitting that she grows prettier and more a part of his thoughts everyday.

Chapter 13

Joe accompanies Pip to the Satis House the next day. Miss Havisham gives Joe twenty five guineas for Pip’s service to her and thus buys Pip’s indenture as a blacksmith. Returning to Mr. Pumblechook’s house, where Mrs. Joe is also anxiously waiting, Joe produces the twenty five pounds much to everyone’s — except Pip’s — joy. Caught up in the excitement,
Mr. Pumblechook insists that Pip be legally bound by law and drags Pip and the entourage down to the Town Hall to be bound. Mrs. Joe then brings everyone out for dinner.

At the meal, all but Pip seem to be enjoying themselves: “...I was truly wretched, and had a strong conviction on me that I should never like Joe’s trade. I had liked it once, but once was not now.”

Analysis

Throughout Great Expectations, Dickens uses meals as a reflection of the relationships at hand. The meal celebrating Pip’s indenture is reminiscent of the Christmas meal in Chapter 4, where Pip feels none of the enjoyment, human companionship, and hospitality that is supposed to accompany meals. What is significant about these meals among friends is what they are not. The uneaten meal and cake in Miss Havisham’s banquet hall stands as a starkly direct symbol of the lack of love and human companionship that meals commonly signify.

If we look, however, at the first “meal” of the story: the pork pie and “wittels” that Pip gives to the convict, we see something different. Though the setting of the meal is unglamorous, the cold, damp marshes, and the manners of the guest (the convict) are likened to a dog, there appears to be some genuine hospitality in Pip’s words, “I am glad you enjoy it.” And the convict answers sincerely, “Thankee, my boy, I do.” The meal, in fact, joins the two inexorably. Dickens will turn to the use of food and meals throughout the story to reflect on relationships on various levels of society.

Chapter 14

Pip explains his misery to his readers: He is ashamed of his home, ashamed of his trade. He wants to be uncommon; he wants to be a gentleman. He wants to be a part of the environment that he had a small taste of at the Satis House. His greatest fear allies his greatest shame. He fears, beyond everything else, that Estella will see him in his current, dirty, blacksmith state.

Analysis

Throughout all of Dicken’s books, criticism aimed specifically at the Victorian Society can be seen. In this case, Dickens is contrasting Pip’s shame at having to do honest, hard work with his desire to be a gentleman which, up until this point, has meant acting as Miss Havisham’s walking stick. In essence, Dickens is criticizing a Victorian tendency, seen even today, of looking down on the common laborer as dirty and of less value than the more urbane man leading a wealthy, leisurely lifestyle. Instead, the gentleman, and his sense of “work,” is held up as ideal.

Dicken’s criticism is on two levels: one, against the society which enforces these values and two, against the individuals, like Pip, who adopt society’s values despite their better judgment.

Chapter 15

Biddy continues to teach Pip all she knows including an ironic little ditty about a man who goes to London and lives a fancy life. Pip continues to teach Joe everything he has learned, though he doubts Joe is taking much of the information in.

Orlick, a gruff man that Joe employs around the forge, begins one day to insult Mrs. Joe within her hearing. There is a fight between Joe and Orlick, which Joe wins, but the two continue to work together as if it is all behind them.
Notes

About a year into his indenture, Pip revisits Miss. Havisham at the Satis House ostensibly to thank her for paying for his indenture. He is disappointed at the meeting: Miss Havisham does see him for a few moments, but only to laugh at him when he looks around for Estella. Estella has, in fact, been sent abroad to be educated as a lady.

Pip returns home to find nearly the whole of the village gathered around his house. Mrs. Joe has been hit over the head, knocked senseless by some unknown assailant.

Analysis

Even while Pip dreams of an upper-class life, violence and crime continue to be events in his life. In this chapter, Pip is witness to a fight between Orlick and Joe, apparently egged on by Mrs. Joe, reminiscent of Estella complimenting with a kiss Pip’s fight with the pale young gentleman. Violence comes quickly and rather unexpectedly throughout the novel and, as in this case, does little to solve anything.

Chapter 16

Pip immediately suspects Orlick, though, strangely, his sister was hit with the shackles that the convict filed off in the first chapter! Because of this connection, Pip also suspects the one-eyed man that Joe and he had met in the pub, and who had demonstrated his own knowledge of Pip’s past by stirring his drink with the file used to free those same shackles.

His sister has suffered some serious brain damage, having lost much of voice, her hearing, and her memory. She communicates by writing letters and symbols on a slate. Furthermore, her “temper was greatly improved, and she was patient.”

To help with the housework and to take care of Mrs. Joe, Biddy is employed and moves into the house and becomes “a blessing to the household.”

Notes

Strangely, Pip’s sister starts to treat Orlick extraordinarily well, inviting him to have something to drink, and watching him with an “air of humble propitiation.”

Analysis

The seemingly distant episode of Pip helping the convict on the marshes continues to haunt him, even as he tries to distance himself by becoming educated and he dreams of being Estella’s gentleman. The shackles in this chapter remind Pip of the episode and bring back his shame and guilt to the point where Pip feels like he is partly responsible for his sister’s injury. Dickens subtly changes how we view Mrs. Joe by referring to her now as “my sister.” Before the accident, the readers almost forget the blood relationship between Pip and Mrs. Joe, but with the changing of Mrs. Joe’s attitude and temper, her position reverts to Pip’s sister.

Chapter 17

Pip notices that Biddy is turning into a woman, not very pretty, but very bright and wise. They go for a walk and Pip confesses his desire to be a gentleman. He also admits that he wants to be a gentleman so that he will be acceptable, and perhaps loved, by Estella. Biddy wisely suggests that becoming a gentleman to “gain over” a woman who thinks him course and common does not sound very logical.
Pip knows this instinctively, can’t help himself and says as much, amidst tears in front of Biddy. He tells Biddy that he wishes he were more easily satisfied, he wishes he could fall in love with her, Biddy. “But you never will, you see,” Biddy replies.

Analysis

This chapter lays out what has remained unspoken for some time to a somewhat relieving affect: Pip comes right out and says he loves Estella and that, foolish even to himself, he wants to become a gentleman to win her over. The discussion, symbolically, takes place among the marshes, which have, throughout the novel, represented Pip’s past as well as his social position as a blacksmith’s apprentice. The pastoral peacefulness that accompanies Pip’s walk with Biddy is contrasted with the ships in the river, that Pip has always associated with some far away, expected future. Pip himself states his frustrated state when he says he wishes he were happy in his current position, including having Biddy close, but he is forever looking toward some impossible future.

Chapter 18

It is the fourth year of Pip’s apprenticeship and he is sitting with Joe and Mr. Wopsle at the pub when they are approached by a stranger who wants to talk to Joe and Pip alone. Pip recognizes him, and his “smell of soap,” as a man he had once run into at Miss Havisham’s house years before.

Back at the forge, the man, Jaggers, explains that Pip now has “great expectations.” He has been given a large amount of money, to be administered by Jaggers, by an anonymous sponsor whom Pip is never to try to discover. Fulfilling Pip’s dreams, Jaggers explains that Pip is to be “brought up a gentleman” and will be tutored by Matthew Pocket — the same “Matthew” that had been mentioned at Miss Havisham’s. Jaggers give him money enough for new clothes and leaves, expecting to meet him in London within a week.

Pip spends an uncomfortable evening with Biddy and Joe, then retires to bed. There, despite having all his dreams come true, he finds himself feeling very lonely.

Analysis

The implication to Pip, and to the readers, is that Miss Havisham is the sponsor who is going to make all of Pip’s dreams come true including, Pip imagines, training him as a gentleman so that he may be an appropriate mate for Estella.

Immediately after this dramatic change in fortune, however, Pip finds himself feeling lonely and isolated. The reason is clear: From the moments of Jagger’s announcement, the relationship between he and Joe and Biddy has changed. In essence, Jagger’s news fulfills the vanity that had been creeping up in Pip since he first worked at Miss Havisham’s. That is, he thinks himself better, more intelligent, more qualified than the life which he was leading with Biddy and Joe. As the end of the chapter makes clear, however, Pip has marginalized himself with this vanity and made himself lonely.

Chapter 19

The word has spread through town that Pip has come into fortune and people are treating him distinctively different. Pip goes into town to buy clothes for his London trip and stores them at Pumblechook’s house because he thinks it would be common of him to wear them in his own neighborhood. Even Pumblechook is treating him as if he is a king, and Pip, joining into the arena that he viewed as hypocrisy only a few chapters before, starts to enjoy it and even starts to like Pumblechook.
Relations between he and Biddy and Joe do not improve, however, especially when he asks Biddy if she would try and educate Joe so that he could bring him up to another social level once the full extent of Pip’s sponsor’s fortune is given to him. Biddy brusquely tells Pip that Joe has no need, and does not want, to be brought up to another social level.

Pip visits Miss Havisham. She hints subtly that she is his unknown sponsor, and does it in such a way that Sarah Pocket, standing near, is given to believe it. The week finally over, Pip leaves for London. Even while he is in the carriage, however, he considers turning around and spending another day saying good-bye to Joe and Biddy.

Analysis

Pip is in the height of his own vanity here, and it is reflected in a new pomposity to his language. He even goes as far as to correct the grammar of Biddy, who was his first teacher. He feels himself being remeasured by society, just as the tailor in town remeasured him for clothing even though he already had Pip’s sizes. At the same time, Pip is treating the people he meets differently as well, especially Joe and Biddy. He actually finds himself enjoying the bombastic idiot Pumblechook whom he had hated for most of his life.

Symbolically, Pip goes to say good-bye to the marshes, which have always represented his lowly past. This time, however, he finds them beautiful in a way he hadn’t recognized before. Nevertheless, he wants to “get them done with.” We are, of course, left with the feeling that Pip will never be done with the marshes, or his past.

Self Assessment

Fill in the blanks:
1. Phillip Pirrup (Pip) is an .........
2. Young Pip is staring at the ........ of his parents.
3. Pip’s first taste of ........ is a bitter one.
4. Pip spends an uncomfortable evening with ........ and .........
5. Pip goes to say ........ to the marshes.

12.3 Summary

- Dickens introduces us immediately to Pip, who serves as both the young protagonist of Great Expectations and the story’s narrator looking back on his own story as an adult.
- A common criticism inherent in many of Dickens’ novels is the abuse of children in society at large.
- Pip returns home to find Mrs. Joe preparing the house for Christmas dinner.
- Pip describes a little of his education with Mr. Wopsle’s great aunt, a “ridiculous old lady” who had started a small school in her cottage.
- Joe’s analysis, though phrased in what Pip would call “common” language, is accurate: Pip is trying to become “uncommon” by lying about his experiences.
- Throughout Great Expectations, Dickens uses meals as a reflection of the relationships at hand.
- Pip is in the height of his own vanity here, and it is reflected in a new pomposity to his language.
12.4 Keywords

Forge: make or shape by heating it and beating or hammering it.

Vicious: imperfect.

Marsh: an area of low-lying land which is flooded in wet seasons or at high tide.

12.5 Review Questions

1. How does Dickens use setting to convey the mood right at the opening?
2. What does Dickens description of the first convict tell us about him?
3. What object that pip takes the convict makes him feel guilty and nearly gets him discovered?

Answers: Self Assessment

1. Orphan 2. Grave stones
3. Higher society 4. Biddy and Joe
5. Goodbye

12.6 Further Readings

Books

Great Expectations (Non-Detailed) — Charles Dickens
Charles Dickens — Michael Slater
Charles Dickens — Harold Bloom

Online links

http://www.online-literature.com/dickens/greatexpectations/
http://www.pinkmonkey.com/booknotes/monkeynotes/pmGreatExpect02.asp
Unit 13: Great Expectations:
Detailed Study of Text-II

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13.6 Further Readings

Objectives

After studying this unit, you will be able to:

• Explain Great Expectations detailed study of text part II all chapters
• Discuss analysis of part II all chapters

Introduction

The journey takes about five hours. Pip has a traitorous impression of London as being ‘rather ugly, crooked, narrow, and dirty.’ Pip heads for Mr. Jaggers’ address in Little Britain. The coachman asks for a tip, but quickly gives way - he knows Mr. Jaggers.

The clerk in the office tells Pip that Mr. Jaggers is in court, but shows Pip to his room, throwing its occupant out. Mr. Jaggers’ room, being dim and willed with old swords, pistols and nails, depresses Pip. The wall is ‘greasy with shoulder’, because clients like to be far away from Jaggers. The heat drives Pip out. He walks to Smithfield, but is horrified but its slum atmosphere, and goes to St. Paul’s. He faces Newgate Prison, and a man attempts to sell him a seat in court, but Pip refuses.

He is shown the gallows instead, and told that four are to be hanged in two days’ time. He returns to the office. Jaggers is still out. On the street he hears other people discussing his guardian. Some are reassuring others that Jaggers can do ‘it’, and others are distressed, but resigned to their loss, as Jaggers was on the opposing side.

Pip receives a note from Biddy telling him Joe is coming to London the following day with Mr. Wopsle. Pip does not look forward to the visit, being embarrassed due to Bentley Drummle. Pip has redecorated their room at Bernard’s Inn. He has even taken on a boy to support (the Avenger), and has clothed him, but must find him lots of food and a little to do. He sets the Avenger to watch for Joe. Herbert is much organised, and most prepared to see Joe. Joe is incredibly pleased to see Pip, and very impressed by how genteel he is. He tell Pip that no one has changed, except Mr. Wopsle, who has given up the Church to become an actor. Joe gives Pip a brochure which announces his first performance that week. Joe tells Pip about Wopsle’s first performance as Hamlet.
13.1 Part II, Chapters 1–10 (20–29)

Part II: Chapter 1

Pip goes to London and, compared with his last images of the marshes, finds it “ugly, crooked, narrow and dirty.” He meets with Jaggers, who tells him that he will be boarding with Matthew Pocket. He meets Wemmick, Jagger’s square-mouth clerk.

Analysis

Once again, Dickens is using place, and Pip’s attitude toward it, as symbolism. In this case, London is the setting for Pip’s great expectations, but immediately we find it rather ugly, unnatural, and suffocating, giving us an indication of how those great expectations may be played out. Ironically, Jagger’s office is located in a place called “Little Britain” and it has all the trappings of death: a chair that looks like it was made of the same material as a coffin and death masks on the hearth. This, then, is Pip’s grand future.

Part II: Chapter 2

Wemmick brings Pip to Bernard’s Inn, where he will be staying when he is in town. The Inn appears to Pip to be a fairly run-down, decrepit place. There he meets his guide and roommate for the next few days, Matthew Pocket’s son Herbert. Herbert Pocket and Pip recognize each other when they meet: Herbert is the pale young gentleman that Pip fought in the garden of Miss Havisham’s so long ago.

Task Who was Mr. Jaggers? Explain about his character.

Analysis

Though Pip grew up in what might be considered rural poverty, his new digs in the city seem much more poor in nature than the warmth of the forge. The only warm spot appears to be Herbert, whom Pip had first met under strange, and violent, circumstances.

Part II: Chapter 3

Herbert Pocket prepares a simple dinner and explains his relationship to Miss. Havisham. His father, Matthew Pocket, is Miss. Havisham’s cousin. Miss. Havisham was doted on by her father her whole life and shared her only with a half brother, the son of her father and the cook. Miss. Havisham fell in love with a swindler and Matthew Pocket tried to warn her about him. Angrily, she demanded that Matthew leave the house and not return. Miss Havisham is then jilted on the day of her wedding, her fiancé leaving her only a letter. The rumor was that the fiancé had worked in conspiracy with her younger brother, who may have wanted to exact revenge on the more favored.

Did u know? Miss. Havisham adopts Estella and raises her to wreak revenge on the male gender by making them fall in love with her, and then jilting them.
The next day, Herbert brings Pip to meet his father, and his seven siblings, in the outlying area of Hammersmith.

Analysis

The theme of the meal as a reflection of human companionship again returns in this chapter. The meal prepared by Herbert is simple and the table setting is balanced on a number of pieces of furniture, clearly showing it as a non-traditional set-up. And yet, Pip enjoys himself immensely, and feels that Herbert, despite the fact that he may have lost favor in Miss Havisham’s eyes (and thus Pip has taken his inheritance), is honest and has no capability for bitterness at all.

Pip and the reader are again reminded none to subtly that the “lap of luxury” is, in fact, not material or social gain, but the simple joy of eating with sincere friends. In fact, we are given Matthew Pocket’s definition of a gentleman, repeated by his son: “... no man who was not a true gentleman at heart, ever was... a true gentleman in manner.” Young Pip, however, is not ready to learn this lesson.

Part II: Chapter 4

The Pocket household turns out to be a comical jumble of children, nurses, and boarders, all held together loosely under Matthew Pocket’s weary gaze. Mrs. Pocket had been raised with high expectations herself and brought up to be “highly ornamental, but perfectly helpless and useless.” She seems to have little idea of child rearing, leaving the young ones in the hands of two nurses. Pip observes the chaos over a meal.

Analysis

Dickens, pointedly, is making two criticisms here aimed at English society. The first is a humorous critique of England’s obsession with titles in their class system. Mrs. Pocket is, in fact, so caught up in titles that she spends her whole day reading a book about them. She is disappointed by her own lot in life, though she seems not to have to do any household duties and has a good man for a husband. She is caught up in the class system in complete oblivion to what is going on around her. She is actually raised, Pip finds out, to be utterly useless and to be taken care of.

The second criticism is Dicken’s continuing them of child abuse, and the many ways in which children are oppressed and marginalized. In the Pocket family the children are not necessarily physically abused (though their lives appear in danger sometimes from lack of supervision) or under fed or made to work, but there seems to be psychological abuse by there mere numbers. The parents, Matthew and Mrs. Pocket, have little to no time for a decent rearing of the children.

Part II: Chapter 5

Pip finds Matthew Pocket to be, like his son, serious, honest, and good. Because Matthew Pocket was earnest in teaching Pip, Pip feels earnest in learning and progresses well. At the same time, he is drawn by the city life within London and asks Jaggers if he can live permanently at the Bernard Inn with Herbert, instead of boarding in Hammersmith. Jaggers agree.
Notes

Wemmick brings Pip to watch Jaggers in court, where Pip observes him “grinding the whole place in a mill.”

Analysis

The honesty and earnestness of Matthew Pocket is contrasted in this chapter with the logical, though not necessarily honest, character of Jaggers. In fact, Jagger’s morality is not based on what is actually just, it is only based on a game of words. Guilt or innocence is not decided in Jaggers’ mind by who is actually guilty or innocent, or even who has the most evidence or not, it is based on the talent of the lawyer to massage out of the participants the desired verdict.

Again, Dicken’s is taking a rather direct critical shot at the judicial system and lawyers in general.

Part II: Chapter 6

While at the Pockets, Pip comes to know the family surrounding Miss. Havisham. Camilla is Matthew Pocket’s sister, Georgiana is a cousin. Pip also grows close to Herbert.

Pip is invited to dinner at Wemmick’s whose slogan seems to be “Office is one thing, private life is another.” Indeed, Wemmick has a fantastical private life. Although he lives in a small cottage, the cottage has been modified to look a bit like a castle, complete with moat, drawbridge, and firing cannon. Pip finds Wemmick an entertaining host, far different from the Wemmick at the office.

Analysis

Dicken’s humorously uses Wemmick to show how conforming to society, in this case Wemmick’s job at Jaggers, can twist a person so much as to make them unidentifiable. It is almost as if Wemmick’s private life and public life have made him a split personality. The one, a grim clerk with a dry callousness, the other, an imaginative, caring, generous esoteric.

Literally, Wemmick’s home is his castle, and Wemmick talks in terms of defending this private home against the encroachment of the hard city life. Pip’s meal there, complete with the customary cannon firing, continues the thematic use of meals with a series that introduces Part II of the novel. In this meal, Pip is brought to understand the entertaining imagination, as well as the caring humanity, of an acquaintance whom he presumed was a dull clog in the city machine.

Part II: Chapter 7

The next day, Jaggers himself invites Pip and friends to dinner. Pip brings Herbert as well as the other Pocket boarders, including Startop and Drummle, a mopey depressed aristocrat. Pip and his friends find themselves revealing their relationships quite clearly, specifically all of their irritation at the insulting Drummle.

Pip, on Wemmick’s suggestion, looks carefully at Jagger’s servant woman — a “tigress” according to Wemmick. She is about forty, and seems to regard Jaggers with a mix of fear and duty.
Notes

Analysis

Dickens uses this chapter to once again present mysteries that the narrator Pip hints will be solved in upcoming issues. Of all the young men invited to Jagger’s house, Jaggers is especially pleased and interested in the unfriendly Drummle. It is a strange choice for Jaggers and we are led to believe that Drummle will become a more important character later in the novel. As well, Wemmick’s singling the servant woman out as one to be watched and Jagger’s own proud demonstration of her scarred wrists, indicate that she too will reoccur.

This chapter presents yet another meal, this one serving as an airing of dirty laundry, much to the enjoyment of the host Jaggers. The evening ends in an argument between the boarders and we learn nothing personal about Jaggers himself. Used as a comparison to Pip’s meal with Wemmick, it appears that Jaggers is what he seems to be: a nearly mechanical rationalist, with a cold scientific fascination for the psychology of people, but with a complete lack of emotional involvement with them. In fact, we are given the feeling that a good insulting argument is more entertaining to Jaggers than a peaceful communion of friends.

Part II: Chapter 8

Biddy write to Pip to tell him Joe is coming into London and would like to visit him. Pip does not look “with pleasure” on this.

Joe shows up for breakfast and tells Pip that Miss. Havisham wants him to know Estella is back at the Satis House. The conversation is apologetic and stilted, Joe addresses Pip as “sir,” and Joe stays only for a few minutes. He tells Pip that he is out of his element, and that if Pip would like to see the real Joe and sit down and talk like old times, he should visit the forge.

Analysis

Once again, we are presented with the meal theme, this meal an uncomfortable clash between Pip’s new “gentlemanly” life and his “common” life at the forge. Joe even uses the word “wittles,” which was last used by the convict that Pip met in the marshes, symbolizing all of Pip’s past that he is trying to separate from.

Joe, like Dickens, knows the importance of place and invites Pip back to the forge where the two of them could be natural around one another.

Part II: Chapter 9

Pip journeys back to this hometown to see Estella. He shares the carriage with two convicts who sit behind him. Pip recognizes one of them as the one-eyed man Pip met in the tavern years before who stirred his drink with the file and gave Pip a one pound note. The convict does not recognize him, but Pip overhears him tell the other convict about the note that a stranger had given him to bring to Pip.

Analysis

We are given a number of answers to earlier mysteries in this chapter. The convict riding with Pip in this chapter was given the pound note, and, presumably, the file by the convict who Pip had helped in the opening few chapters. Other than being a fellow convict, it appears that the one-eyes man has no real relationship with that first convict.
Still, Pip feels uneasy. By the mere proximity of the convicts and their story, Pip is reminded how his past will always cling to him.

Part II: Chapter 10

Pip imagines that Miss. Havisham has adopted both he and Estella to raise them to be with each other. Pip imagines he and Estella inhabiting the old Satis House and flinging open the windows to let the sun and the breeze in.

He meets Orlick at the gate of The Satis House and learns that he is now working for Miss. Havisham. He goes in to meet her and Estella, who is now older and so much more beautiful that he doesn’t recognize her at first. Facing her now, he slips back “into the coarse and common voice” of his youth and she, in return, treated him like the boy he used to be. She is coming from France and on her way to live in London. They talk of his new friends and his old friends: “Who is fit for you then is not fit for you now,” Estella said, asking about Joe. Pip agrees and, at that moment, decides not to go see Joe and Biddy.

It is here that Pip sees something strikingly familiar in Estella’s face. He can’t quite place the look, but an expression on her face reminds him of someone.

Later, they all have dinner with Jaggers, who, curiously, does not look at Estella the whole meal.

Analysis

We are given a much greater look into the character of Estella in this chapter. It is evident, or at least Estella wants to be convinced of the fact, that Miss. Havisham has been successful in raising her as a beautiful but emotionless woman. “I have no heart,” she tells Pip.

Notes Miss. Havisham will have her revenge on the male gender: “I developed her into what she is, that she might be loved,” she tells Pip. “Love her!”

The master-apprentice archetype is seen in a number of different relationships through Great Expectations, sometimes demonstrating the positive nature of the relationships, sometimes demonstrating the negative. The Miss Havisham/Estella master-apprentice relationship is decidedly negative. Miss. Havisham raised Estella not as an individual, but as an extension of herself to fulfill that which she had not in her own life (not to find love, however, but to revenge love). In contrast, Pip was an apprentice to Joe, but Joe raised him out of generosity and love as opposed to any selfish reasons. Other master/apprentice relationships — Mr. Trabb and his boy, Pip’s own “Avenger” servant boy — are more of a comment on the abusive treatment of children in Victorian times.

13.2 Part II, Chapters 11–20 (30–39)

Part II: Chapter 11

Pip and Jaggers return to the inn in town. Pip mentions to Jaggers that Orlick may not be a trustworthy assistant to Miss Havisham and Jaggers tells Pip that he will see him fired.

Pip stays away from Joe and Biddy’s house and the forge, but walks around town, enjoying the admiring looks he gets from his past neighbors. This pleasant walk is disturbed by the
Notes

Trabb boy who makes fun of Pip, imitating the snobbish way he walks and barking out, “Don’t know yah!” to onlookers.

Did u know? Pip returns to London and talks to Herbert about Estella. Herbert himself reveals that he is in love with a woman named Clara, though it must be kept secret because his mother would think he was marrying “below station.”

Analysis

Although Pip continues to make decisions based on how he thinks society wants him to act—not going to see Joe and Biddy while he is home—we recognize the fact that he feels guilt and shame about these same decisions. Unlike Estella, Pip seems to wear his guilt on his sleeve, but his guilt shows him to have a conscience at least. Dickens uses guilt in Pip—who seems to be the only one in the novel who experiences it—to signal moments when Pip feels himself acting against his nature.

The Trabb boy’s pranks nail Pip’s shame right on the head, and his antics reflect what is going on in Pip’s conscious. Pip feels he has become a parody, a proud peacock who “doesn’t know yah.” At the same time, Pip confesses to Herbert that he cannot let it go. He desires Estella deeply and can’t seem to shake her. As long as he tries to be the person that Estella—and society—want, he will be acting against his nature.

Part II: Chapter 12

Herbert and Pip go to see Wopsle in Hamlet, which turns out to be a horrible piece of theater, but a very humorous evening nonetheless because of the crowd’s wisecracks. They invite Wopsle home for dinner and listen to him rant about his performance.

Analysis

Dickens presents a light hearted critique of overacted theater in this chapter. Wopsle’s Hamlet is laugh-out-loud comedy. Dickens was an actor and a producer of theatrical productions himself, and there is no doubt he was probably targeting certain actors that he knew personally in this parody.

Part II: Chapter 13

Pip receives a note from Estella that she is coming to London. She asks if he will meet her at the carriage stop.

While waiting for the carriage, Pip meets Wemmick who is on his way to Newgate prison to conduct some business. The prisoners are friendly with Wemmick, even offering to send him presents before their executions.

As Pip returns to wait for Estella, he wonders at the fact that things associated with the criminal element have strangely intercepted his life at various times, starting with the convict at the beginning of the story. He feels as if the stain of criminality is still on him from his visit to Newgate prison and how that contrasts with the beautiful Estella.

As the carriage pulls up, Pip once again sees a familiar expression in Estella’s face, but cannot place it.
Analysis

Pip reflects on how criminals have intercepted his life at various points, starting with the convict that he fed at the beginning and the one-eyed convict that gave him the pound note from the first convict. Now he is involved in men, Wemmick and Jaggers, who make convicts their livelihood. These thoughts are interrupted by the strangely abrupt entrance of Estella’s carriage. It is strangely abrupt since Pip spent the whole chapter in anticipation, waiting for nearly six hours for it, but when it finally comes, Pip is involved in other thoughts.

Narrator Pip is hinting with these thoughts that Young Pip’s interaction with criminals is not over. Their surprising involvement in his life will continue. Dicken’s placing the abrupt intervention of Estella’s entrance in these thoughts foreshadows a little more specifically: Estella, too, will have something to do with criminality.

Part II: Chapter 14

Estella is to go on to Richmond, accompanied by Pip, and the two sit in a nearby cafe as they wait for the outgoing coach. Estella is to educated by a wealthy woman in Richmond with a single daughter.

Estella tells Pip that all of Miss Havisham’s relatives hate him because they Miss Havisham to be his benefactor. They are always gossiping jealously, but Estella believes that Pip is still alright in Miss Havisham’s eyes.

The carriage comes and they ride to Richmond talking of trivial things. Pip believes that if he were to be with her forever that he would be blissfully happy — but this contradicts his knowledge that whenever he is with her he is “always miserable.”

Analysis

“We are not free to follow our own devices, you and I,” says Estella, meaning that she has been given instructions for the day and they must not deviate from them. The statement, however, is a projection of how both their lives are controlled in general.

Estella is not free “to follow her own devices” not only because Miss. Havisham is her adoptive mother and she should do as she says, but because Estella has been raised to actually think, feel, and act exactly as Miss. Havisham wishes. In raising Estella, Miss. Havisham created a puppet, an individual who indeed cannot choose her own destiny because she will act that way she has been conditioned to act.

Pip, on the other hand, is also trapped and cannot freely choose, but his lack of independence is wholly his own fault. Pip is not free to follow “his own devices” because he has trapped himself in how he thinks he needs to act, think, and feel. He believes himself to have great expectations, among these, someday, the hand of Estella, and this belief has forced him into acting a certain way (snobbishly, especially toward his past), feeling a certain way (that he is happy with Estella even if he is not), and thinking a certain way (proud and wasteful).

As hinted in the previous chapter, both Pip and Estella will find their destinies intricately tied up in their pasts. This, too, will bind them to certain actions.

The irony is that, though they think themselves trapped, both can escape their current lifestyles if they truly wanted too, just as easily as they could ignore Miss Havisham’s instructions and change the plans for the day. Estella can shake off her upbringing and try to find her emotions; Pip can stop acting like an ass and lead a life which feels more natural to him.
Part II: Chapter 15

Pip’s conscience bothers him with regard to Joe and Biddy who he continues to ignore. As well, he feels guilty for leading Herbert into a life of debt by carrying him along on a very expensive lifestyle of dinners, drinks and shows.

Pip describes his life at Bernard’s Inn with Herbert: “We spent as much money as we could, and got as little for it as people could make up their minds to give us. We were always more or less miserable and most of our acquaintances were in the same condition... our case was in the last aspect a common one.”

They “check their affairs” by shuffling papers and bills and realize that, though they are in far in debt both, are quite unsure just how far in debt they have gone.

After one evening of “checking their affairs,” a letter comes for Pip announcing the death of Mrs. Joe Gargery.

Analysis

Pip makes clear in this chapter that, in general, he is not happy with his lifestyle. He is not happy with his state of mind, feeling guilty about Joe and Biddy, nor with his day-to-day life as a young gentleman about town. A symbol of the emptiness he feels with being a gentleman around town is indicated by his joining a men’s club called “Finches of the Grove.” The group meets over dinner, argues, and gets drunk and Narrator Pip does not respect the group of young gentleman enough to even introduce their names.

The only true friend Pip has met is Herbert, and Pip feels that he is betraying even that relationship by living the high life with a man who cannot afford it.

The chapter reinforces what the reader already knows about Pip: He has chosen a lifestyle which alienates himself from the people he loves, and even alienates him from his true self.

Part II: Chapter 16

Pip returns home to attend the funeral — which turns out to be a ridiculous affair put on by Trabb the tailor and made worse by the pompous Pumblechook and the foolish Hubbles.

Later, however, Joe and Pip sit comfortably by the fire like times of old. Pip finds out that before she died, his sister put her head on Joe and said, “Joe... Pardon... Pip.”

Later, Biddy and Pip go for a walk and Pip asks what she will do now. She tells him she is going to open her own school. Biddy insinuates that Pip will not be returning soon as he promises. Pip leaves insulted.

Analysis

As Joe predicted, the environment of the forge was a better environment for an honest relationship between he and Pip. Joe is much more comfortable with Pip in the comfort of his own home, smoking his pipe by the fire.

Discomfort continues, however, between Pip and Biddy. Biddy’s honest evaluations of Pip are the cause of this discomfort. It is like talking to his own conscience. Biddy seems to be able to see right through Pip, as when she predicts that he will not be back too often, while at the same time she seems to sympathize with his position. Biddy’s relationship with Pip appears as a contrast to Estella’s relationship with him. In the former, Pip is loved by a woman who
knows him better than anyone, both his strength and his failings. In the latter, Pip is a mere play thing to a woman who apparently, and admittedly, has been conditioned not to love.

Part II: Chapter 17

Pip “comes of age,” that is, turns twentyone, and hopes that his benefactor will present her/himself. His hopes seem to be on the mark when Jaggers makes an appointment with him for early that evening.

In fact, Jaggers reveals nothing about Pip’s benefactor and tells him that he does not know when the benefactor will chose to reveal themselves. The only thing that has changed is that Pip is now in charge of his own stipend which is now set at five hundred pounds a year.

Jaggers then dines with Herbert and Pip at the Bernard Inn. After he leaves, Herbert echoes both he and Pip’s thoughts: When they are in Jagger’s presence, you always feel as though you’ve committed some outrageous crime that not even you yourself are aware of.

Analysis

Once again, the irony of the title of the book is echoed in the events in Pip’s life. Expectations, great or small, will be crushed. Pip expects his benefactor — whom he continues to believe is Miss Havisham — will reveal themselves on his birthday. Though Herbert’s twenty-first birthday was only a few months ago, it was not anticipated or celebrated with as much anxiousness as Pip’s — because of the great expectations which preceded it.

The motif of expectations crushed is paralleled with the continuing theme of guilt and shame in Pip’s life. Herbert and Pip both share in a rather humorous feeling that any conversation with Jaggers makes you feel like your hiding something, but in Pip’s case, he has felt like he is hiding something for most of his life.

Part II: Chapter 18

Pip goes to Wemmick’s castle for dinner and is introduced to Miss. Skiffins (whose face, like Wemmick’s, also looks like a post office box). Pip asks Wemmick for advice on how to give anonymously give Herbert some of his yearly stipend (one hundred pounds a year).

With help from Miss. Skiffins’ brother, who is in finance, Wemmick and Pip put together a plan whereby Herbert will be given a job with a young merchant.

Analysis

The distinction between how we treat people in the public arena versus how we treat them in private is made stark clear by Wemmick’s initial reaction in the previous chapter when Pip first approaches him about helping Herbert. Pip spoke with him in Jaggers’ office, where Wemmick told him that giving money to help a friend is like throwing money into the Thames. When Pip approaches him about the same subject in his own home, Wemmick tells him that the gesture is “devilish good” of him. Wemmick demonstrates that not only does society force us to act a certain way, in a great part against our nature, it also forces us to denigrate our fellow humans to the level of positive or negative investments. The narrator certainly doesn’t fault Wemmick for this, but Young Pip is being given clear lessons about life in the city.
Part II: Chapter 19

Pip dedicates a chapter, thin as it is, to his relationship with Estella while he lives in the city and she lives in Hammersmith. “I suffered every kind and degree of torture that Estella could cause me,” he says.

On a number of occasions, he accompanies Estella on her frequent visits to Miss. Havisham. In his presence, Miss. Havisham demands to hear of all the hearts that Estella has broken, complete with names and details.

Pip blindly interprets this as meaning that after Estella has wreaked appropriate revenge on the male gender, the two of them will be given to each other by Miss. Havisham as a reward.

Miss. Havisham’s concentrated effort to raise a child who can feel no love comes back to work against her, however, as Pip witnesses an argument between them. Miss. Havisham, an older woman from when Pip first met her, has moments when she needs to be loved and appreciated. Unfortunately, Estella is incapable of love and cannot, therefore, give affection to even her adoptive mother. Miss. Havisham did her job too well.

While fraternizing with his men’s club, “the Finches of the Grove,” Pip finds out that Drummle has begun courting Estella. Despite knowing how Estella treats men, Pip is miserably upset that Estella has begun seeing the most repulsive of Pip’s acquaintances.

Analysis

Though Pip continues to dream of Miss. Havisham revealing herself as his benefactor and, as well, revealing her plan of bringing he and Estella to live together in perfect domestic bliss, he admits that he “…never had one hour of happiness in her (Estella’s) society…”

The torture that Pip feels, however, may in a great part be the torture that he brings on himself. Estella tells him that of all the men that she toys with, and of all the hearts that she breaks, she has never deceived or entrapped Pip.

Part II: Chapter 20

Pip has his twenty-third birthday and seems to be doing very little with his life. He no longer is tutored by Mr. Pocket, though they remain on good terms. He tries a few occupations, but doesn’t stick to any of them. Instead, he finds that he is spending a lot of time reading.

A rough sea-worn man of sixty comes to Pip’s home on a stormy night. Pip invites him in, treats him with courteous disdain, but then begins to recognize him as the convict that he fed in the marshes when he was a child.

The man reveals that he is Pip’s benefactor. He has been living in Australia all these years and making money as a sheep herder. But since the day that Pip helped him, he swore to himself that every cent he earned would go to Pip.

“I’ve made a gentleman out of you,” the man exclaims. Pip is horrified. All of his expectations are demolished. He has been living his life off the hard workings of a convict. There is no grand design by Miss. Havisham to make Pip happy and rich, living in harmonious marriage to Estella.

The convict tells Pip that he has come back to see him under threat of his life, since the law will execute him if they find him in England. Pip gives the convict Herbert’s empty bed, then sits by the fire by himself, pondering his miserable position.
Self Assessment

Fill in the blanks:
1. Jagger’s office located in a place called ......... .
2. Wemmick brings Pip to ......... .
3. Dickens pointedly, is making two criticisms here aimed at ......... .
4. Pip journeys back to this hometown to see ......... .
5. Pip conscience bothers him with regard to ......... who he continues to ignore.

Analysis

The chapter closing the second part of the novel closes as well Pip’s great expectations. The irony is that the convict lived his life for Pip, worked his fingers to the bone to make Pip a gentleman. He did this based on the true act of kindness that Pip demonstrated when he gave the convict wittles to eat in the marshes. With all of his money and education, however, Pip has become much less of a noble “gentleman” than when he was a child. Pip has become less prone to kind acts than when he was a poor shivering orphan in a lonely courtyard. As seen by Pip’s decaying relationship with his adoptive father Joe and his true friend Biddy, but most strongly by his horrified reaction to his benefactor in this chapter, Pip has become an unkind, ungenerous, pompous ass.

Considering his situation, Pip first becomes angry at Miss. Havisham, who used him and deliberately led her relatives and himself into believing that he was destined for her fortune. But his anger soon turns to himself, when he realizes how badly he treated Biddy and Joe, his true friends. He saw Joe as common and low class when all the time he was being supported by the lowest of the classes, a convict.

Although Pip learns that his expectations were all a sham and he realizes that he has mistreated Biddy and Joe, he is still basing his thoughts on the class system, society’s ideas of “gentleman” and “common.” Although Pip’s future seems to have changed, internally he still has not learned that the hierarchy of the class system says nothing about the nobleness of a person or how to lead a happy life. Indeed, he thinks he is ruined because he now associates himself with a convict, even though the convict has shown him nothing but kindness. He doesn’t know what crime the convict committed; he only classifies him as less than common because of his label of “convict.” Even his guilt about how he treated Joe is based on the fact that the money which brought him great expectations is somehow less pure than money from Miss. Havisham. Yet the convict has shown Pip more generosity and care than Miss. Havisham ever did: “Look’ee here, Pip. I’m your second father.” Dicken’s finishes this part with the line, “This is the end of the second stage of Pip’s expectations.”

13.3 Summary

- Wemmick brings Pip to Bernard’s Inn, where he will be staying when he is in town.
- Dickens, pointedly, is making two criticisms here aimed at English society.
- Dicken’s humorously uses Wemmick to show how conforming to society, in this case Wemmick’s job at Jaggers, can twist a person so much as to make them unidentifiable.
- Pip imagines that Miss. Havisham has adopted both he and Estella to raise them to be with each other.
- Pip has his twenty-third birthday and seems to be doing very little with his life.
13.4 Keywords

Motif : a single or recurring image forming a design.
Critique : a detailed analysis and assessment.
Thematic : relating to the theme of an inflected word.
Proximity : nearness in space, time or relationship.

13.5 Review Questions

1. What kind of lawyer is Mr. Jaggers?
2. What is Pip’s first impression of London?
3. What is Herbert’s occupation?

Answers: Self Assessment

1. Little Britain
2. Bernard inn
3. English society
4. Estella
5. Joe and Biddy

13.6 Further Readings

Books
Great Expectations (Non-Detailed) — Charles Dickens
Charles Dickens — Michael Slater
Charles Dickens — Harold Bloom

Online links
http://www.online-literature.com/dickens/greatexpectations/
http://www.pinkmonkey.com/booknotes/monkeynotes/pmGreatExpect02.asp
Unit 14: Great Expectations: Detailed Study of Text-III

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14.6 Further Readings

Objectives

After studying this unit, you will be able to:

- Explain Great Expectations detailed study of text part III all chapters
- Discuss analysis of part III all chapters.

Introduction

Pip decides that he cannot keep Magwitch in the apartment-his housekeepers will discover him, especially if he in any way seems to have a secret. He decides to introduce Magwitch as his uncle from the country. He goes downstairs to summon the watchman, but falls over someone crouching on the stairs. Pip hurries to the watchman, who cannot imagine who could have been on the stairs. He asks if Pip saw the man who was looking for him. He is then confident Pip saw the man with his ‘uncle’, but Pip did not. The watchman cannot give any better description, except that the other person seemed working class. Pip is excessively worried that the two events, either of which could be dismissed, are connected.

Pip dozes until daylight. When he awakes he is quite incapable of being able to decide what to do. He informs his housekeeper of the arrival of his uncle. When Magwitch awakes, Pip tells him his fabrication to date. Magwitch tells him that he used the name Provis aboard ship, but his full name is Abel Magwitch.

Did u know? Pip tries to discover who the man was, without awaking Magwitch’s fear, but he cannot. Magwitch, who was tried in London, might have been known that way. He refuses to reveal what he was tried for, as he has served his time.

Even so, he decides to revisit the lot where Satis House stood, for Estella’s sake. She was treated badly by her husband, who eventually died when a horse turned upon him. Pip has heard nothing of her since. He walks around the grounds in the moonlight, and sees another walker, a woman, who cries his name—Estella.
Her youth has gone, although her beauty remains. She is softened, friendly. Neither has been back, each returns for the first time. It is the only possession that Estella keeps, and finally it is going to be built on. She remembers Pip as a thing of worth she threw away—she has thought of him a great deal.

14.1 Part III, Chapters 1–10 (40–49)

Part III: Chapter 1

Pip gets up and eats breakfast with the convict, who tells him his name is Magwitch though he is going by Provis while in England. Pip is disgusted with him, though, at the same time, he wants to protect him and make sure he isn’t found and put to death. Pip buys some clothes for him that will make him look like a “prosperous farmer.”

Pip goes to Jaggers to verify that this man is his benefactor. Indeed, Jaggers assures him that Miss. Havisham had nothing to do with his great expectations.

Analysis

Pip is closer to Magwitch than he knows since they both base the value of people on societally structured hierarchies. Pip still believes that one’s value is decided by the class one is born, or adopted, into. Because he thinks of Magwitch as the lowest of the low, he thinks himself the lowest of the low because of his association with him. Magwitch does not see it this way. Instead, he believes that the amount of money you have, and how ostentatiously you spend it, is what gives one value. Thus, he has spent his life working for money to make a poor blacksmith boy a “gentleman.”

Part III: Chapter 2

Herbert meets Magwitch. Pip brings Magwitch to a nearby inn, then returns to discuss with Herbert “what is to be done.”

Pip feels he cannot take any more of Magwitch’s money, mostly because Pip is still proud and it is the money of a criminal. At the same time, Pip does not want Magwitch’s execution on his hands which will surely occur if it is discovered he is back in England. Pip wants to protect Magwitch since he has risked his life to come back to see him.

The two decide that Pip will try and convince Magwitch to leave England with him. After that, they’ll see what happens. Magwitch returns for breakfast the next morning, and Pip asks him about the other convict that Pip had seen him fighting with in the marshes on the Christmas day long in the past.

Task Why is it necessary to move Magwitch?

Analysis

The reader has been shown very few moments when Young Pip has been happy. Pip was unhappy even when he should have been happy — during his apprenticeship with Joe — and continued to be unhappy even when great expectations were announced for him. Now a great mystery has been solved in the way of the appearance of Pip’s benefactor, and Pip is, once again, unhappy. We notice, however, that Pip is unhappy not so much because of his circumstances but because of how he views those circumstances. And although many in the novel are living
a much worse life than he — Joe and Biddy, Magwitch himself, Wemmick and Jaggers, Herbert and the rest of the Pockets — they do not seem to demonstrate the same unhappiness with their lot in life. Magwitch, his life in danger, seems strangely happy to be in the company of Pip, a person he had met under dire circumstances for just a few moments many years before. Only Pip has yet to reach within himself to find a happiness that neither society, nor romantic concepts of home, can offer him.

Part III: Chapter 3

Magwitch tells them the story of his life. From a very young age, he was alone and got into trouble. Mostly, he stole out of hunger and cold. At that same young age, he was impressed with the fact that others referred to him as hard, as a criminal, and predicted that he would spend his life in and out of jail. Indeed, his life ran along this very path.

In one of his brief stints actually out of jail, Magwitch met a young well-to-do gentleman named Compeyson who “had the head of the devil.” Compeyson had his hand in everything illegal: swindling, forgery, and other white collar crime. When Magwitch met him, Compeyson was working with a half-crazed man called Arthur, who saw visions of a woman dressed all in white, with a broken heart, who came to haunt him. On one of these haunts, Arthur gave up his own ghost and died.

Compeyson then recruits Magwitch to do his dirty work and soon gets Magwitch into trouble with the law. Both standing before the judge, Compeyson, being a gentleman, is given a lesser sentence than Magwitch, a career criminal. Magwitch hates the man.

Herbert passes a note to Pip: “Young Havisham’s name was Arthur. Compeyson is the man who professed to be Miss Havisham’s lover.”

Analysis

Previously non related story lines now come together and into focus. Magwitch worked with the man who had jilted Miss Havisham on her wedding day. And Compeyson’s work horse Arthur turns out to be Miss Havisham’s half brother who worked against her, haunted by her until the end.

Magwitch hates Compeyson with a self-sacrificing vengeance, and yet the reason for his hatred — that Compeyson was the mastermind behind the crimes yet received less of a sentence — is tied to his sacrifice for Pip. Compeyson got a lighter sentence because he was considered by society to be a gentleman. So Magwitch sets out on a life of sacrifice to provide the same advantages for Pip. Magwitch both hates this societal label and accepts it, as is demonstrated by his constant reference to himself as “low.” Indeed, Dickens seems to hint that Magwitch may have been a much different man if people had not told him since a young age that he would come to no good. And yet he wants to exploit the societal labeling by promoting Young Pip into gentlemanhood.

Notes Magwitch, a sympathetic character, is a reflection of what Pip, or any of us, could become if we take societal labels to heart.

Part III: Chapter 4

Pip finds out that Estella is at the Satis House and feels he needs to go back to visit both she and Miss. Havisham.
He returns to his home town and, at the town inn, meets Drummle, who is obviously courting Estella. The two pass rude words to each other, then they depart on their own ways.

Analysis

Magwitch has turned Pip’s world upside down, just as he had turned the Young Pip upside down to get some bread when they first ran into each other in the churchyard. But even if Magwitch had not presented himself as benefactor, it is clear that Pip would not have lived a satisfying life. With or without Magwitch, Estella was not being groomed for Pip. She was being groomed as a lady for a man of greater fortune, in this case, the insolent Drummle. The high-class life that Pip thought he wanted is not a very pleasant place to be with people like Drummle taken as models. But Pip’s world had to be turned upside down for him to start seeing that.

Part III: Chapter 5

Pip finds Miss Havisham and Estella in the same banquet room in the Satis. Pip tells Miss. Havisham that he is unhappy with the way she led him on to thinking that she was his benefactor and the manner in which she hinted that he and Estella were destined to be together. It was his own fault, says Miss Havisham, just like it was the fault of her relatives to believe this was the case as well.

Pip tells her that Herbert and Matthew Pocket are different from her other relatives. They are the same blood but they are kind and upright. Pip breaks down and confesses his love for Estella. Estella tells him straight that she is incapable of love — she had warned him of as much before — and she will soon be married to Drummle.

Even Miss Havisham seems to be finally feeling sympathy toward Pip, holding her heart as if remember how her own was broken.

Pip walks back to London. At the gate to his house he is given a note by the Porter written by Wemmick: “Don’t Go Home.”

Analysis

Pip is justifiably angry at both Miss. Havisham and Estella, though he forgives them both without them even asking because he realizes it was his own folly that brought him to unreal expectations. Estella’s and Miss. Havisham have vastly different reactions to Pip’s break down in front of them. Miss. Havisham appears to be touched, finally, and Pip’s broken heart strikes a chord in her own heart. Estella, on the other hand, appears amazed at the show of emotion and doesn’t seem to understand it. She is not angry; she is curious, as she really doesn’t know what it means to love as Pip is now loving her.

Their reactions may also be an indication of culpability, in the sense that some characters are more guilty of their sins than others because of the level consciousness in their actions. Miss. Havisham deliberately set out to break Pip’s heart through Estella. Estella, on the other hand, is unconscious of what she did. She only acted as she was brought up to act.

Part III: Chapter 6

Pip gets a room at a nearby inn and in the morning visits Wemmick at his castle. Wemmick tells Pip things he has learned from the prisoners at Newgate. Pip is being watched, he says, and may be in some danger. As well, Compeyson has made his presence known in London.

Wemmick has already warned Herbert as well who, heeding the warning, brought Magwitch to his fiance Clara’s house in a neighborhood that Pip does not frequent. As well, the house is right next to a dock on the Thames, making an escape by river more easily accomplished.
Notes Pip spends the day with Wemmick’s deaf old relative, the “Aged,” and leaves as it starts to grow dark.

Analysis

As the threat on Magwitch’s life grows, so does Pip’s affection and worry for him. Pip is no longer worried about himself, or even about having the blood of Magwitch on his hands, he is worried about the man at hand.

In this crisis, Pip is reminded who his true friends are: Wemmick, who is willing to be unprofessional and ask questions around the criminal areas of town and Herbert, who is risking his own life by helping Pip harbor a wanted man.

Part III: Chapter 7

Pip goes down to Clara’s to find Magwitch and Herbert. Herbert introduces him to Clara. Clara has no relatives except her father, a drunk, bed-ridden old sailor who lives on the second floor (Herbert has never met him) and constantly claims Clara’s attention.

Pip tells Magwitch that he is being watched and this is the best place for him now. In order to stay safe, Pip and Magwitch must only have contact through Herbert. Pip is a little sad to leave him. The rough old convict appears to have “softened” a bit.

Analysis

In this chapter, Pip actually misses Magwitch and wants to be closer to him. We are reminded of a parallel moment in the first chapter when young Pip looked back on the marshes he was running from and saw Magwitch walking away into the cold night. That singular figure on the horizon struck a sympathetic chord in young Pip and made the two of them unified in their abandonment by the world. Here, too, Pip has changed from fear (and disgust) of the convict, to sympathy and genuine companionship.

Part III: Chapter 8

Pip goes to dinner alone one night, then to the theater where he sees Mr. Wopsle in one of his productions. Mr. Wopsle stares strangely at Pip throughout the play, getting quite out of character.

Afterwards, Mr. Wopsle asks Pip who it was that he came with. Pip says he came alone. Mr. Wopsle tells him that there was man sitting behind Pip for much of the production and that he recognized him as the second convict that he, Pip, and Joe had hunted with the soldiers when Pip was just a child. Compeyson!

Analysis

Things are coming together quickly in the next few chapters. Pip is learning mysteries that have been unknown since the beginning of the novel. At the same time, the suspense is growing because there is a sense that all of these subplots are going to collide soon. Compeyson and Magwitch’s ongoing hatred, Miss Havisham’s “creation” of Estella, Pip’s snubbing of Joe and Biddy. The rhythm of the novel and its subplots — the introduction of mysterious events, their explanations, and the reaction of these explanations by the various characters — lends itself well to the series genre in which these stories, and all of Dickens’ novels, were first
published. Only one or two chapters, in the form of a magazine, were presented to the public at a time. One week a mystery would be introduced, the next week suspense would build, the week after that solutions and new problems, plus new characters, would come to light.

It is easy to see how all of England waited eagerly for the next issue of Dickens’ story, much like some today discuss the ongoing plots and subplots of soap operas. One can imagine that when the different issues of Great Expectations were first published, the readers felt, and probably talked, about Pip and all the characters as if they knew them personally. Pip was made all the more real by the fact that, in many cases, Dickens was creating the story as he went along. Therefore anything really could happen to Pip from one issue to the next when not even the author knew all the details.

Part III: Chapter 9

Pip has dinner with Jaggers and Wemmick at Jaggers’ home and learns from the host that Drummle has indeed married Estella. Jaggers’ verdict on the subject is that Drummle, because of his “spidery” character, will either beat her or “cringe,” that is, become a brow-beaten husband himself. The whole conversation pains Pip, who has been trying to avoid the subject even with Herbert.

During the dinner, Pip finally realizes what had been so familiar about a certain look he had seen in Estella. It was a look that he had seen in Jaggers’ servant woman as well. Pip knows instinctively now that Jaggers’ servant woman is Estella’s mother!

On their way home together, Wemmick tells the story of Jaggers’ woman servant, the “tigress” as Wemmick refers to her. It was Jaggers’ first big break-through case, the case that made him. He was defending this woman in a case where she was accused of killing another woman by strangulation. This is why Jaggers’ likes to show off the poor woman’s hands to company. The woman was also said to have killed her own child, a girl, at about the same time as the murder.

Analysis

Once again, Pip sees his life colliding with criminality and violence as he realizes that his love is the daughter of a murderess. The solutions to all the mysteries of the novel are starting to pour out now. For each one, Pip’s life is being disassembled. Things are not how he first saw them. People are not how he first defined them. Convicts are kind and ladies are the daughters of criminals. Gentlemen are scoundrels and blacksmiths are loyal. He has started letting go of the societal definitions for these people and started seeing them for who they are: individuals beyond labeling.

Part III: Chapter 10

Miss. Havisham asks that Pip come visit her. He finds her again sitting by the fire, but this time she looks very lonely. In fact, as she begins to speak, Pip sees that a big change has come over the cold woman. She seems almost afraid of Pip. Pip tells her how he was giving some of his money to help Herbert with his future, but now must stop since he himself is no longer taking money from his benefactor. Miss. Havisham wants to help, and she gives Pip nine hundred pounds to continue to assist Herbert.

She then asks Pip for forgiveness. Pip tells her she is already forgiven and that he needs too much forgiving himself to be able not to forgive others.

“What have I done?” Miss. Havisham repeats again and again. “What have I done?”

Pip asks her about the history of Estella. Miss. Havisham says that she was brought as a mere infant by Jaggers during the night.
Pip goes for a walk around the garden then comes back to find Miss. Havisham on fire! Pip takes his jacket and the tablecloth from the old banquet table, and puts the fire out, burning himself badly in the process. The doctors come, announce that she will live. They put her on the banquet table to care for her (where she said she would always lie when she died.)

Analysis

Repentance and forgiveness is a common theme among the relationships in the novel and it is interesting to see the instances where forgiveness is given and where it is refused. We are reminded of Mrs. Joe’s last words to Joe, seeming to imply a request for forgiveness for her actions toward he and Pip.

In this chapter, Miss Havisham is asking for forgiveness from Pip for having been a part of breaking his heart. She commiserates with him because her own heart had been so broken once. Pip immediately forgives, but believes her to have been much more of a disservice to herself and to Estella in her actions. She took away the light (both daylight and a spiritual sense of joy) from both their lives. In so doing, she destroyed a young girl’s capacity to love, and she herself is growing old with none to love her.

Miss Havisham’s request for forgiveness, of course, reminds Pip of his own need to reconcile and ask for forgiveness from Joe and Biddy and his treatment of them.

Reflecting the Christian influence in Victorian morality, those that do not seek reconciliation will sooner or later destroy themselves. We will see this in the upcoming chapters with Magwitch’s hatred for Compeyson and Orlick’s hatred for Pip.

14.2 Part III, Chapters 11–20 (50–59)

Part III: Chapter 11

Pip goes home and Herbert takes care of his burns. Herbert has been spending some time with Magwitch at Clara’s and has been told the whole Magwitch story.

Magwitch was the husband of Jaggers’ servant woman, the Tigress. The woman had come to Magwitch on the day she murdered the other woman and told him she was going to kill their child and that Magwitch would never see the baby again. And Magwitch never did. Pip puts it all together and tells Herbert that Magwitch is Estella’s father.

Analysis

Though this chapter is short, it drops such a bomb that it takes longer to realize all the ironies and implications of that bomb than it does to actually read the chapter. Estella is the daughter of a convict and a murderous tigress! Pip’s idea of all that is desirable in this life — Estella, wealthy, beautiful, uncommon Estella — is more closely related to the world of criminals and convicts than even he. Pip has been blindly headed towards what he thought he was running away from in the first place.

Of course, he does not feel any less respect or love for Estella. He cannot, because he knows her to be a lady. And so he must start to reevaluate how he judges people. He has judged himself harshly, at times, because he feels he has always been surrounded by criminals and violence and this is a reflection of his value as a person. But he can no longer do that, now that he sees that his benefactor is the father of the woman he loves.

Strangely, Pip feels he has not become what Magwitch had hoped for: a gentlemanly son. Unconsciously, however, Magwitch has given the world a ladylike daughter, in all ways very upper-class and uncommon.
Part III: Chapter 12

Pip wants to make sure he has the whole thing straight and goes to see Jaggers the next morning.

Pip tells Jaggers that he knows his servant woman is the mother of Estella and that Jaggers brought her to Miss Havisham. He also tells him Magwitch is the father. Jaggers was not aware of this and is as visibly amazed as Jaggers can get. Then Pip asks him to give him more details on the story and appeals to Wemmick, standing by, to help him. While doing so, he tells Jaggers of Wemmick’s warm castle and of his “Aged” relative. Jaggers is amazed at this as well, and tells Pip more of the story.

Jaggers had, in fact, talked (or rather threatened) his servant woman out of keeping the child and knew that Miss Havisham was looking to adopt. His reasoning amazes Pip, and Wemmick moreso, with its humanity. Jaggers says he wanted to save the child, to give it a chance in life, because he had seen too many children in her situation grow up in and out of jails and surrounded by the dangerous world of crime.

Analysis

The solving of the mysteries is coupled with the unveiling of the true personalities of the characters involved. In this chapter, we are pleased to learn that Jaggers does indeed have a heart, and his heart went out to little Estella. Before this scene, Young Pip had often imagined that the face casts of the two dead criminals in Jaggers’ office had a different expression everytime he walked in, implying that the masks of two dead men had more feelings and emotions than the living occupant of the room, Jaggers. Now, Jaggers is revealed, though only for a moment.

Wemmick’s private self, too, is revealed in the presence of his employer. In a humorous commentary, Pip describes how uncomfortable they are with their new relationship. By berating a harried convict for his show of emotion, the two revert immediately to their old selves and find themselves on a much more comfortable plane.

Part III: Chapter 13

Wemmick sends Pip a note indicating that now may be a good time to escape with Magwitch and get him out of the country.

Herbert and Pip plan to take the boat out with Magwitch in a few days, take him down the Thames until they run into a steamer headed for a foreign port.

In the meantime, Pip gets another letter, this one by an anonymous author, telling him to come down to the limekiln in the marshes that night. Once again, Pip goes to his hometown and walks out to the marshes.

Analysis

On returning his village yet again, Pip hears his own story from an innkeeper who didn’t know his identity. The story was about a young man from the village who had come into some property. This young man would often come back, but would give a cold-shoulder to the man who had been his initial benefactor and protector. The innkeeper is talking about Pumblechook as Pip’s initial benefactor as Pumblechook in his loud mouth likes to identify himself. But for Pip it is yet another reminder of the “cold shoulder” that he has given Joe all these years. Joe truly was his benefactor and never asked for anything in return (unlike Pumblechook). With
his reflections on Joe and on Magwitch, Pip is now examining the great relationships in his life as opposed to his great expectations.

Part III: Chapter 14

Pip goes to the marshes to a shack near the limekiln where he is to meet the anonymous writer. There Pip is jumped by Orlick who ties him up and tells him that he is going to promptly kill him. Pip does not want to die, not because he values his own life, but because he still has moral obligations to fulfill with Magwitch (getting him out of the country) and Joe (asking for forgiveness).

Orlick admits to hitting Mrs. Joe over the head, but says it was Pip’s fault because Pip was the favored one and Orlick was jealous. Orlick says he is working for Compeyson and assures Pip that Compeyson will make sure that Magwitch does not leave the country.

Just as it appears Orlick is going to kill him, Herbert, Startop and Trabb’s boy burst through the door. Orlick escapes.

Pip had dropped the anonymous letter at home and Herbert found it. He and Startop came to the town and got Trabb’s boy to show them where the shack was. Pip rests a day at home; the following day they plan to escape with Magwitch.

Analysis

Orlick represents random violence and is probably the only truly evil character in the novel. He acts simply on his anger — first with Mrs. Joe, now with Pip. At the same time, if there is a character that Pip would truly like to kill, it would be Orlick. Orlick, after all, was responsible for the death of his sister and was considered a threat to Biddy. Now Orlick threatened to take away the time Pip wants to set his life straight with the important people in his life.

Hatred begets hatred. The only way Pip sees as dealing with Orlick is violently. Fortunately, the only expression of violence that Pip is capable of in his current predicament is a ferocious scream.

Part III: Chapter 15

They get up the next morning and start rowing down the river, picking up Magwitch at the preappointed time. They row downstream all day and put in on shore at an inn for the night.

They start off the next day and are within a few feet of a steamer that they hope to board when another boat pulls alongside to stop them. In the confusion, Pip sees Compeyson leading the other boat, but the steamer is on top of them. The steamer crushes Pip’s boat, Compeyson and Magwitch disappear under the water, and Pip, Startop and Herbert find themselves in a police boat of sorts.

Magwitch finally comes up from the water. He and Compeyson and wrestled for a while, but Magwitch let him go and now Compeyson is presumably drowned. Once again, Magwitch is shackled and arrested.

Pip sits down next to the injured and exhausted Magwitch, and feels that he will stay by Magwitch’s side until the end. Pip also realizes that the English government will take all of Magwitch’s fortune.
The chapter begins with Magwitch and Pip sitting together in the boat, Magwitch seemingly unworried about the future: "...we can no more see to the bottom of the next few hours, than we can see to the bottom of this river what I catches hold of." But Magwitch is content to be free for the moment and sitting next to the boy he considers a son.

By the end of the chapter, with Magwitch in chains, Pip too feels that he is where he should be, sitting next to his adopted father. Pip, too, does not know what is in store for him in the future, with all his expectations dashed, but he is content to stand by the man who risked his life to be near him.

Why Magwitch seemingly unworried about the future?

The single fact that the loss of Magwitch’s fortune does not bother Pip demonstrates the power of his transformation. Even the generous Wemmick laments its loss to the crown, but Pip seems to take it as a mixed blessing. He will not live off the money of others again.

Part III: Chapter 16

Magwitch is in jail and quite ill. Herbert is leaving for Egypt with the firm in the position that Pip, and now Miss. Havisham, had secretly set up for him. Herbert plans to marry Clara as soon as her drunk old father dies. He offers Pip a job as his clerk in the company as well as a place to stay — with he and Clara, once they get settled. Pip cannot give his answer for the job until he sees the Magwitch situation through, but asks Herbert to keep the position open for a few months for him.

Wemmick invites Pip to his castle on a Monday; the first holiday Wemmick has taken in over twelve years. He and Pip go for a walk.

They walk to a church where Miss. Skiffins and Wemmick’s “Aged” relative are waiting. With Pip as witness, Miss. Skiffins and Wemmick proceed to get married.

Two of Pip’s best friends have found happiness: Herbert in his job and in his pending matrimony to the fairy-like Clara and Wemmick in the completion of his castle fantasy with a queen in Miss Skiffins. In contrast, Pip is in the worst of straits. He has no employment, he no longer has a pending fortune, Estella has married someone else, and his adoptive father is dying in prison.

A Victorian moral lesson is being taught here. Herbert is a cheerful, hard working, honest man with limited resources but large dreams. His kindness to Pip and his sincere love of a woman below his status demonstrates that he is a moral, upright man. Good things, then, have come to the man who has lived an honest life. Likewise Wemmick has also showed kindness and incredible generosity to Pip and his “Aged” relative with nearly superhuman cheerfulness. He, too, has earned a good life with a good woman.

Pip, with his great expectations, has failed to achieve any of them, and now does not have even the smallest expectation of a good honest living with a good loving wife.

Through his difficulties, however, Pip is being transformed from a proud boy to an actual gentleman, with respect for good relationships and rejection of societal value judgments. Being
Part III: Chapter 17

Pip attends to the ailing Magwitch daily in prison. “The kind of... resignation that he (Magwitch) showed, was that of a man who was tired out.”

Magwitch is condemned to die and the sentencing is carried out with thirty two other convicts also condemned to die. Within ten days of the sentencing, Magwitch dies in prison. Before he does, Pip whispers to him that the daughter he thought was dead is quite alive. “She is a lady and very beautiful,” Pip says. “And I love her.” Magwitch kisses Pip’s hand in response and passes away.

Analysis

Pip’s transformation is made graphically clear during the trial and sentencing of Magwitch. Throughout the trial, Pip holds Magwitch’s hand. At the sentencing, Pip assists Magwitch out of the chambers while onlookers point their fingers at them. Pip is no longer the proud boy afraid of what people will think of his associates and his past. He is, literally, embracing his past. He honestly loves Magwitch and therefore does not fear showing this love in public. This Pip is a much different Pip from the one who would not visit Joe and Biddy in the privacy of the forge for fear that people would talk.

Part III: Chapter 18

Pip, weakened by his burns, the fight with Orlick, and the general psychological stress, falls into a fever for nearly a month. Creditors and Joe fall in and out of his dreams and his reality. Finally, he regains his senses and sees that, indeed, Joe has been there the whole time, nursing him back to health.

Joe tells him that Miss Havisham died during his illness, that she left Estella nearly all, and Matthew Pocket a great deal. The rest of the relatives were given very little. Orlick has been put in jail because he broke into Pumblechook’s house.

Pip slowly regains his strength. Seeing this, Joe slips away one morning leaving only a note. Pip discovers that Joe has paid off all his debtors.

Pip is committed to returning to the forge and to ask for forgiveness for everything he has done. He also wants to ask Biddy to marry him.

Analysis

As in his childhood, Pip is assisted by the irreplaceable help of Joe. Through this action, Joe has already forgiven Pip.

Joe is most comfortable when Pip is at his weakest. As Pip grows stronger, Joe begins to distance himself. Finally, he leaves. Joe has proved his friendship; it is now Pip’s turn to show his true colors.

Other endings are wrapped up. Miss. Havisham makes good in the end by giving money to the one relative that she didn’t allow to visit her. All the other relatives are given rather humorous inheritances to help with their faulty characters.
Part III: Chapter 19

Pip returns to his home town and is treated with certain coldness by the town that was so kind to him when he was on his way to great expectations. He meets Pumblechook, who tells Pip his misfortune is due to him because he was ungracious and ungrateful to his earliest benefactor and friend — meaning, of course, not Joe but himself, Pumblechook.

Pip walks toward the forge, creating a picture in his mind of the simply happy life he will have with Biddy.

Pip comes to the forge and indeed finds happiness — but the happiness is Joe and Biddy’s. It is their wedding day.

Pip wishes them well, truly, and asks them for their forgiveness in all his actions. They happily give it.

Pip goes to work for Herbert’s firm and lives with the now married Clara and Herbert. Within a year, he becomes a partner. He pays off his debts and works hard.

Self Assessment

Fill in the blanks:

1. Pip goes to Jaggers to verify that this man is his .......... .
2. Pip hurries to the .......... , who cannot imagine who could have been on the stairs.
4. Pip goes down to .......... to find Magwitch and Herbert.
5. Pip goes home and .......... takes care of his burns.
6. Pip attends to the ailing Magwitch daily in .......... .

Analysis

Poor Pip has one last lesson to learn and he learns it in Biddy’s marriage to Joe. The lesson appears to be that one should not have expectations at all, simple or grand.

Pip, walking in his old neighborhood, is struck by the simple beauty of the place. He develops expectations of the place as much as he creates an expectation of an idyllic marriage to Biddy. The expectation fails because, once again, Pip is adhering to societal concepts of what is happiness (this one taking place in a simple village with a simple wife) instead of seeing people for who they really are and appreciating the relationship beyond its societal label.

Pip sees the simple village; he remembers his simple and happy life in the forge with Joe. The idyllic vision in his head has nothing to do with the actual people involved, including himself. Pip, in fact, is not thinking of Biddy when he imagines their life together. He does not examine or appreciate that relationship for what it is. If he had been more attentive to the actual relationships involved instead of his idyllic view of them, he would have seen Biddy’s love for Joe and Joe’s love for her. He would have seen that Pip’s place at the forge was as a friend, not as a husband or a brother.

In the end, there is a feeling that Pip’s life is actually just beginning. The journey through his great expectations was in preparation for what would become a fuller life. Pip will now adhere to the Victorian standards of working honestly for his money, of being loyal to his friends, of being generous and kind even to those whom societal may view as low or common.
In essence, Pip has made the past a part of his life and has more realistic expectations of the future. He can now live more fully in the present, developing and appreciating relationships. Pip seems to have finally learned all he needs to learn. But we have one more chapter...

Part III: Chapter 20

Being out of the country working for Herbert’s firm, Pip has not seen Biddy or Joe in eleven years. He visits them finally and meets their son, a little Pip, sitting by the fire with Joe just like Pip himself did years ago.

Pip tells Biddy that he is quite the settled old bachelor, living with Clara and Herbert and he thinks he will never marry.

Nevertheless, he goes to the Satis House that night to think once again of the girl who got away.

And there he meets Estella. Drummle treated her roughly and recently died. She tells Pip that she has learned the feeling of heartbreak the hard way and now seeks his forgiveness for what she did to him.

The two walk out of the garden hand in hand, and Pip “saw no shadow of another parting from her.”

Analysis

The final chapter of Great Expectations remains a controversy with critics even today. Dickens had initially written a different ending in which Pip runs into Estella on a London street but she has not changed at all and he, in turn, feels none of the old feelings for her. Though much more depressing, many critics consider the first ending more true to the story’s themes. Their argument, in some cases, is that the entire point of the book was that Pip must come to realize happiness through his own internal process and not through some external situation (such as position or wealth) or person (like Estella).

Nevertheless, there is some justice in Estella and Pip finally finding love in each other. Because of their difficulties, they seem both to have come to a realization of what it means to be happy and therefore are ready for a healthy relationship with each other. Chapter Nineteen demonstrated that Pip had been living an upright life for 11 years when he finally runs into Estella again. Estella might be seen as the final reward for a true Victorian gentleman.

And, although we are not witness to Estella’s transformation from ice queen to sensitive lady, we, as readers, must in the end forgive her for her treatment of Pip. Estella, moreso than Pip, represents the abused child, the true victim of circumstance that Dickens presents in many other characters throughout his novels. Estella had no choice in her lot in life — she was born to criminals and brought up to be emotionless by a cold, vengeful woman. Even Estella’s marriage to Drummle, and her abuse in that relationship, is predesigned by powers beyond her control. While Pip had good friends in Joe and Herbert and Wemmick, Estella had only jealous, bitter relatives.

Estella’s life, in fact, is nearly identical to the lives of both her criminal parents. She has been trapped, nearly imprisoned, throughout her life, but literally and figuratively. Estella is trapped in a house without daylight for her entire childhood and then moved, like a prisoner herself, to houses in Paris and then London. Finally, she ends up trapped in an abusive marriage. Estella’s past, her roots, her beginnings, are symbolized not by the warm fire of the forge, as is Pip’s case, but in the barren empty lot where the Satis House once stood.

Estella is the true victim of society’s values. It is a miracle that she emerged sane or with any feelings at all. And so, like Pip, we must forgive her and wish the two of them well.
14.3 Summary

- Pip is closer to Magwitch than he knows since they both base the value of people on societally structured hierarchies.
- Pip tells her that Herbert and Matthew Pocket are different from her other relatives.
- Pip has dinner with Jaggers and Wemmick at Jaggers' home and learns from the host that Drummle has indeed married Estella.
- Magwitch is in jail and quite ill. Herbert is leaving for Egypt with the firm in the position that Pip, and now Miss. Havisham, had secretly set up for him.
- Pip returns to his home town and is treated with certain coldness by the town that was so kind to him when he was on his way to great expectations.

14.4 Keywords

Hierarchy: a ranking system ordered according to status.
Groomed: prepare or train for a purpose or activity.
Churchyard: an enclosed area surrounding a church.
Benefactor: a person who gives money or other help to a person or cause.

14.5 Review Questions

1. What is the new problem that Pip faces?
2. What is his frightful but rich patron’s name?
3. What does Wemmick advise Pip to get hold of?

Answers: Self Assessment


14.6 Further Readings

Books
- Great Expectations (Non-Detailed) – Charles Dickens
- Charles Dickens – Michael Slater
- Charles Dickens – Harold Bloom

Online links
- http://www.online-literature.com/dickens/greatexpectations/
- http://www.pinkmonkey.com/booknotes/monkeynotes/pmGreatExpect02.asp
Unit 15: Great Expectations: Plot Construction

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Objectives

After studying this unit, you will be able to:

• Explain plot construction in detail
• Discuss overview of plot construction–Great Expectations.

Introduction

Charles Dickens is said to have explored a new ground in his novel, Great Expectations. The theme of self-knowledge explored in the novel expresses in part Dickens’ own search for a sense of self. Many readers and historians have suggested that Pip has a touch of Dickens in him, making the fictional book feel almost autobiographical? Structurally, the novel is a narration by a mature and retrospective Pip. It is divided into three distinct ‘stages’, each labeled as a specific “stage of Pip’s expectations.” In chronological fashion, these chapters trace Pip’s progress from industrious obscurity as a child through willful idleness as an adolescent and young adult, to a resigned and modest acceptance of his true place in society.

This is an obvious variation on the picaresque theme and carries with it many of the significant overtones of earlier picaresque novels. The first stage introduces all the major characters and sets the plot in motion. Pip’s situation is developed fully, including the first seeds of his desire to be “uncommon.” It leads to the revelation by Mr. Jaggers, the lawyer, that Pip is to inherit a huge fortune and become a gentleman. It is something Pip considers as miraculous, though mysterious, as his patron’s identity is not to be revealed for the time being. Mr. Jaggers only imparts to him that his benefactor has great expectations from him and so with the support of his anonymous provider, Pip’s expectations of himself also rise, and the action shifts to London. The second stage of Pip’s expectations, therefore, has a change of setting.

In this section, Pip’s development into a “gentleman” is explored. It describes the spendthrift and idle way Pip squanders wealth and what kind of person he has become. On the surface of things, Pip believes that he is living up to his great expectations. He also expects to have Estella’s hand in marriage. But this stage of his expectations is brutally shattered when Magwitch discloses his identity to Pip. The third stage of Pip’s expectations explores the complete collapse of Pip’s great expectations, which are replaced by a more mature sense of life and respectability. This section primarily constitutes his transformation, which has been at the heart of the novel. Such a pattern of growth, development and reeducation reflects the Bildungsroman tradition of Great Expectations.
15.1 Great Expectations: Plot Construction in Detail

Great Expectations is the story of Pip, an orphan boy adopted by a blacksmith’s family, who has good luck and great expectations, and then loses both his luck and his expectations. Through this rise and fall, however, Pip learns how to find happiness. He learns the meaning of friendship and the meaning of love and, of course, becomes a better person for it.

The story opens with the narrator, Pip, who introduces himself and describes a much younger Pip staring at the gravestones of his parents. This tiny, shivering bundle of a boy is suddenly terrified by a man dressed in a prison uniform. The man tells Pip that if he wants to live, he’ll go down to his house and bring him back some food and a file for the shackle on his leg.

Pip runs home to his sister, Mrs. Joe Gragery, and his adoptive father, Joe Gragery. Mrs. Joe is a loud, angry, nagging woman who constantly reminds Pip and her husband Joe of the difficulties she has gone through to raise Pip and take care of the house. Pip finds solace from these rages in Joe, who is more his equal than a paternal figure, and they are united under a common oppression.

Pip steals food and a pork pie from the pantry shelf and a file from Joe’s forge and brings them back to the escaped convict the next morning. Soon thereafter, Pip watches the man get caught by soldiers and the whole event soon disappears from his young mind.

Mrs. Joe comes home one evening, quite excited, and proclaims that Pip is going to “play” for Miss. Havisham, “a rich and grim lady who lived in a large and dismal house.”

Pip is brought to Miss Havisham’s place, a mansion called the “Satis House,” where sunshine never enters. He meets a girl about his age, Estella, “who was very pretty and seemed very proud.” Pip instantly falls in love with her and will love her the rest of the story. He then meets Miss Havisham, a willowy, yellowed old woman dressed in an old wedding gown. Miss Havisham seems most happy when Estella insults Pip’s coarse hands and his thick boots as they play.

Did u know? Pip is insulted, but thinks there is something wrong with him. He vows to change, to become uncommon, and to become a gentleman.

Pip continues to visit Estella and Miss Havisham for eight months and learns more about their strange life. Miss Havisham brings him into a great banquet hall where a table is set with food and large wedding cake. But the food and the cake are years old, untouched except by a vast array of rats, beetles and spiders which crawl freely through the room. Her relatives all come to see her on the same day of the year: her birthday and wedding day, the day when the cake was set out and the clocks were stopped many years before; i.e. the day Miss Havisham stopped living.

Pip begins to dream what life would be like if he were a gentleman and wealthy. This dream ends when Miss Havisham asks Pip to bring Joe to visit her, in order that he may start his indenture as a blacksmith. Miss Havisham gives Joe twenty five pounds for Pip’s service to her and says good-bye.

Pip explains his misery to his readers: he is ashamed of his home, ashamed of his trade. He wants to be uncommon; he wants to be a gentleman. He wants to be a part of the environment that he had a small taste of at the Manor House.

Early in his indenture, Mrs. Joe is found lying unconscious, knocked senseless by some unknown assailant. She has suffered some serious brain damage, having lost much of voice, her hearing,
and her memory. Furthermore, her “temper was greatly improved, and she was patient.” To help with the housework and to take care of Mrs. Joe, Biddy, a young orphan friend of Pip’s, moves into the house.

The years pass quickly. It is the fourth year of Pip’s apprenticeship and he is sitting with Joe at the pub when they are approached by a stranger. Pip recognizes him, and his “smell of soap,” as a man he had once run into at Miss Havisham’s house years before.

Back at the house, the man, Jaggers, explains that Pip now has “great expectations.” He is to be given a large monthly stipend, administered by Jaggers who is a lawyer. The benefactor, however, does not want to be known and is to remain a mystery.

Pip spends an uncomfortable evening with Biddy and Joe, then retires to bed. There, despite having all his dreams come true, he finds himself feeling very lonely. Pip visits Miss Havisham who hints subtly that she is his unknown sponsor.

Pip goes to live in London and meets Wemmick, Jaggers’ square-mouth clerk. Wemmick brings Pip to Bernard’s Inn, where Pip will live for the next five years with Matthew Pocket’s son Herbert, a cheerful young gentleman that becomes one of Pip’s best friends. From Herbert, Pip finds out that Miss Havisham adopted Estella and raised her to wreak revenge on the male gender by making them fall in love with her, and then breaking their hearts.

Pip is invited to dinner at Wemmick’s whose slogan seems to be “Office is one thing, private life is another.” Indeed, Wemmick has a fantastical private life. Although he lives in a small cottage, the cottage has been modified to look a bit like a castle, complete with moat, drawbridge, and firing cannon.

The next day, Jaggers himself invites Pip and friends to dinner. Pip, on Wemmick’s suggestion, looks carefully at Jaggers’ servant woman — a “tigress” according to Wemmick. She is about forty, and seems to regard Jaggers with a mix of fear and duty.

Pip journeys back to the Satis House to see Miss Havisham and Estella, who is now older and so much more beautiful that he doesn’t recognize her at first. Facing her now, he slips back “into the coarse and common voice” of his youth and she, in return, treats him like the boy he used to be. Pip sees something strikingly familiar in Estella’s face. He can’t quite place the look, but an expression on her face reminds him of someone.

Pip stays away from Joe and Biddy’s house and the forge, but walks around town, enjoying the admiring looks he gets from his past neighbors.

Soon thereafter, a letter for Pip announces the death of Mrs. Joe Gragery. Pip returns home again to attend the funeral. Later, Joe and Pip sit comfortably by the fire like times of old. Biddy insinuates that Pip will not be returning soon as he promises and he leaves insulted. Back in London, Pip asks Wemmick for advice on how to give Herbert some of his yearly stipend anonymously.

Narrator Pip describes his relationship to Estella while she lived in the city: “I suffered every kind and degree of torture that Estella could cause me,” he says. Pip finds out that Drummle, the most repulsive of his acquaintances, has begun courting Estella.

Years go by and Pip is still living the same wasteful life of a wealthy young man in the city. A rough sea-worn man of sixty comes to Pip’s home on a stormy night soon after Pip’s twenty-fourth birthday. Pip invites him in, treats him with courteous disdain, but then begins to recognize him as the convict that he fed in the marshes when he was a child. The man, Magwitch, reveals that he is Pip’s benefactor. Since the day that Pip helped him, he swore to himself that every cent he earned would go to Pip.
“I’ve made a gentleman out of you,” the man exclaims. Pip is horrified. All of his expectations are demolished. There is no grand design by Miss Havisham to make Pip happy and rich, living in harmonious marriage to Estella.

The convict tells Pip that he has come back to see him under threat of his life, since the law will execute him if they find him in England. Pip is disgusted with him, but wants to protect him and make sure he isn’t found and put to death. Herbert and Pip decide that Pip will try and convince Magwitch to leave England with him.

Magwitch tells them the story of his life. From a very young age, he was alone and got into trouble. In one of his brief stints actually out of jail, Magwitch met a young well-to-do gentleman named Compeyson who had his hand in everything illegal: swindling, forgery, and other white collar crime. Compeyson recruited Magwitch to do his dirty work and landed Magwitch into trouble with the law. Magwitch hates the man. Herbert passes a note to Pip telling him that Compeyson was the name of the man who left Miss Havisham on her wedding day.

Pip goes back to Satis House and finds Miss Havisham and Estella in the same banquet room. Pip breaks down and confesses his love for Estella. Estella tells him straight that she is incapable of love — she has warned him of as much before — and she will soon be married to Drummle.

Back in London, Wemmick tells Pip things he has learned from the prisoners at Newgate. Pip is being watched, he says, and may be in some danger. As well, Compeyson has made his presence known in London. Wemmick has already warned Herbert as well. Heeding the warning, Herbert has hidden Magwitch in his fiancé Clara’s house.

Pip has dinner with Jaggers and Wemmick at Jaggers’ home. During the dinner, Pip finally realizes the similarities between Estella and Jaggers’ servant woman. Jaggers’ servant woman is Estella’s mother!

On their way home together, Wemmick tells the story of Jaggers’ servant woman. It was Jaggers’ first big break-through case, the case that made him. He was defending this woman in a case where she was accused of killing another woman by strangulation. The woman was also said to have killed her own child, a girl, at about the same time as the murder.

Task
Identify the basic plot twist in each of the three stages of Pip’s Great expectations.

Miss. Havisham asks Pip to come visit her. He finds her again sitting by the fire, but this time she looks very lonely. Pip tells her how he was giving some of his money to help Herbert with his future, but now must stop since he himself is no longer taking money from his benefactor. Miss. Havisham wants to help, and she gives Pip nine hundred pounds to help Herbert out. She then asks Pip for forgiveness. Pip tells her she is already forgiven and that he needs too much forgiving himself not to be able to forgive others.

Notes
Pip goes for a walk around the garden then comes back to find Miss Havisham on fire! Pip puts the fire out, burning himself badly in the process. The doctors come and announce that she will live.

Pip goes home and Herbert takes care of his burns. Herbert has been spending some time with Magwitch at Clara’s and has been told the whole Magwitch story. Magwitch was the husband of Jaggers’ servant woman, the Tigress. The woman had come to Magwitch on the day she murdered the other woman and told him she was going to kill their child and that Magwitch
would never see her. And Magwitch never did. Pip puts it all together and tells Herbert that Magwitch is Estella’s father.

**Self Assessment**

State the following sentences are True or False:

1. Charles Dickens is an orphan boy and adopted by blacksmith’s family.
2. Miss. Havisham is a sister of Pip.
3. Pip goes to live in London and meets Wemmick, Jagger’s square-mouth clerk.
4. Narrator Pip describes his relationship to Estella while she lived in the city.
5. Miss. Havisham gives Pip twenty five pounds for Joe’s service to her and say good-bye.

It is time to escape with Magwitch. Herbert and Pip get up the next morning and start rowing down the river, picking up Magwitch at the preappointed time. They are within a few feet of a steamer that they hope to board when another boat pulls alongside to stop them. In the confusion, Pip sees Compeyson leading the other boat, but the steamer is on top of them. The steamer crushes Pip’s boat, Compeyson and Magwitch disappear under water, and Pip and Herbert find themselves in a police boat of sorts. Magwitch finally comes up from the water. He and Compeyson wrestled for a while, but Magwitch had let him go and he is presumably drowned. Once again, Magwitch is shackled and arrested.

Magwitch is in jail and quite ill. Pip attends to the ailing Magwitch daily in prison. Pip whispers to him one day that the daughter he thought was dead is quite alive. “She is a lady and very beautiful,” Pip says. “And I love her.” Magwitch gives up the ghost.

Pip falls into a fever for nearly a month. Creditors and Joe fall in and out of his dreams and his reality. Finally, he regains his senses and sees that, indeed, Joe has been there the whole time, nursing him back to health. Joe tells him that Miss. Havisham died during his illness, that she left Estella nearly all, and Matthew Pocket a great deal. Joe slips away one morning leaving only a note. Pip discovers that Joe has paid off all his debtors.

Pip is committed to returning to Joe, asking for forgiveness for everything he has done, and to ask Biddy to marry him. Pip goes to Joe and indeed finds happiness — but the happiness is Joe and Biddy’s. It is their wedding day. Pip wishes them well, truly, and asks them for their forgiveness in all his actions. They happily give it.

Pip goes to work for Herbert’s firm and lives with the now married Clara and Herbert. Within a year, he becomes a partner. He pays off his debts and works hard.

Eleven years later, Pip returns from his work overseas. He visits Joe and Biddy and meets their son, a little Pip, sitting by the fire with Joe just like Pip himself did years ago. Pip tells Biddy that he is quite the settled old bachelor, living with Clara and Herbert and he thinks he will never marry. Nevertheless, he goes to the Satis House that night to think once again of the girl who got away. And there he meets Estella. Drummle treated her roughly and recently died. She tells Pip that she has learned the feeling of heartbreak the hard way and now seeks his forgiveness for what she did to him. The two walk out of the garden hand in hand, and Pip “saw the shadow of no parting from her.”

**15.2 Plot Overview**

Pip, an orphan living with his sister and her husband in the marshes of Kent, sits in a cemetery one evening looking at his parents’ tombstones. Suddenly, an escaped convict springs up from behind a tombstone, grabs Pip, and orders him to bring him food and a file for his leg irons.
Pip obeys, but the fearsome convict is soon captured anyway. The convict protects Pip by claiming to have stolen the items himself.

One day Pip is taken by his Uncle Pumblechook to play at Satis House, the home of the wealthy dowager Miss Havisham, who is extremely eccentric: she wears an old wedding dress everywhere she goes and keeps all the clocks in her house stopped at the same time. During his visit, he meets a beautiful young girl named Estella, who treats him coldly and contemptuously. Nevertheless, he falls in love with her and dreams of becoming a wealthy gentleman so that he might be worthy of her. He even hopes that Miss Havisham intends to make him a gentleman and marry him to Estella, but his hopes are dashed when, after months of regular visits to Satis House, Miss Havisham decides to help him become a common laborer in his family’s business.

With Miss Havisham’s guidance, Pip is apprenticed to his brother-in-law, Joe, who is the village blacksmith. Pip works in the forge unhappily, struggling to better his education with the help of the plain, kind Biddy and encountering Joe’s malicious day laborer, Orlick. One night, after an altercation with Orlick, Pip’s sister, known as Mrs. Joe, is viciously attacked and becomes a mute invalid. From her signals, Pip suspects that Orlick was responsible for the attack.

One day a lawyer named Jaggers appears with strange news: a secret benefactor has given Pip a large fortune, and Pip must come to London immediately to begin his education as a gentleman. Pip happily assumes that his previous hopes have come true—that Miss Havisham is his secret benefactor and that the old woman intends for him to marry Estella.

In London, Pip befriends a young gentleman named Herbert Pocket and Jaggers’s law clerk, Wemmick. He expresses disdain for his former friends and loved ones, especially Joe, but he continues to pine after Estella. He furthers his education by studying with the tutor Matthew Pocket, Herbert’s father. Herbert himself helps Pip learn how to act like a gentleman. When Pip turns twenty-one and begins to receive an income from his fortune, he will secretly help Herbert buy his way into the business he has chosen for himself. But for now, Herbert and Pip lead a fairly undisciplined life in London, enjoying themselves and running up debts. Orlick reappears in Pip’s life, employed as Miss Havisham’s porter, but is promptly fired by Jaggers after Pip reveals Orlick’s unsavory past. Mrs. Joe dies, and Pip goes home for the funeral, feeling tremendous grief and remorse. Several years go by, until one night a familiar figure barges into Pip’s room—the convict, Magwitch, who stuns Pip by announcing that he, not Miss Havisham, is the source of Pip’s fortune. He tells Pip that he was so moved by Pip’s boyhood kindness that he dedicated his life to making Pip a gentleman, and he made a fortune in Australia for that very purpose.

Pip is appalled, but he feels morally bound to help Magwitch escape London, as the convict is pursued both by the police and by Compeyson, his former partner in crime. A complicated mystery begins to fall into place when Pip discovers that Compeyson was the man who abandoned Miss Havisham at the altar and that Estella is Magwitch’s daughter. Miss Havisham has raised her to break men’s hearts, as revenge for the pain her own broken heart caused her. Pip was merely a boy for the young Estella to practice on; Miss Havisham delighted in Estella’s ability to toy with his affections.

As the weeks pass, Pip sees the good in Magwitch and begins to care for him deeply. Before Magwitch’s escape attempt, Estella marries an upper-class lout named Bentley Drummle. Pip makes a visit to Satis House, where Miss Havisham begs his forgiveness for the way she has treated him in the past, and he forgives her. Later that day, when she bends over the fireplace, her clothing catches fire and she goes up in flames. She survives but becomes an invalid. In her final days, she will continue to repent for her misdeeds and to plead for Pip’s forgiveness.
The time comes for Pip and his friends to spirit Magwitch away from London. Just before the escape attempt, Pip is called to a shadowy meeting in the marshes, where he encounters the vengeful, evil Orlick. Orlick is on the verge of killing Pip when Herbert arrives with a group of friends and saves Pip’s life. Pip and Herbert hurry back to effect Magwitch’s escape. They try to sneak Magwitch down the river on a rowboat, but they are discovered by the police, who Compeyson tipped off. Magwitch and Compeyson fight in the river, and Compeyson is drowned. Magwitch is sentenced to death, and Pip loses his fortune. Magwitch feels that his sentence is God’s forgiveness and dies at peace. Pip falls ill; Joe comes to London to care for him, and they are reconciled. Joe gives him the news from home: Orlick, after robbing Pumblechook, is now in jail; Miss Havisham has died and left most of her fortune to the Pockets; Biddy has taught Joe how to read and write. After Joe leaves, Pip decides to rush home after him and marry Biddy, but when he arrives there he discovers that she and Joe have already married.

Pip decides to go abroad with Herbert to work in the mercantile trade. Returning many years later, he encounters Estella in the ruined garden at Satis House. Drummle, her husband, treated her badly, but he is now dead. Pip finds that Estella’s coldness and cruelty have been replaced by a sad kindness, and the two leave the garden hand in hand, Pip believing that they will never part again.

15.3 Summary

- Great Expectations is the story of Pip, an orphan boy adopted by a blacksmith’s family.
- Pip steals food and a pork pie from the pantry shelf and a file from Joe’s forge and brings them back to the escaped convict the next morning.
- Pip goes to live in London and meets Wemmick, Jagger’s square-mouth clerk.
- Magwitch is in jail and quite ill. Pip attends to the ailing Magwitch daily in prison.
- Pip is appalled, but he feels morally bound to help Magwitch escape London, as the convict is pursued both by the police and by Compeyson, his former partner in crime.

15.4 Keywords

- Malicious: intended to do harm.
- Strangulation: prevent blood circulation through by constriction.
- Disdain: the feeling that someone or something is unworthy of one’s consideration.

15.5 Review Questions

1. Trace the events that cause Pip to erroneously believe Miss Havisham is his patron. List specific scenes and events that support his assumption.
2. Is “Great Expectations” like a soap opera?
3. How is irony used in the novel?

Answers: Self Assessment

1. False  
2. False  
3. True  
4. True  
5. False
15.6 Further Readings

Books
- Great Expectations (Non-Detailed) — Charles Dickens
- Charles Dickens — Michael Slater
- Charles Dickens — Harold Bloom

Online links
- http://www.pinkmonkey.com/booknotes/monkeynotes/pmGreatExpect02.asp
- http://www.victorianweb.org/authors/dickens/ge/ripple17.html
Unit 16: Great Expectations:
Characterization and Ending of the Play

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Objectives

After studying this unit, you will be able to:

• Explain major characters of great expectations
• Discuss ambition and self-improvement
• Explain style and themes of great expectations.

Introduction

Great Expectations is written in first person and uses language and grammar that has, since the publication of Great Expectations, fallen out of common use. The title Great Expectations refers to the ‘Great Expectations’ Pip has of coming into his benefactor’s property upon his disclosure to him and achieving his intended role as a gentleman at that time. Great Expectations is a bildungsroman, a novel depicting growth and personal development, in this case, of Pip.

Some of the major themes of Great Expectations are crime, social class, empire and ambition. From an early age, Pip feels guilt; he is also afraid that someone will find out about his crime and arrest him. The theme of crime comes in to even greater effect when Pip discovers that his benefactor is in fact a convict. Pip has an internal struggle with his conscience throughout the book. Great Expectations explores the different social classes of the Georgian era. Throughout the book, Pip becomes involved with a broad range of classes, from criminals like Magwitch to the extremely rich like Miss Havisham. Pip has great ambition, as demonstrated constantly in the book.
16.1 Great Expectations: Characterization

Pip

Pip is the narrator as well as the protagonist of the story. Pip is an orphan being raised by his sister, Mrs. Joe Gargery and her husband, Mr. Joe Gargery, a blacksmith.

Mrs. Joe Gargery

Mrs. Joe Gargery is a bitter, angry woman who brings up Pip “by hand.” That is, she whips him whenever she can and complains about what a burden he is while she does it.

Mr. Joe Gargery

Mr. Joe Gargery is a kind, if browbeaten, blacksmith. Though he is theoretically Pip’s adoptive father, Pip sees him as an equal and a friend. Joe is uneducated and perhaps a little slow but he understands the important things in life.

Mr. Wopsle

Mr. Wopsle is the village church clerk whose dream it is to get on the pulpit and preach as he considers himself an excellent speaker. As it is, he becomes an actor.

Mr. and Mrs. Hubble

Mr. and Mrs. Hubble is a simple, silly folks from Pip’s village. Mr. Hubble is a wheelwright.

Uncle Pumblechook

Joe’s uncle, a well-to-do corn-chandler in the village. He considers himself upper-class and is actually a bombastic fool.

Mr. Wopsle’s great aunt

Mr. Wopsle’s great aunt runs the so-called school in town out of a cottage. She is a “ridiculous old lady.”

Biddy

Biddy is a kind, intelligent girl Pip’s age who works for Mr. Wopsle’s great aunt at the school. Later, she comes to work for Joe taking care of Mrs. Joe Gargery.

Miss Havisham

Miss Havisham is a strange, wrinkled up lady who never sees the sunlight and never gets out of her bridal gown. She’s actually a very cold hearted, yet wealthy, lady who lives just outside the village in a the Satis House.

Estella

Miss Havisham’s adopted daughter. Cold and very proud but very beautiful. She’s about Pip’s age and is the love of Pip’s life.
Geogiana, Sarah Pocket, Cousin Raymond and Camilla

Aging relatives of Miss Havisham who don’t have an inch of love for the woman but are greedy for her money. They buzz around Miss Havisham like flies.

Orlick

Orlick is a gruff evil man that Joe employs around the forge. He seems to hate just about everybody, but has a crush on Biddy.

Matthew Pocket

Miss Havisham’s cousin, but not one of her relatives that is greedy. Matthew Pocket has charge of nine children, two nurses, and a pretty but useless wife. He also tutors young gentlemen, including Pip.

Herbert Pocket

Matthew’s son. An extremely cheerful and honest boy about Pip’s age. He becomes Pip’s best friend in London.

Jaggers

Jaggers is the rational and seemingly emotionless lawyer for Miss Havisham and for Pip. He is an excellent speaker and logician, however, and specializes in getting criminals light sentences.

Wemmick

Jaggers’ stiff clerk by day, esoteric and generous man in private. Wemmick lives in a cottage he fashioned into a castle and fights to divide his public and private life. Wemmick becomes a good friend of Pip’s (in private).

The “Aged”

Wemmick’s elderly, and quite deaf, relative (of unknown relations). The Aged lives with Wemmick in his castle and is quite happy when you nod at him.

The “Avenger”

Pip’s servant boy who Pip finds more of a nuisance than a help. Pip never has enough for him to do, so the Avenger always seems to be standing around.

Drummle

Drummle is another student and boarder of Matthew Pocket. He is a moody, disgruntled “spider” but comes from an upper-class family.

Startop

Startop is another student and boarder of Matthew Pocket. He is a good friend of Pip’s.
Notes

Miss Skiffins
Wemmick’s sweetheart.

Clara
Herbert secret sweetheart. She is secret because Herbert knows his mother would say she is below his “station.” She’s actually a sweet, fairy-like girl who takes care of her dying drunk of a father.

Magwitch
Magwitch is the convict that Pip helps at the beginning of the movie. He later returns as Pip’s benefactor under the name of Provis. He is a rough ex-con, but seems to have a good heart.

Compeyson
Magwitch’s mortal enemy and the other convict Pip saw in the marshes fighting with Magwitch. Compeyson is a gentlemanly swindler who was the fiancé that swindled Miss Havisham out of her heart.

16.1.1 Analysis of Major Characters

Pip
As a bildungsroman, Great Expectations presents the growth and development of a single character, Philip Pirrip, better known to himself and to the world as Pip. As the focus of the bildungsroman, Pip is by far the most important character in Great Expectations: he is both the protagonist, whose actions make up the main plot of the novel, and the narrator, whose thoughts and attitudes shape the reader’s perception of the story.

As a result, developing an understanding of Pip’s character is perhaps the most important step in understanding Great Expectations.

Because Pip is narrating his story many years after the events of the novel take place, there are really two Pips in Great Expectations: Pip the narrator and Pip the character—the voice telling the story and the person acting it out. Dickens takes great care to distinguish the two Pips, imbuing the voice of Pip the narrator with perspective and maturity while also imparting how Pip the character feels about what is happening to him as it actually happens. This skillfully executed distinction is perhaps best observed early in the book, when Pip the character is a child; here, Pip the narrator gently pokes fun at his younger self, but also enables us to see and feel the story through his eyes.

Task What is the Bildungsroman genre and how will does Great Expectations fit into it? Trace Pip’s development under the definition of Bildungsroman using specific examples.

As a character, Pip’s two most important traits are his immature, romantic idealism and his innately good conscience. On the one hand, Pip has a deep desire to improve himself and attain any possible advancement, whether educational, moral, or social. His longing to marry
Estella and join the upper classes stems from the same idealistic desire as his longing to learn to read and his fear of being punished for bad behavior: once he understands ideas like poverty, ignorance, and immorality, Pip does not want to be poor, ignorant, or immoral. Pip the narrator judges his own past actions extremely harshly, rarely giving himself credit for good deeds but angrily castigating himself for bad ones. As a character, however, Pip’s idealism often leads him to perceive the world rather narrowly, and his tendency to oversimplify situations based on superficial values leads him to behave badly toward the people who care about him. When Pip becomes a gentleman, for example, he immediately begins to act as he thinks a gentleman is supposed to act, which leads him to treat Joe and Biddy snobbishly and coldly.

On the other hand, Pip is at heart a very generous and sympathetic young man, a fact that can be witnessed in his numerous acts of kindness throughout the book (helping Magwitch, secretly buying Herbert’s way into business, etc.) and his essential love for all those who love him. Pip’s main line of development in the novel may be seen as the process of learning to place his innate sense of kindness and conscience above his immature idealism.

Not long after meeting Miss. Havisham and Estella, Pip’s desire for advancement largely overshadows his basic goodness. After receiving his mysterious fortune, his idealistic wishes seem to have been justified, and he gives himself over to a gentlemanly life of idleness. But the discovery that the wretched Magwitch, not the wealthy Miss. Havisham, is his secret benefactor shatters Pip’s oversimplified sense of his world’s hierarchy. The fact that he comes to admire Magwitch while losing Estella to the brutish nobleman Drummle ultimately forces him to realize that one’s social position is not the most important quality one possesses, and that his behavior as a gentleman has caused him to hurt the people who care about him most. Once he has learned these lessons, Pip matures into the man who narrates the novel, completing the bildungsroman.

Estella

Often cited as Dickens’s first convincing female character, Estella is a supremely ironic creation, one who darkly undermines the notion of romantic love and serves as a bitter criticism against the class system in which she is mired. Raised from the age of three by Miss. Havisham to torment men and “break their hearts,” Estella wins Pip’s deepest love by practicing deliberate cruelty. Unlike the warm, winsome, kind heroine of a traditional love story, Estella is cold, cynical, and manipulative. Though she represents Pip’s first longed-for ideal of life among the upper classes, Estella is actually even lower-born than Pip; as Pip learns near the end of the novel, she is the daughter of Magwitch, the coarse convict, and thus springs from the very lowest level of society.

Ironically, life among the upper classes does not represent salvation for Estella. Instead, she is victimized twice by her adopted class. Rather than being raised by Magwitch, a man of great inner nobility, she is raised by Miss. Havisham, who destroys her ability to express emotion and interact normally with the world. And rather than marrying the kindhearted commoner Pip, Estella marries the cruel nobleman Drummle, who treats her harshly and makes her life miserable for many years. In this way, Dickens uses Estella’s life to reinforce the idea that one’s happiness and well-being are not deeply connected to one’s social position: had Estella been poor, she might have been substantially better off.

Despite her cold behavior and the damaging influences in her life, Dickens nevertheless ensures that Estella is still a sympathetic character. By giving the reader a sense of her inner struggle to discover and act on her own feelings rather than on the imposed motives of her upbringing, Dickens gives the reader a glimpse of Estella’s inner life, which helps to explain what Pip might love about her. Estella does not seem able to stop herself from hurting Pip, but she also...
seems not to want to hurt him; she repeatedly warns him that she has “no heart” and seems to urge him as strongly as she can to find happiness by leaving her behind. Finally, Estella’s long, painful marriage to Drummle causes her to develop along the same lines as Pip—that is, she learns, through experience, to rely on and trust her inner feelings. In the final scene of the novel, she has become her own woman for the first time in the book. As she says to Pip, “Suffering has been stronger than all other teaching. . . . I have been bent and broken, but—I hope—into a better shape.”

Miss Havisham

The mad, vengeful Miss Havisham, a wealthy dowager who lives in a rotting mansion and wears an old wedding dress every day of her life, is not exactly a believable character, but she is certainly one of the most memorable creations in the book. Miss. Havisham’s life is defined by a single tragic event: her jilting by Compeyson on what was to have been their wedding day. From that moment forth, Miss Havisham is determined never to move beyond her heartbreak. She stops all the clocks in Satis House at twenty minutes to nine, the moment when she first learned that Compeyson was gone, and she wears only one shoe, because when she learned of his betrayal, she had not yet put on the other shoe. With a kind of manic, obsessive cruelty, Miss. Havisham adopts Estella and raises her as a weapon to achieve her own revenge on men. Miss. Havisham is an example of single-minded vengeance pursued destructively: both Miss Havisham and the people in her life suffer greatly because of her quest for revenge. Miss Havisham is completely unable to see that her actions are hurtful to Pip and Estella. She is redeemed at the end of the novel when she realizes that she has caused Pip’s heart to be broken in the same manner as her own; rather than achieving any kind of personal revenge, she has only caused more pain. Miss. Havisham immediately begs Pip for forgiveness, reinforcing the novel’s theme that bad behavior can be redeemed by contrition and sympathy.

16.2 Great Expectations: Style and Themes

16.2.1 Themes - Theme Analysis

Pip’s great expectations are a dramatized exploration of human growth and the pressures that distort the potential of an ordinary individual, especially in the process of growing up. Pip is a simple blacksmith’s boy who aspires to cross social boundaries when he realizes his own upbringing is common; however, he has no means to change. Mysteriously, he is given the means, but wealth only brings with it idleness.

Did you know? Pip learns that happiness in life can be achieved only by hard work and that great expectations not grounded in reality can only lead to tragedy and heartache.

Part of this theme is an exploration of the dignity of labor. Pip initially feels ashamed to associate himself with Joe but later realizes that hard work brings honor to a man. As for honor, Pip realizes the importance of traits like loyalty and kindness, and eventually understands that no amount of money can make up for the lack of those traits. Supplementary to this theme is the sharp juxtaposition of appearance and reality, as well as the traditional notion that pride comes before a fall. Pip learns valuable lessons from his misguided assumptions. And his pride causes him to do things he is later ashamed of. A final thematic consideration is the belief that goodness is always able to supplant evil, even in characters like Miss. Havisham. Mrs. Joe, Magwitch, Estella, and Pip are further examples of characters whose inherent goodness is apparent despite their wrongdoings.
Self Assessment

State the following sentences are True or False:

1. Charles Dickens is the narrator as well as the protagonist of the story.
2. Estella is the adopted daughter of Miss. Havisham.
3. Clara is the sweet heart of Wemmick.
4. Great Expectations is a novel about contentment and humility.
5. The major themes of great expectations are crime, social class, empire and ambition.

Essentially, it is a novel about contentment and humility, as well as honor. The thematic notion of great expectations touches on every aspect of common emotions like pride, ambition, envy, greed, and arrogance. The lesson Pip learns is that one should never presume he is better than another. As Joe tells him, it is far better to be uncommon on the inside than the outside. A person’s possessions do not matter as much as a person’s actions.

16.2.2 Ambition and Self-Improvement

The moral theme of Great Expectations is quite simple: affection, loyalty, and conscience are more important than social advancement, wealth, and class. Dickens establishes the theme and shows Pip learning this lesson, largely by exploring ideas of ambition and self-improvement—ideas that quickly become both the thematic center of the novel and the psychological mechanism that encourages much of Pip’s development. At heart, Pip is an idealist; whenever he can conceive of something that is better than what he already has, he immediately desires to obtain the improvement. When he sees Satis House, he longs to be a wealthy gentleman; when he thinks of his moral shortcomings, he longs to be good; when he realizes that he cannot read, he longs to learn how. Pip’s desire for self-improvement is the main source of the novel’s title: because he believes in the possibility of advancement in life, he has “great expectations” about his future.

Ambition and self-improvement take three forms in Great Expectations—moral, social, and educational; these motivate Pip’s best and his worst behavior throughout the novel. First, Pip desires moral self-improvement. He is extremely hard on himself when he acts immorally and feels powerful guilt that spurs him to act better in the future. When he leaves for London, for instance, he torments himself about having behaved so wretchedly toward Joe and Biddy. Second, Pip desires social self-improvement. In love with Estella, he longs to become a member of her social class, and, encouraged by Mrs. Joe and Pumblechook, he entertains fantasies of becoming a gentleman. The working out of this fantasy forms the basic plot of the novel; it provides Dickens the opportunity to gently satirize the class system of his era and to make a point about its capricious nature. Significantly, Pip’s life as a gentleman is no more satisfying—and certainly no more moral—than his previous life as a blacksmith’s apprentice. Third, Pip desires educational improvement. This desire is deeply connected to his social ambition and longing to marry Estella: a full education is a requirement of being a gentleman. As long as he is an ignorant country boy, he has no hope of social advancement. Pip understands this fact as a child, when he learns to read at Mr. Wopsle’s aunt’s school, and as a young man, when he takes lessons from Matthew Pocket. Ultimately, through the examples of Joe, Biddy, and Magwitch, Pip learns that social and educational improvement are irrelevant to one’s real worth and that conscience and affection are to be valued above erudition and social standing.

16.2.3 Social Class

Throughout Great Expectations, Dickens explores the class system of Victorian England, ranging from the most wretched criminals (Magwitch) to the poor peasants of the marsh country
Notes

The theme of social class is central to the novel’s plot and to the ultimate moral theme of the book—Pip’s realization that wealth and class are less important than affection, loyalty, and inner worth. Pip achieves this realization when he is finally able to understand that, despite the esteem in which he holds Estella, one’s social status is in no way connected to one’s real character. Drummle, for instance, is an upper-class lout, while Magwitch, a persecuted convict, has a deep inner worth.

Perhaps the most important thing to remember about the novel’s treatment of social class is that the class system it portrays is based on the post-Industrial Revolution model of Victorian England. Dickens generally ignores the nobility and the hereditary aristocracy in favor of characters whose fortunes have been earned through commerce. Even Miss Havisham’s family fortune was made through the brewery that is still connected to her manor. In this way, by connecting the theme of social class to the idea of work and self-advancement, Dickens subtly reinforces the novel’s overarching theme of ambition and self-improvement.

16.2.4 Crime, Guilt, and Innocence

The theme of crime, guilt, and innocence is explored throughout the novel largely through the characters of the convicts and the criminal lawyer Jaggers. From the handcuffs Joe mends at the smithy to the gallows at the prison in London, the imagery of crime and criminal justice pervades the book, becoming an important symbol of Pip’s inner struggle to reconcile his own inner moral conscience with the institutional justice system. In general, just as social class becomes a superficial standard of value that Pip must learn to look beyond in finding a better way to live his life, the external trappings of the criminal justice system (police, courts, jails, etc.) become a superficial standard of morality that Pip must learn to look beyond to trust his inner conscience. Magwitch, for instance, frightens Pip at first simply because he is a convict, and Pip feels guilty for helping him because he is afraid of the police. By the end of the book, however, Pip has discovered Magwitch’s inner nobility, and is able to disregard his external status as a criminal. Prompted by his conscience, he helps Magwitch to evade the law and the police. As Pip has learned to trust his conscience and to value Magwitch’s inner character, he has replaced an external standard of value with an internal one.

16.2.5 Style of Great Expectations

Dickens has shaped Great Expectations on the lines of the Bildungsroman genre, which closely follows the inner growth of a protagonist from his childhood to middle age. In many respects, it contains themes and emotions directly related to the author’s experience. However, the fictional nature of the story allows Pip to relate incidents and events that are similar to sensitive spots in Dickens’ own life without becoming too deeply involved in the narration himself. For instance, the description of Pip’s childhood has some affinity with Dickens own life. Also, Estella seems directly inspired from Maria Beadwell, a lady whom Dickens loved; Beadwell snubbed him coldly because of his low social status.

Great Expectations boasts a carefully designed structure in three emergent stages. The simplicity of childhood memories in stage one is reflected in the generally direct narrative style. In contrast, the texture of stage three is much more complex, because as the action accelerates, substantial information about the histories of Magwitch, Compeyson, Miss Havisham and Estella are revealed.

Great Expectations is a rich text illustrative of Dickens’ gift for realistic and dramatic speech. The author carefully studied the mannerisms of people and reported them in the depictions of his characters. Joe is a good example. The speech patterns he uses characterize him well and
endear him to the reader much more than mere incidents or descriptions that describe him to be soft hearted.

A novel with a vast range of subject and incident like that in Great Expectations has to be written carefully, paying great attention to unity and detail. Of all Dickens’ works, this one is generally thought to be the best. The fine tapestry of the novel is woven with vivid scenes of London as well as misty recollections of the marshlands. The haunted stagnancy of Satis House is an ever-present character in and of itself. In the midst of all this graphic description and palpable action, there is also an internal transformation taking place, one in which Pip learns to appreciate his true self and position in society. The varied texture of the novel in all these aspects sustains and maintains the interest of the reader, highlighting the completely balanced style of Dickens as a master craftsman.

16.3 Summary

- Some of the major themes of Great Expectations are crime, social class, empire and ambition.
- As a bildungsroman, Great Expectations presents the growth and development of a single character, Philip Pirrip, better known to himself and to the world as Pip.
- Estella is a supremely ironic creation, one who darkly undermines the notion of romantic love and serves as a bitter criticism against the class system in which she is mired.
- The moral theme of Great Expectations is quite simple: affection, loyalty, and conscience are more important than social advancement, wealth, and class.
- Dickens has shaped Great Expectations on the lines of the Bildungsroman genre, which closely follows the inner growth of a protagonist from his childhood to middle age.

16.4 Keywords

**Arrogance**: having an exaggerated sense of one’s own importance.

**Dignity**: the state of quality of being worthy of honour.

**Esteem**: respect and admire.

**Supplant**: replace.

16.5 Review Questions

1. Several of character names are a symbolic reflection of their personality. Make a list of them and explain the appropriateness of their names.

2. Many of the characters other than Pip have their own expectations as well. Discuss both Herbert Pocket and Pip’s expectations. Compare and contrast.

3. Discuss the alternative endings to the novel?

Answers: Self Assessment

1. False
2. True
3. False
4. True
5. True
16.6 Further Readings

Books
Great Expectations (Non-Detailed) — Charles Dickens
Charles Dickens — Michael Slater
Charles Dickens — Harold Bloom.

Online links
http://www.shmoop.com/great-expectations/characters.html
http://www.shmoop.com/great-expectations/themes.html
http://www.pinkmonkey.com/booknotes/monkeynotes/pmGreatExpect02.asp
Objectives

After studying this unit, you will be able to:

• Explain introduction to the author of Brave new world
• Explain about the book, Brave new world.

Introduction

Brave New World is Aldous Huxley’s fifth novel, written in 1931 and published in 1932. Set in London of AD 2540 (632 A.F. in the book), the novel anticipates developments in reproductive technology and sleep-learning that combine to change society. The future society is an embodiment of the ideals that form the basis of futurology. Huxley answered this book with a reassessment in an essay, Brave New World Revisited (1958), summarised below, and with his final work, a novel titled Island (1962). In 1999, the Modern Library ranked Brave New World fifth on its list of the 100 best English-language novels of the 20th century.

17.1 Aldous Huxley-Brave New World: Introduction to the Author and to the Text

17.1.1 Introduction to the Author

Aldous Leonard Huxley was born on July 26, 1894 in Surrey, England, as the third son of Dr. Leonard Huxley and Julia Arnold. Huxley was born into a long line of scientists and intellectuals. His grandfather Thomas Henry Huxley had the nickname “Darwin’s Bulldog” for his fierce defense of evolutionary science and for his passion for teaching Victorian scientific advancements to Britain’s working classes. Aldous Huxley was also related to the poet Matthew Arnold on his mother’s side of the family. These two disciplines, literature and science, converged at the end of the Victorian era and characterize Huxley’s own career and ambitions as an author, journalist, and humanist.

Educated at Eton, Aldous Huxley was forced to leave the school at the age of seventeen due to an affliction of the eyes. He was partially blind for two or three years and therefore was
Huxley spent several years in Italy where he formed a friendship with D.H. Lawrence. They would remain close friends and Huxley would later edit Lawrence’s collected letters after his death. In 1937, Huxley moved back to the United States to live in Hollywood, California, where he helped write scripts for several Hollywood movies of the time, although he never had a lasting career in movies. After World War II, he famously became involved with the early psychedelic drug movement. Huxley was an early proponent of the use of LSD, mescaline, and peyote for their mind-altering effects. His 1954 book The Doors of Perception argued that through the use of psychedelic drugs, people would be able to “cleanse” the doors of perception in order to embrace the infinite reality of the world.

A controversial figure for most of his life, Huxley died from cancer on November 22, 1963, only hours after President John F. Kennedy’s assassination in Dallas, Texas. By the time of his death, he was embraced in some circles as an intellectual and writer of the highest class, especially for his creation of the dystopian fantasy in his novel Brave New World and his engagement of the theme of commercialization in modern society. Others, however, saw him as a pseudo-scientist for his work in mystical traditions and his insistence on experiencing alternate realities through meditation, Eastern religions, and drug use.

**Did u know?** For Huxley accomplishments, Huxley received the Award of Merit for the Novel from the American Academy of Arts and Letters in 1959.

### 17.1.2 Introduction to the Brave New World

Aldous Huxley’s Brave New World, published in 1932, is a dystopian novel set six hundred years in the future. The novel envisions a world that, in its quest for social stability and peace, has created a society devoid of emotion, love, beauty, and true relationships.

Huxley’s novel is chiefly a critique of the socialist policies that states had begun to advocate in the early twentieth century. Huxley, by 1932, had observed the increasing tendency of Western government to intrude upon people’s lives. This intrusion, he believed, limited the expression of freedom and beauty that is integral to the human character. Through Brave New World and his other writings, he suggested that beauty is a result of pain and that society’s desire to eliminate pain limits society’s ability to thrive culturally and emotionally. Many readers initially found this difficult to accept, living as they did in the aftermath of World War...
I, when a lack of societal control had caused a war that inflicted great pain and death on an entire continent.

The novel also comments on humanity’s indiscriminate belief in progress and science. Huxley had himself desired a scientific career before the near blindness that he suffered during childhood kept him from such pursuits. The Western world, Huxley believed, placed too much emphasis on scientific progress at the expense of a love for beauty and art. His novel attempts to show how such science, when taken too far, can limit the flourishing of human thought. In World War I, humanity had seen the great destruction that technology such as bombs, planes, and machine guns could cause.

Notes
Huxley believed that the possibility for such destruction did not only belong to weapons of war but to other scientific advancements as well.

Self Assessment
Multiple Choice Questions:

1. Who was the author of Brave new world?
   (a) Jane Austen  (b) Aldous Huxley
   (c) Joseph Andrews  (d) None of these

2. Huxley fifth novel Brave new world was written in ......... .
   (a) 1932  (b) 1930
   (c) 1931  (d) 1933

3. In which year, Morden Library ranked Brave new world?
   (a) 1899  (b) 1999
   (c) 1795  (d) 1995

4. Aldous Huxley was the ......... son of Dr. Leonard Huxley and Julia Arnold.
   (a) third  (b) second
   (c) first  (d) none of these.

5. In which year Brave new world was published?
   (a) 1931  (b) 1929
   (c) 1930  (d) 1932

   (a) Cancer  (b) Asthma
   (c) Brain hemorrhage  (d) Tumor

The reaction of society to the book ranged from acclaim to outrage. H.G. Wells, a famous writer of science fiction and dystopian literature, panned the book as alarmist. Other critics challenged Huxley’s depictions of religion and ritual as well as his views of sexuality and drug use. The novel’s stark depictions of sexuality and cruelty meant that it continues to incite controversy over whether or not it is an appropriate book for all ages and audiences. Nevertheless, as a social critique, Brave New World takes credit with Orwell’s 1984 for advancing a new genre of literature that fuses science fiction, political allegory, and literary ambition.
17.2 Summary

- Brave New World is Aldous Huxley’s fifth novel, written in 1931 and published in 1932.
- Aldous Leonard Huxley was born on July 26, 1894 in Surrey, England, as the third son of Dr. Leonard Huxley and Julia Arnold.
- Huxley’s career began in journalism and included music and artistic criticism as well as book reviews.
- Huxley’s novel is chiefly a critique of the socialist policies that states had begun to advocate in the early twentieth century.

17.3 Keywords

**Embodiment**: a tangible or visible form of an idea or quality.

**Dystopian**: an imaginary place or society in which everything is bad.

**Assassinate**: murder.

**Meditation**: a discourse expression considered thoughts on a subject.

17.4 Review Questions

1. Write the biography of Aldous Leonard Huxley.
2. Write a short note on the literary career of Huxley.
3. Write brief note on Brave new world.

Answers: Self Assessment

1. \( (b) \)
2. \( (c) \)
3. \( (b) \)
4. \( (a) \)
5. \( (d) \)
6. \( (a) \)

17.5 Further Readings

**Books**

- Brave New World (E Text) — Aldous Huxley
- Aldous Huxley: a biography — Nicholas Murray
- Aldous Huxley — Harold Bloom

**Online links**

- [http://www.pinkmonkey.com/booknotes/barrons/bravenw01.asp](http://www.pinkmonkey.com/booknotes/barrons/bravenw01.asp)
- [http://www.huxley.net/studyaid/index.html](http://www.huxley.net/studyaid/index.html)
Unit 18: Aldous Huxley—Brave New World: Detailed Study of Text-I

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Objectives
After studying this unit, you will be able to:
- Explain the detailed study of text of chapter 1–9 of brave new world
- Discuss summary and analysis all chapters.

Introduction
Huxley’s Brave New World is a remarkable piece of writing which prophesies the futuristic world. The concept of nature through the character of John the Savage depicts volumes about the totalitarian state which the author portrays beautifully. The irony and satire with which he whips 1931 London society is worth reading.

Dr. Leon Kass, a prominent public intellectual said in a speech to the Manhattan Institute that the “train to Huxley’s dehumanized Brave New World has already left the station”.

Huxley’s work is a brilliant masterpiece which is extraordinarily prophetic, challenging developments in science and technology. Genetic Engineering, mutations, and Bio-technological advancements will take man away from nature. Though these are advantageous, slowly and steadily the natural instincts in man are being ‘civilized’.

18.1 Detailed Study of Chapters 1–9

18.1.1 Chapters 1–3

Chapter 1

Summary
Brave New World occurs six hundred years in the future. The world has submitted to domination by World Controllers, whose primary goal is to ensure the stability and happiness of society.
The underlying principle of the regime is utilitarianism, or maximizing the overall happiness of the society. The novel begins at the Central London Hatchery and Conditioning Center, a production factory for human beings. A group of students receives a tour of the facilities by the Director.

The students view various machines and techniques used to promote the production and conditioning of embryos. The scientists take an ovary, remove and fertilize the eggs, force the eggs to bud up to ninety-six times, and subsequently grow the embryos in bottles. Predestinators then decide the future function of each embryo within the society, essentially assigning a future job to each human.

The society contains a five-tiered caste system that ranks Alphas and Betas on top. Only the Alphas and Betas come from single eggs that are not budded and hence have no twins. The Centre conditions all the non-Alpha and Beta embryos for their future status in society by dividing them into Gammas, Deltas, and Epsilons. Thus, the Alphas represent the intellectually superior group, followed by the Betas, and continuing down to the Epsilons, who have little to no intelligence.

**Analysis**

The idea of totalitarian social stability occurs first in this chapter. While few critics have called the governmental regime “totalitarian” in nature, Huxley explicitly describes it as such. Huxley stated in Brave New World Revisited that the only way to create a permanently stable society is for a totalitarian regime to have absolute power. The regime must then ensure that people are happy all the time, be able to control the behavior of each individual, and ensure that independent thinkers are forbidden from disturbing the social fabric.

Huxley creates a society that frowns on individual creativity and that only welcomes those who conform. The social motto “Community, Identity, Stability” frames this social structure. Huxley generates “community” by dividing the population into segments, where the Alphas serve as intellectual superiors and Epsilons function as pure menial labor. Huxley shows how “identity” comes from the Conditioning Centre through the selection of the embryos into each of five groups. “Stability” occurs through the limitations placed on the intelligence of each group.

The fundamental tenet behind the society is utilitarianism, which describes a society that seeks to create the maximum happiness. Limiting the intelligence of each person to fit their future job is one way this society makes them happy. Thus, Alphas receive challenging jobs and Epsilons receive grunt work that would be boring for higher caste members. Social conditioning and stunted development maximizes each person’s happiness. The goal of utilitarianism is to make the society “happier” and thus more efficient. The society described by Huxley is therefore a “utilitarian totalitarianism.”

**Chapter 2**

**Summary**

The students continue their tour of the Central London Hatchery and Conditioning Centre. They watch “Neo-Pavlovian Conditioning,” a technique that trains infants. Here, the use of electric shocks and sirens in response to touching roses or books modifies the behavior of Deltas. This discourages behavior that might destabilize society, such as allowing Deltas to read books and acquire knowledge. The students also view a group of sleeping infants who receive moral instruction through hypnapaedic learning as they sleep. Sleeping babies listen to repeated catchphrases, and in this chapter, infant Betas listen to a tape played hundreds of
times which indoctrinates them to believe they are superior to Gammas, Deltas and Epsilons, but not as clever as Alphas.

Analysis

Huxley reveals some of the main sources of social stability. Science creates and conditions people to become happy members of society. The comment by the Director, “What man has joined, nature is powerless to put asunder,” reveals the extent that the conditioning can alter behavior.

Pavlovian conditioning comes from Pavlov’s research, which showed that animals could learn to do an action through punishment and reward. Huxley expands this concept to humans, who use it to condition the babies of the lower classes. In his example, Deltas learn to avoid roses and books by giving them electric shocks when they touch those items. Psychologically, this conditioning also lowers these classes to the status of animals.

The use of hypnopedia strengthens the conditioning and indicates the subversive nature of the state. Huxley is showing the readers that propaganda starts at birth and can occur even when we are unaware of it, as when sleeping. He reinforces the point that people are unaware of how influential the propaganda is by constantly having his characters quote “hypnopaeic phrases.”

The goal of the state is to ensure social stability, and the conditioning creates the “community” by segregating each infant into separate classes. This promotes stability by creating a group of workers with state-controlled preferences. Thus, economic stability comes from creating preferences that promote spending. This is touched on more in Chapter 3.

Chapter 3

Summary

The student tour goes outside where they watch some children playing a game of Centrifugal Bumble-puppy. The game is elaborate and requires complex machinery. They learn that the heavy reliance on machinery increases consumption of material goods and thus boost the economy. Young children are also encouraged to play erotic, sexual games. A boy who refuses to play with a young girl must go to a psychologist.

The Director begins to talk about the past when parents rather than the state raised children. Mustapha Mond, the Controller of Western Europe, interrupts him and tells the students that the “home” consisted of a mother, father, and children and, along with being diseased and smelly, contained overbearing intimacies and emotions.

Freud receives credit for showing that the “appalling dangers of family life” lead to individual instability. The Controller indicates that this in turn leads to social instability. Society has therefore coined the phrase “everyone belongs to everyone else” in an effort to eradicate individualism.

The Controller also gives a history lesson, and describes how the old governments banned the first reformers. After the Nine Years’ War destroyed most of the old world and brought the World Controllers to power, they struggled to defeat embedded culture by initiating a campaign against the past, destroying monuments and books, and banning sexual reproduction. Religion, and in particular Christianity, was reduced to a form of worship of Ford. To emphasize Ford’s great contribution, mass production, they cut all the crosses to make a T in honor of the Model T car. Additionally, a new drug called soma was invented which acted like cocaine or heroin but which had no ill side effects.
Fiction

Notes

The drug ensured that people would spend their time hallucinating rather than thinking. The government continues to distribute soma to its citizens every week.

Meanwhile, Lenina Crowne, a Beta Plus, discusses her four-month relationship with Alpha Henry Foster with her friend Fanny Crowne, a Beta. Fanny is upset that Lenina is having such a long relationship with only one man. She quotes the phrase “everyone belongs to everyone” and tells Lenina to have sex with other men. Lenina agrees with Fanny and tells her that she likes Bernard Marx, an Alpha Plus, and has decided to join him on a trip to the Savage Reservations. Fanny is skeptical and says that she thinks Marx is a loner and an introvert.

Bernard Marx is a specialist on hypnopaedia. The reader first meets him while he eavesdrops on a conversation between Henry Foster and another worker. Foster and the other man are discussing Lenina and Foster tells the man he should “have” her, implying sexual relations. Marx gets upset when he hears this, indicating that he is in love with Lenina.

Analysis

Chapter 3 introduces many of the main philosophical issues within the novel. Huxley presents the social necessities for perfect stability within his society. These include the role of consumption, the interplay between sexuality and emotions, the role of history, and the redefinition of religion.

Society views consumption as beneficial. The society believes that more consumption means more production of good, which will increase the number of jobs and keep the society fully employed. Examples of how consumption is increased include hypnopaedic phrases that tell people to throw away old clothes and buy new, indoctrinating Deltas to enjoy country sports so they will use the state transportation system to exit the city, and complex machinery being required for any sort of sport or game.

The interplay between sexuality and emotions is complex. Huxley realized that monogamy, sex, and family ties generate most human emotions. Thus, the society rests on promiscuity and baby factories. The goal is to eradicate emotions by replacing them with pure sexual desire and nothing else. This, combined with the baby factories, destroys family life and monogamous relationships. The state directs most emotions, which is necessary for social control and stability. Interestingly, George Orwell used the opposite technique in 1984. Orwell banned sexual relationships in order to eliminate dangerous emotions that might go against the state. However, since both authors realized that sexual emotions destabilize society, each technique achieves the identical goal of elimination of sexual emotions.

Society views history and religion as dangerous and potentially corrupting. Having a history gives people a sense of time outside of their own lifetimes. This in turn makes people think about progression through time, which is something the society cannot permit without causing social upheaval. Thus Huxley uses the quote from Ford, “History is bunk,” to indicate that history is worthless and should not be studied. The Controller describes history in a way that further emphasizes its negative aspects. He also blames Christianity for the inability of past societies to achieve ectogenesis (in this context Huxley means growing babies outside of the human body).

Task

What work does the conditioning do? Who gets conditioned? How does hypnopaedia work?
The new “religion” in the society has close ties with consumption. There is not really religion to speak of, but rather a system of ideologies that acknowledges Ford as its leader. Thus, the society replaces the Christian “Our Lord” with “Ford” and uses the T instead of the cross. Consumption is as extremely positive due to the introduction of mass production. Huxley plays with the fact that Henry Ford introduced mass production with the Model T car. Huxley then bases the society’s “religion” around that fact. However, strong elements of Christianity remain. Chapter 3 ends with a scene taken from the New Testament where Jesus tells his disciples to let the children stay with him. Two noisy children harass His Fordship Mustapha Mond.

**Did u know?**
The Director of the Centre orders them to leave, but Mustapha replies as Jesus did, saying, “Suffer little children.”

### 18.1.2 Chapters 4–6

**Chapter 4**

**Summary**

After work, Lenina and Bernard Marx share a crowded elevator heading to the roof. In front of everyone, she tells Bernard that she will go on a date with him. She offers to take a weeklong trip to New Mexico if Bernard still wants to have her. The public display embarrasses Marx, who would prefer to talk it over in private. Lenina laughs at his awkwardness and then takes off with Henry Foster in a helicopter. They leave London for a round of Obstacle Golf, a game for adults. Benito Hoover, an Alpha, approaches Bernard as he watches her leave, tells Bernard not to look so glum, and offers him the narcotic soma to make him feel better. Bernard rushes off before even talking to Benito, another sign of his strange behavior.

Bernard feels both ashamed and uncomfortable by his exchange with Benito Hoover, yet he also realizes that Benito cannot help but act the way that he does any more than the rest of society. Bernard notes, “Those who meant well behaved in the same way as those who meant badly.” He gets his own flying machine and bosses around several Delta-Minus attendants who give him funny looks because he is no bigger than they are. Because physique is an important indicator of caste, it is a sore spot for Bernard and he takes his frustration and insecurities out on those that are inferior to him.

**Task** How do the other Alphas relate to Bernard?

Bernard enters his private vehicle and flies over to visit Helmholtz Watson. Watson is a lecturer at the College of Emotional Engineering. His specialty is in writing hypnopaedic lines that “pierce” into people’s subconscious minds to make them behave in certain ways. Both men are individual thinkers who have become friends because they cannot fit well into the society. Bernard notes, “Those who meant well behaved in the same way as those who meant badly.” He gets his own flying machine and bosses around several Delta-Minus attendants who give him funny looks because he is no bigger than they are. Because physique is an important indicator of caste, it is a sore spot for Bernard and he takes his frustration and insecurities out on those that are inferior to him.

They go to Bernard’s apartment and Helmholtz talks about wanting to be able to create something out of words. He knows that he writes slogans well, but he feels that his words are not
important. Helmholtz tells Bernard that he has “a feeling that I’ve got something important to say and the power to say it - only I don’t know what it is, and I can’t make any use of the power.” While he is talking, Bernard becomes afraid that someone is listening to them at the door. He goes to check but finds no one there. Having betrayed his nervousness, Bernard breaks down and tells Helmholtz, “When people are suspicious with you, you start being suspicious with them.”

Analysis

Chapter 4 marks a departure from the first three chapters by introducing rational humans. Both Bernard Marx and Helmholtz Watson have deep-seated suspicions of the society that they live in, though they do not know how to put such suspicions into thought or words. This impulse towards the rational comes from differences—physical for Bernard, mental for Helmholtz—that disrupt their ability to accept the ordered world around them. Thus, Huxley makes a statement about creativity, progress, and the ability of powerful authorities to stifle such things.

Huxley shows society’s abhorrence of rational, independent thought in the mockery of Bernard Marx by his coworkers. Helmholtz Watson also faces the same predicament in the sense that his superiors think he is too good at what he does. This fear of individuality ensures the stability of the society because its absence prevents creativity. Since creativity would lead to attempts to reform the society, the World Controllers root out individual creativity whenever possible.

A conflict emerges between the rational thinkers and the majority who merely follow orders. By identifying in Bernard Marx many of the normal feelings and emotions people normally have, the reader comes to support him as an underdog. Because of his deep emotions and passions, Bernard often induces empathy from the reader. However, Marx is also insecure and emotional, and he therefore has difficulty understanding his society. In this way, his pathos is a flaw.

Helmholtz, on the other hand, embodies pure reason and intelligence devoid of emotional complications. Huxley does not contrast reason against creativity in Helmholtz’s character in the same way that he does with a character such as Mustapha Mond. Mond represents a political system that uses only cold rationalism to order society, whereas Helmholtz’s reasoning has a creative spark. Huxley thus suggests that society requires a balance between emotion and reason to maximize human potential. Helmholtz provides a philosophical understanding of society. He understands Bernard’s emotional conflicts rationally and without personal involvement.

Chapter 5

Summary

Lenina and Henry Foster finish their game and go to his apartment building. On the way, they see a cremation factory, which leads them to discuss the physico-chemical equality of all caste members, from Alpha to Epsilon. Lenina comments that all members of society are happy, regardless of their caste. According to Foster, this happiness derives from their conditioning, and “even Epsilons perform indispensable services.” This leads Lenina to recall a time in her childhood in which she woke from sleep and became aware, for the first time, of the conditioning and “the whispering that had haunted all her sleeps.”

At Foster’s apartment building, they eat before heading to the Westminster Abbey Cabaret. After taking several doses of soma, an experience described as “the warm, the richly coloured, the infinitely friendly world of soma-holiday,” they dance to the synthetic music of “Calvin
Stopes and His Sixteen Sexophonists” until the show ends. They return to Foster’s apartment and prepare to sleep together. Even though the soma has put Lenina in a hypnotic state, she remembers to take her contraceptive drugs because years of hypnopaedic drills have “made the taking of these precautions almost as automatic and inevitable as blinking.”

Meanwhile, Bernard attends a Solidarity meeting, a community gathering where the people worship Ford for his ideas and try to merge themselves into a unified group. Bernard is almost late and feels embarrassed when a woman asks him which sport he played that afternoon, since Bernard has to admit that he does not usually play any games.

The twelve people in his group take a seating arrangement around a circular table that alternates sexes. The service resembles the Eucharist in Christianity, but they consume soma rather than bread and wine. The goal is to unify the twelve people present into one person. The people sing until they feel Ford’s presence, and then they dance to the hymn “Orgy-porgy.” Bernard fixates on Morgana, a woman whose unibrow distracts him so much that he cannot feel the same ecstasy as the other people and must pretend to be as caught up in the ceremony as the others. The service ends, and Bernard emerges feeling more self-conscious than ever before.

Analysis

Foster and Lenina represent the majority of society, who have a limited range of actions and do not do anything extraordinary. Their conversation consists of repeating phrases learned during hypnopaedia and therefore contains no new intellectual ideas. When they go dancing at the Cabaret, they join 400 other people, indicating that they adhere to state doctrine.

Their conversation about the crematorium also signifies the social control that the state has created. They do not fear death or analyze any philosophical conundrums about life and death. They cannot even fully comprehend what it would mean to be a member of a different caste. Lenina and Henry both agree that it would be worse to be an Epsilon or a Gamma than it would be to die. Death, as Henry puts it, is simply another way to benefit society.

The religious service attended by Bernard also uses Christian icons and concepts. The circle consists of twelve people, which parallels the twelve disciples of Jesus. The drinking and consuming of soma reflects the Eucharist, or Holy Communion, where Christians consume the metaphorical blood and body of Christ. Each service tries to bring wholeness to the individual participating in them. However, the similarity ends here, and the sexual dancing which follows rejects Christianity in favor of a primal sexual dance. The worship of Ford reinforces this society’s sexual norms.

Bernard’s inability to join the group as it merges spiritually and sexually further emphasizes his distinctness. Because they already lack any individuality, the other group members easily unite, but spiritual merger is impossible for Bernard. He has achieved a sense of self-awareness that is merely nascent in the other characters of the novel. Lenina, for instance, has a brief flashback in which she remembers the hypnopaedic therapy of her childhood. She instinctively senses that the process is unnatural, but she disregards those notions for the implanted feelings and phrases of her conditioning.
Summary

Lenina dates Bernard twice before their trip to the Savage Reservations, and each time she finds Bernard to be extremely odd. Bernard prefers to walk with her in a park so that they can spend time talking. However, Lenina cannot comprehend the idea of intellectual conversation and convinces him instead watch a wrestling match. Bernard refuses to take any soma and is unhappy in the middle of a large crowd. That same night, Lenina expects Bernard to stay over and sleep with her, but he has to take a lot of soma before he can do so because he feels embarrassment over entering into sexual relations so early in their relationship.

At the end of their second date, while flying over the British Channel, Bernard stops his helicopter over the rough, tossing sea. Lenina cannot understand why he does this. She calls the scene “horrible,” but Bernard insists instead that it is beautiful. Such terrible beauty in the natural world causes Bernard to think of his own life’s turmoil and to appreciate his intellectual nonconformity.

Bernard confides that he wishes they had waited to have sex. He comments to Lenina that while people are adults intellectually, they are children as far as their emotions are concerned. He tires of being a cell in the body of society and would prefer to be an individual. Such conformity, he says, keeps him from truly being happy. Lenina responds to his heresy by quoting her hypnopaedic learning. She claims that all people are happy because they can do whatever they want. Bernard tries to force her to contemplate and critique the structure of society, but to no avail. Lenina says that she likes him but wishes he were not so odd.

Later, Bernard visits the Director and receives permission to take Lenina to the Savage Reservations. The Director relates a story of how, 25 years prior, he had taken a blond Beta Minus woman to the reservation. While on an excursion, they ended up in a storm, and she disappeared. The Director shows a great deal of remorse and claims that he still dreams of the incident. At the end of the story, he realizes that he has revealed emotions that he has never forgotten. This upsets him because society forbids such displays of emotion over past events, and strong emotions are supposed to be impossible because of genetic engineering.

The Director yells at Bernard for failing to conform to societal standards. He threatens to send Bernard to Iceland if the latter does not begin to conform his personal life to the demands of society. Bernard returns home and brags to Helmholtz about his encounter with the Director by embellishing the details. He tells Helmholtz that he confronted the Director and told him to go to the “Bottomless Pit,” even though this account is false. Helmholtz is unimpressed, and hates the way Bernard goes from self-pity to arrogant boasting.

Bernard and Lenina cross the Atlantic and go to a hotel near the reservation. Bernard warns her that the reservation lacks any sort of games or amusements, and that she might be bored. She quotes her hypnopaedic learning: “progress is lovely.” Bernard tells her that there is no progress on the reservation. She insists on coming with him. They both go to the warden of the reservation where they receive an introduction to the “savages” that live there. They learn how the savages remain in an older way of life where they bear children naturally, speak various languages, and obey religious principles.

Bernard remembers at that moment that he left a perfume tap running in his home, and that it will be quite expensive. He calls Helmholtz to get it turned off and learns that the Director has decided to transfer him to Iceland as soon as a replacement is found. Iceland is a place devoid of progress and the creature comforts of life in England. Previously, Bernard yearned to feel what it might be like to encounter disappointment or struggles in life. He realizes that such emotions are not what he thought they would be. Lenina makes him take several soma to quell the emotions. Bernard and Lenina proceed into the reservation where they receive a tour, but both have taken soma and cannot fully comprehend what they are seeing.
Analysis

Much of this chapter deals with emotional suppression. Bernard experiences emotions such as longing, embarrassment, tension, and disappointment while Lenina suppresses all emotions before they can surface. She uses soma to avoid situations that would normally incur anger or boredom. Interestingly, Bernard continually becomes angry with Lenina in spite of his love for her. He appears to treat her very badly, almost condescendingly, but this behavior only demonstrates his frustration with an emotionless society. Huxley demonstrates the complexity of a society that attempts to manipulate the emotions of its citizens. On the one hand, Bernard’s range of emotions causes him disappointment and anger, but on the other hand, his emotions of love and longing for a better life suggest his future enlightenment.

Bernard’s behavior only makes sense if the reader understands that Bernard is in love with Lenina. However, his love comes from who he perceives her to be, not on who she really is. Bernard therefore tries to force Lenina to conform to his perception of her. In addition, he is desperate to have her return his love. In a society devoid of commitment and monogamy, the only way for Bernard to get her to fall in love with him is to force her to experience emotions. As a result, his anger and behavior derives from his attempts to have Lenina to overcome her conditioning and become emotional.

Each character’s use of soma revolves around inhibiting their emotions. Bernard takes soma when he sleeps with Lenina on the first date, to smother his emotional revulsion and embarrassment at having sex so soon, and when he finds out he must move to Iceland. Lenina uses soma much more frequently than Bernard but for the same reason: she wishes to suppress her emotions. Soma therefore acts not only as a narcotic to control the masses, but also as a means for individuals to avoid emotional conflict.

The Director’s story expresses emotions of fear and love. Since society expressly forbids this, he realizes that he should not have told Bernard about his experience. Thus, the Director’s anger towards Bernard arises from his fear that Bernard might use that information against him. The Director arranges to transfer Bernard to Iceland out of fear that Bernard might tell someone else the story. Huxley characterizes emotions as a force for both social control and social freedom. Society represses emotions to discourage rebellion against authority and threats against the world order. Bernard’s emotional rebellion adds to the rising tension of the storyline.

18.1.3 Chapters 7–9

Chapter 7

Summary

The Indian guide leads Bernard and Lenina into the reservation, where the smells and the sight of poverty, disease, and old age immediately assault them. Since there is no live birth in the outside society, Lenina finds the scene of a woman nursing a child to be disgusting. She then discovers that both she and Bernard forgot their soma, so she has to see the village consciously rather than through the veil of the narcotic. However, Bernard feels a strange fascination with the scene. Bernard and Lenina watch a ritual dance of sacrifice to the gods Pookong and Jesus, where a young man slowly proceeds around a pile of snakes in the center of the Pueblo square. While walking, the young man receives a whipping until he falls and dies. The other Indians worship a statue of a man on a cross and an eagle.

After the ritual, they meet a blond-haired man with blue eyes. The Savage, whose name is John, tells them that he is upset that the other Indians will not let him participate in the ritual because of his skin color. He explains that his mother was like Lenina, a woman from civilized
society, who some hunters had saved. Bernard concludes that John’s mother was the woman
the Director had taken to the reservation twenty-five years ago.

Bernard and Lenina meet Linda, John’s mother, who rejoices at seeing civilized people again. She
complains that there is too much dirt and that she has to drink mescal (alcohol) and use peyote,
a hallucinatory drug, in place of soma. She describes how she ended up on the reservation and
pregnant with John even though she took all precautions with the Director. Although Lenina feels
disgusted by Linda, she feels forced to listen. Linda explains that she used to let all the men
come to her for sex, as civilized people should, but that all the other women got mad. She also
struggled to condition John to the ways of civilized society but apparently failed. She concludes
that John spends too much time with the Indians to become truly civilized. She describes the
Indian way of life as madness and longs for the comforts and cleanliness of civilization.

Analysis

This scene challenges Bernard and Lenina to release their emotions. Since both of them forget
to bring any soma, they cannot hide behind the narcotic’s pleasures. For the first time, Lenina
cannot completely hold back her emotions. The way the Indians live induces an intense amount
of revulsion in her. Bernard tells Lenina that men have lived this way for thousands of years,
but she simply cannot believe it.

The tribal dance shows that although their culture differs entirely from Bernard and Lenina’s,
it is also imperfect because it too enforces the suppression of emotion. The tribe worships a
hybrid of Pookong and Jesus as their deity, which shows how the Indian culture fuses religion
and superstition. Whereas the Indians unemotionally take part in the ritual dance, Lenina
begins crying when she sees the blood of the sacrificed young man. Huxley has characters
view the madness of Indian ritual directly, without the veil of soma, but the tribal ritual
successfully eradicates emotions and sentiment from the Indians even without soma. Huxley
juxtaposes Lenina’s uncharacteristic tears with the uncaring of the very people that supposedly
suffer from unwanted emotions.

The chapter also highlights the natural desire to sequester those who are different as human
nature rather than only as a function of governmental power. Society has outcast the Indians
for their differences, yet the Indians also make outcasts of others, as exemplified by John the
Savage. He is a hybrid, a man who has partial conditioning but who has also learned Indian
ways. He does not belong to either culture and can thus evaluate the relative merits of both.
He is an entirely sane individual caught in an insane environment with a half-insane mother.
Interestingly, although he is of the sanest characters, his mother describes him as being mad.
John also alludes to Shakespeare, whose literature will play a role in later chapters. In Chapter
7, John laments “that damned spot” on the ground, which is the blood of the sacrificed Indian
but which refers to Shakespeare’s Macbeth. This reference may symbolize the complicity of
“civilized” society in the destruction of Indian culture.

Notably, the reservation is not just a symbol of human nature or of societal differences, but
also of a representation of events that have occurred in the past. Huxley lived in New Mexico
for part of his life and saw firsthand how others sequestered and maligned Native Americans
and indigenous populations. Huxley saw the tragedy in such situations, and Brave New World
meditates extensively on humanity’s propensity to separate those that are different.

Chapter 8

Summary

Bernard asks John to tell him about growing up in the Indian village. John recalls how his
mother Linda used to have sex with many men. Pope became her steady lover because he
brought her mescal (alcohol). At one point, the women of the village beat Linda because they
did not want her to continue sleeping with their husbands. Following the beating, Linda
slapped John because she blamed him for her predicament.

Linda taught John to read while he was a child, and reading allowed him to superior to the
other boys who beat and taunted him for being different. On his twelfth birthday, John received
a volume of The Complete Works of Shakespeare. He learned to read the entire volume and
received an odd sort of inspiration from many of the passages. Once, he found his mother in
bed with Pope and fell into a rage. Remembering a particular verse from Shakespeare (“When
he is drunk asleep, or in his rage / Or in the incestuous pleasure of his bed...”), he attempted
to kill Pope with a knife, though he failed and suffered a beating.

At fifteen, John learned how to make clay pots from one of the older Indians. Later the same
man taught him how to construct bows and arrows. However, John did not receive permission
to enter the kiva, a ritual initiation to turn the young boys into men. Instead, the other village
boys drove him away into the desert with a barrage of stones. This incident highlighted his
status as an outsider and made him lonely.

John and Bernard share a sense of alienation from their respective cultures. John tells Bernard
that he once sneaked off to have the sacred animal dreams that the Indian boys must have,
even though the tribe had not let him go with the other boys. John clearly experiences everything
emotionally even though neither society considers deep emotions to be “normal” behavior.

Bernard invites John to return to England with him, realizing that John could be useful in
ensuring that Bernard does not go to Iceland. He plans to use John to blackmail the Director.
John in turn delights in the chance to go to England and exclaims, “Oh brave new world,”
when he hears that Linda will also come.

Analysis

Because the Indian world does not accept him, John agrees to leave in the hopes that the
Utopian world can accept him. John represents the opposing values of native civilizations and
civilized society. Although Huxley uses the future dystopian society as a point of contrast, the
novel makes a larger point about the way all societies treat unfamiliar cultures. John symbolizes
this difference, as he is too civilized and emotional for the savage lands but too savage for the
civilized world.

John also parallels Bernard in that he has struggled to join society but has received only
rejection. He now has only two choices, the insanity of Bernard’s world or the lunacy of the
Indian village. This dilemma will remain a central conflict for John, who cannot fit into either
society because of his hybrid nature. However, by agreeing to Bernard’s invitation to go to
England, John offers the other world a chance to accept him.

John’s history conveys further information about the life of the Indians and about his own
isolation. He affirms his individuality through the retelling of his unique life story. John is a
passionate human who uses Shakespeare as his emotional guide. Unfortunately, John’s taste
for Shakespeare suggests that John will not fit into normal society either. His emotional nature
will forever alienate him from all existing cultures.

The narrative continues to allude to Shakespeare, especially Macbeth and The Tempest, from
which the title “Brave New World” comes. Shakespeare’s plays represent all of the originality
that this dystopian world has lost. His works signify both the height of civilized culture and
the vast array of human emotions. Shakespeare’s oeuvre is the pinnacle of human achievement,
something that the savage world cannot achieve because of oppression and something that the
civilized world no longer has.
Fiction

Self Assessment

Fill in the blanks:
1. Brave new world occurs ......... years in the future.
2. Huxley reveals some of the main sources of .........
3. Bernard enters his private vehicle and flies over to visit ......... .
4. ........ cross the Atlantic and go to a hotel near the reservation.
5. The ......... leads Bernard and Lenina into the reservation.
6. Bernard asks John to tell him about growing up in the ......... .

The allusions to Macbeth also deal with the tension between knowledge and power. Just as Macbeth received enough foresight to lead him to destruction, so too has Huxley’s civilized world suffered because it strove for knowledge and the power that comes with it. Just as in Macbeth, this quest for power must ultimately lead to a downfall.

Chapter 9

Summary

The strange events have overwhelmed Lenina, so she consumes a large amount of soma and falls asleep for nearly eighteen hours. Bernard waits until she is asleep and sneaks off to call His Fordship Mustapha Mond in London to arrange to bring John and Linda back to London. He receives permission and returns to the Reservation to pick them up. When he meets the Warden of the Reservation, Bernard acts boastful and self-confident, as if he “was in the habit of talking to his fordship every day of the week.”

Task What happens when John watches Lenina sleep? What does he think or feel?

John goes to the house where Bernard and Lenina are staying on the Reservation. Since it is silent, he fears that they have already left. He peeks in the window, sees Lenina’s suitcase, and realizes they are still home, so he breaks a window and enters the house. He looks around, opens Lenina’s suitcase, plays with her perfume powder and clothing, and finally finds Lenina lying asleep on her bed. Hebreathes in her scent and feels stunned by her beauty. Lines from Shakespeare’s Romeo and Juliet, extolling Juliet’s beauty, enter his head, but he refrains from his urge to unzip her jacket and see her naked body. When he hears Bernard’s helicopter, he leaps out of the window just in time to meet Bernard returning from his visit with the warden.

Analysis

The reader sees several new aspects of John’s personality. John convinces himself that he loves Lenina, and the chapter expresses his love by the way he looks at her and inhales her perfume. John retains extreme modesty, for when he imagines undressing Lenina, he immediately feels ashamed for his impure thoughts.

John’s modesty towards Lenina represents a central conflict between the Indian society and the civilized world. John relates all of his emotions to Shakespeare’s depiction of love, as Romeo and Juliet is his only point of reference. He identifies Lenina in the role of Juliet, indicating his reliance on Shakespeare for his emotional education since Linda was unable to provide him with emotional lessons.

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The play also parallels John and Lenina’s romantic situation, since the two are from different worlds. Lenina inhabits the civilized world, a world that looks down upon reservation people who live savage and incomprehensible lives. The reservation people, on the other hand, cannot understand the scientific society that now lacks emotion, religion, and natural life. The passion that John feels for Lenina mirrors the love that Romeo and Juliet, two lovers from feuding families, have for each other.

18.2 Summary

- Brave New World occurs six hundred years in the future.
- Huxley shows society’s abhorrence of rational, independent thought in the mockery of Bernard Marx by his coworkers.
- Lenina and Henry Foster finish their game and go to his apartment building.
- Bernard’s inability to join the group as it merges spiritually and sexually further emphasizes his distinctness.
- Linda taught John to read while he was a child, and reading allowed him to superior to the other boys who beat and taunted him for being different.
- John’s modesty towards Lenina represents a central conflict between the Indian society and the civilized world.

18.3 Keywords

*Abhorrence*: inspiring disgust and loathing.

*Awkward*: causing or feeling uneasy embarrassment.

*Doctrine*: a set of beliefs or principles held and taught by a church, political party, or other group.

*Metaphorical*: a figure of speech in which a word or phrase is applied to something to which it is not literally applicable.

18.4 Review Questions

1. What is the meaning of the World state’s motto “COMMUNITY, IDENTITY, STABILITY”?
2. Why don’t the Epsilons “need human intelligence”?
3. How does time work in this book? Why does Ford say “History is Bunk”?
4. Why must games be so complex in this society?
5. Why doesn’t Lenina like their Indian guide?
6. What was John’s upbringing like? Explain his relationship with Linda.

**Answers: Self Assessment**

1. Six hundred
2. Social stability
3. Helmholtz Waston
4. Bernard and Lenina
5. Indian guide
6. Indian village
18.5  Further Readings

Books

- Brave New World (E Text) — Aldous Huxley
- Aldous Huxley: a biography — Nicholas Murray
- Aldous Huxley — Harold Bloom

Online links

- http://www.huxley.net/bnw/
- http://www.pinkmonkey.com/booknotes/barrons/bravenw01.asp
Unit 19: Aldous Huxley—Brave New World: Detailed Study of Text-II

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Objectives

After studying this unit, you will be able to:

• Explain the detailed study of text of chapters 10-18 of Brave new world.

• Discuss summary and analysis of all chapters.

Introduction

The book Brave new world is a masterpiece of genius. There are uncivilized people living on reservations and a women named Linda and her son John get to leave the reservation. Linda is happy to be back in the civilized world. While John is having fun he is also very disgusted about soma, because he found out that when his mother was still in the Brave New World she had to take some. I can tell you why Linda and John are on the reservation—the director of the conditioning centre got Linda pregnant and he left her there, because he didn’t want anybody to find out what he did to poor Linda. And when Linda gave birth to John she didn’t care about him at first, but she learned that John is her son and she has to take care of him. As I was saying they go into the civilized world and John finds out that the brave new world isn’t what he thought it was. After a while John finds out that his mother died while she was on a soma vacation. It says in the book that soma is a drug that keeps everybody happy, but John doesn’t like the way it is used and what effects it has on people. And I forgot to mention that I saw the movie and it wasn’t very interesting as I thought it would be. But I thought that the movie should have been ranked a three star ranking. As I was saying while Bernard and his friend are waiting for John, John is at the hospital throwing soma out the window, because he wants everybody to know what the world is like that there in at that point in time. The so called utopia is actually a world that everybody has no freedom, everybody in the Brave New World have to obey all of the laws and if there is anybody that is different they will get into really big trouble. I have read the book and it is a lot longer than the movie so I suggest that anybody that reads this should read the book The Brave New World.
19.1 Detailed Study of Chapters 10–18

19.1.1 Chapters 10–12

Chapter 10

Summary

The Director passes through the Centre’s Fertilizing room, admiring the fertilizing and decanting technologies. He and Henry Foster plan to meet Bernard in the Fertilizing Room. The Director tells Henry that Bernard must receive punishment because no one should lead the general population astray with strange behavior or notions of individuality. With all the workers present, the Director publicly reproaches Bernard for his social misconduct and tells him that he must go to Iceland where he will not be able to influence others.

Bernard laughs and introduces Linda. Linda quickly recognizes the Director, calls him by his name, Tomakin, and rushes up to give him a hug. When he pulls away out of disgust, Linda angrily screams at him for leaving her on the Reservation while pregnant with John. The Director becomes even more mortified when John walks in, falls to his knees, and calls him “father,” a word filled with embarrassing meaning. All the workers begin laughing until the Director finally runs out of the room.

Analysis

This chapter uses contrast to emphasize the rising tension of the novel. Bernard and the Director represent two sides of the novel’s main conflict, and this chapter describes their confrontation. The chapter opens with descriptions of the scientific mechanisms used to create humans. The Director states that no one, including Bernard, can express individuality in any way. The Centre can simply make a new individual if anyone gets out of line, which indicates the society’s reliance on science rather than human life.

The Director’s predicament in the chapter is an example of irony. The Director enters the room with a high regard for social programming and belief in the good of science, state regulation, and conformity in all social practices. However, the Director becomes the chief example of non-conformity when the others learn that he himself exhibited the most embarrassing behavior in society by fathering a child. The Director, who is normally responsible for the creation of life and ordering of class, is also responsible for a sexual act that goes against this dystopian society.

Chapter 11

Summary

The Director decides to resign his position because the shame of being a “father” is too great. All of “upper-caste” London clamors to see the savage, and John becomes the center of attention. However, they consider Linda repulsive because of her age, her bad teeth, and her weight. She takes soma in excess, both to enjoy the feeling of “eternity” that she used to feel as a member of civilized society, and so that she can remove herself from the judgment and looks of repugnance of the other members of society. John worries about her but receives assurance that she feels happier with soma even though she will not live much longer if she keeps taking so much. For the first time, John encounters the civilized society’s attitude towards death.

Bernard immediately becomes famous because he controls the Savage’s social schedule. Bernard takes advantage of his fame to get as many women as he can. He holds parties for the social
elites to visit and meet the Savage. However, he foolishly criticizes society and even goes so far as to lecture Mustapha Mond in a letter on ways that society could improve. The letter amuses and angers Mond, who nevertheless chooses not to punish Bernard for his hubris.

Helmholtz Watson tells Bernard that he disapproves of Bernard’s boastfulness and pride. Watson and the other social elites agree that Bernard’s behavior will one day lead “to a bad end.” After a brief disagreement with Helmholtz in which the latter expresses his disappointment, Bernard becomes angry and vows never to talk to him again.

John the Savage, meanwhile, receives a tour of a local radio tower and of an elementary school, Eton, while Bernard acts arrogant and important the entire time. At the school, John watches a video of Indian savages performing ritual worship while all the school children laugh at them. John asks why everyone laughs and learns that the children laugh because the scene is ridiculous and funny. John’s sense of displacement grows.

Lenina convinces John to go on a date with her. She takes him to a feelie show about a black man who falls in love with a blonde-haired woman. In the movie, he abducts her, and after three weeks, three strong Alpha Plus males finally rescue her. She then becomes the lover to all three of them, and the black man must go to reconditioning. John finds the movie’s morals offensive. He takes Lenina back to her place but leaves her before having sex. She becomes upset because she had hoped to sleep with him and only recovers by taking soma. John goes home and starts reading Shakespeare’s Othello because he recalls the presence of a black man in the play.

**Analysis**

This chapter focuses on Bernard and John’s shifting behavior and attitudes. When Bernard becomes important, he begins to like the society more, a change that reveals his baser side. Pride and arrogance are Bernard’s tragic flaw, the personality trait that causes his downfall. As long as Bernard felt inferior and out of place, he hated his society and explored the meaning of human emotion and individuality. Because he did not accept societal norms, he acted in an individual capacity and could identify with John’s plight back in the village, a characteristic that John and Helmholtz Watson.

However, as soon as he becomes popular, Bernard rejects his previous hatred and starts to like what society has to offer. Thus, he tells Helmholtz that he had six different women in one week. Bernard emerges as a shallow and self-absorbed character who fails to realize that selfishness is merely a different form of individuality and that he still has no place in a society where individual lives are subordinate to social stability.

John’s attitude towards this “brave new world” changes as well. By visiting work sites and participating in the world’s social elements, John becomes increasingly disillusioned with his surroundings. Bernard notes that John often does not feel awe the technology around him, which he considers strange for someone from the reservation, which has no technology or science. John, however, holds onto something else: myth and story.

In the novel, myth is an attractive element in the human character. When Bernard attempts to point out the advancement of his society, John remarks that the gods of his world also accomplished such feats. Huxley thus suggests that the power of myth is as important to human character as is the power of science. While the savages have no science, they survive and thrive on the power of myth.

Throughout the chapter, John reads Shakespeare whenever he feels upset or confused. Shakespeare’s literature and Linda’s previous life in civilized society have always been John’s only sources of information about the other world. Since Linda is permanently under the influence of soma,
John can only turn to Shakespeare to explain his surroundings. Ironically, Shakespeare was a genius at invoking passion and emotion, whereas society has virtually destroyed these feelings. This disconnect creates a series of serious misunderstandings between John and the rest of society, since John struggles to develop his emotions while everyone else struggles to stifle their feelings.

Chapter 12

Summary

Bernard holds a party with many of society’s most important people in attendance. He goes to get John and introduce him, but John will not leave his room. Furious, the guests immediately begin disparaging Bernard, who feels humiliated. The Arch-Community-Songster of Canterbury leaves, taking Lenina with him. Meanwhile, John sits in his room and continues reading Romeo and Juliet while the party falls apart, unaware that Lenina was even at the party. When Lenina hears that John will not attend the party, she feels a new emotion: “a sense of dreadful emptiness, a breathless apprehension, nausea.”

Task Why does John decide not to come to Bernard’s party?

Meanwhile, Mustapha Mond reads scientific reports and evaluates them for publication based on the social impact of each report. Mustapha expresses regret that he cannot always publish brilliant science because it might harm society. One particular report, “A New Theory of Biology,” particularly disappoints him. It suggests that the purpose of life might not be “the maintenance of well-being, but some intensification and refining of consciousness, some enlargement of knowledge.” Though this might be true, Mond knows that such ideas would destabilize society.

Bernard takes a large dose of soma to escape the shame of the disastrous party. When he recovers, John acts more sympathetically because Bernard is once again humble and reflective. John explains that Bernard now acts more as he did when they first met. Bernard also returns to Helmholtz who agrees to take him back as a friend. The sympathy and friendship of the two men only serves to make Bernard want revenge on them for having caused his fame to disappear.

Helmholtz has gotten into trouble while Bernard entertained. He wrote a poem about being alone, and he had foolishly decided to read it to his students during a lecture. They complained to higher authorities, who told Helmholtz that if anything else happened, he would no longer have his position.

When Helmholtz meets John the Savage, they quickly befriend each other. Bernard feels displaced while with them, and he continually does things to annoy them. After Helmholtz reads his poetry to the Savage, John pulls out his volume of Shakespeare and reads passages.

Notes The beauty of the writing stuns Helmholtz, but Bernard makes stupid jokes in order to disrupt the reading.

Everything goes well until John reads Romeo and Juliet. Since John still loves Lenina, he identifies with Romeo and puts a great deal of passion into the story. However, the idea of forbidden love is so alien to society that Helmholtz finally bursts out laughing. At this point, John angrily locks away his book. Helmholtz recognizes Shakespeare’s genius, but admits that such foreign notions of romantic love could never cause his desire disruption of society.
Both Bernard and Helmholtz receive warnings about their behavior in this chapter. Bernard feels inferior to other men, and when he returns to reconcile with Helmholtz, he dislikes that Helmholtz generously still wants to be friends. The friendship between Helmholtz and the Savage also makes Bernard feel displaced. The contrasting emotions of generosity and selfishness depict the differences between these characters.

Bernard’s character may also be Huxley’s critique of the hubris of socialist government. Bernard’s last name Marx alludes to Karl Marx, whose economic theories later contributed to the communist revolution and whose ideas underlie much socialist thought. Like socialist theory, Bernard longs for deeper meaning in human experience. However, Bernard’s taste of power corrupts him, much as power corrupted many socialist governments in the twentieth century.

Bernard’s constant and petty interruption of John and Helmholtz’s conversations reflects his inferiority complex. Like a child, he will do anything to be the center of attention. He thus shows that he cannot extricate himself from the ideals of the society. In addition, where he used to refuse soma, he now uses it whenever he feels depressed. Consequently, the state has the power to corrupt completely. By contrast, Helmholtz transitions from being a robotic, emotionless member of society to being a thinking, emotional individual, as he writes poems about being alone and allows Shakespeare to stir his emotions.

Unlike the other characters, Mustapha Mond has dedicated himself to maximizing societal happiness despite his awareness of other possibilities for life. Mond reflects the inability of attempts at utopian society to resolve all problems, as conflicting ideas of human behavior and purpose will always remain. He immediately condemns any report that might hurt society’s goals, but Mustapha unwillingly censors many of the reports. He proclaims, “What fun it would be if one didn’t have to think about happiness!”

By this chapter, Lenina has begun acting in ways that belie her previous behavior. Her inability to get John Savage to spend the night with her causes her to think about him constantly and to fall into a state of depression that she cannot cure with soma. For the first time, Lenina experiences love, with both the euphoria of wanting to be with a particular person and the unhappiness of not having him, as opposed to pleasure or lust. As a result, when the Songster leads her away from the party, she does not want to go, and when he wants to sleep with her, she requests more soma than usual.

19.1.2 Chapters 13–15

Chapter 13

Summary

Lenina’s crush on John the Savage becomes increasingly uncontrollable for her. At one point Henry Foster tells Lenina that she appears sick and asks what is the matter. While he talks, Lenina becomes irritated and finally tells him to shut up. Later, Lenina discusses her sole desire for John and no other man with Fanny. Fanny, ever practical, tells Lenina she must either forget about John and sleep with other men or take the initiative and go directly to John’s room.

Lenina agrees with Fanny, so she takes some soma to bolster her courage and goes to visit John. After she arrives, she tells him that she likes him. John, with images from Shakespeare in his head, tells her that he feels unworthy of her and begs her to make him worthy of her.
Notes

Did u know? John’s constant discussion of his feelings and quoting of Shakespeare confuses Lenina, and she only understands him after he tells her that he loves her.

Lenina responds by stripping off her clothes and trying to kiss him, a natural reaction given her cultural upbringing. John, however, reacts first with shock and then with rage. He screams, “Whore, impudent strumpet,” and he flings her away. While John tries to slap her, Lenina runs into the bathroom and shuts the door. She begs him to return her clothes and belongings. The phone rings and John answers. Learning that Linda is sick, John rushes out of the room, leaving the terrified Lenina in his room.

Analysis

Lenina’s desire for John shows that she has fallen in love with him. Her new emotional monogamy goes against her conditioning. The fact that she experiences new emotions throughout this experience makes her actions and thoughts more like those of an individual, creating a sense of inner conflict. Thus, she constantly requires soma in order to interact with John, taking it during their first date and again before going to his house.

Since Lenina has no conception of other cultures and traditions, let alone the Indian traditions, having sex is her conception of love. When John tells her he loves her, she logically assumes that he must want to have sex with her. The entire scene of Lenina going to John is an assertion of individuality, but after her stripping naked causes John to erupt in violence, she immediately reverts to the security of her sociological ideals. Consequently, Lenina quotes her hypnopaedic learning to John while she is in the bathroom. His reaction and their subsequent struggle destroy Lenina’s move towards individuality.

John’s actions are enigmatic at first but logical in light of his past. John tells how he used to become furious at his mother because she would have sex with so many men. Since he shares monogamous ideals with the Indian tribe, John has a great deal of suppressed anger towards his mother. Thus, when Lenina strips for him, she becomes everything he hates about Linda. At that moment, she loses the power of being desirable to him. In the baseness of nudity, Lenina becomes an object that embodies his mother’s base attributes. As a result, John takes all of his rage out on Lenina and drives her away from him.

Lenina’s nakedness may also reflect the unveiling of her society’s true nature. Like Lenina, the society seemingly promotes beauty, happiness, and perfection. However, when stripped of its garments, the society appears just as base and human as the Indian society that John left. Lenina’s nakedness causes John to realize the gross imperfections of the dystopian society. He realizes that he cannot survive in this society any more than he could survive in the Indian village. Ironically, whereas John struggled to belong to the Indian social structure, he now struggles to avoid his new society.

Chapter 14

Summary

John goes to the Park Lane Hospital for the Dying to see Linda. He encounters the head nurse, who seems astonished that anyone would want to see the dying or dead. Since society has abandoned individuality, they consider dying as beneficial to the population.

John finds Linda in an unconscious state and tries to rouse her. Meanwhile, the head nurse leads an entire Bokanovsky group (a large group of identical twins) into the room for their death conditioning. The boys act as if they are in a game room, and the head nurse encourages them to have fun. The idea is that if death and fun intermingle, then people will lose their
natural fear of dying. When the boys notice Linda, they make fun of her ugliness and fatness. John angrily picks one of the boys up and tosses him away from her. The head nurse is upset that John interfered in the death conditioning and warns him to behave.

When Linda returns to a state of semi-consciousness, she asks for Pope. Until this point, John had been remembering the positive memories with her at the Indian village Malpais. Her mention of Pope, though, converts his memories to bad ones. Out of frustration, he shakes her in an effort to get her to recognize him.

Linda suddenly notices John’s presence, but before she can speak, she begins choking and soon stops breathing. John realizes that he shook her too hard, and he runs to get the head nurse. When she arrives, they see that Linda has already passed away in her bed. The head nurse, more concerned about the death conditioning of the young boys, returns to them with an offer of chocolate éclairs. John sits by the bed and cries over Linda’s death until the boys again interrupt him. He silently strides from the room, knocking down one of the boys.

Analysis

The two concepts of individual death presented in these chapters are starkly different. In John’s idea of death, each individual represents a whole unto itself and deserves to be mourned upon death. On the other hand, the bokanovskified children learn to view death in a societal context, where the individual has no meaning. Because death does not harm society, the people do not need to fear it.

The transition between John’s good and bad memories foreshadows upcoming events. John initially remembers the good times he had with Linda, but when she mentions Pope’s name, he can only recall the bad memories, as when he tried to kill Pope. This parallels John’s vision of English society, which had seemed unsullied until he actually experiences London, after which he can only see its negatives.

With Linda’s death, John realizes that he is now alone. All of society’s supposed benefits have turned out to be things that morally repulse John. Because of his quest to maintain his individuality, John soon realizes that he cannot live as a sane member of this society.

Chapter 15

Summary

After stepping out of the elevator on the hospital’s ground floor, John confronts one hundred and sixty-two Deltas divided into two Bokanovsky groups, who comprise the menial staff of the hospital and are waiting to receive their daily soma. The Savage watches them line up to receive their ration and starts repeating the phrase “Oh brave new world” to himself. He decides that the phrase is a call to arms and a challenge to make the world a new place.

John pushes his way to the front of the group and preaches to the Deltas, saying that the soma is poison and that he has come to bring them freedom. The Deltas are by definition mentally stunted and begin to get upset at not receiving their soma. They press closer to John, who manages to seize the box filled with soma rations.

Bernard and Helmholtz get a call from the hospital telling them the Savage is there. They rush over to find John dumping the soma out the window with one hand while using the other to punch the attacking Deltas. Helmholtz laughs at this and joins in, yelling, “Men at last!” Bernard hesitates about joining in the fray, becomes scared, and decides to wait. The police arrive and spray the air with soma to subdue the mob. Bernard tries to sneak out but the police catch him and place him in a car with John and Helmholtz so that they can see Mustapha Mond.
Notes

Analysis

Society has compromised John’s struggle to maintain his individuality and destroy society’s “sameness.” For John, “sameness” becomes visually embodied in the bokanovskified twins. The physical appearance of multitudes of twins, all replicated and doing the same job, represents the total eradication of individual personality.

John logically blames soma for this elimination of individuality. Soma suppresses emotions, which are the defining characteristics of individuals. By trying to force the Deltas to act as individuals, John attacks society’s roots. He sees the difference between the social order and individuality as one of freedom. Helmholtz realizes this and joins the Savage with the significant cry, “Men at last!”

John the Savage develops more clearly as a Christ figure. Like the character of Christ in the New Testament, Christ figures come to teach some great truth or revelation to an ignorant or unenlightened people. The Christ figure speaks truth, but the people often do not hear the message. The Christ figure thus becomes a sacrifice for his ideals.

Ironically, although John and Helmholtz seek to force the Deltas to act as individuals, they obtain the opposite result. The Deltas instead act as a unified mob, a classic example of people who have lost their ability to make personal choices. Huxley shows that not only does a mob rob its members of their individuality, but that the society in Brave New World is in reality a carefully orchestrated mob.

Bernard is a pathetic individual for whom the reader can only feel sympathy. Bernard so fears acting as an individual that he still seeks societal acceptance. Thus, he does not join his friends because he fears permanent rejection. However, he does not realize that he already faces it, as shown when he fails to sneak out of the hospital with the multitude. The police usher him into the car with the Savage and Helmholtz, firmly implicating him as an individual.

19.1.3. Chapters 16–18

Chapter 16

Summary

The three men enter Mustapha Mond’s office. Helmholtz chooses the best chair in the room while Bernard seeks out the worst, hoping that this self-inflicted punishment will make things easier for him. Mustapha arrives and asks the Savage if he likes their civilization. John does not, but he adds that it does have some nice things like the floating music. Mustapha quotes Shakespeare to him: “Sometimes a thousand twangling instruments will hum about my ears and sometimes voices.” The Savage is thrilled that someone else knows Shakespeare. Mustapha indicates that although he forbids reading things such as Shakespeare, he can break the rules since they are his rules.

When asked why he censors old things like Shakespeare, Mustapha replies that society no longer needs them. People are happy now and would not even understand the old things. When Helmholtz argues that something like Othello is what he has always wanted to write, Mustapha says that he will never write it because tragedy and raw emotions lead to social instability. At the same time, creating works of art is not possible without tragic elements within society. The challenge in their civilization is to write works of art inspired by nothing so that they inspire nothing. Mustapha admits that happiness is never quite as great as tragedy.

They then discuss the Bokanovsky groups. Mustapha points out that an entire society of Alpha Pluses would create social chaos. No one wants to waste time doing the menial chores performed...
by Epsilons and Deltas. He mentions an old experiment on Cyprus that had attempted a society of Alphas. That society soon disintegrated into a civil war, and in the end, they asked the World Controllers to take over.

Mustapha also argues that he cannot allow science to make progress without strict controls, since science can lead to social instability. When the others protest that science is everything, Mustapha agrees with them. He distinguishes between the science that ensures the social stability and the science that would create social unrest. His world comes from the type of science that helps ensure social stability.

Task Why doesn’t John like civilization?

Mustapha then tells Helmholtz and Bernard that he will send them to an island where social misfits go. Usually they are people who have acquired individualistic traits and might destabilize society. Bernard protests and prostrates himself on the floor, and Mustapha has him removed from the room.

Mustapha admits himself would have gone to an island but received the choice of becoming the next Controller. He explains that his job is to promote the maximum happiness of society but not his own. Ironically, he must act as an individual in order to decide what is best for the society. Helmholtz chooses to go to the Falkland Islands in order to write. His reasoning for the choice is that bad weather promotes better writing. He then leaves to make sure Bernard is safe.

Analysis

As the first chapter in the declining action of the novel, Chapter 16 essentially provides a logical defense of totalitarian utilitarianism. It compares the ideals of individuality and those of the new social order, beginning with the concept of old versus new.

Mustapha argues that the old is unnecessary because it contains destabilizing passion and emotions. Stability is the highest virtue because it leads to happiness, and old things like Shakespeare cannot exist since they do not lead to happiness. Mond also insists that the old things cannot be created in the new world because tragedies like Othello or Romeo and Juliet are the products of tension in society. If tension does not exist, neither can tragedy. Instead, all the new feelings and shows must be about nothing, since happiness occurs most easily when one experiences pure sensation rather than emotion.

They then debate about Bokanovsky groups, which are necessary to society because only a caste system can make every person in the society happy. Each group has an intelligence modified and conditioned to make people happy with their jobs, and as Mustapha points out, a society of pure Alphas leads to chaos because everyone fights for the best jobs.

Mond defines art and science as the two primary sacrifices of the old world in order to obtain the ultimate utilitarian goal, that of maximum happiness. Art can only exist when it has no meaning, and whereas science is praised for improving society, it is also restricted because it may destabilize society.

This chapter draws even starker differences between Helmholtz and Bernard. Helmholtz chooses the best chair, Bernard the worst. Helmholtz no longer feels himself subordinate to society or any individual. Bernard on the other hand still bears a strong attachment to his society. He chooses the poor chair in the hope that by showing contrition he will receive a milder punishment, indicating that a certain degree of self-loathing is important to the enforcement of social control.
Chapter 17

Summary

Religion is the last sacrifice made by the old world to ensure happiness. Mustapha understands religion as something men turn to late in life when they become afraid of death. Religion substitutes for the loss of youth. Mond explains that since society eradicated the fear of death and since science keeps everyone youthful until death, religion is unnecessary. He reads to John passages from The Imitation of Christ by Thomas a Kempis and from a work by Cardinal John H. Newman in order to demonstrate this previous society dependence on God, which he claims is no longer necessary.

Mond also points out people only believe in God when their conditioning suggests it. The Savage argues instead that solitude would lead people to visualize a god instinctively, but since society has removed solitude, people cannot contemplate the world on their own terms. John complains that society prevents people from discovering truth for themselves.

Mustapha and John then argue over the meaning of life and the pursuit of happiness. John asserts that happiness is a punishment for men because they have overindulged in their pleasant vices. Mustapha argues that, by their society’s standards, each man is happy and perfect as he is. The discussion continues, as Mustapha condemns self-denial as bad for the economy and opposed to happiness, chastity as leading to passion, and passion as causing instability. Mustapha understands nobility and heroics as only existing where political instability reigns, which is unnecessary.

Did u know? The climax of the argument comes when Mustapha says, “in fact, you’re claiming the right to be unhappy.” The Savage demands the right to poetry, real danger, freedom, goodness, and sin by making the powerful statement, “I claim them all.” Mustapha merely shrugs and says, “You’re welcome.”

Analysis

This chapter makes clear that Mond considers religion to be the most destabilizing force in society. Mond does not deny the power that religion had in the past world and even claims that he believes in a god. However, he also claims that God has become irrelevant in modern society and now only manifests himself through absence. Huxley presents a strand of existential philosophy that maintains that God’s non-existence created a world in which humanity could only find meaning through its own existence. Mond’s society has strictly controlled the parameters of this existence, leaving no room for a God.

John Savage attempts to counter this argument with the example of the Indian civilization from which he came. Religion, Savage argues, comes naturally to man and will never entirely disappear. The religion of the Indians gives great meaning to their lives and provides the ability to endure turmoil and unhappiness.

The climax of the novel’s action occurs in chapter fifteen, but the climax of the novel’s thought and ideas happens here when Mond tells John Savage that, “In fact, you’re claiming the right to be unhappy.” In the extremism of the Utopian utilitarianism, the right to be unhappy no longer exists. This is what the Savage realizes when he starts claiming all the ills of humanity. He argues that being unhappy is a natural right that every man should have. Mustapha clearly disagrees with him.
The whole premise of this form of utilitarianism is that people should be happy and live in a stable society. Therefore, one must ban anything that would interfere with happiness. However, in dividing the happy from the unhappy, the meaning of individuality ceases to exist in any meaningful sense, a fact that the Savage cannot accept. He desires to be an individual, which entails the right to unhappiness as well as to happiness.

Huxley names the banishment of art, science, and religion as the three major criteria that must occur to create stability. All of these lead to emotional, physical, or spiritual unrest and would thus threaten society. As a result, one must either eliminate them or use them only when they promote stability and consequently happiness, as in the case of science.

Chapter 18

Summary

Helmholtz and Bernard go to visit John, who is vomiting in his room. When they ask him what is wrong, he replies, “I ate civilization... It poisoned me.” John tells the two men that he visited Mustapha Mond that morning and asked if he could join them on the island. Mustapha refused his request, indicating that he wanted to continue the experiment of reconciling John to civilization.

Seeking solitude, John runs away and finds an abandoned lighthouse, which he makes his home. He spends the first night on his knees in contrition and repentance to his gods so that he will be worthy to enter the lighthouse and inhabit it. John makes a bow and arrows in order to shoot game for food. He also sets up a small garden to provide food for the next year. John starts singing while making the bow, but he recalls his vows to remember Linda and make amends to her soul. Out of anger at his forgetfulness, John starts to beat himself with a knotted cord.

Three Delta Minus landworkers happen to see John beating himself. Amazed by this incredible display, they return to town where they tell everyone about it. Three days later reporters begin to arrive, trying to get an interview. John kicks the first man to approach him so hard that the man cannot sit comfortably afterward. The other reporters get the same treatment and begin to leave him alone. A few hover in helicopters, but when he shoots an arrow through the floor of the nearest one they too back off.

A few days later, while digging in his garden, John starts to think about Lenina. He immediately tries to get her out of his mind by masochistically running into some thorn bushes, but he still remembers the smell of her perfume. He then grabs his whip and begins to lash himself on the back ferociously.

Unluckily, a reporter named Darwin Bonaparte is hiding in the woods and records the entire scene. The movie is made into a film and within a day of its release, several hundred helicopters arrive at the lighthouse with spectators. A huge crowd forms and they all start shouting for him to use the whip. While they chant the phrase, “We - want - the whip,” a helicopter arrives with Henry Foster and Lenina.

Lenina steps out of the helicopter to talk to John, but he cannot hear her over the roar of the crowd. His confusion turns to rage, and he rushes at her with the whip, beating her repeatedly to kill the flesh. In this state of hysteria, the crowd starts to chant “Orgy-porgy.” They dance and sing until John loses himself in the hysteria.

Several hours later, John lies on the heather in a soma-induced sleep after an evening of sensual frenzy. When he wakes up and remembers what occurred, he cries, “Oh, my God, my God!” That night, the spectators that arrive cannot find him. They enter the lighthouse and see feet dangling from the archway. John has committed suicide.
Self Assessment

State the following sentences are True or False:

1. Bernard immediately becomes famous because he controls the Savage’s social schedule.
2. Bernard holds a party with many of society’s most important people in attendance.
3. Linda goes to the Park Lane Hospital for the dying to see John.
4. Fanny the Savage develops more clearly as a Christ figure.
5. The two men enter Mustapha Mond’s office.
6. Lenina steps out of the helicopter to talk to John.

Analysis

This chapter forms something of an anticlimax after the previous chapter where John cries, “I claim them all,” thus demanding the right to anything that would make him unhappy. Chapter 18 deals more with the interplay of solitude and society as well as sensuality and religion. John leaves to recapture everything that civilization no longer has, including religion, love, remembrance, pain, and abstinence.

One can interpret the lighthouse as a reflection of the Garden of Eden, a utopian creation from which God had banished humanity for their sin. John hopes that this secluded space will provide a respite from the dystopia of the modern world. He attempts to repent for his own sins to reenter the Garden but soon finds that even this space is corrupt.

The deluge of people who come to watch John beat himself with the whip marks the last chance John has to rejoin society. Lenina’s arrival spurs him into a rage because in his mind she epitomizes everything evil about her world. She is a sensual being who comes between John and his mother, she defiles his abstinence, and she makes him forget religion. Thus, when John sees Lenina, he attacks her.

The ending differs from what the reader would expect. The crowd transforms from demanding pain to demanding sexual gratification through dance and the cry of “Orgy-porgy.” Huxley likens the cry to the beat of the Indian music and implies that the power of the crowd eventually overcomes John, who joins in. Though he could not participate at all in the ritual ceremonies of the Indian people, he becomes the central sacrifice of this ceremony. Huxley again blurs the distinctions between the savage society with no technology and the advanced modern society, leaving open the question of which society is superior. Joining the crowd marks the sacrifice of John’s individualism. He goes from being one man standing alone against a mob to becoming a member of that crowd. This sacrifice turns out to be too much for John, and he hangs himself.

Huxley does not reveal why Mustapha decides to keep John as part of an ongoing experiment, even though he willingly sends other misfits within the society like Helmholtz and Bernard to an island. One possibility is that Mustapha views John as a kindred spirit via the Shakespeare that they have both read. He keeps John because he wants to convert John into rejecting Shakespeare and into accepting civilized dogma. However, as the ending shows, accepting society implies giving up John’s individuality, and Mustapha’s experiment fails.

19.2 Summary

- The Director passes through the Centre’s Fertilizing room, admiring the fertilizing and decanting technologies.
• Mustapha expresses regret that he cannot always publish brilliant science because it might harm society.
• Society has compromised John’s struggle to maintain his individuality and destroy society’s “sameness.”
• In the extremism of the Utopian utilitarianism, the right to be unhappy no longer exists.

19.3 Keywords

Decanting : gradually pour from one container into another.

Predicament : a difficult situation.

Turmoil : a state of great disturbance.

19.4 Review Questions

1. Why does John become popular, but not Linda?
2. What does John think about the feelies? Why?
3. What does Helmholtz think of Shakespeare? Romeo and Juliet?
4. What are the consequences of Lenina’s emotion?
5. How does the soma riot end? What does it mean to be happy and good?

Answers: Self Assessment

1. True 2. True
3. False 4. False
5. False 6. True

19.5 Further Readings

Books

Brave New World (E Text) — Aldous Huxley
Aldous Huxley: a biography — Nicholas Murray
Aldous Huxley — Harold Bloom

Online links

http://www.huxley.net/bnw/
http://www.pinkmonkey.com/booknotes/barrons/bravenw01.asp
Unit 20: Aldous Huxley—Brave New World: Themes and Characterization

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Objectives

After studying this unit, you will be able to:

• Discuss the character list of Brave new world
• Explain the major themes of Brave new world.

Introduction

Huxley isn’t one for subtlety. It isn’t enough to show Bernard’s insecurity around the lower castes; instead, we get this: The mockery made him feel an outsider; and feeling an outsider he behaved like one, which increased the prejudice against him and intensified the contempt and hostility aroused by his physical defects. Which in turn increased his sense of being alien and alone. A chronic fear of being slighted made him avoid his equals, made him stand, where his inferiors were concerned, self-consciously on his dignity.

Then we get to Helmholtz, whose physical appearance clues us in to his self-confidence. But then Huxley goes into telling mode again: A mental excess had produced in Helmholtz Watson effects very similar to those which, in Bernard Marx, were the result of a physical defect. A mental excess became in its turn a cause of wider separation. That which had made Helmholtz so uncomfortably aware of being himself and all alone was too much ability. What the two men shared was the knowledge that they were individuals. It was only quite recently that, grown aware of his mental excess, Helmholtz Watson had also become aware of his difference from the people who surrounded him.

This goes on and on, for much of the novel, again leading people to believe that Brave New World was more of a forum for Huxley’s ideas than a true novel.
20.1 Character List

Lenina Crowne

Lenina is a beautiful woman who meets the group of students while inoculating the infants against yellow fever. She dates Henry Foster in the beginning but agrees to go out with Bernard Marx to the Savage Reservations. After visiting the Reservations, Lenina becomes popular by her association with the Savage. She continually tries to sleep with the Savage but becomes frustrated by his unwillingness. After she strips in front of John, he tries to beat her. Lenina visits John at his lighthouse at the end of the novel, and he starts to whip her. It is unclear whether she dies or not.

The Director

The Director of the Central London Hatchery and Conditioning Centre, also called Tomakin, leads a group of students on a tour. He introduces them to the techniques of fertilization and segregation into classes. The arrival of Linda and John the Savage later humiliates him and causes him to resign in disgrace.

Henry Foster

Foster is an expert on statistics within the Central London Hatchery and Conditioning Centre. He joins the student tour at the Director’s behest and quotes facts about the processes of the hatchery. He is also in charge of maximizing the number of embryos each ovary can produce. Foster is one of Lenina’s most frequent dates.

Bernard Marx

Bernard Marx loves Lenina Crowne, despite all of his social conditioning. He is short and physically inadequate for the status of Alpha-Plus, and therefore has an inferiority complex. Other characters believe that he may have accidentally received a dose of alcohol while in the fetal stages. He is more independent thinking because of feeling separate from society. Bernard Marx is close friends with Helmholtz Watson.

Mustapha Mond

Mustapha Mond is the Resident Controller for Western Europe and one of the Ten World Controllers. He alone makes the rules for society and decides what works to publish. Mustapha has read Shakespeare and other forbidden books, making him one of the most independent thinkers within the society. He is the man who gives Bernard permission to bring the Savage and his mother back to London.

Benito Hoover

Hoover is a former lover of Lenina, who describes him as too hairy. He is stereotypical of the Alpha caste in obeying all the social norms and in quoting his hypnopaedic learning.

Helmholtz Watson

Watson is an Alpha-Plus with too much intelligence. He is friends with Bernard Marx because both he and Marx are outsiders within the society. Watson eventually writes a poem that gets
him in trouble. He quickly falls in love with John’s Shakespearean verses before leaving to live in the Falkland Islands.

Morgana Rothschild

The member of Bernard’s Solidarity group with a distracting unified eyebrow.

Linda

Linda is the mother of the Savage and the woman whom the Director brought to the reservation. She is an alcoholic and rather obese. After her return to society, she consumes too much soma and dies soon thereafter.

Pope

Pope is Linda’s alcoholic lover and the man that John tries to kill after he discovers Pope sleeping with his mother one night.

John Savage

The Savage, also known as John, is the son of the Director and Linda. He was born on the reservation in a city called Malpais. He grew up as a hybrid of the Indian and Utopian cultures, with a volume of Shakespeare as his guide to life. As a result, the Indians often excluded him from their rituals. He and his mother Linda accompany Bernard Marx back to London where he soon becomes a celebrity. John falls in love with Lenina and imagines his love for her as that of Romeo and Juliet. He soon has trouble conforming to the ideals of the Utopian world and strikes out in an effort to assert his individuality. John finally runs away from the society but cannot avoid a mob of sightseers. In the end, he commits suicide.

Mitsima

One of the older Indians, who teaches John to make clay pots

Arch-Community-Songster of Canterbury

The Songster is a powerful man who first meets with Lenina. At her request, Bernard invites him to a party to meet John. When John refuses to come, the Songster gets upset and leaves. He drags Lenina with him, although she appears to be unhappy and slightly unwilling.

Dr. Shaw

Shaw is the doctor who looks after Linda and gives her soma so that she can be happy.

20.2 Analysis of Major Characters

John

Although Bernard Marx is the primary character in Brave New World up until his visit with Lenina to the Reservation, after that point he fades into the background and John becomes the central protagonist. John first enters the story as he expresses an interest in participating in the Indian religious ritual from which Bernard and Lenina recoil. John’s desire first marks him as
an outsider among the Indians, since he is not allowed to participate in their ritual. It also demonstrates the huge cultural divide between him and World State society, since Bernard and Lenina see the tribal ritual as disgusting.

**Notes**

John becomes the central character of the novel because, rejected both by the “savage” Indian culture and the “civilized” World State culture, he is the ultimate outsider.

As an outsider, John takes his values from a more than 900-year-old author, William Shakespeare. John’s extensive knowledge of Shakespeare’s works serves him in several important ways: it enables him to verbalize his own complex emotions and reactions, it provides him with a framework from which to criticize World State values, and it provides him with language that allows him to hold his own against the formidable rhetorical skill of Mustapha Mond during their confrontation. (On the other hand, John’s insistence on viewing the world through Shakespearean eyes sometimes blinds him to the reality of other characters, notably Lenina, who, in his mind, is alternately a heroine and a “strumpet,” neither of which label is quite appropriate to her.) Shakespeare embodies all of the human and humanitarian values that have been abandoned in the World State. John’s rejection of the shallow happiness of the World State, his inability to reconcile his love and lust for Lenina, and even his eventual suicide all reflect themes from Shakespeare. He is himself a Shakespearean character in a world where any poetry that does not sell a product is prohibited.

John’s naïve optimism about the World State, expressed in the words from *The Tempest* that constitute the novel’s title, is crushed when he comes into direct contact with the State. The phrase “brave new world” takes on an increasingly bitter, ironic, and pessimistic tone as he becomes more knowledgeable about the State. John’s participation in the final orgy and his suicide at the end of the novel can be seen as the result of an insanity created by the fundamental conflict between his values and the reality of the world around him.

**Bernard Marx**

Up until his visit to the Reservation and the introduction of John, Bernard Marx is the central figure of the novel. Bernard’s first appearance in the novel is highly ironic. Just as the Director finishes his explanation of how the World State has successfully eliminated lovesickness and everything that goes along with frustrated desire, Huxley gives us our first glimpse into a character’s private thoughts, and that character is lovesick, jealous, and fiercely angry at his sexual rivals. Thus, while Bernard is not exactly heroic (and he becomes even less so as the novel progresses), he is still interesting to the reader because he is human. He wants things that he can’t have.

The major movement in Bernard’s character is his rise in popularity after the trip to the Reservation and his discovery of John, followed by his disastrous fall. Before and during his trip to the Reservation, Bernard is lonely, insecure, and isolated. When he returns with John, he uses his newfound popularity to participate in all of the aspects of World State society that he had previously criticized, such as promiscuous sex. This about-face proves Bernard to be a critic whose deepest desire is to become what he criticizes. When John refuses to become a tool in Bernard’s hand Bernard’s success collapses instantaneously. By continuing to criticize the World State while reveling in its “pleasant vices,” Bernard reveals himself to be a hypocrite. John and Helmholtz are sympathetic to him because they agree that the World State needs criticizing and because they recognize that Bernard is trapped in a body to which his conditioning
Fiction

has not suited him, but they have no respect for him. Lenina’s relationship to Bernard is different: she sees him merely as a strange, interesting fellow with whom she can take a break from her relationship with Henry Foster. She is happy to use him for her own social gain, but she doesn’t have the emotional investment in him that she does in John.

Helmholtz Watson

Helmholtz Watson is not as fully developed as some of the other characters, acting instead as a foil for Bernard and John. For Bernard, Helmholtz is everything Bernard wishes he could be: strong, intelligent, and attractive. As such a figure of strength, Helmholtz is very comfortable in his caste. Unlike Bernard, he is well liked and respected. Though he and Bernard share a dislike of the World State, Helmholtz condemns it for radically different reasons. Bernard dislikes the State because he is too weak to fit the social position he has been assigned; Helmholtz because he is too strong. Helmholtz can see and feel how the shallow culture in which he lives is stifling him.

Helmholtz is also a foil for John, but in a different way. Helmholtz and John are very similar in spirit; both love poetry, and both are intelligent and critical of the World State. But there is an enormous cultural gap between them. Even when Helmholtz sees the genius in Shakespeare’s poetry, he cannot help but laugh at the mention of mothers, fathers, and marriage—concepts that are vulgar and ridiculous in the World State. The conversations between Helmholtz and John illustrate that even the most reflective and intelligent World State member is defined by the culture in which he has been raised.

Mustapha Mond

Mustapha Mond is the most powerful and intelligent proponent of the World State. Early in the novel, it is his voice that explains the history of the World State and the philosophy upon which it is based. Later in the novel it is his debate with John that lays out the fundamental difference in values between World State society and the kind of society represented in Shakespeare’s plays.

Mustapha Mond is a paradoxical figure. He reads Shakespeare and the Bible and he used to be an independent-minded scientist, but he also censors new ideas and controls a totalitarian state. For Mond, humankind’s ultimate goals are stability and happiness, as opposed to emotions, human relations, and individual expression. By combining a firm commitment to the values of the World State with a nuanced understanding of its history and function, Mustapha Mond presents a formidable opponent for John, Bernard, and Helmholtz.

20.3 Major Themes

Commodification

Huxley views commodified society as a detriment to human creativity. In the novel, society modifies human behavior so that people will seek to consume goods and services as much as possible. This modification in turn means that everyone who makes such goods or provides such services will be able to stay employed. Thus, the society’s economy will remain stable. However, such reliance upon commodification also blunts any attempt at original thought. Consumption becomes so important to the society that all of a person’s energy and reason is put into activities of work and play that consume goods that in turn keep the economy running.
This is, of course, important for maintaining the structured and controlled environment of Huxley’s dystopia, but it also produces human beings who simply do what they have been taught and have no reason to think on their own.

**Dystopia**

A dystopia is a kind of science fiction, or fantasy, world that predicts the future in a negative light. Huxley’s Brave New World and George Orwell’s 1984 were two of the first modern dystopian novels. Both told of a future society in which governments had complete dictatorial control over people, while state control and conformity replaced the freedoms of modern life and a person’s right to the pursuit of happiness.

Dystopian novels such as Brave New World are critiques of modern institutions. Such works take an instance of injustice or perceived ill in a society and take those situations to what would be their logical ends. In Brave New World, Huxley critiques modern governmental institutions whose power has slowly crept into the lives of ordinary people. This process often occurs in the name of security or peace, yet such actions inevitably lead to the destruction of everything that is good in a society such as freedom or creativity.

**Task**

In your opinion, is this brave new world a utopia or a dystopia.

**Freedom**

Brave New World largely defines freedom through the structures that prevent freedom. Bernard feels these constraints most acutely, as in a scene from chapter 6, when Bernard and Lenina have a conversation about freedom. Lenina insists that everyone has a great deal of freedom - the freedom “to have the most wonderful time.” Soma represents this kind of freedom, as it puts people in a hypnotic state in which they no longer feel as though they should ask questions or defy the structures of society. Bernard insists that this is no freedom at all.

Bernard claims that his ideal of freedom is the freedom to be an individual apart from the rest of society. Bernard strives to be free in his “own way...not in everybody else’s way.” Huxley argues here that certain structures in our own modern society work in the same way that drugs like soma work in this fantastical dystopia. Huxley often argues against the use of advertising specifically for the way that it hypnotized people into wanting and buying the same products. Such things keep people within predefined structures, and it quashes free thought, which ultimately restricts freedom.

**Human Impulse**

Human impulses play a complicated role in the novel. First, Huxley suggests that they can both stabilize and destabilize society, as in the case of sexual activity. In Brave New World, the authorities encourage all humans to sleep with as many other people as often as they can. In previous generations, institutions such as marriage controlled these impulses. People tried to confine their impulses, buy when they no longer could, such institutions unraveled.

By abolishing institutions such as marriage and encouraging behavior that society once considered immoral, the leaders of the new world have gotten rid of the inherent dangers of these sexual impulses. However, Huxley also suggests that the freedom of these impulses undermines
humanity’s creativity. Complete freedom to have pleasure has made each person like an infant, incapable of adult thought and creativity.

**Did u know?** Bernard longs to have more control over his impulses, but the display of such control unnerves others who have learned to be free with their impulses.

**The Power of Knowledge**

Huxley’s civilized world is a society of ultimate knowledge. Humans have conquered almost all areas of scientific inquiry; they control life, death, aging, pleasure, and pain. This mastery of knowledge has given human beings great control over their world, and this control in turn has given great power to those, who first envisioned such a society, and those who continue to maintain its existence.

However, such knowledge and the abuse of power that it inspires often lead to downfall, as symbolized by Huxley’s frequent allusions to Shakespeare’s Macbeth. In Shakespeare’s play, Macbeth gains small pieces of knowledge of present and future events that leads him to seek more power and control over his kingdom. However, this knowledge leads to abuse of power and is the cause of his ultimate demise. In the same way, characters in Huxley’s novel must stay in the dark about the true workings of society because knowledge will lead to their ultimate demise.

**Utilitarian Happiness**

A utilitarian society aims to produce the greatest amount of good for the greatest number of people. In Huxley’s society, this particular good is happiness, and government, industry, and all other social apparatuses exist in order to maximize the happiness of all members of society.

John the Savage rebels against this notion of utilitarian happiness. He argues that humanity must also know how to be unhappy in order to create and appreciate beauty. The use of soma is an example of the opposite. People take the drug in order to go on a “holiday” from any kind of unhappiness. Because they refuse to experience unhappiness, the drug keeps them from wonder and the appreciation of beauty, as in the scene when Lenina and Bernard fly over the tossing English Channel. He sees a beautiful display of nature’s power; she sees a horribly frightening scene that she wants to avoid.

**The Transformation of Human Relationships**

The society in Brave New World can only survive because it has destroyed any remnants of human relationships and bonds. The relationships of father and mother no longer exist because all human beings are born in a scientific lab. The relationship between husband and wife is no longer necessary because society shuns monogamy, and all men and women learn to share each other equally.

The cost of such actions is that human beings cannot truly experience the emotions of love. Both John and Lenina begin to feel these strong emotions over the course of the novel, but they cannot act on these emotions in a constructive way because neither can comprehend how to have such a relationship in their society.

**Self Assessment**

Fill in the blanks:

1. Huxley views commodified society as a detriment to ......... .
2. A ........ is a kind of science fiction.
4. The Director of the Central Condon Hatchery and Conditioning centre is called ........ .
5. Lenina visits John at his ........ .

The Limits of Science

While society has mainly banned art and religion rather than science, Mustapha Mond also claims that too much scientific progress can also reduce the ultimate happiness of each individual. Science, he tells the reader, is responsible for a great many of the achievements of their society and for the levels of happiness that each individual achieves. Nevertheless, if scientific progress occurs without restraint, it will lead to less happiness.

For instance, the government does not engineer food in a scientific laboratory, even though it would be faster and would feed more people. By farming food naturally, the government gives more work for the lower caste people to do and thus keeps them occupied and happy. This example shows that progress does not always maximize happiness, a fact that John the Savage clearly sees in his new society.

20.4 Summary

• John first enters the story as he expresses an interest in participating in the Indian religious ritual from which Bernard and Lenina recoil.
• The major movement in Bernard’s character is his rise in popularity after the trip to the Reservation and his discovery of John, followed by his disastrous fall.
• Mustapha Mond is the most powerful and intelligent proponent of the World State.
• A dystopia is a kind of science fiction, or fantasy, world that predicts the future in a negative light.
• Huxley’s civilized world is a society of ultimate knowledge. Humans have conquered almost all areas of scientific inquiry; they control life, death, aging, pleasure, and pain.
• The society in Brave New World can only survive because it has destroyed any remnants of human relationships and bonds.

20.5 Keywords

Disgusting : arousing revulsion or strong indignation.
Foil : prevent the success.
Orgy : a wild party characterized by excessive drinking and indiscriminate sexual activity.

20.6 Review Questions

1. Examine the themes of Brave New World.
2. Enumerate the characterization of the text, Brave new world.
3. Discuss Huxley’s vision of a utilitarian society.
Notes

**Answers: Self Assessment**

1. Human creativity
2. Dystopia
3. Lenina crowne
4. Tomakin
5. Light house

**20.7 Further Readings**

*Books*

- Brave New World (E Text) — Aldous Huxley
- Aldous Huxley: a biography — Nicholas Murray
- Aldous Huxley — Harold Bloom

*Online links*

Unit 21: D.H. Lawrence — Sons and Lovers

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Objectives

After studying this unit, you will be able to:

• Know about the author of Sons and Lovers
• Know about the text of Sons and Lovers
• Know the introduction to the work Sons and Lovers.

Introduction

David Herbert Richards Lawrence (11 September 1885 – 2 March 1930) was an English novelist, poet, playwright, essayist and literary critic. His collected works represent an extended reflection upon the dehumanizing effects of modernity and industrialization. In them, Lawrence confronts issues relating to emotional health and vitality, spontaneity, and instinct.

Lawrence’s opinions earned him many enemies and he endured official persecution, censorship, and misrepresentation of his creative work throughout the second half of his life, much of which he spent in a voluntary exile he called his “savage pilgrimage.” At the time of his death, his public reputation was that of a pornographer who had wasted his considerable talents. E. M. Forster, in an obituary notice, challenged this widely held view, describing him as, “The greatest imaginative novelist of our generation.” Later, the influential Cambridge critic F. R. Leavis championed both his artistic integrity and his moral seriousness, placing much of Lawrence’s fiction within the canonical “great tradition” of the English novel. Lawrence is now valued by many as a visionary thinker and significant representative of modernism in English literature.
21.1 D.H. Lawrence—Sons and Lovers: Introduction to the Author

21.1.1 Life and Career

Early life

The fourth child of Arthur John Lawrence, a barely literate miner, and Lydia, a former schoolmistress, Lawrence spent his formative years in the coal mining town of Eastwood, Nottinghamshire. The house, in which he was born, in Eastwood, 8a Victoria Street, is now the D.H. Lawrence Birthplace Museum. His working class background and the tensions between his parents provided the raw material for a number of his early works.

Did u know? Lawrence would return to this locality and often wrote about nearby Underwood, calling it; “the country of my heart,” as a setting for much of his fiction.

The young Lawrence attended Beauvale Board School from 1891 until 1898, becoming the first local pupil to win a County Council scholarship to Nottingham High School in nearby Nottingham. He left in 1901, working for three months as a junior clerk at Haywood’s surgical appliances factory, but a severe bout of pneumonia, the result of being accosted by a group of factory girls, ended this career. He often visited Hagg’s Farm, the home of the Chambers family, and began a friendship with Jessie Chambers. An important aspect of this relationship with Jessie and other adolescent acquaintances was a shared love of books, an interest that lasted throughout Lawrence’s life. In the years 1902 to 1906 Lawrence served as a pupil teacher at the British School, Eastwood. He went on to become a full-time student and received a teaching certificate from University College Nottingham in 1908. During these early years he was working on his first poems, some short stories, and a draft of a novel, Laetitia, that was eventually to becomes - The White Peacock. At the end of 1907 he won a short story competition in the Nottingham Guardian, the first time that he had gained any wider recognition for his literary talents.

Early career

In the autumn of 1908 the newly qualified Lawrence left his childhood home for London. While teaching in Davidson Road School, Croydon, he continued writing. Some of the early poetry, submitted by Jessie Chambers, came to the attention of Ford Madox Ford, then known as Ford Hermann Hueffer and editor of the influential The English Review. Hueffer then commissioned the story Odour of Chrysanthemums which, when published in that magazine, encouraged Heinemann, a London publisher, to ask Lawrence for more work. His career as a professional author now began in earnest, although he taught for a further year. Shortly after the final proofs of his first published novel The White Peacock appeared in 1910, Lawrence’s mother died. She had been ill with cancer. The young man was devastated and he was to describe the next few months as his “sick year.” It is clear that Lawrence had an extremely close relationship with his mother and his grief following her death became a major turning point in his life, just as the death of Mrs. Morel forms a major turning point in his autobiographical novel Sons and Lovers, a work that draws upon much of the writer’s provincial upbringing.

In 1911 Lawrence was introduced to Edward Garnett, a publisher’s reader, who acted as a mentor, provided further encouragement, and became a valued friend, as Garnett’s son David was also. Throughout these months the young author revised Paul Morel, the first draft of
what became Sons and Lovers. In addition, a teaching colleague, Helen Corke, gave him access to her intimate diaries about an unhappy love affair, which formed the basis of The Trespasser, his second novel. In November 1911, pneumonia struck once again. After recovering his health Lawrence decided to abandon teaching in order to become a full time author. He also broke off an engagement to Louie Burrows, an old friend from his days in Nottingham and Eastwood.

In March 1912 Lawrence met Frieda Weekley, with whom he was to share the rest of his life. She was six years older than her new lover, married to Lawrence’s former modern languages professor from Nottingham University, Ernest Weekley, and with three young children. She eloped with Lawrence to her parents’ home in Metz, a garrison town then in Germany near the disputed border with France. Their stay here included Lawrence’s first brush with militarism, when he was arrested and accused of being a British spy, before being released following an intervention from Frieda Weekley’s father. After this encounter Lawrence left for a small hamlet to the south of Munich, where he was joined by Weekley for their “honeymoon”, later memorialised in the series of love poems titled Look! We Have Come Through (1917).

From Germany they walked southwards across the Alps to Italy, a journey that was recorded in the first of his travel books, a collection of linked essays titled Twilight in Italy and the unfinished novel, Mr Noon. During his stay in Italy, Lawrence completed the final version of Sons and Lovers that, when published in 1913, was acknowledged to represent a vivid portrait of the realities of working class provincial life. Lawrence though, had become so tired of the work that he allowed Edward Garnett to cut about a hundred pages from the text.

Lawrence and Frieda returned to England in 1913 for a short visit. At this time, he now encountered and befriended critic John Middleton Murry and New Zealand-born short story writer Katherine Mansfield. Lawrence and Weekley soon went back to Italy, staying in a cottage in Fiascherino on the Gulf of Spezia. Here he started writing the first draft of a work of fiction that was to be transformed into two of his better-known novels, The Rainbow and Women in Love. While writing Women in Love in Cornwall during 1916–17, Lawrence developed a strong and possibly romantic relationship with a Cornish farmer named William Henry Hocking. Although it is not absolutely clear if their relationship was sexual, Lawrence’s wife, Frieda Weekley, said she believed it was. Lawrence’s fascination with themes of homosexuality could also be related to his own sexual orientation. This theme is also overtly manifested in Women in Love. Indeed, in a letter written during 1913, he writes, “I should like to know why nearly every man that approaches greatness tends to homosexuality, whether he admits it or not…” He is also quoted as saying, “I believe the nearest I’ve come to perfect love was with a young coal-miner when I was about 16.”

Eventually, Weekley obtained her divorce. The couples returned to England shortly before the outbreak of World War I and were married on 13 July 1914. In this time, Lawrence worked with London intellectuals and writers such as Dora Marsden and the people involved with The Egoist (T.S. Eliot, Ezra Pound, and others). The Egoist, an important Modernist literary magazine, published some of his work. He was also reading and adapting Marinetti’s Futurist Manifesto. He also met at this time the young Jewish artist Mark Gertler, and they became for a time good friends; Lawrence would describe Gertler’s 1916 anti-war painting, ‘The Merry-Go-Round’ as ‘the best modern picture I have seen: I think it is great and true.’ Gertler would inspire the character Loerke (a sculptor) in Women in Love. Weekley’s German parentage and Lawrence’s open contempt for militarism meant, that they were viewed with suspicion in wartime England and lived in near destitution. The Rainbow (1915) was suppressed after an investigation into its alleged obscenity in 1915. Later, they were accused of spying and signalling to German submarines off the coast of Cornwall where they lived at Zennor. During this period he finished Women in Love. In it Lawrence explores the destructive features of contemporary civilization through the evolving relationships of four major characters as they reflect upon the value of the arts, politics, economics, sexual experience, friendship and marriage. This book is a bleak, bitter vision of humanity and proved impossible to publish in wartime conditions.
Not published until 1920, it is now widely recognised as an English novel of great dramatic force and intellectual subtlety.

In late 1917, after constant harassment by the armed forces authorities, Lawrence was forced to leave Cornwall at three days’ notice under the terms of the Defence of the Realm Act (DORA). This persecution was later described in an autobiographical chapter of his Australian novel Kangaroo, published in 1923. He spent some months in early 1918 in the small, rural village of Hermitage near Newbury, Berkshire. He then lived for just under a year (mid-1918 to early 1919) at Mountain Cottage, Middleton-by-Wirksworth, Derbyshire, where he wrote one of his most poetic short stories, The Wintry Peacock. Until 1919 he was compelled by poverty to shift from address to address and barely survived a severe attack of influenza.

21.1.2 Later Life and Career

In late February 1922 the Lawrences left Europe behind with the intention of migrating to the United States. They sailed in an easterly direction, first to Ceylon and then on to Australia. A short residence in Darlington, Western Australia, which included an encounter with local writer Mollie Skinner, was followed by a brief stop in the small coastal town of Thirroul, New South Wales, during which Lawrence completed Kangaroo, a novel about local fringe politics that also revealed a lot about his wartime experiences in Cornwall.

The Lawrence finally arrived in the US in September 1922. Here they encountered Mabel Dodge Luhan, a prominent socialite, and considered establishing a utopian community on what was then known as the 160-acre (0.65 km²) Kiowa Ranch near Taos, New Mexico. They acquired the property, now called the D. H. Lawrence Ranch, in 1924 in exchange for the manuscript of Sons and Lovers. He stayed in New Mexico for two years, with extended visits to Lake Chapala and Oaxaca in Mexico. While Lawrence was in New Mexico, he was visited by Aldous Huxley.

While in the U.S., Lawrence rewrote and published Studies in Classic American Literature, a set of critical essays begun in 1917, and later described by Edmund Wilson as “one of the few first-rate books that have ever been written on the subject.” These interpretations, with their insights into symbolism, New England Transcendentalism and the puritan sensibility, were a significant factor in the revival of the reputation of Herman Melville during the early 1920s. In addition, Lawrence completed a number of new fictional works, including The Boy in the Bush, The Plumed Serpent, St Mawr, The Woman who Rode Away, The Princess and assorted short stories. He also found time to produce some more travel writing, such as the collection of linked excursions that became Mornings in Mexico.

A brief voyage to England at the end of 1923 was a failure and he soon returned to Taos, convinced that his life as an author now lay in America. However, in March 1925 he suffered a near fatal attack of malaria and tuberculosis while on a third visit to Mexico. Although he eventually recovered, the diagnosis of his condition obliged him to return once again to Europe. He was dangerously ill and poor health limited his ability to travel for the remainder of his life. The Lawrence made their home in a villa in Northern Italy, living near to Florence while he wrote The Virgin and the Gipsy and the various versions of Lady Chatterley’s Lover (1928). The latter book, his last major novel, was initially published in private editions in Florence and Paris and reinforced his notoriety. Lawrence responded robustly to those who claimed to
be offended, penning a large number of satirical poems, published under the title of “Pansies” and “Nettles”, as well as a tract on Pornography and Obscenity.

The return to Italy allowed Lawrence to renew old friendships; during these years he was particularly close to Aldous Huxley, who was to edit the first collection of Lawrence’s letters after his death, along with a memoir. With artist Earl Brewster, Lawrence visited a number of local archaeological sites in April 1927. The resulting essays describing these visits to old tombs were written up and collected together as Sketches of Etruscan Places, a book that contrasts the lively past with Benito Mussolini’s fascism. Lawrence continued to produce fiction, including short stories and The Escaped Cock (also published as The Man Who Died), an unorthodox reworking of the story of Jesus Christ’s Resurrection. During these final years Lawrence renewed a serious interest in oil painting. Official harassment persisted and an exhibition of some of these pictures at the Warren Gallery in London was raided by the police in mid 1929 and a number of works were confiscated. Nine of the Lawrence oils have been on permanent display in the La Fonda Hotel in Taos since shortly after Frieda’s death. They hang in a small gallery just off the main lobby and are available for viewing.

Death

Lawrence continued to write despite his failing health. In his last months he wrote numerous poems, reviews and essays, as well as a robust defence of his last novel against those who sought to suppress it. His last significant work was a reflection on the Book of Revelation, Apocalypse. After being discharged from a sanatorium, he died at the Villa Robermond in Vence, France from complications of tuberculosis. Frieda Weekly commissioned an elaborate headstone for his grave bearing a mosaic of his adopted emblem of the phoenix. After Lawrence’s death, Frieda married Angelo Ravagli. She returned to live on the ranch in Taos and later her third husband brought Lawrence’s ashes to rest there in a small chapel set amid the mountains of New Mexico.

![Notes] The headstone has recently been donated to D.H. Lawrence Heritage and is now on display in the D.H. Lawrence Birthplace Museum in his home town of Eastwood, Nottinghamshire.

21.2 D.H. Lawrence — Sons and Lovers: Introduction to the Text

21.2.1 Written Works

Novels

Lawrence is perhaps best known for his novels Sons and Lovers, The Rainbow, Women in Love and Lady Chatterley’s Lover. Within these Lawrence explores the possibilities for life and living within an industrial setting. In particular Lawrence is concerned with the nature of relationships that can be had within such settings. Though often classed as a realist, Lawrence’s use of his characters can be better understood with reference to his philosophy. His use of sexual activity, though shocking at the time, has its roots in this highly personal way of thinking and being. It is worth noting that Lawrence was very interested in human touch behaviour and that his interest in physical intimacy has its roots in a desire to restore our emphasis on the body, and re-balance it with what he perceived to be western civilisation’s
Notes

slow process of over-emphasis on the mind. In his later years Lawrence developed the potentialities of the short novel form in St Mawr, The Virgin and the Gypsy and The Escaped Cock.

Short stories

Lawrence’s best-known short stories include The Captain’s Doll, The Fox, The Ladybird, Odour of Chrysanthemums, The Princess, The Rocking-Horse Winner, St Mawr, The Virgin and the Gypsy and The Woman who Rode Away. (The Virgin and the Gypsy was published as a novella after he died.) Among his most praised collections is The Prussian Officer and Other Stories, published in 1914. His collection The Woman Who Rode Away and Other Stories, published in 1928, develops his themes of leadership that he also explored in novels such as Kangaroo, The Plumed Serpent and Fanny and Annie.

Poetry

Although best known for his novels, Lawrence wrote almost 800 poems, most of them relatively short. His first poems were written in 1904 and two of his poems, Dreams Old and Dreams Nascent, were among his earliest published works in The English Review. His early works clearly place him in the school of Georgian poets, a group not only named after the reigning monarch but also to the romantic poets of the previous Georgian period whose work they were trying to emulate. What typified the entire movement, and Lawrence’s poems of the time, were well-worn poetic tropes and deliberately archaic language. Many of these poems displayed what John Ruskin referred to as the “pathetic fallacy”, which is the tendency to ascribe human emotions to animals and even inanimate objects.

Lawrence rewrote many of his novels several times to perfect them and similarly he returned to some of his early poems when they were collected in 1928. This was in part to fictionalise them, but also to remove some of the artifice of his first works. As he put in himself: “A young man is afraid of his demon and puts his hand over the demon’s mouth sometimes and speaks for him.” His best known poems are probably those dealing with nature such as those in Birds Beasts and Flowers and Tortoises. Snake is one of his most frequently anthologized, displays some of his most frequent concerns; those of man’s modern distance from nature and subtle hints at religious themes.

Literary criticism

Lawrence’s criticism of other authors often provides great insight into his own thinking and writing. Of particular note is his Study of Thomas Hardy and Other Essays and Studies in Classic American Literature. In the latter, Lawrence’s responses to Whitman, Melville and Edgar Allan Poe shed particular light on the nature of Lawrence’s craft.

21.2.2 Introduction to Sons and Lovers

Though D. H. Lawrence’s third published novel, Sons and Lovers (1913) is largely autobiographical. The novel, which began as “Paul Morel,” was sparked by the death of Lawrence’s mother, Lydia. Lawrence reexamined his childhood, his relationship with his mother, and her psychological effect on his sexuality.

The roots of Sons and Lovers are clearly located in Lawrence’s life. His childhood coal-mining town of Eastwood was changed, with a sardonic twist, to Bestwood. Walter Morel was modeled on Lawrence’s hard-drinking, irresponsible collier father, Arthur. Lydia became Gertrude Morel, the intellectually stifled, unhappy mother who lives through her sons. The death by erysipelas of one of Lawrence’s elder brothers, Ernest, and Lydia’s grief and eventual obsession with
Lawrence, seems hardly changed in the novel. (Both Ernest and his fictional counterpart, William, were engaged to London stenographers named Louisa “Gipsy” Denys.)

Self Assessment

Multiple Choice Questions:

1. Full name of D.H. Lawrence is ........ .
   - (a) David Herbert Richards Lawrence
   - (b) Dan Hu Richards Lawrence
   - (c) Dawes Heaton Radford Lawrence
   - (d) Denys Hmelton Richards Lawrence

2. D.H. Lawrence was the ........ child of Arthur John Lawrence.
   - (a) Second
   - (b) Third
   - (c) Fourth
   - (d) First

3. Lawrence wrote almost ........ poems.
   - (a) 900
   - (b) 600
   - (c) 200
   - (d) 800

4. Lawrence first poem were written in the year ........ .
   - (a) 1804
   - (b) 1904
   - (c) 1817
   - (d) 1790

5. In 1911 Lawrence was introduced to ........ .
   - (a) A publisher
   - (b) A author
   - (c) Edward Garnett
   - (d) None of these

Filling out the cast of important characters was Jessie Chambers, a neighbor with whom Lawrence developed an intense friendship, and who would become Miriam Leiver in the novel. His mother and family disapproved of their relationship, which always seemed on the brink of romance. Nevertheless, Chambers was Lawrence’s greatest literary supporter in his early years, and he frequently showed her drafts of what he was working on, including Sons and Lovers (she disliked her depiction, and it led to the dissolution of their relationship). Lawrence’s future wife, Frieda von Richtofen Weekly, partially inspired the portrait of Clara Dawes, the older, sensual woman with whom Paul has an affair. To be fair, Lawrence met Frieda only in 1912 at Nottingham University College, and he started “Paul Morel” in 1910. Considered Lawrence’s first masterpiece, most critics of the day praised Sons and Lovers for its authentic treatment of industrial life and sexuality. There is evidence that Lawrence was aware of Sigmund Freud’s early theories on sexuality, and Sons and Lovers deeply explores and revises of one of Freud’s major theories, the Oedipus complex. (Lawrence would go on to write more works on psychoanalysis in the 1920s.) Still, the book received some criticism from those who felt the author had gone too far in his description of Paul’s confused sexuality. Compared to his later works, however, such as The Rainbow, Women in Love, and Lady Chatterley’s Lover, Sons and Lovers seems quite modest.

21.3 Summary

- David Herbert Richards Lawrence (11 September 1885 – 2 March 1930) was an English novelist, poet, playwright, essayist and literary critic.
- The young Lawrence attended Beauvale Board School from 1891 until 1898.
Notes

- In the autumn of 1908 the newly qualified Lawrence left his childhood home for London.
- In March 1912 Lawrence met Frieda Weekley, with whom he was to share the rest of his life.
- Lawrence rewrote many of his novels several times to perfect them and similarly he returned to some of his early poems when they were collected in 1928.

21.4 Keywords

**Archaeological**: the study of human history and prehistory through the excavation of sites and the analysis of physical remains.

**Monarch**: sovereign head of state.

**Voluntary**: done, given or acting of one’s own free will.

**Voyage**: a long journey involving travel by sea or in space.

21.5 Review Questions

1. What are the characteristics of Lawrence novels?
2. List out some important short stories of Lawrence.
3. Write short note on Sons and Lovers.

Answers: Self Assessment

1. (a) David Herbert Richards Lawrence
2. (c) Fourth
3. (d) 800
4. (b) 1904
5. (c) Edward Garnett

21.6 Further Readings

Books

- Sons and Lovers (E Text) — D.H. Lawrence
- D.H. Lawrence: a Personal record — Jessie Chambers
- D.H. Lawrence — Ronald P. Draper

Online links
http://www.humanities-ebooks.co.uk/pdf/16.pdf
http://www.online-literature.com/dh_lawrence/
Unit 22: D.H. Lawrence — Sons and Lovers: 
Detailed Study of Text

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Objectives
Introduction
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22.2 Summary
22.3 Keywords
22.4 Review Questions
22.5 Further Readings

Objectives

After studying this unit, you will be able to:
• Describe detailed study of text of all chapters
• Explain summary and analysis of all chapters.

Introduction

This first part of the novel focuses on Mrs. Morel and her unhappy marriage to a drinking miner. She has many arguments with her husband, some of which have painful results: on separate occasions, she is locked out of the house and hit in the head with a drawer. Estranged from her husband, Mrs. Morel takes comfort in her four children, especially her sons. Her oldest son, William, is her favorite, and she is very upset when he takes a job in London and moves away from the family. When William sickens and dies a few years later, she is crushed, not even noticing the rest of her children until she almost loses Paul, her second son, as well. From that point on, Paul becomes the focus of her life, and the two seem to live for each other.

Paul falls in love with Miriam Leivers, who lives on a farm not too far from the Morel family. They carry on a very intimate, but purely platonic, relationship for many years. Mrs. Morel does not approve of Miriam, and this may be the main reason that Paul does not marry her. He constantly wavers in his feelings toward her. Paul meets Clara Dawes, a suffragette who is separated from her husband, through Miriam. As he becomes closer with Clara and they begin to discuss his relationship with Miriam, she tells him that he should consider consummating their love and he returns to Miriam to see how she feels.

Paul and Miriam sleep together and are briefly happy, but shortly afterward Paul decides that he does not want to marry Miriam, and so he breaks off with her. She still feels that his soul belongs to her, and, in part agrees reluctantly. He realizes that he loves his mother most, however. After breaking off his relationship with Miriam, Paul begins to spend more time with Clara and they begin an extremely passionate affair. However, she does not want to divorce her husband and Baxter, and so they can never be married. Paul’s mother falls ills and he devotes much of his time to caring for her. When she finally dies, he is broken-hearted and, after a final plea from Miriam, goes off alone at the end of the novel.
22.1 Detailed Study of Text

Chapter 1: The Early Married Life of the Morels

Summary

The first chapter begins with a description of the neighborhood of “The Bottoms,” the miners’ dwellings in which the Morels live. We get a small amount of description of Mrs. Morel and learn that her husband is a miner. At this point in the story, the Morel family consists of Mr. Morel and Mrs. Morel (expecting her third child), William (age seven), and Annie (age five). The first action of the novel begins three weeks after the Morels have moved into their new home, on the day of the wakes (a kind of fair). William goes off to the wakes in the morning and comes back at mid-day for dinner, telling his mother to hurry so that he can return by the time the wakes begin again. He runs off quickly when he hears the music of the merry-go-round, and Mrs. Morel takes Annie later in the afternoon. They run into William and he shows his mother two egg-cups he has won as a present for her. The three of them spend some time together at the fair, and William decides to stay after his mother and sister leave. However, we learn later that he does not enjoy himself after his mother has gone.

After the children go to bed, Mrs. Morel waits for her husband to return from the bar where he is working and reflects on her situation. She cannot afford and does not want her coming child, and she “despises” her husband because of his drinking. Her only solace is in her two children. She wonders if her life will ever change, and reflects that the events in her life seem to take place without her approval. She cleans the house and sits down to sew, and her husband finally comes home. They argue about whether or not he is drunk, he shows her that he has brought gingerbread and a coconut for the children, and she goes to bed.

The next part of the chapter fills in the background to the Morels’ marriage. It begins by describing Mrs. Morel, previously Gertrude Coppard, her upbringing in a poor family, and her friendship with a man named John Field, who gave her a Bible when she was nineteen, which she still keeps. The flashback shows her encouraging John Field to stand up for himself and go into the ministry, even though his father wants him to continue the family business. She claims that if she were a man, she would do as she liked. He tells her that being a man isn’t everything, and she has finally learned that lesson.

The next part of the flashback describes the meeting between Gertrude Coppard and Walter Morel at a Christmas party when she was twenty-three and he was twenty-seven. It seems the main attraction he holds for her is that he is different from her father. At the party he asks her to dance, she refuses, and he sits down and talks with her instead. The next Christmas they marry, and their early married life seems very happy.

However, after they have been married for seven months, Gertrude finds the unpaid bills for the household furniture in her husband’s coat pocket. She confronts him to ask about the bills and he brushes her off, so the next day she goes to see his mother. She tells Gertrude that her husband still owes a good deal of money, and that the house they live in belongs to her. This information changes the way Gertrude feels toward her husband: she becomes colder and more condescending toward him. She begins to feel isolated from her husband, and this causes her to turn toward her child instead.

A key incident happens when Morel cuts William’s hair while Mrs. Morel is sleeping. This is one of the major factors in her estrangement from her husband, as the betrayal she feels when she discovers William’s haircut remains with her throughout the coming years.
The next important incident, at which the narrative appears to have caught up to the present, occurs on another wakes holiday when Morel goes out with his friend, Jerry Purdy. Jerry is Morel’s good friend, but Mrs. Morel does not like him. Jerry and Morel walk to Nottingham, which is ten miles away, and stop at all the pubs along the way. After a nap in a field, Morel does not feel so well. When he finally returns home, he has become irritable and has a fight with his wife, each calling the other a liar. He locks her outside in his anger and then falls asleep at the kitchen table. Mrs. Morel wanders in the yard for a while and eventually, after an hour of knocking at the door, succeeds in waking up her husband.

Analysis

The novel thus far is told from a third person perspective, but the narrator is closest to Mrs. Morel. The narrator is partially omniscient; he can narrate the thoughts of Mrs. Morel, but not of the other characters. Throughout the novel the perspective of the narrator changes, so the best description of the narrative mode of the novel is probably third person omniscient.

This chapter sets up the importance of the relationship between William and his mother. Through the present of the egg-cups and the way that William acts when his mother is with him, we can see that he is proud of and loves his mother very much. We also see that she contributes to his enjoyment of the fair, as he is miserable after she leaves.

The hair-cutting incident also illustrates the way that William is the most important person to Mrs. Morel, since she is willing to throw over her husband in favor of her son.

When the narrator describes why Gertrude likes Morel, we see the importance of Morel’s difference from her father. This theme will come up again later when we see that William’s fiancee is very different from his mother.

In the flashback section of this chapter we see the first hint of the declining happiness of the Morels’ marriage: “for three months she was perfectly happy: for six months she was very happy.” This suggests that Mrs. Morel’s level of happiness declines steadily over the course of their marriage.

This chapter contains many elements of foreshadowing. For example, we are told that Mrs. Morel thinks she lives in a house owned by her husband. The ambiguity provides a clue that her suspicion is incorrect and that the house they live in does not actually belong to Mr. Morel.

This chapter’s temporal organization is quite noteworthy. The flashback in the middle of the present-time narration confuses the time reference; past and present blend since it becomes difficult to tell when the flashback ends, or when the present resumes.

Chapter 2: The Birth of Paul, and another Battle

Summary

Morel feels ashamed for bullying his wife. He also realizes her difficulties and begins to be somewhat more helpful. One morning Mrs. Morel summons her neighbor, Mrs. Kirk, by banging on the back of the fireplace with the poker, and tells her to fetch Mrs. Bower, the midwife. She gives birth to a boy and is very ill. Her husband comes home and is told by Mrs. Bower that he has a son. He asks her for a drink and then, after he has had his dinner, goes up to see his wife and son.

We are then introduced to Mr. Heaton, the Congregational clergyman, who comes to visit Mrs. Morel every day. One day Morel comes home while he is still visiting and begins to make
a scene by enumerating the difficulties of working in the mine. Mrs. Morel feels disgusted by her husband’s tendency to play for sympathy with those around him.

One evening after a quarrel with her husband, Mrs. Morel takes Annie and the baby and goes for a walk near the cricket fields. She seems at peace and feels strongly for her baby son; she has a sudden instinct to call him Paul.

The next major battle between the Morels begins when Walter comes home late and drunk again and accidentally pulls out a kitchen drawer in his haste to get something to eat. When his wife tells him she will not wait on him, he becomes enraged and flings the drawer at her, cutting her forehead on the corner of the drawer. For the few days after this incident, Morel refuses to get out of bed. When he finally gets up, he immediately goes to the Palmerston, one of his favorite bars, and this is where he spends the next several nights.

One night, however, he finds himself out of money, and therefore takes a sixpence from his wife’s purse. She notices that it is missing and confronts him, upon which he becomes very indignant. He then goes upstairs and returns with a bundle and says he is leaving. Mrs. Morel feels sure that he will return that night, but she begins to get worried when he has not returned by dark. However, she finds his bundle hidden behind the door of the coal-shed and begins to laugh.

Did you know? Morel sulkily returns later that evening and his wife tells him to fetch his bundle before going to bed.

Analysis

This chapter mainly serves the purpose of providing more examples of the battles between Mr. and Mrs. Morel. It also contains a few examples of the themes that have already been noted.

In this chapter, the way the narrative perspective shifts between characters is illustrated by a brief shift to Morel’s perspective: he insists to himself that the quarrel is Mrs. Morel’s fault. Morel also reflects that having his family around him at meals makes the meals less pleasant. This suggests that Morel prefers to be separated from his family, in contrast to his wife, who lives for her children.

Chapter 3: The Casting off of Morel – The taking on of William

Summary

Morel begins to fall ill, despite all of his requests for medicine. His illness is attributed to the time he fell asleep on the ground when he went with Jerry to Nottingham. He falls seriously ill and his wife has to nurse him. She gets some help from the neighbors, but not every day. Eventually, Morel grows better, but he has been spoiled during his illness and at first wants more attention from his wife. However, she has begun to cast him off and to turn completely to her children to find a sense of meaning in her life.

During the period of peace following Morel’s illness, another baby is conceived, and this child, Arthur, is born when Paul is seventeen months old. Arthur is very fond of his father, and this makes Mrs. Morel happy.

Meanwhile, time is passing, William is growing bigger, and Paul begins to have fits of depression in which he cries for no reason. One day, one of the other women of the neighborhood,
Mrs. Anthony confronts Mrs. Morel because William has ripped her son Alfred’s collar. Mrs. Morel asks William about it, he gives her his side of the story, and she reprimands him. However, Mrs. Anthony also tells Morel about the incident and he comes home very angry with William. This provokes yet another battle between Mr. and Mrs. Morel, as it is only her intervention that prevents him from beating William.

Mrs. Morel joins the Women’s Guild, a club of women attached to the Cooperative Wholesale Society, who meet and discuss social questions. When William is thirteen, she gets him a job at the Co-op office. This provokes another argument with her husband, who would have preferred his son to become a miner like himself. However, William does well in his job as he does well in everything. He wins a running race and brings his mother home the prize, an inkstand shaped like an anvil.

However, William clashes with his mother when he begins to dance. Mrs. Morel turns away girls who come to call, much to William’s dismay.

At nineteen, William gets a new job in Nottingham and also begins to study very hard. Then he is offered a job in London at a hundred and twenty pounds a year and is ecstatic, failing to see his mother’s dismay at his departure. William and his mother have one final shared moment as they burn his love letters, and then he goes to London to start his new life.

Analysis

This chapter continues the theme of the constant lessening of Mrs. Morel’s love for her husband; Lawrence writes that her love for him ebbed in stages, but ebbed constantly.

We can see that Mrs. Morel does actually desire to have her whole family together as one. She thinks that her happiest moments come when her children seem to love their father.

More evidence of William’s devotion to his mother is introduced here in the form of his presentation of the anvil. His breathless eagerness and her solemn pride underscore the intimacy and intensity of their relationship.

They quarrel, however, over William’s dancing. This may be the beginning of a change in the relationship between William and Mrs. Morel, as his acceptance of the dancing corresponds to his rejection of his mother. This is especially evident when William goes to a fancy-dress ball; after an initial hesitation, he seems to forget about his mother completely.

William’s acceptance of the job in London seems the final step in his distancing from his mother. According to Lawrence, William never considers that his mother might be sorry to see him go, only that she must be happy for his success.

Mrs. Morel does not want her eldest son to become like his father—she refuses to let him enter the mines, and she disapproves of his dancing because his father danced.

This chapter also provides the first textual clue that Paul is viewed differently by Mrs. Morel. Paul’s fits of depression come only rarely, but when they manifest themselves, Mrs. Morel begins to treat Paul differently from the other children.

One narrative technique that is presented in this chapter and throughout the novel is the use of the iterative mode to suggest events happening the same way a number of times. Frequently-employed iterative words and phrases such as ‘would’ and ‘used to’ suggest repeated events, and this suggestion contributes to the novel’s confusion of time periods by making it unclear how many times an event happened.
Notes

Chapter 4: The Young life of Paul

Summary

This chapter begins by describing the way that Paul, in the absence of William, bonded most closely with his sister Annie. She was a tomboy, who played games with the other neighborhood children, and Paul would quietly tag along behind her. One day, while Annie’s favorite doll is lying covered up on the sofa, Paul jumps off the sofa arm and lands on the doll. Annie is very upset, but her brother is perhaps more upset at her grief. A few days later, Paul suggests that they make a sacrifice of the doll, and they burn and smash its remains.

One evening when Paul comes home, he finds his father and older brother in the midst of an argument, which only fails to come to blows because of Mrs. Morel’s intervention.

The family moves out of the Bottoms into a house with an ash-tree, which makes noise when the wind blows through it. Morel likes it, but the children hate it.

Morel still comes home late and drunk most nights, and Paul begins to worry because his mother is worrying about his father. One night he goes out to play, then at night anxiously runs into the kitchen to check on his mother. When he finds that his father has not come, he goes to visit Mrs. Inger, who lives two doors down and has no children of her own. He talks to her for a while, then goes home.

When Morel finally does come home, he is usually rude and irritable. During this time period he becomes more and more shut out from the family affairs, as the children begin to tell their mother everything and their father nothing. This is illustrated by the example of Paul’s prize, which his mother convinces him to tell his father about. During their conversation, it becomes apparent that Morel is an outsider in his own family.

The next part of the narration, however, describes the times of happiness between Morel and his children. When he is happily engrossed in his work, he gets along well with his children. He tells stories, like the ones about Taffy the horse. On these nights, when Morel has some job to do, he goes to bed early and the children feel secure when he is in bed.

One day Paul comes home at dinnertime feeling ill and does not go back to school. It turns out that he has bronchitis. His father visits him while he is ill, but he asks for his mother, and sleeping with his mother comforts him.

The next episode is that of Paul going to collect the money for his father’s pay on Fridays. When his name is called, he is at the back of the room behind all the men and almost misses his turn. He is saved by Mr. Winter bottom, the clerk, who pauses and asks the men to step aside so that Paul can get through. Paul is embarrassed and flustered by the experience, and he is relieved when it is over, and he is outside. He then goes to the New Inn to meet his father and has to wait a long time before he comes. When he gets home, Paul tells his mother he doesn’t want to go collect the money any more. His mother soothes him “in her own way.”

On Friday night, Paul stays home and bakes while his mother goes to the market. He likes to draw or read while the baking is being done. His mother gets home, shows her purchases to Paul, and they discuss the bargains she has gotten.

The rest of the family’s life in the Scargill Street house is rather happy. The children love playing outside on winter evenings with the other neighborhood children.

The final part of the chapter concerns the preparations made for William’s visit at Christmas. The three other children go to the station to meet him and get very discouraged when the train is more than two hours late. At last, however, the train arrives with William. At home the parents are also anxious and begin to quarrel slightly, but finally the children arrive. William has brought presents for everyone, and everyone feels happy. After he returns to London,
William is offered a trip to the Mediterranean over the midsummer holiday. However, he declines in favor of returning home, much to the delight of his mother.

Analysis

This chapter focuses on Paul so that each event is narrated in its relation to him. We are told, for instance, that all the children feel “peculiarly” ill at ease with their father, but particularly Paul. The use of ‘peculiarly’ in this sentence suggests that it is somehow unusual for the children to be against their father. Another example of the focus on Paul is the family’s divergent opinions about the ash-tree: Paul finds it an almost unbearable presence. The disagreement about the ash-tree is representative of the conflict between father and children.

There is a sense that Paul represents all of the children; that narrating what happens to Paul suffices for describing the experiences of all of them. This is created partly by the way that all of the events in this chapter are told in relation to Paul, and partly by passages like the following in which the subjects ‘Paul’ and ‘the children’ are used seemingly interchangeably.

Paul wakes; hearing thuds downstairs, and wonders nervously what his father is doing. It seems that events like this begin from Paul’s perspective and continue to include the perspective of all the children.

However, we also see further evidence of the way that Paul is treated differently from the other children; he is more delicate, and Mrs. Morel realizes it. Physically, Paul resembles his mother, and like each of the children, he picks up on and shares her anxieties about her husband.

Even though Paul is treated differently, William is still Mrs. Morel’s favorite. She thinks of him as a successful young man in London, and imagines him as her knight in shining armor.

After he breaks Annie’s doll, Paul feels resentful toward the doll. This is reminiscent of the statement about Mr. Morel in Chapter 2: “He dreaded his wife. Having hurt her, he hated her.”

Chapter 5: Paul Launches into Life

Summary

Morel is injured at work when a piece of rock falls on his leg. When Mrs. Morel gets the news, she is very flustered while she is preparing to go to the hospital to see him. Paul calms her down and gives her some tea, and she leaves for the hospital. When she returns, she tells the children that their father’s leg is injured rather badly. They all feel anxious, but are comforted by the fact that her father is a strong healer. Mrs. Morel feels somewhat guilty because she no longer loves her husband; while she is sorry for his pain and his injury, she still feels an emotional emptiness. She is somewhat comforted by talking to Paul, who is able to share her troubles. True to his nature, Morel does recover, and the family is very happy and peaceful while he is still in the hospital, almost to the point of regretting that he will soon return.

Paul is now fourteen, and it is time for him to find a job. Everyday, his mother sends him to the Co-op reading room to read the job advertisements in the paper. This makes him miserable, but he dutifully writes down a few offers and brings them home. He makes applications for several jobs using a variation on a letter that William had written. He is summoned to call on Thomas Jordan, a manufacturer of surgical appliances, and his mother is overjoyed.

Paul and Mrs. Morel travel to Nottingham one Tuesday morning to respond to the invitation. Paul suffers the whole way there, dreading the interview and the necessity of being scrutinized by strangers. During the actual interview, Mr. Jordan asks Paul to read a letter in French and he has trouble reading the handwriting, becomes flustered, and continually insists that doigts means fingers, although in this case it refers to the toes of a pair of stockings. Nevertheless, he is hired as junior spiral clerk.
After the interview, Paul and his mother have dinner in an eating-house, where it turns out
that the food is more expensive than they realized; they order the cheapest dish possible. After
dinner they wander around the town, look at some shops, and buy a few things. Paul is happy
with his mother.

Task What will life be like at the Morel's now that William is in London?

The next day he applies for a season ticket for the train. When he returns and tells his mother
how much it will cost, she says that she wishes William would send them some money to help
pay for things like the ticket.

Meanwhile, William is becoming a gentleman in London and is beginning to see a girl, Louisa
Lily Denys Western, whom he calls Gipsy. He asks her for a photograph to send to his mother,
and when the photo comes it shows her with bare shoulders. Mrs. Morel comments to William
that she does not think the photo is appropriate, and the girl sends another one in which she
is wearing an evening gown. Mrs. Morel is still not impressed.

The next Monday morning, Paul goes off to work on the train. He arrives at the factory and
is introduced to his boss, Pappleworth. Pappleworth shows him how to fetch and copy letters,
to write out orders and invoices, and to make up parcels for shipping. He also introduces him
to some of the other people who work in the factory, and Paul gets along best with the
women, like Polly, the overseer of the sewing crew, and the hunchback Fanny, who works in
the finishing-off room. He becomes friends with many of the women and grows to like his job
at Jordan’s.

Analysis

We can see the way the narrative perspective has shifted from that of Mrs. Morel to that of
Paul through the way Mrs. Morel’s trip to the hospital is narrated. The narrator describes
Mrs. Morel leaving for the hospital, and then he describes her returning; the events that happen
outside of the house seem to be outside the narrative field of vision. However, this is not the
case later in this chapter, when Paul goes to Nottingham to work. This suggests that Paul has
become the primary focus of the narration.

This chapter contains further examples of the identification between Paul and Mrs. Morel:
Paul comforts her, and talks to her every day. It seems as if their identification is extended to
the point that they are sharing the same life, and this is a motif that will continue through the
rest of the novel.

We also see further evidence of Mrs. Morel’s disappointment in William, her favorite, in this
chapter. She has been previously disappointed in William when he takes up dancing, and here
she is disappointed that he does not send them money. She also disapproves of the girl he is
seeing and the pictures that she sends.

Chapter 6: Death in the Family

Summary

This chapter begins with a description of Arthur, and tells how, as he grows older, he comes
to detest his father. All of the children follow this same trend until they all loathe him. Arthur
wins a scholarship to the school in Nottingham, and his mother decides to let him live in town
with one of her sisters because of his adversarial relationship with his father. Annie is a
teacher in the Board-school, and Mrs. Morel clings to Paul.
William becomes engaged to the girl he has been seeing, and decides to bring her home at Christmas. She comes home with him and puts on airs of high station, treating Annie like a servant. William begins to be annoyed with the way that she acts much grander than his family, and he tells his mother that he only feels fond of the girl when he is around her in the evenings; otherwise, he has no feelings for her.

Paul has Monday afternoons off from work, and one Monday his mother tells him that they have been invited to see Mr. Leivers on his new farm. They decide to go that afternoon. They have a nice walk through the countryside on the way there, and then are welcomed and given a tour of the farm when they arrive. The Leivers boys show Paul how to make the chicken eat out of his hand, and they tease their sister Miriam because she is afraid to try. Paul later finds her shyly reaching her hand toward the chicken and helps her to let it eat out of her hand.

The next time William brings his fiancé home, she once again annoys him and the rest of his family with her attitude toward his sister. He begins to ridicule her in front of others, and discusses with his mother that he no longer really wants to marry her, but feels that he has gone on too long to break it off now. He comes home again, alone, the first weekend in October, and his mother notices that he has not been well. The Tuesday morning after his return, Mrs. Morel gets a telegram saying that he is ill. She takes the train to London, arrives at William’s lodging, and stays with him until he dies late that night. She sends a telegram for Morel to come to London, and when it arrives Paul has to go to the mine to fetch his father. Morel goes to London, and Mr. and Mrs. Morel return on Saturday night. After William’s death, Mrs. Morel becomes shut off until Paul also falls ill with pneumonia. She almost loses him as well, but he somehow pulls through and “Mrs. Morel’s life now rooted itself in Paul.”

Self Assessment

State the following sentences are True or False:

1. After the children go to bed, Mrs. Morel waits for William to return from the bar.
2. Morel begins to fall ill, despite all of his requests for medicine.
3. Mrs. Morel want her eldest son to become like his father.
4. Morel is injured at work when he falls on stairs.
5. Miriam and Paul make their connections through nature.

Analysis

The title of the chapter foreshadows what will happen in the end of the chapter; however, the reader wonders throughout the chapter which member of the family will die. Since the first sentence of the chapter begins with Arthur, the reader might begin by suspecting that Arthur will die. It isn’t until William alludes to his death by saying that his fiancée would forget about him three months after he died that we begin to suspect that William will die. He makes many allusions to his death so that, by the time his mother gets the telegram from London that he is ill, the reader is hardly surprised.

After William dies, Mrs. Morel remains closed off from the world until Paul also falls ill. Lawrence uses an image of tulips to illustrate the bond forged between Paul and his mother as a result of his illness. Mr. Morel buys Paul a pot of tulips, and they flame in the window where Paul and his mother sit closely and contentedly.
In this chapter William follows in his mother’s footsteps of choosing a spouse who is very different from his corresponding parent. He tells his mother that his fiancee is neither serious nor thoughtful—the exact opposite of Mrs. Morel.

Chapter 7: Lad-and-Girl Love

Summary

This chapter describes the growing intimacy between Paul and Miriam. It begins from Miriam’s perspective and describes the way that she aspires to learning, since she cannot have pride in her social status. She is interested in Paul, but scorns him because he only sees the swine-girl side of her and not the princess she believes she is inside. When he falls ill, she feels like he would be weaker than she and that is she could take care of him, she would love him deeply.

Paul enjoys visiting the Leivers’ farm because it is so different from his own home. Miriam and her mother both have very strong religious and spiritual convictions, and this strikes Paul as enormously different from his own mother’s logical manner.

One evening when he is there for dinner, the boys all become very upset with Miriam because the potatoes are burned. Her mother reprimands her for answering them instead of turning the other cheek, and Paul is puzzled why an insignificant matter like potatoes would cause such conflict.

Miriam and Paul make their connection through nature, as they share the experience of looking at a birds’ nest. The narrator tells us, though, that it is a long time before Paul really notices Miriam. He first becomes friends with the boys, most of all Edgar. Then one day Miriam shows him the swing they have in the cowshed, and they slowly grow closer. Paul is troubled by her “intensity, which would leave no emotion on a normal plane”. She tells him of her desire to learn, and he agrees to teach her algebra. They are both frustrated by the effort, and Paul finds her simultaneously infuriating and attractive.

One evening when Paul and Miriam are walking home, she brings him into the woods to see a particular bush because she wants to share it with him. This excursion causes him to be late coming home, and his mother is unhappy with him, partly because she is not fond of Miriam. They argue about his relationship with the girl and he insists that they are not courting.

Paul organizes a walk to the Hemlock Stone on Good Friday. During this walk, Miriam notices that Paul is different when she is alone with him. On the way back, she comes upon him alone in the road, trying to fix his umbrella so his mother will not be upset, and she realizes that she loves him.

Miriam and Paul get along well during another excursion to Wingfield Manor on Easter Monday. However, after this she begins to feel tormented about whether she should be ashamed of loving him, and she decides she will no longer call at his house on Thursday nights. One evening she does call, and Paul picks some flowers to pin on her dress. Paul still refuses to define his and Miriam’s relationship as that of lovers, and he forces his family to accept her as his friend.

When Paul is twenty, he has saved enough money to take his family away for a holiday for two weeks at a cottage called Mablethorpe. The night before they leave, Miriam stays at the house so she doesn’t have to walk in the morning. One evening, she and Paul are walking on the beach and see a beautiful view of the moon, and Paul is confused by his instincts: he feels powerful feelings toward Miriam, but does not know how to interpret them. So they return to the cottage, Mrs. Morel admonishes him once more for being late, and the chapter ends with Paul feeling irritated at Miriam because she has made him feel unnatural.
Analysis

This chapter presents the conflict between logic, represented by Mrs. Morel, and religion, represented by the Leivers. Paul feels simultaneously attracted and repelled by the fascinating and different tone of life at the Leivers’ farm.

Miriam’s unpleasant relationship with her brothers causes her to speculate on the fundamental differences between women and men. This may be an indication of the cruelty of her brothers or of Miriam’s sensitivity, rather than of some actual difference between all men and women.

This chapter begins to suggest that Paul needs some connection beyond what he shares with his mother. In his free time, Paul is a painter, and he still needs his mother to do his best work, as he tells her. But Miriam allows him to take his work to another level; she makes him feel an intensity he has never before experienced.

Miriam also seems to have some sense of this connection. She feels that, until she shows him the rose bush, she will not fully have experienced it herself. The connection between Paul and Miriam may be one reason that Mrs. Morel dislikes Miriam. “She could feel Paul being drawn away by the girl.” She seems to view Miriam as direct competition for her son’s love and attention.

Chapter 8: Strife in Love

Summary

Arthur enlists in the army on a whim, and then writes a letter to his mother to try to get out of it. She is very upset and goes to the sergeant, but is not able to get him out of it. He does not like the discipline of the army, but he has no choice.

Paul wins two first-prize awards in an exhibition for students’ work in the Castle, which makes his mother very proud of him, and she goes to the Castle to see his work on display.

One day Paul meets Miriam in town with Clara Dawes, the daughter of an old friend of Mrs. Leivers. The next time Paul sees Miriam, she asks him what he thinks of Clara. He tells her that he likes her somewhat, and she sulks. He tells her that she is always too intense, and he longs to kiss her but cannot. When he leaves, he invites her and Edgar to tea the next day and she is happy. However, when he gets home and tells his mother, she is not pleased, and they argue.

Paul feels torn between Miriam and his mother, and resents Miriam because she makes his mother suffer. She feels hurt one day when he tells her he will not meet her before a party at his house because “you know it’s only friendship.”

One Friday night while Paul is doing the baking, Miriam comes to call and, when she hangs up her coat, he feels as though they live in the house together. He shows her a curtain he has made for his mother, and gives her a cushion-cover in the same design that he has made for her. They begin to talk about his work, and this is the time that Paul is happiest with Miriam.

They are then interrupted by Beatrice, a friend of the family, who makes fun of Miriam and flirts with Paul until Miriam reminds him that he is supposed to be watching the bread. He has burned one of the loaves, and then begins to feel somewhat guilty for ignoring Miriam. On some level, though, he feels that she deserves it. They go over her French notebook, they read a little bit, and he walks her home. When he returns, his mother and sister are waiting for him and they have found the burnt loaf of bread. They are angry that he has been with Miriam and his mother is ill. He reconciles with his mother and realizes that he loves her more.
Notes

*Did u know?* Paul’s father comes home, and they fight, stopping only after Mrs. Morel faints, and Paul takes care of her.

Analysis

Paul continues to be Mrs. Morel’s favorite son, and he is the one she believes will be successful. We see finally in this chapter the way that this close relationship finally leads Paul to abandon Miriam because he loves his mother best: “She was the chief thing to him, the only supreme being.”

Paul suggests that perhaps Miriam likes Clara because of her apparent grudge against men. The narrator writes that Clara’s grudge might be one of the reasons Paul himself likes her; this seems to suggest that Paul would appreciate a grudge against men, which is a somewhat puzzling idea.

Paul begins to echo the actions of his father, after he argues with his mother. He flings off his boots before going to bed, just as Mr. Morel had done several chapters earlier. In addition, Paul is happiest with Miriam while they are discussing his work, just as Morel is happiest with his children while he is engaged with some work.

In this chapter we see Miriam’s objectification of Paul. She thinks of him as an object weaker than herself, and never considers him as an individual or as a man.

Chapter 9: Defeat of Miriam

Summary

Paul realizes that he loves his mother more than Miriam, and Miriam seems also to realize that their relationship will never deepen. One day Paul comes to call and is unusually irritable. When Miriam begs him to tell her what is the matter, he tells her that they had better break off. She does not understand why, and he tries to tell her that, even though they have agreed that they are to be friends, “it neither stops there, nor gets anywhere else.” She finally understands that he is telling her that he does not love her and wants to leave her free for another man.

Miriam feels that he is mistaken and that deep in his soul he loves her, and she is angry with him for listening to his mother, who has told him that he cannot go on in the same way unless he means to become engaged. She is angry that he lets his mother and his family tell him what he should do, thinking that she wishes the outside world would let the two of them alone.

Paul misses Willey Farm when he does not go there to call on Miriam, so he continues to go there to be with Edgar and the rest of the family. He no longer spends much time alone with Miriam, but one night he ends up alone with her when Edgar stays for Communion with Mrs. Morel. They are discussing the sermon and he reads to her from the Bible, and they almost attain their previous level of harmony—until Paul begins to feel uncomfortable.

Miriam invites Paul to come to the farm one day to meet Clara Dawes. He accepts and is excited to meet her. He arrives, meets her in the parlor, talks to her and Miriam for a short time, and quickly decides that he does not like her. He goes to meet Edgar on his way back from getting coal. He tells Edgar that Clara should be called ‘Nevermore’ because she is so disagreeable.
Later, Miriam asks Paul to accompany the two women on a walk. They meet Miss Limb and her horse, and Clara especially is very fond of the horse. After they leave, Paul and Miriam mention that they both feel there is something strange about Miss Limb, and Clara suggests that she wants a man.

Clara walks a little ahead, and Miriam asks Paul if he still finds her disagreeable. He replies that something is the matter with her, and she agrees. They arrive at a field of wildflowers, and enjoy it together. Paul and Miriam pick flowers, and Clara says she doesn’t like to pick them because she doesn’t want the corpses around her. Paul argues that it is sufficient reason that he likes and wants the flowers and that there are plenty of them, and Miriam says that the spirit in which the flowers are picked is what matters. When Clara bends forward to smell the flowers, Paul scatters cowslips over her hair and neck.

Paul takes his mother to Lincoln to see the cathedral, and he becomes worried about her when she cannot climb the hill because of her heart. He laments the fact that his mother is old and ill and that he was not the eldest son, and his mother tells him that she is only a bit old and not really ill.

At this time Annie is engaged to Leonard, who has a talk with Mrs. Morel because he wants to get married right away. She cautions him that neither he nor Annie has much money, and he tells her that he realizes that, but he still wants to marry Annie right away. She trusts him, as she tells Paul, and so the wedding takes place immediately.

Mrs. Morel decides to buy Arthur out of the army, at which he is overjoyed. He comes home and takes up with Beatrice Wyld.

**Notes**

Paul writes Miriam a letter attempting to explain what has happened in their love, and we are told that this is the end of the first phase of Paul’s romantic endeavors.

**Analysis**

The main significant event in this chapter is that Paul returns to his mother’s love, re-asserting her place as his closest loved one. He decides to abandon his affair with Miriam because his mother is more important, and he also strongly insists that he will not marry and leave his mother.

It is also significant because it contains the first real meeting between Paul and Clara. Although their friendship does not really begin until later, this is their first important point of contact.

Clara, who is portrayed as a feminist and a man-hater, makes a surprising remark that Miss Limb wants a man. This suggests that she might not be as feminist as she thinks she is, something that Paul also observes.

**Chapter 10: Clara**

**Summary**

Paul sends a painting to an exhibition at Nottingham Castle, and one morning Mrs. Morel gets very excited upon reading a letter. It turns out that he has won first prize and that the painting has been sold for twenty guineas to Major Moreton. Paul and his mother rejoice at his success, and he tells her that she can use the money to buy Arthur out of the army. Paul is invited to
some dinner parties and tells his mother he needs an evening suit. She gives him a suit that was William’s.

Paul’s newfound success prompts discussions with his mother about class and happiness. She wants her son to ascend into the middle class, but he says that he feels closest to the common people. Mrs. Morel wants her son to be happy, which seems mostly to mean finding a good woman and beginning to settle down. Paul argues that he worries a normal life might bore him.

Paul maintains his connection with Miriam, able neither to break it off entirely nor to go the full way to engagement. He feels that he owes himself to her, but he begins to drift slightly away from her.

Arthur is married to Beatrice, and she has a child. At first he is irritable and unhappy, but eventually he begins to accept his responsibilities and care for his wife and child.

One day a mutual friend asks Paul to take a message to Clara Dawes. He goes to her house, meets her mother, and observes them working on making lace. He delivers his message, has a pleasant conversation with Clara and her mother, and leaves, having gotten a humbling view of Clara, whom he had previously believed to be so high and mighty. Paul finds out that Susan, one of the girls at Jordan’s, is leaving to get married, and so he gets Clara her job. The other girls do not like Clara because she acts like she is above them; they call her the Queen of Sheba.

One day Paul is rude to Clara; later, he regrets his rudeness and brings her chocolates as an apology. On his birthday Fanny surprises him with a gift of paints that all the girls except Clara, who they do not include in their planning, have chipped in to buy him. Paul goes out walking at dinnertime with Clara, and she complains that the girls have some secret from her. Paul tells her that the secret was the planning for his birthday present, and, that evening, she sends him a book of verse and a note. This incident brings Paul and Clara closer together.

They discuss what happened between Clara and her husband, and somehow the subject of Miriam comes up. Paul says that Miriam wants his soul, which he cannot give her. Clara, however, informs him that Miriam does not want his soul, only Paul himself.

Paul maintains his close relationship with his mother, allowing her to live vicariously through his experiences. He tells her everything that happens in his life, and she feels as though she is a participant.

William is mentioned and reflected on several times in this chapter. First of all, when they are discussing Paul’s success, Morel says that William might have been as successful as Paul, had he only lived. This statement affects Mrs. Morel deeply, and makes her feel strangely tired. When Paul tries on William’s suit, she thinks again of William but is comforted by the thought of Paul. The notion that Mrs. Morel possesses Paul is particularly strong here, and this concept, which is constant throughout the novel, may account for Paul’s failure to develop a strong relationship with another woman.

In the very end of this chapter, Clara provides the motivation for Paul to go back to Miriam. It is interesting that this motivation comes from Clara, since Miriam is her chief rival (besides Mrs. Morel) for Paul’s affection.
Chapter 11: The Test on Miriam

Summary

Inspired by Clara’s advice, Paul realizes that he must go back to Miriam. He reflects that the problems between the two of them may have been caused by the lack of sexuality in their relationship. He feels no aversion to her; rather, he feels that his desire for her has been overwhelmed by his stronger shyness and virginity.

He begins to spend more time with Miriam again, much to the dismay of his mother. One day he begins a serious discussion with her about marriage, and asks her if she thinks they have been “too fierce” in their purity toward each other. He tells her that he loves her, that he has been obstinate, and he kisses her. On their way home, he asks her (not in so many words) if she will sleep with him, and she tells him that she will, but not now.

Miriam feels that her submission to Paul will be a sacrifice, and it is a sacrifice she is willing to make for him. He begins to treat his relationship with her as a romantic relationship. One evening they go into the woods and “she relinquished herself to him,” but with some horror and with her soul somewhat apart.

Miriam goes to stay at her grandmother’s cottage, and Paul visits her often. One holiday he goes to spend the whole day with her. She prepares dinner, for that day they feel as though they live together in that cottage. They take a walk outside after dinner, and then come back inside and make love. Paul feels that he is sacrificing Miriam and that she is allowing herself to be sacrificed because she loves him so much.

During the next week, he asks her why she is so hesitant toward him, and she replies that she feels it is not quite right because they are not married. He tells her that he would like to marry her, but she feels they are too young. He begins to feel a sense of failure and to draw somewhat away from Miriam again.

Paul tells his mother that he will break off with Miriam, because he does not love her and does not want to marry her. She is somewhat surprised, and encourages him to do whatever he thinks is best. He goes to Miriam and tells her they should break off because he does not want to marry. She is upset, tells him he is a child of four, and tells him that she knew all along that it would not work out between them. This upsets Paul and he begins to feel that she has deceived him; she had only pretended to love him. They part, each full of bitterness.

Task

What can Miriam do to get Paul back?

Analysis

Partly because of Paul’s more frequent visits to Miriam, Mrs. Morel begins to give up on him. She feels that his mind is made up, and that nothing would persuade him to change his mind and restore his loyalties to her.

Lawrence’s language seems to be deliberately vague on the subject of sex; it seems that Paul and Miriam sleep together in the woods when the narrator says “she relinquished herself to him.” However, when they are in her grandmother’s cottage, it seems that he makes love to her for the first time. Paul feels as he rides home that night that he had finally moved past his youth. This vagueness of language is largely due to the strict public morality that characterized
Notes

society when the novel was written. Lawrence’s books, despite his efforts at vagueness, often produced horror—many of them were even banned because of their sexual content.

Chapter 12: Passion

Summary

Paul begins to spend much of his time with his mother again. They go to the Isle of Wight for a holiday, and Mrs. Morel has a bad fainting fit caused by too much walking. She recovers, but Paul still feels anxious about her condition.

Paul also returns to spending a great deal of time with Clara. He tells her that he has broken off with Miriam. One Saturday evening Paul and Clara go for a walk and he kisses her, then, upon leaving, he is suddenly consumed by passion for her and cannot wait for Monday to come so that he can see her again. On Monday they go walking in the afternoon and take a tram out to the country. They walk near a river and decide to go down to the bank, but because of the rain, the path is gone. They encounter two fishermen while walking along the riverbank and keep walking until they find a secluded clearing slightly above the river level. After they leave, they climb up to the top again and they stop while Paul cleans off Clara’s boots. She distracts him with kisses, but he finally finishes. They stop for tea at the house of an old woman, who gives Clara some flowers.

Paul returns home and tells his mother that he has been with Clara. She cautions him because Clara is a married woman, and he tells her not to worry. He asks if she would like to meet Clara, and decides to invite her to tea at their house one Sunday afternoon.

He still sees Miriam occasionally, and they talk about Clara and why she left her husband. Miriam tries to compare them to Mr. and Mrs. Morel, but Paul disagrees; he says that his mother felt passionately toward his father and that’s why she stayed with him. He feels that Clara never had this type of passion for Baxter. Miriam understands that he is trying to initiate himself into passion. He tells her that Clara is coming to tea at his house on Sunday to meet his mother, and she understands that this is an indication of his seriousness.

When Clara comes to tea, she gets along well with his mother. Morel also meets her and impresses her with his politeness. Clara and Paul are in the garden looking at the flowers when Miriam arrives to say hello to Clara. She sees them together, and feels as though they are married. Mrs. Morel is not pleased to see Miriam, whom she still dislikes. All three go to chapel and, afterward, when Paul and Clara are walking home, she asks him if he will give Miriam up. He tells her that he thinks he will always be friends with Miriam, and she draws away from him slightly and mocks him, telling him to run after Miriam. He gets angry with her and kisses her in rage. They go off into the fields, where they look at the lights of the town until Clara realizes she must go to make her train. They run and she just makes the train.

The next week Paul takes Clara to the theatre. She tells him to wear his evening suit, and she arrives dressed in a green evening dress. After the play, Paul realizes that he has missed his train, and Clara tells him to come home with her instead of walking. They arrive, and Clara’s mother, Mrs. Radford, makes fun of their fancy clothes. Paul and Clara sit up playing cribbage, and Mrs. Radford waits up for them. Finally Paul goes to bed, but he cannot sleep for want of Clara. After he hears Mrs. Radford go to sleep and realizes that Clara is waiting downstairs, he goes down to her and asks her to come to his bed instead of going to sleep with her mother. She refuses, and he goes back to bed.

Mrs. Radford wakes him up in the morning, and he realizes that she is fond of him. He asks if she and Clara would like to go to the seaside with him and is amazed when she accepts.
Analysis

Paul continues to exhibit physical similarities to his mother. At one point in this chapter he clicks his tongue, and the narrator comments that Mrs. Morel shares the same habit.

Paul’s relationship with his mother also continues to be central. Miriam knows Paul well enough to understand that whether he has told his mother about Clara is an indication of the seriousness of his feelings for her. This illustrates the way that his mother is still the most important woman to him, and the degree to which other events in his life can be understood by the way that they relate to her. It is also very important that Clara gets along well with his mother, as Clara understands, dreading the meeting because she realized Paul’s intense love for Mrs. Morel.

Again, there is no direct mention of sex in this chapter, but it is alluded to in Clara and Paul’s discussion of whether they are criminals, and in Paul’s mention of Eve after they have been walking by the riverbank.

Chapter 13: Baxter Dawes

Summary

Paul is in a bar with some friends when Baxter Dawes enters, Clara’s husband from whom she has been separated for years. Paul offers him a drink, since he is the superior at Jordan’s, but Dawes refuses. Dawes begins to talk about Paul being at the theatre with a ‘tart,’ and Paul is about to leave when Dawes says something that causes Paul to throw a glass of beer in his face. Dawes rushes at Paul but is held back, and he is thrown out of the bar. Paul’s friends at the bar tell him that he should learn to box, so that he can take care of Dawes. When he leaves, one of the men walks with him.

He tells Clara what has happened, and she does not seem surprised, saying that Baxter is a low sort of person. She wants Paul to carry a gun or a knife for protection and is angry when he refuses.

One day at the factory, Paul runs into Dawes. Dawes threatens him while he carries on with his work. Finally Dawes grabs Paul’s arm, and Thomas Jordan comes out of his office to see what is happening. He tells Dawes to leave and, when he does not, grabs his arm. Dawes jerks his elbow and sends Jordan flying backward through a spring-door and down half a flight of steps. Jordan is not hurt, but he dismisses Dawes.

Paul discusses love with his mother and says that perhaps something is the matter with him and that he can’t love. She says that he has not met the right woman, and he replies that he will never meet the right woman while she is alive. Clara asks him about the future, and he tells her he will go abroad and then come back to be with his mother. He tells her not to ask about the future but just to be with him now, and they surrender to their passion. She does not want a divorce from Baxter and therefore cannot belong to him completely. They both realize that they will go separate ways.

One evening they pass Dawes as they are walking, and Paul does not realize who it is until after they have passed him, and Clara says it was Baxter. Another night some time later, Paul is walking alone and encounters Dawes waiting for him. They fight and Paul is hurt. He struggles to get himself home and goes to sleep, and his mother is there to take care of him when he awakes. While he is ill, Clara and then Miriam come to visit him, and he tells his mother that he doesn’t care about them.

After he is better, he goes on a holiday with his friend Newton and arranges to meet his mother at Annie’s house in Sheffield. When he arrives there, Annie opens the door and he
realizes that his mother is ill. They discover that she has a tumor, and Paul goes to see her doctor in Nottingham. He agrees to come to Sheffield, looks at the tumor, and says that he may be able to cure it. Mrs. Morel stays in Sheffield for two months, and then the family hires a motor-car to drive her home, at which she is very glad.

Analysis

In this chapter Paul admits that his mother does not share in all aspects of his life: his sex life is separate from her. He evidently feels that the incident with Dawes in the bar belongs to this life, because he feels mortified at the thought of telling her about it. However, he does not like having to conceal anything from his mother.

We can see, however, that his mother is still the most important to him through his thoughts as he tries to make his way home after his fight with Dawes: he thinks over and over again that he must make it home to his mother.

Paul’s reflections on love recall his older brother William’s complaints when he was engaged. Paul thinks that he loves Clara when he is with her, is indifferent toward her when not with her, and often tunes her out when she talks to him.

Chapter 14: The Release

Summary

Dr. Ansell tells Paul that Baxter Dawes is in the fever hospital in Sheffield, and Paul decides to visit him. Paul tells Dawes that he can recommend him a convalescent home in Seathorpe. He tells Clara that he has been to visit Dawes in the hospital, and she becomes upset and realizes that she has treated her husband badly. She goes to see him to try to make amends, but at first they do not get on well. Paul also visits Dawes a few times, and the two men begin to develop a sort of friendship.

Paul does not spend much time with Clara now, because he is occupied with his mother’s illness. Mrs. Morel gets gradually worse, and Paul spends much time caring for her. When Clara reminds him that it is her birthday, he takes her to the seashore, but spends most of the time talking about his mother and how he wishes that she would die.

The next time he sees Dawes, Paul mentions that he has been with Clara, and this is the first mention the two men make of Clara. He tells Dawes that he will go abroad after his mother dies.

Time passes, and Mrs. Morel stays the same. Miriam writes to Paul and he visits her. She kisses him, believing he will be comforted, but he does not want that kind of comfort from her and finally manages to get away. Paul and Annie share the nursing of their mother. They begin to feel as if they can no longer go on, and Paul decides to give her an overdose of morphia to put an end to all their suffering. He crushes all the pills they have into his mother’s milk and she drinks it obediently, believing it to be a new sleeping draught. She lasts through the night and finally dies the next morning.

Dawes is now in a convalescent home, and Paul goes to see him again and suggests that he has plenty of life left in him and that he should try to get Clara back so that he can regain something of his former life. The next day, he and Clara bring Dawes to his lodging and Paul leaves them together.
Self Assessment

Fill in the blanks:
6. Paul maintains his close relationship with his ......... .
7. Miriam goes to stay at her ......... cottage, and Paul visits her often.
8. ......... continues to exhibit physical similarities to his mother.
9. Arthur is born when Paul is ......... old.
10. Morel is injured at work when a piece of ......... falls on his leg.

Analysis

This chapter is an excellent example of the way that the novel is not always narrated in chronological order, since the first episode in which Paul visits Baxter Dawes in the hospital actually occurs before Mrs. Morel is taken home, an episode which is included in the previous chapter.

Mrs. Morel’s desire to be with Paul is so strong that he tells Clara he believes she refuses to die so that she can stay with him. “And she looks at me, and she wants to stay with me. She’s got such a will, it seems as if she would never go - never!”.

Even though he says he wishes she would die, Paul’s strong bond to his mother remains. He feels as though a part of him were dying also. After she dies, Paul still feels this connection: “Looking at her, he felt he could never, never let her go.”

Morel shows his vulnerability after his wife dies, when he waits up for Paul to return home, so that he is not alone in the house with the dead body. Paul, who had considered Morel to be fearless, is taken by surprise.

Task
How do you feel about the death of Mrs. Morel? Can you explain your feelings?

Chapter 15: Derelict

Summary

Clara goes back to Sheffield with her husband, and Paul is left alone with his father. There is no point in keeping their house any longer, so they each take lodgings nearby. Paul is lost without his mother. He can no longer paint, and he puts all of his energy into his work at the factory. He has debates within himself, telling himself that he must stay alive for his mother’s sake. However, he wants to give up.

One Sunday evening, however, he sees Miriam at the Unitarian Church. He asks her to have supper with him quickly and she agrees. She tells him that she has been going to a farming college and will probably be kept on as a teacher there. She says that she thinks they should be married, and he says he’s not sure that would be much good. He says he does not want it very much, and so she gives up. That is the end between them. She leaves him, realizing that “his soul could not leave her, wherever she was.”

Paul, alone, yearns for his mother and considers following her into death. However, he decides to leave off thinking about suicide, and instead walks toward the town.
Notes

**Analysis**

This chapter is Miriam’s last attempt finally to possess Paul, now that the obstacle of his mother is out of the way. However, by the end she sees the futility of her efforts and realizes that, even in death, Mrs. Morel still owns Paul and he can never be hers.

Paul says of his mother that, “She was the only thing that held him up, himself, amid all this. And she was gone, intermingled herself. He wanted her to touch him, have him alongside with her.” This completes the book’s treatment of the relationship between Paul and Mrs. Morel and illustrates the way that his love for her has remained constant throughout.

**Explanation of the novel’s title**

Lawrence rewrote the work four times until he was happy with it. Although before publication the work was usually called Paul Morel, Lawrence finally settled on Sons and Lovers. Just as the new title makes the work less focused on a central character, many of the later additions broadened the scope of the work, thereby making the work less autobiographical. While some of the edits by Garnett were on the grounds of propriety or style, others would once more narrow the emphasis back upon Paul.

### 22.2 Summary

- This first part of the novel focuses on Mrs. Morel and her unhappy marriage to a drinking miner.
- Morel feels ashamed for bullying his wife. He also realizes her difficulties and begins to be somewhat more helpful.
- Morel is injured at work when a piece of rock falls on his leg.
- Paul and Mrs. Morel travel to Nottingham one Tuesday morning to respond to the invitation.
- Miriam and Paul get along well during another excursion to Wingfield Manor on Easter Monday.
- Paul discusses love with his mother and says that perhaps something is the matter with him and that he can’t love.

### 22.3 Keywords

- **Fiancée**: a person to whom another is engaged to be married.
- **Intimacy**: close familiarity or friendship.
- **Seashore**: an area of sandy, stony or rocky land bordering and level with the sea.
- **Vicariously**: acting or done for another person.

### 22.4 Review Questions

1. Why do you think Morel cuts offs William’s hair? And why is his wife so upset?
2. In what ways does Morel become an ‘outsider’ to his family? How does this make him feel?
3. Why does Paul find Clara so attractive at the theater?

4. Why does Paul leave Miriam?

5. How do you feel about Paul helping his mother to die? Do you think it was right?

**Answers: Self Assessment**

1. False  
2. True  
3. False  
4. False  
5. True  
6. Mother  
7. Grandmother’s  
8. Paul  
9. Seventeen months  
10. Rock

**22.5 Further Readings**

**Books**
- Sons and Lovers (E Text) — D.H. Lawrence
- D.H. Lawrence: a Personal record — Jessie Chambers
- D.H. Lawrence — Ronald P. Draper

**Online links**
Unit 23: D.H. Lawrence — Sons and Lovers: Themes and Characterization

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Objectives

After studying this unit, you will be able to:

• Know about the characters of Sons and Lovers
• Know about the major themes
• Explain style and plot construction.

Introduction

Sons and Lovers is a ‘bildungsroman’ (A novel whose principal subject is the moral, psychological, and intellectual development of a usually youthful main character.) Some novels which fall in this category are autobiographical like Dickens’ ‘David Copperfield’ or Joyce’s ‘Portrait of the Artist ...’ Likewise Lawrence’s Sons and Lovers, roughly deals with the childhood, adolescence and early adulthood of the author. It is a frank portrayal of the relationship between a domineering mother and the son, a relationship that influences every aspect of the protagonist’s life. From his relationship with his father to his romantic affairs with two very different women.

D.H. Lawrence was a rebel. He felt that society made people lifeless and unreal, and that the class system was pernicious. Lawrence believed in the ‘life force’, in Nature, its beauty and its power. He also believed passionately in man’s natural instincts; he believed that sexual feeling between a man and woman was natural and should be celebrated. He was the first novelist in western culture to attempt to explore sexuality seriously and frankly. Because of this, several of his novels were refused publication and declared obscene. Lady Chatterley’s Lover, completed in 1928, was only published in its complete form in 1960.
Lawrence’s writing was also revolutionary in that it stressed and importance of feelings. The plot was important for the light that it threw on the inner events in a character. Lawrence’s novel style is often highly poetic. In a sense, Lawrence was a kind of mystic; he worshipped ‘life itself’ and attempted to convey his feelings and pass on his beliefs in his novels. To some extent he succeeded; in the west, the twentieth century has been an era of greater openness, particularly in the area of feelings.

23.1 Character Lists

Paul Morel

Paul is the protagonist of the novel, and we follow his life from infancy to his early twenties. He is sensitive, temperamental, artistic (a painter), and unceasingly devoted to his mother. They are inseparable; he confides everything in her, works and paints to please her, and nurses her as she dies. Paul has ultimately unsuccessful romances with Miriam Leiver and Clara Dawes, always alternating between great love and hatred for each of them. His relationship fails with Miriam because she is too sacrificial and virginal to claim him as hers, whereas it fails with Clara because, it seems, she has never given up on her estranged husband. However, the major reason behind Paul’s break-ups is the long shadow of his mother; no woman can ever equal her in his eyes, and he can never free himself from her possession.

Gertrude Morel

Mrs. Morel is unhappily married to Walter Morel, and she redirects her attention to her children, her only passion in life. She is first obsessed with William, but his death leaves her empty and redirects her energies toward Paul. She bitterly disapproves of all the women these two sons encounter, masking her jealousy with other excuses. A natural intellectual, she also feels society has limited her opportunities as a woman, another reason she lives through Paul.

Miriam Leiver

Miriam is a virginal, religious girl who lives on a farm near the Morels, and she is Paul’s first love. However, their relationship takes ages to move beyond the Platonic and into the romantic. She loves Paul deeply, but he never wants to marry her and “belong” to her, in his words. Rather, he sees her more as a sacrificial, spiritual soul mate and less as a sensual, romantic lover. Mrs. Morel, who feels threatened by Miriam’s intellectualism, always reinforces his disdain for Miriam.

Clara Dawes

Clara is an older women estranged from her husband, Baxter Dawes. Unlike the intellectual Miriam, Clara seems to represent the body. Her sensuality attracts Paul, as does her elusiveness and mysteriousness. However, she loses this elusiveness as their affair continues, and Paul feels she has always “belonged” to her husband.

Walter Morel

Morel, the coal-mining head of the family, was once a humorous, lively man, but over time he has become a cruel, selfish alcoholic. His family, especially Mrs. Morel, despises him, and Paul frequently entertains fantasies of his father’s dying.
William Morel

William, Mrs. Morel’s “knight,” is her favorite son. But when he moves away, she disapproves of his new lifestyle and new girlfriends, especially Lily. His death plunges Mrs. Morel into grief.

Baxter Dawes

Dawes, a burly, handsome man, is estranged from his wife, Clara Dawes, because of his infidelity. He resents Paul for taking Clara, but over time the men become friends.

Annie Morel

Annie is the Morel’s only daughter. She is a schoolteacher who leaves home fairly early.

Arthur Morel

Arthur, the youngest Morel son, is exceptionally handsome, but also immature. He rashly enters the military, and it takes a while until he gets out. He marries Beatrice.

Louisa Lily Denys Western

Lily, William’s girlfriend, is materialistic and vain. Her condescending behavior around the Morels irritates William, and she soon forgets about him after his death.

The Leivers

The Leivers own a nearby farm that Paul and Mrs. Morel visit. They have three sons Edgar being the eldest and two daughters, including Miriam.

Edgar Leivers

Edgar Leivers is the eldest Leiver son, Edgar and Paul become friends.

Agatha Leivers

Agatha Leivers is the elder sister of Miriam; Agatha is a school-teacher who fights with Miriam for Paul’s attention.

Beatrice

Beatrice is a friend of the Morel’s who stops by and insults Miriam and flirts with Paul. She eventually marries Arthur.

Mrs. Radford

Mrs. Radford is Clara’s mother, with whom she lives. Clara is embarrassed by her.

Thomas Jordan

Thomas Jordan is a curt, old man; Jordan employs Paul at his warehouse of surgical appliances.
Pappleworth
Pappleworth is Paul’s supervisor at Jordan’s.

Fanny
Fanny is a lively hunchback who works at Jordan’s.

Polly
Polly is a worker at Jordan’s whom Paul regularly has dinner with.

Connie
Connie is an attractive, redheaded worker at Jordan’s.

Louie
Louie is a facetious worker at Jordan’s.

Emma
Emma is an old, condescending worker at Jordan’s.

Mr. Heaton
Mr. Heaton is the clergyman who visits Mrs. Morel and becomes Paul’s godfather.

Dr. Ansel
Mrs. Morel’s doctor.

Jerry Purdy
Jerry Purdy is the friend of Morel’s.

John Field
John Field is childhood friend of Mrs. Morel’s.

23.2 Major Themes

Oedipus complex
Perhaps Sigmund Freud’s most celebrated theory of sexuality, the Oedipus complex takes its name from the title character of the Greek play Oedipus Rex. In the story, Oedipus is prophesied to murder his father and have sex with his mother (and he does, though unwittingly). Freud argued that these repressed desires are present in most young boys. (The female version is called the Electra complex.)
D.H. Lawrence was aware of Freud’s theory, and Sons and Lovers famously uses the Oedipus complex as its base for exploring Paul’s relationship with his mother. Paul is hopelessly devoted to his mother, and that love often borders on romantic desire. Lawrence writes many scenes between the two that go beyond the bounds of conventional mother-son love. Completing the Oedipal equation, Paul murderously hates his father and often fantasizes about his death.

Paul assuages his guilty, incestuous feelings by transferring them elsewhere, and the greatest receivers are Miriam and Clara (note that transference is another Freudian term). However, Paul cannot love either woman nearly as much as he does his mother, though he does not always realize that this is an impediment to his romantic life. The older, independent Clara, especially, is a failed maternal substitute for Paul. In this setup, Baxter Dawes can be seen as an imposing father figure; his savage beating of Paul, then, can be viewed as Paul’s unconsciously desired punishment for his guilt. Paul’s eagerness to befriend Dawes once he is ill (which makes him something like the murdered father) further reveals his guilt over the situation.

But Lawrence adds a twist to the Oedipus complex: Mrs. Morel is saddled with it as well. She desires both William and Paul in near-romantic ways, and she despises all their girlfriends. She, too, engages in transference, projecting her dissatisfaction with her marriage onto her smothering love for her sons. At the end of the novel, Paul takes a major step in releasing himself from his Oedipus complex. He intentionally overdoses his dying mother with morphia, an act that reduces her suffering but also subverts his Oedipal fate, since he does not kill his father, but his mother.

**Task** Define Oedipus complex.

**Bondage**

Lawrence discusses bondage, or servitude, in two major ways: social and romantic. Socially, Mrs. Morel feels bound by her status as a woman and by industrialism. She complains of feeling “buried alive,” a logical lament for someone married to a miner, and even the children feel they are in a “tight place of anxiety.” Though she joins a women’s group, she must remain a housewife for life, and thus is jealous of Miriam, who is able to utilize her intellect in more opportunities. Ironically, Paul feels free in his job at the factory, enjoying the work and the company of the working-class women, though one gets the sense that he would still rather be painting.

Romantic bondage is given far more emphasis in the novel. Paul (and William, to a somewhat lesser extent) feels bound to his mother, and cannot imagine ever abandoning her or even marrying anyone else. He is preoccupied with the notion of lovers “belonging” to each other, and his true desire, revealed at the end, is for a woman to claim him forcefully as her own. He feels the sacrificial Miriam fails in this regard and that Clara always belonged to Baxter Dawes. It is clear that no woman could ever match the intensity and steadfastness of his mother’s claim.

Complementing the theme of bondage is the novel’s treatment of jealousy. Mrs. Morel is constantly jealous of her sons’ lovers, and she masks this jealousy very thinly. Morel, too, is jealous over his wife’s closer relationships with his sons and over their successes. Paul frequently rouses jealousy in Miriam with his flirtations with Agatha Leiver and Beatrice, and Dawes is violently jealous of Paul’s romance with Clara.
Contradictions and oppositions

Lawrence demonstrates how contradictions emerge so easily in human nature, especially with love and hate. Paul vacillates between hatred and love for all the women in his life, including his mother at times. Often he loves and hates at the same time, especially with Miriam. Mrs. Morel, too, has some reserve of love for her husband even when she hates him, although this love dissipates over time.

Lawrence also uses the opposition of the body and mind to expose the contradictory nature of desire; frequently, characters pair up with someone who is quite unlike them. Mrs. Morel initially likes the hearty, vigorous Morel because he is so far removed from her dainty, refined, intellectual nature.

Notes

Paul’s attraction to Miriam, his spiritual soul mate, is less intense than his desire for the sensual, physical Clara.

The decay of the body also influences the spiritual relationships. When Mrs. Morel dies, Morel grows more sensitive, though he still refuses to look at her body. Dawes’s illness, too, removes his threat to Paul, who befriends his ailing rival.

Nature and flowers

Sons and Lovers has a great deal of description of the natural environment. Often, the weather and environment reflect the characters’ emotions through the literary technique of pathetic fallacy. The description is frequently eroticized; both to indicate sexual energy and to slip pass the censors in Lawrence’s repressive time.

Lawrence’s characters also experience moments of transcendence while alone in nature, much as the Romantics did. More frequently, characters bond deeply while in nature. Lawrence uses flowers throughout the novel to symbolize these deep connections. However, flowers are sometimes agents of division, as when Paul is repulsed by Miriam’s fawning behavior towards the daffodil.

23.3 D.H. Lawrence—Sons and Lovers: Style and Plot

23.3.1 Plot

Gertrude Morel has an unhappy marriage to coal-miner William Morel in the English town of Bestwood. She is most devoted to her eldest son, William. Her second, sensitive son, Paul, grows up and works in a factory while painting on the side. William dies of a skin disease, and Mrs. Morel plunges into grief. Rededicating her life to Paul revives her, and the two become inseparable.

Self Assessment

Fill in the blanks:
1. Sons and Lovers is a ......... .
2. Lawrence believed in the ......... in nature, its beauty and its power.
3. Paul is the ......... of the novel.
Paul, now a young man, spends a great deal of time with Miriam Leiver, a chaste, religious girl who lives on a nearby farm. Their Platonic relationship is intense and romantic, but they never approach physical intimacy. Mrs. Morel bitterly dislikes Miriam, feeling she is trying to take her son away from her. Paul grows attracted to Clara Dawes, an older, sensual woman separated from her husband.

Paul and Clara have sex and a romance blossoms, but her estranged husband, Baxter Dawes, savagely beats Paul one night. Mrs. Morel develops a tumor and, after a long struggle, dies. Paul arranges the reunion of Clara and Dawes, whom he has befriended since their fight. Paul and Morel move out of the house to separate locations. Paul feels lost, unable to paint any more. Miriam makes a last appeal to him for romance, but he rejects her. He feels suicidal one night, but changes his mind and resolves not to “give into the darkness.”

23.3.2 Plot Summary

Chapter 1: The Early Married Life of the Morels

The first chapter of Sons and Lovers introduces the Morel family and describes the story’s setting, a neighborhood called “The Bottoms,” where the miners live. Mrs. Morel is pregnant with her third child, which she does not want because she has fallen out of love with her husband and because the family is poor. When her husband comes home from working at a bar, the two argue over his drinking.

This chapter also contains a flashback to the time when Mrs. Morel met Walter at a Christmas party. She was twenty-three, reserved, and thoughtful; he was twenty-seven, good-looking, and outgoing, and very different from Mrs. Morel’s father. They are married by the following Christmas. Less than a year into their marriage, however, Mrs. Morel discovers that Walter is not the man she thought he was. He does not own his house as he said he did, and he is in considerable debt.

Two key events occur in this chapter. The first is when Walter cuts his son’s hair while his wife is sleeping. Mrs. Morel views this as a betrayal, and the image of William, her favorite child, standing in front of his father with shorn locks on the floor, stays with her. The second event occurs when Walter come home drunk late one night and fights with his wife. Walter locks his pregnant wife out of the house, letting her in later, after he has slept off part of his alcohol.

Chapter 2: The Birth of Paul, and another Battle

With the help of Mrs. Bower, a midwife, Mrs. Morel gives birth to a son. Walter arrives home, immediately asks Mrs. Bower for a drink, has his dinner, and then goes upstairs to see his wife. The arrival of Paul increases the tension in the house, as the couple continues to bicker and fight. Walter does not like to be around his family, and the estrangement between the two
adults grows. In one scene, Walter drunkenly pulls out a drawer and throws it at his wife, hitting her and cutting her above the eye. He is ashamed of his actions, but tells himself it is her fault. He spends the next few days drinking at a bar. Toward the end of the chapter, Walter steals money from his wife’s purse, and then denies it when she confronts him. He stalks out of the house with a bundle of his belongings saying that he is leaving, but he returns home that night.

Chapter 3: The Casting off of Morel—the Taking on of William

In this chapter, Walter falls ill, but his wife nurses him back to health. Mrs. Morel, however, is devoting more and more of her attention to the children. She tolerates her husband, but does not love him. In the period after Walter’s illness, the couple conceives another child, Arthur, who is born when Paul is one and a half years old. Arthur becomes Walter’s favorite child and is like him both physically and temperamentally.

Walter and his wife fight over how to discipline their children and plan for their future. Mrs. Morel vetoes her husband’s suggestion that William work in the mines; she finds him a job at the Cooperative Wholesale Society instead. At nineteen, William takes a job in London, much to his devoted mother’s chagrin.

Chapter 4: The Young Life of Paul

This chapter focuses on Paul’s childhood, and all of the events narrated are in relation to his character. Mrs. Morel and her husband still fight, and Walter drifts further away from the family, even though they have moved from “The Bottoms” and into a new house. There are also moments when the family bonds, and Mrs. Morel encourages the children to share the events of the day with their father. But overall, Walter is more alienated than ever from his wife and children, especially Paul. A significant event occurs when Paul breaks his sister’s doll and then experiences hatred for the doll. This echoes his father’s own behavior toward his mother.

Chapter 5: Paul Launches into Life

In this chapter, Walter injures his leg, causing anxiety in his family and guilt in Mrs. Morel, who is concerned for her husband’s health but guilt ridden because she no longer loves him. Paul, now fourteen, hunts for work and lands a position with Thomas Jordan, a manufacturer of surgical appliances, as a junior clerk. William, still in London, is now dating, and sends his mother a photograph of his girlfriend, Lily Weston. His mother is not impressed.

Media Adaptations

- The most acclaimed film adaptation of Lawrence’s novel is the 1960 film Sons and Lovers, directed by Jack Cardiff and starring Trevor Howard, Dean Stockwell, and Wendy Hiller. The film was nominated for seven Academy Awards. Many libraries and video stores carry the video.
- In 1995, Penguin Audiobooks released an audiocassette of Lawrence’s novel with Paul Copley narrating.
Chapter 6: Death in the Family

In this chapter, Arthur leaves home to attend school in Nottingham, where he lives with his sister, Annie. Paul visits the Leivers’s farm where he meets Miriam Leivers. The “Death” in the chapter title refers to William’s death. He dies after a short illness, and his mother is devastated. Paul falls ill with pneumonia, but his mother nurses him back to health, and the two develop an intense emotional bond.

Chapter 7: Lad-and-Girl Love

Paul develops a close relationship with Miriam, who aspires to transcend her working-class roots through education. She takes care of Paul when he is sick and falls in love with him. Paul, however, remains ambivalent about the relationship and struggles to define what he feels toward her. Mrs. Morel does not like Miriam, because she believes that Miriam is taking Paul away from her.

Chapter 8: Strife in Love

The key events in this chapter include Arthur’s enlistment in the army and events illustrating Paul’s struggle to define his feelings for Miriam while at the same time remaining emotionally faithful to his mother. Paul also sees Clara Dawes, whom he tells Miriam he likes.

Chapter 9: Defeat of Miriam

This chapter details Paul’s recognition that he loves his mother more than Miriam and would never marry and leave her. Compounding his love for his mother is his awareness that she is old now and not well. He breaks off his relationship with Miriam, who remains angry with him for being so influenced by his mother. However, Paul continues to visit the Leivers’s farm, where he later meets Clara again, but he tells Edgar, Miriam’s brother, that he does not like Clara because she is so abrasive. He is both attracted to and repelled by Clara’s dislike of men.

Annie marries Leonard, even though neither of them have much money, and Mrs. Morel buys Arthur out of the army. Arthur returns home and promptly marries Beatrice Wyld.

Chapter 10: Clara

One of Paul’s paintings is sold for twenty guineas to Major Moreton. Paul discusses his success with his mother, who expresses her desire that he settle down with a woman and make a better life for himself. Paul visits Clara and meets her mother. He revises his opinion of Clara and secures a job for her. The two grow closer, and Clara discusses her failed marriage with him.

Chapter 11: The Test on Miriam

Paul returns to Miriam, convinced that the “problem” between them stems from the lack of sexuality in their relationship. He tells her that he loves her, and the two sleep together. However, the relationship deteriorates when Miriam tells him that she feels they are too young to marry. Once again, Paul breaks off the relationship, and the two become bitter toward each other.
Chapter 12: Passion

Paul spends more time with Clara, telling her that he has split up with Miriam. The two are extremely passionate with each other, and Paul invites her to meet his mother. Paul later invites Clara and her mother on a trip to the seaside.

Chapter 13: Baxter Dawes

In this chapter, Paul encounters Clara’s husband, Baxter Dawes, numerous times, and the two fight once, with Dawes injuring Paul. Paul remains torn between his love for his mother and his desire to bond with other women. He realizes that he will not be able to marry while his mother is still alive. At the end of the chapter, Paul discovers that his mother is ill with a tumor.

Chapter 14: The Release

In this chapter, Gertrude Morel dies, after Paul—who cannot bear to see her suffer—and his sister give her an overdose of morphine in her milk. Paul befriends Baxter Dawes, who is ill with fever, and eventually facilitates his reconciliation with Clara.

Chapter 15: Derelict

Paul is despondent after his mother’s death and contemplates suicide. Miriam meets him for dinner and proposes that they marry, but Paul turns her down. Clara returns to Sheffield with her husband, so she is also now out of Paul’s life. Walter Morel sells the house, and he and Paul take rooms in town. The novel ends with Paul’s recognition that he will always love his mother, and he decides to stay alive for her sake.

23.4 Style

Sons and Lovers is structured episodically. This means that the novel consists of a series of episodes tied together thematically and by subject matter. Structuring the novel in this manner allows Lawrence to let meaning accumulate by showing how certain actions and images repeat themselves and become patterns. This repetition of actions and images is part of the iterative mode. By using this mode, Lawrence can blend time periods, making it sometimes difficult to know whether an event happened once or many times. Lawrence is using the iterative mode when he uses words such as “would” and “used to.”

Sons and Lovers was the first modern portrayal of a phenomenon that later, thanks to Freud, became easily recognizable as the Oedipus complex. Never was a son more indentured to his mother’s love and full of hatred for his father than Paul Morel, D.H. Lawrence’s young protagonist. Never, that is, except perhaps Lawrence himself. In his 1913 novel he grappled with the discordant loves that haunted him all his life—for his spiritual childhood sweetheart, here called Miriam, and for his mother, whom he transformed into Mrs. Morel. It is, by Lawrence’s own account, a book aimed at depicting this woman’s grasp: “as her sons grow up she selects them as lovers—first the eldest, then the second. These sons are urged into life by their reciprocal love of their mother—urged on and on. But when they come to manhood, they can’t love, because their mother is the strongest power in their lives.”

Of course, Mrs. Morel takes neither of her two elder sons (the first of whom dies early, which further intensifies her grip on Paul) as a literal lover, but nonetheless her psychological snare is immense. She loathes Paul’s Miriam from the start, understanding that the girl’s deep love
of her son will oust her: “She’s not like an ordinary woman, who can leave me my share in him. She wants to absorb him.” Meanwhile, Paul plays his part with equal fervor, incapable of committing himself in either direction: “Why did his mother sit at home and suffer?... And why did he hate Miriam, and feel so cruel towards her, at the thought of his mother. If Miriam caused his mother suffering, then he hated her—and he easily hated her.” Soon thereafter he even confesses to his mother: “I really don’t love her. I talk to her, but I want to come home to you.”

The result of all this is that Paul throws Miriam over for a married suffragette, Clara Dawes, who fulfills the sexual component of his ascent to manhood but leaves him, as ever, without a complete relationship to challenge his love for his mother. As Paul voyages from the working-class mining world to the spheres of commerce and art (he has fair success as a painter), he accepts that his own achievements must be equally his mother’s. “There was so much to come out of him. Life for her was rich with promise. She was to see herself fulfilled... All his work was hers.”

The cycles of Paul’s relationships with these three women are terrifying at times, and Lawrence does nothing to dim their intensity. Nor does he shirk in his vivid, sensuous descriptions of the landscape that offers up its blossoms and beasts and “shimmeriness” to Paul’s sensitive spirit. Sons and Lovers lays fully bare the souls of men and earth. Few books tell such whole, complicated truths about the permutations of love as resolutely without resolution. It’s nothing short of searing to be brushed by humanity in this manner.

### 23.5 Summary

- The Oedipus complex takes its name from the title character of the Greek play Oedipus Rex.
- D.H. Lawrence was aware of Freud’s theory, and Sons and Lovers famously uses the Oedipus complex as its base for exploring Paul’s relationship with his mother.
- Sons and Lovers has a great deal of description of the natural environment.

### 23.6 Keywords

- **Bound**: going towards some where.
- **Eroticized**: give erotic qualities to.
- **Transcendence**: not realizable in experience.

### 23.7 Review Questions

1. Write about the features of Lawrence’s characters.
2. Discuss the themes of “Sons and Lovers”.
3. Enumerate the plot construction of “Sons and Lovers”.

### Answers: Self Assessment

1. Bildungs roman
2. Life force
3. Protagonist 4. Louie
5. Episodically 6. Natural environment

23.8 Further Readings

Books
- Sons and Lovers (E Text) — D.H. Lawrence
- D.H. Lawrence: a Personal record — Jessie Chambers
- D.H. Lawrence — Ronald P. Draper

Online links
http://pinkmonkey.com/booknotes/barrons/sonlvrs2.asp
Unit 24: William Golding — Lord of the Flies

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Objectives
After studying this unit, you will be able to:

• Know the biography of William Golding
• Know about the novel “Lord of the Flies”.

Introduction

Lord of the Flies is a novel by Nobel Prize-winning author William Golding about a group of British boys stuck on a deserted island who try to govern themselves, with disastrous results. Its stances on the already-controversial subjects of human nature and individual welfare versus the common good earned it position 68 on the American Library Association’s list of the 100 most frequently challenged books of 1990–1999. In 2005 the novel was chosen by TIME magazine as one of the 100 best English-language novels from 1923 to 2005. It was awarded a place on both lists of Modern Library 100 Best Novels, reaching #41 on the editor’s list, and #25 on the reader’s list.

Published in 1954, Lord of the Flies was Golding’s first novel. Although it was not a great success at the time—selling fewer than 3,000 copies in the United States during 1955 before going out of print—it soon went on to become a best-seller, and by the early 1960s was required reading in many schools and colleges; the novel is currently renowned for being a popular choice of study for GCSE English Literature courses in the United Kingdom. It was adapted to film in 1963 by Peter Brook, and again in 1990 by Harry Hook.

24.1 William Golding—Lord of the Flies: Introduction to the Author

24.1.1 Introduction to the Author

Sir William Gerald Golding was born in 1911 in Saint Columb Minor in Cornwall, England, to Alec Golding, a socialist teacher who supported scientific rationalism, and Mildred Golding,
a supporter of female suffrage. As a child, William Golding was educated at the Marlborough Grammar School, where his father worked, and later at Brasenose College, Oxford. Although educated to be a scientist at the request of his father, the young Golding developed an interest in literature, becoming devoted first to Anglo-Saxon texts and then to poetry, which he wrote avidly. At Oxford he studied natural science for two years and then transferred to a program for English literature and philosophy. Following a short period of time in which he worked in various positions at a settlement house and in small theater companies as both an actor and a writer, Golding became a schoolmaster at Bishop Wordsworth’s School in Salisbury. During the Second World War he joined the Royal Navy and was involved in the sinking of the German battleship Bismarck, after which he returned to Bishop Wordsworth’s School, where he taught until the early 1960s.

In 1954, Golding published his first novel, Lord of the Flies, which details the adventures of British schoolboys stranded on an island in the Pacific who descend into barbaric behavior. Although at first rejected by twenty-one different publishing houses, Golding’s first novel became a surprise success. E.M. Forster declared Lord of the Flies the outstanding novel of its year, while Time and Tide called it “not only a first-rate adventure story but a parable of our times.” Golding continued to develop similar themes concerning the inherent violence in human nature in his next novel, The Inheritors, published the following year. This novel deals with the last days of Neanderthal man. The Inheritors posits that the Cro-Magnon “fire-builders” triumphed over Neanderthal man as much by violence and deceit as by any natural superiority. His subsequent works include Pincher Martin (1956), the story of a guilt-ridden naval officer who faces an agonizing death, Free Fall (1959), and The Spire (1964), each of which deals with the depravity of human nature. The Spire is an allegory concerning the protagonist’s obsessive determination to build a cathedral spire regardless of the consequences.

Did you know? In addition to Golding’s novels and his early collection of poems, Golding published a play entitled The Brass Butterfly in 1958 and two collections of essays, The Hot Gates (1965) and A Moving Target (1982).

Golding’s final works include Darkness Visible (1979), the story of a boy horribly injured during the London blitz of World War II, and Rites of Passage (1980). This novel won the Booker McConnell Prize, the most prestigious award for English literature, and inspired two sequels, Close Quarters (1987) and Fire down below (1989). These three novels portray life aboard a ship during the Napoleonic Wars.

Task Write biography of William Golding.

In 1983, Golding received the Nobel Prize for literature for his novels which, according to the Nobel committee, “with the perspicuity of realistic narrative art and the diversity and universality of myth, illuminate the human condition in the world of today.” In 1988 he was knighted by Queen Elizabeth II. Sir William died in 1993 in Perranarworthal, Cornwall. At the time of his death he was working on an unfinished manuscript entitled “The Double Tongue,” which focused on the fall of Hellenic culture and the rise of Roman civilization. This work was published posthumously in 1995.
24.2 William Golding — Lord of the Flies: Introduction to the Text

24.2.1 Introduction to the Lord of the Flies

Sir William Golding composed Lord of the Flies shortly after the end of WWII. At the time of the novel’s composition, Golding, who had published an anthology of poetry nearly two decades earlier, had been working for a number of years as a teacher and training as a scientist. Golding drew extensively on his scientific background for his first narrative work. The novel’s plot, in which a group of English boys stranded on a deserted island struggle to develop their own society, is a social and political thought-experiment using fiction. The story of their attempts at civilization and devolution into savagery and violence puts the relationship between human nature and society under a literary microscope. Golding’s allusions to human evolution also reflect his scientific training. The characters discover fire, craft tools, and form political and social systems in a process that recalls theories of the development of early man, a topic of much interest among many peoples including the mid-century Western public. The culmination of the plot in war and murder suggests that Golding’s overarching hypothesis about humanity is pessimistic, that is, there are anarchic and brutal instincts in human nature. Ordered democracy or some other regime is necessary to contain these instincts.

As an allegory about human nature and society, Lord of the Flies draws upon Judeo-Christian mythology to elaborate on the novel’s sociological and political hypothesis. The title has two meanings, both charged with religious significance. The first is a reference to a line from King Lear, “As flies to wanton boys, are we to gods.” The second is a reference to the Hebrew name Ba’alzevuv, or in its Greek form Beelzebub, which translates to “God of the Flies” and is synonymous with Satan. For Golding however, the satanic forces that compel the shocking events on the island come from within the human psyche rather than from an external, supernatural realm as they do in Judeo-Christian mythology. Golding thus employs a religious reference to illustrate a Freudian concept: the Id, the amoral instinct that governs the individual’s sense of sheer survival, is by nature evil in its amoral pursuit of its own goals. The Lord of the Flies, that is, the pigs head on a stick, directly challenges the most spiritually motivated character on the island, Simon, who functions as a prophet-martyr for the other boys.

Published in 1954 early in the Cold War, Lord of the Flies is firmly rooted in the sociopolitical concerns of its era. The novel alludes to the Cold War conflict between liberal democracy and totalitarian communism. Ralph represents the liberal tradition, while Jack, before he succumbs to total anarchy, represents the kind of military dictatorship that, for mid-century America and Great Britain, characterized the communist system. It is also notable that Golding sets the novel in what appears to be a future human reality, one that is in crisis after atomic war. Golding’s novel capitalizes on public paranoia surrounding the atom bomb which, due to the arms race of the Cold War, was at a high. Golding’s negative depiction of Jack, who represents an anti-democratic political system, and his suggestion of the reality of atomic war, present the novel as a gesture of support for the Western position in the Cold War.

In addition to science, mythology, and the sociopolitical context of the Cold War, Lord of the Flies was heavily influenced by previous works of speculative fiction. In particular, Golding’s novel alludes to R. M. Ballantyne’s 1857 The Coral Island, which tells the story of three boys stranded on a desert island. Golding, who found Ballantyne’s interpretation of the situation naive and improbable, likely intended Lord of the Flies to be an indirect critique of The Coral Island. Golding preserves the names of two of Ballantyne’s characters, Ralph and Jack, to force the two texts into deeper comparison. While the boys of Coral Island spend their time having pleasant adventures, Golding’s characters battle hunger, loneliness, and the deadly consequences of political conflict after they are deserted. The pessimistic character of Golding’s story reflects
the author’s emphasis on the necessity of democratic civilization. Critics also have noted the relationship between Lord of the Flies and Joseph Conrad’s canonical 1902 Heart of Darkness, which follows a soldier’s excursion into marginal African civilizations. Reflecting some biases, Heart of Darkness depicts these parts of Africa as places where social order is absent and anarchy rules, breeding death and disorder; the novel sees the same problem as an issue within the individual human soul. Like Conrad’s work, Golding’s novel emphasizes the brutal and violent human impulses that arise in the absence of political order.

Lord of the Flies, with its dystopian and speculative characteristics, established Golding as a solid author with an interest in the science-fiction literary genre that was popular in the 1950s. The novel depicts ostensibly realistic characters, but the plot, which follows a small group of humans isolated within an alien landscape, employs or alludes to the conventions of popular science fiction novels of the time. Golding’s subsequent works saw him moving even further into the science fiction genre. The Inheritors, heavily influenced by H. G. Wells’s Outline of History, imagines life during the dawn of man and is considered a modern classic of speculative fiction.

Self Assessment

Multiple Choice Questions:

1. Lord of the flies is a novel by Nobel prize winning author ....... .
   (a) Joseph Andrews (b) Jane Austen
   (c) William Golding (d) Aldous Huxley

2. In which year, the novel was chosen by TIME magazine?
   (a) 1990 (b) 2005
   (c) 1999 (d) 2004

3. In which year, Golding published his first novel?
   (a) 1923 (b) 1954
   (c) 1962 (d) None of these

4. In which year, William Golding was knighted by Queen Elizabeth II?
   (a) 1988 (b) 1987
   (c) 1990 (d) 1972

5. Sir William Golding composed Lord of the flies shortly after the end of ....... .
   (a) Cold war (b) World war I
   (c) Napoleonic war (d) World war II

Lord of the Flies was not an instant success, selling fewer than 3,000 copies before going out of print in 1955. Shortly thereafter, however, the novel became a bestseller among American and British readers who, as the arms race intensified, likely saw in Golding’s wartime dystopia a grim prediction of their own future. By the 1960s the novel was required reading for many high school and college courses, where it has remained to the present day. The enduring popularity of the novel inspired two film adaptations, one by Peter Brook in 1963, and the second by Harry Hook in 1990. Golding’s original novel, however, remains the best-known version of the tale.
Notes In 2005, Time Magazine named the novel one of the 100 best English-language novels since 1923.

A continuing controversy surrounding the political message of the novel and its view of human nature has led some readers to challenge its status as a book suitable for children. The American Library Association thus positioned Lord of the Flies at number 70 on its list of the 100 most challenged books of 1990–2000. Among literary critics of the late twentieth and early twenty-first centuries, however, Lord of the Flies has been revisited less as an allegory of human evil than as a literary expression of Cold War ideology. This historicizing does not do justice to the novel. But in terms of reception history, contemporary critics are right to note that the novel’s position at the center of many English curricula across America and Great Britain during the Cold War illustrates how the pedagogy of literature has been used to bolster national identity and ideology.

24.3 Summary

- Lord of the Flies is a novel by Nobel Prize-winning author William Golding about a group of British boys stuck on a deserted island who try to govern themselves, with disastrous results.
- In 1954, Golding published his first novel, Lord of the Flies, which details the adventures of British schoolboys stranded on an island in the Pacific who descend into barbaric behavior.
- Golding’s final works include Darkness Visible (1979), the story of a boy horribly injured during the London blitz of World War II, and Rites of Passage (1980).
- Golding’s allusions to human evolution also reflect his scientific training.
- Lord of the Flies was not an instant success, selling fewer than 3,000 copies before going out of print in 1955.

24.4 Keywords

Disastrous : causing great damage
Spire : the upper tapering part of the spiral shell of a gastropod mollusc.
Pessimistic : lack of hope or confidence in the future.

24.5 Review Questions

1. Which is called the Golding’s first novel in his literary career?
2. What are the collections of essays of Golding?
3. Bring out the title reference for “Lord of the flies”.

Answers: Self Assessment

1. (c) William Golding
2. (b) 2005
3. (b) 1954 4. (a) 1988
5. (d) World War II

### 24.6 Further Readings

**Books**
- Lord Of The Flies (E Text) — William Golding
- William Golding’s Lord of Flies — Walter A. Freeman
- The Novels of William Golding — Indu Kulkarni

**Online links**
Unit 25: William Golding—Lord of the Flies:  
Detailed Study of Text-I

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25.1 Detailed Study of Text
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Objectives

After studying this unit, you will be able to:
• Explain detailed study of text Lord of the flies chapters one to six
• Discuss summary and analysis of chapters one to six.

Introduction

Lord of the Flies takes place on an island, which Golding never gives an exact location. Although he does not tell us where the island is, he describes it in detail. He says that it has a jungle at one end, with a rocky mountain above it. At the opposite side is the lagoon where the boys go to bathe and where they first met after the crash. Near there, up the mountain, is a platform where it was decided a fire would stay lit in hope of rescue. This was in the ideal position, having a view of the ocean, therefore allowing any passing planes or boats to spot them and rescue them. It was on this mountain that the parachutist was also spotted by the boys, and mistaken for a beast. Inland, the jungle served many purposes. In the dense jungle, food was plentiful, and the plants served as a means of escape for Ralph during his run from Jack. Simon stayed there during his stage of insanity, and the used the plants to build shelter.

The boys plane was shot down during an atomic war. This set the stage for the problems that would arise on the island among the boys. Their behavior reflects their surroundings, as they acted just like they were participating in the war. The island is a very isolated place with absolutely no contact with the outside war. The only way that they could contact an outsider was by chance, if a plane of boat happened to spot them. These boys from boarding school were in some respect lucky to land on this island, for it did have its advantages. There was food, wildlife, and fresh water. It was not their surroundings, but themselves that led to the downfall of their civilization.
25.1 Detailed Study of Text

Chapter One: The Sound of the Shell

On a tropical island, a twelve-year-old boy with fair hair is climbing out of plane wreckage (referred to as “the scar”) on a beach and towards a lagoon. He faces another child around his age, a fat boy with glasses. The two, who have not previously met, begin a conversation. The fair-haired boy introduces himself as Ralph, while the heavy boy accidentally reveals his nickname at school: “Piggy.” Against the other child’s protestations, Ralph insists on calling him Piggy. Through their conversation, it is revealed that the boys have survived a plane crash in the Pacific Ocean, and no adults are present among the survivors. They confirm that both the pilot and “the man with the megaphone”—perhaps some sort of rescue worker—both died in the crash. The boys appear to have been escaping from an atomic war in their country, a place referred to only as the Home Counties (signaling England). When Ralph insists that his father, a Commander in the Navy, will rescue the stranded boys, Piggy reminds him that “they”—perhaps the military, perhaps the adult population—were all killed “by the atom bomb.” Ralph, excited by the idea of living without adult supervision, immediately takes advantage of the freedom on the island. He disrobes and invites Piggy to join him in a swim. Piggy nervously declines, explaining that his asthma prevents him from swimming or running, but eventually—and with much self-consciousness—removes his windbreaker. While Ralph is enjoying the new sights and pleasures of the tropical water, Piggy reveals that his parents are both dead and that he lives with his aunt, who operates a candy store. While Ralph is playing on the shore, Piggy spots a conch shell in the lagoon. He explains to an ignorant Ralph that a conch is valuable, and the two retrieve it from the water. Piggy, who cannot breathe well due to his asthma, instructs Ralph about how to blow into the shell so as to produce a loud whistle. After a few failed attempts, Ralph sounds the shell successfully. The two boys are surprised to see that the sound has attracted other survivors from the crash, among them Sam and Eric, two young identical twins, and abrupt, red-headed Jack Merridew, who is accompanied by a party of boys wearing strange black cloaks and caps, marching in two organized lines. Jack reveals that the group is a boys’ choir and that he is the leader. Once a large group is present, Piggy suggests that everyone state their names. Jack insists on being called Merridew, for Jack is a kid’s name, and demands that he be established the leader of the survivors, for he is the head boy of his choir. The group decides to settle the question of leadership by vote. While Jack has natural leadership qualities and Piggy rational intelligence, Ralph has a calm personality that invites the others’ trust, so he is elected chief. Once appointed, however, Ralph concedes that Jack may still lead his choir, who will become hunters. He further insists that the group stay assembled near the lagoon while three of the boys explore the territory to determine whether or not it is an island. For this task, Ralph chooses himself, a mild-tempered boy named Simon, and, at his own insistence, Jack.

Notes

When Piggy requests to join the explorers, Jack dismisses the idea, humiliating Piggy, who is still ashamed that Ralph revealed his hated nickname.

Ralph, Simon and Jack search the island, climbing up the mountain to survey it. On the way up, they push down the mountain a large rock that blocks their way. When they finally reach the top, they determine that they are indeed on an island. The island is described as “boat-shaped,” bordered by rocks and containing both lagoon and forest areas. Ralph, looking at the
landscape, says assertively, “this belongs to us.” The three decide that they need food to eat, and continue to explore the island, this time in search of food.

The boys descend the mountain into brush area, where they consider and then decide against eating some foliage they call “candle-buds.” Shortly thereafter, they discover a piglet caught in a curtain of creepers. Jack draws his knife but pauses before he has a chance to stab the pig, which frees itself and runs away. Jack insists that he was merely looking for the right spot on the pig on which to stab it, but his white face suggests that he is unaccustomed to such violence. But he vows that next time, he will show no mercy toward his prey.

Analysis

The opening chapter of Lord of the Flies establishes the novel as a political allegory. As a whole, the novel explores the need for political organization and dramatizes the clash in human nature between instinctual and learned behavior. In Chapter One, Golding depicts the deserted island as a place where the abandoned boys have a choice between returning to a pre-civilized state of humanity and re-imposing social order upon the group. Thus, the situation tests a Hobbesian hypothesis by throwing the children almost fully into a state of nature. The first chapter of the novel confirms that the boys have no society, no rules, and no concerns beyond personal survival. All they have is a set of histories. The narrative thrust of the novel traces how the boys develop their own miniature society and the difficulties that inevitably arise from this development. Chapter One foreshadows these events by depicting the boys as alternately frightened, ignorant, and exhilarated in the face of their newfound freedom.

Accordingly, Chapter One immediately establishes the tension between the impulse towards savagery and the need for civilization that exists within the human spirit. Freed from adult authority and the mores of society, Ralph plays in the beach naked, a practice that at the time of Golding’s writing was commonly associated with pre-industrial cultures believed to be “uncivilized” or “savage.” Yet if Ralph’s nudity is an uncivilized practice, it is also a reference to another popular conception of pre-civilized life, that of the Garden of Eden. Ralph does not panic over the children’s abandonment on the island, but he approaches it as a paradise in which he can play happily. The reader, aware of the outcome of the Biblical Eden, should treat the boys’ “paradise” with similar skepticism. Like Eden, the island paradise will collapse; the questions are how and why.

Characterization emphasizes the tension Golding establishes between anarchy and political organization. The first sign of disturbance on the seemingly tranquil island is the appearance of Jack and his choir. Golding describes Jack and his compatriots as militaristic and aggressive, with Jack’s bold manner and the choir marching in step. They are the first concrete example of civilization on the island, with a decidedly negative feel. Jack seems a physical manifestation of evil; with his dark cloak and wild red hair, his appearance is ominous, even Satanic. Accordingly, Jack is militaristic and authoritarian. He gives orders to his choir as if they were troops, allowing room for neither discussion nor dissent. Significantly, the role that he first chooses for his choir is that of hunters-he selects that task which is most violent and most related to military values. Yet, as his inability to kill the pig demonstrates, Jack is not yet accustomed to violence. Golding indicates that Jack must prepare himself to commit a violent act, for he is still constrained by his own youthful cowardice or by societal rules that oppose violent behavior. While his authoritarian attitude indicates a predisposition to violence, Jack must shed the lessons of society and conscience before he can kill.

In both temperament and physical appearance, Ralph is the antithesis of Jack. Golding idealizes Ralph from the beginning, lavishing praise on his physical beauty. In the island sun he immediately achieves a golden hue, a physical manifestation of his winning charisma. Ralph’s value is not
intellectual; importantly, he behaves somewhat childishly in his first encounter with Piggy. Still, Golding suggests that Ralph has a gravity and maturity beyond his years. He is a natural leader, a quality that the other boys immediately recognize when they vote him leader. The vote for chief establishes a conflict between the different values espoused by Jack and Ralph. Jack assumes that he should assume the role automatically, while Ralph, who is reluctant to accept leadership, achieves it by vote. Ralph therefore comes to represent a democratic ethos.

In contrast to the violent Jack and charismatic Ralph, Piggy is immediately established as the intellectual of the group. Although he is physically inept, clumsy, and asthmatic, he has a rational mind and the best grasp of their situation. It is his knowledge of the conch shell that allows Ralph to summon the rest of the boys together and he who shows the most concern for some sort of established order in meetings and in day-to-day life. He has a particular interest in names, immediately asking Ralph for his and wishing that Ralph would reciprocate the question, as well as insisting that a list of names be taken when the boys assemble. This emphasis on naming is one of the first indications of the imposition of an ordered society on the island (it also recalls the naming of the animals in Genesis). For Piggy, names not only facilitate organization and communication but also mark one’s position within a social hierarchy. It is significant that Piggy is forced by the others to keep his despised nickname from home, which re-inscribes his inferior social status from the Home Counties in the new dynamic of the island. We may also note that Piggy’s name symbolically connects him to the pigs on the island, which in subsequent chapters become the targets of many of the boys’ unrestrained violent impulses. As the boys turn their rage against the pigs, Golding foreshadows Piggy’s own murder at the close of the novel.

The reinforcement of Piggy’s nickname, which clearly humiliates him, also indicates that the boys have imported to the island the cruelty of human social life. Ralph’s mockery of Piggy is the first instance of inequality on the island, and it foreshadows the gross inequities and injustices to come. We may also note here Piggy’s background (as an orphan who lives with an aunt) and his poor diction details that indicate that, unlike Ralph and Jack, Piggy is a child from a working-class background. His immediate ostracizing on the island suggests another way in which the social hierarchies of the boys’ home lives are reproduced in island life. Golding suggests that Piggy’s marginalization is due not only to his unfortunate appearance and poor health but also because he is of a lower class status than the other boys, who have brought with them to the island the class prejudices of the Home Counties.

It is also significant here that Golding emphasizes the establishment of property and subtly critiques the concept of ownership by discovery. Ralph gains status from his possession of the conch shell, which gives him the authority to speak when the boys come together. Also, when he surveys the island from the summit of the mountain he states that it “belongs” to them, almost as an act of colonization or conquering. The invocation of colonial rhetoric suggests the struggles to come over ownership of the key resources on the island (such as the conch and Piggy’s glasses) and over the power to rule one another.

The novel’s first chapter establishes another theme that recurs throughout the novel: the corruption of innocence. Golding emphasizes the childish nature of the boys from the outset of the narrative, and he suggests that many of the struggles that mark their time on the island have less to do with either the natural brutality of the human spirit or the corruption of political society than with the boys’ young age and incapacity for responsibility. Ralph’s first reaction to the abandonment is to play in the water, and Jack’s impulse to “kill” falls flat when he is confronted with an opportunity to do so. The chatter of the younger boys—who fear a “beastie” and a “snake thing,” as well as Piggy’s constant mention of his “auntie” at home who gave him candy, are narrative details that underscore the boys’ youth and their essential innocence. As the brutality and violence among the boys increase in later chapters, Golding suggests that
childhood is a neutral, formative state in which children can either be guided towards morality or corrupted by savagery when they are unguided by conscience or society. The emphasis on the boys’ childishness in Chapter One establishes important questions that the subsequent action seeks to answer: is human nature essentially good, bad, or neutral, and how do early childhood experiences inform individual character?

Chapter Two: Fire on the Mountain

Back with the group the same evening, Ralph blows the conch shell to call another meeting. The effects of abandonment are visible in the boys’ attire: the sunburned children have put on clothing once more, while the choir is more disheveled, having abandoned their cloaks. When the group of boys gives Ralph full attention, Ralph suffers a brief lapse in confidence and is unsure whether to stand or sit while conducting a meeting. He looks to Piggy for affirmation of his authority. Ralph announces to the boys the results of the morning’s explorations. He explains that they are on an uninhabited island. At this point, Jack interjects and insists that they need an army to hunt the pigs. Ralph, Jack, and Simon excitedly describe to the others their encounter with the piglet, Jack insisting defensively that it “got away” before he had the chance to stab and kill it, and vowing again to kill it “next time.” To demonstrate his sincerity, Jack dramatically plunges his knife into a tree trunk, and the children, made uneasy by Jack’s boldness, fall into silence.

Task
Explain the plan the boys have to be rescued.

Recognizing that the meeting has devolved into disorder, Ralph announces that they will have to establish rules, not only in meetings, but also to organize day-to-day life. He states that, in meetings, the boys will have to raise their hands, like in school, so as to ensure that they speak one at a time. The boy whose turn it is to speak will receive the conch shell, which he will hold while talking, and then will pass it along to the next speaker. Jack interrupts to approve of the imposition of rules, and he begins excitedly explaining the punishment that will result from breaking them. Piggy, grabbing the conch from Ralph, reprimands Jack for “hindering Ralph.” He says that the most important thing is that nobody knows where they are and that they may be there a long time. The boys fall into an anxious silence.

Ralph, taking the conch again from Piggy, reassures the other boys, explaining that the island is theirs—and until the grown-ups come they will have fun. He says that it will be like a novel, and the others, excited once more, begin shouting the names of their favorite island adventure novels: Treasure Island, Swallows and Amazons, and The Coral Island. Ralph quiets the assembly by waving the conch. A small six-year-old boy whose face is half-covered by a red birthmark stands hesitantly to request the conch. He appears as if he is about to cry; once he has possession of the conch, he asks Ralph what the group will do about a snake-thing, which he describes as a “beastie” that appeared to him in the forest. Ralph assures the group that such animals only live in large countries, like those in Africa, so the boy must have dreamt the beastie in the aftermath of the crash. The boys seem largely reassured, though Ralph notices some signs of doubt on the faces of the younger children.

Ralph tells the boys that their goal while stranded shall be twofold: one, they should try to ensure their rescue, and two, they should try to have fun. He assures them that, as his Naval Commander father told him, there are no unknown islands on the planet, and thus they will be rescued. The others break into spontaneous applause at Ralph’s confidence in their rescue. He then explains to the group the details of his rescue plan. Ralph suggests that they build
a fire on the top of the mountain, for the smoke will signal their presence to passing ships. Jack summons the boys to come build a fire on the mountaintop, and they immediately follow, leaving Piggy and Ralph behind to discuss the outcome of the meeting.

Piggy expresses disgust at the childish behavior of the boys as Ralph catches up to the group and helps them carry piles of wood to the mountaintop. Eventually, the task proves too difficult for some of the smaller boys, who lose interest and search for fruit to eat. When they have gathered enough wood, Ralph and Jack wonder how to start a fire. Piggy arrives, and Jack suggests that they use his glasses. Jack snatches the glasses from Piggy, who can barely see without them. A boy named Maurice suggests that they use green branches to ignite the fire. After a few attempts, the glasses concentrate the rays of the sun and start a fire. Though the boys are mesmerized by the fire, it soon burns out. Piggy, disheartened by the waste of their only firewood, chastises Jack, and the two argue bitterly.

Ralph grabs the conch from Piggy and again reminds the group of the importance of rules. Jack agrees, explaining that they are not savages, they are English, and the English are the best at everything, so they must follow the right rules. Ralph concedes they might never be saved, and Piggy claims that he has been saying that, but nobody has listened. They get the fire going once more. While Piggy has the conch, he loses his temper again, telling the other boys they should have listened to his earlier orders to build shelters first while a fire is of secondary importance. Piggy worries that they still do not know exactly how many boys there are, and he mentions the snakes. Suddenly, one of the trees catches on fire, and one of the boys screams about snakes. Piggy thinks that one of the boys is missing.

Analysis

In the novel’s second chapter, Golding uses the progress of the boys on the island as a metaphor for early human development. The boys’ first achievement on the island is to build a fire, which like the conch shell brings the entire group of boys together in awe and wonder. According to Piggy, the next step should be for the boys to build some sort of shelter, again a mirror of the historical development of early human society. The “government” established by Ralph also develops during this chapter. Golding uses these developments to signal that the island is becoming a society with rules that mirror Western democratic culture. The conch shell, which authorizes its holder to speak and is available to all, is a particular symbol of the ideal of democratic freedom and equality. But, since Ralph decides who gets possession of the conch, the freedoms of the island are decided by authority. Though Ralph is a benevolent leader, the implication here is that democracy still depends on its leaders for justice.

Also like a democratic system, the makeshift government on the island sparks debate and dissent. Jack and Piggy have differing perspectives on what particular end Ralph’s rules will serve. Ralph takes a rational perspective based on ideas of justice: the rules will allow the boys to live fairly with one another, a belief that fits well with his democratic sensibility. Jack relishes the idea of rules as a means for control and for punishment, a reflection of his dictatorial ethos and tendency toward violence. Piggy, as the most intelligent of the three central characters, views the rules as useful tools for survival. He views all aspects of the boys’ behavior on the island in terms of whether they will contribute to their eventual rescue.

Golding continues to present Ralph as a calming, authoritative presence among the boys. When fear sets in among some of the younger boys, only Ralph has the presence to restore order and hope. Despite Piggy’s clear thinking and appraisal of their situation, his contentious manner and rude dismissal of the younger boys unfortunately causes his ideas to be dismissed. Even more importantly, he is a cynic who can do nothing to comfort the others, instead instilling in them a sense of fatalism. Piggy, whose pessimism and sadness make him a likely...
martyr, is established in this chapter as a prophet whose words are not heeded until it is too late. Golding uses Piggy’s advice as foreshadowing: failure to heed Piggy, however absurd he may sound, leads to dire consequences. Chapter Two contains the first example of Piggy’s prophecy: after the trip to the mountain, one of the boys seems to be missing. The implication is that if the others had heeded Piggy’s advice and allowed him to keep track of the number of boys and their names, there would be no confusion over whether one is missing.

Despite the boys’ dislike for Piggy, they appear to recognize that he is an important presence on the island. His glasses enable them to start a fire on the mountain. In particular, Piggy is useful for Jack, who remains more interested in hunting and causing pain and disorder than in contributing or constructing anything of use. It is significant that the development he is most supportive of is building a fire, which is by nature destructive even though it can be used for good. In this chapter, Golding also establishes Jack as a boy who tends to dominate. Jack’s statement about the English being the “best at everything” also suggests his nationalistic impulses. Jack adheres to the colonial English position that depended on the perceived superiority of the British to justify the colonization and forced development of other peoples, foreshadowing his brutal behavior in subsequent chapters. His statement that they are “not savages” will, by the end of the novel, appear deeply ironic as Jack and his tribe devolve into unthinkable depths of brutality and self-destruction.

The boys’ childishness is again highlighted as the boys face the challenge of meeting their basic needs for survival. The immediate dangers that the boys face are few, for on the island there is fruit, plus the pigs, to eat, yet as children they are overcome with irrational and diffuse terror. Golding suggests that their own sense of fear is the greatest danger to these boys. It is fear over a snake that causes the younger boys to panic and to exaggerate the dangers on the island, causing disorder and commotion. Both Jack and Piggy contribute to this sense of dread. Jack does so through his aggressive stance, which contains the implicit notion that they are in danger and must defend themselves from some unknown force. Piggy does so through his constant fatalism. It is here that Ralph best demonstrates his superiority for leadership, displaying the most calm of any of the characters and encouraging the others to be confident in their rescue.

Did u know? Ralph is established here not only as a political leader but also as a parental figure whose job is to reassure the scared boys and protect them from their own fears and doubts.

As the narrative moves closer to dramatic conflict and tragedy, Golding distinguishes Lord of the Flies from the romantic adventure stories that were popular among boys of the mid-twentieth century. In the second meeting, Ralph encourages the boys to have fun on the island and to think of the experience as one that would happen “in a novel.” Immediately, the boys begin shouting out the names of their favorite island adventures, including The Coral Island. The Coral Island (1857), written by R.M. Ballantyne, was a popular nineteenth-century novel that followed the happy adventures of three unsupervised boys on a tropical island. Golding, who found the narrative of The Coral Island naive and unlikely, wrote Lord of the Flies partly as a response to this novel. The mention of these idealized island narratives at the outset of Golding’s dystopian tale is thus ironic because the events to follow are nothing like the entertaining experiences of the boys on The Coral Island. Through the explicit comparison, the reader is encouraged to recognize Golding’s work as a critical commentary on popular adventure fiction on the basis of its optimistic unreality.
Also in Chapter Two, Golding introduces more symbols that will recur throughout the novel and which highlight important developments in the dramatic action. The fire that the boys build signifies the group’s hope for their rescue and return to the Home Counties. A powerful symbol of human civilization, the fire is a marker of the imposition of human industry on wild, untamed nature; the boys’ inability to maintain the fire indicates the waning possibility of both rescue and maintaining civilized order on the island. We may also note the introduction in this chapter of the “beastie,” or as it is later known, the “beast.” The idea of the beast is first mentioned by one of the younger boys though it is dismissed by most of the older children. As Ralph reassures them, he sees a glimmer of doubt in many of their expressions, an observation that mirrors the group’s eventual acceptance of the beast as a legitimate if improbable reality. The beast becomes an important motif that establishes the power and danger of group-think among the boys.

Chapter Three: Huts on the Beach

Jack scans the oppressively silent forest, looking for pigs to hunt. A bird startles him as he progresses along the trail. He examines the texture of vines (“creepers”) to determine whether or not pigs have run through that section of the brush. Finally, Jack spots a path cleared by pigs (a “pig run”) and hears the pattering of hooves. He raises his spear and hurls it at a group of pigs, driving them away and thus feeling a profound sense of impotence and frustration. The length of Jack’s hair, the mass of freckles on his tanned back, and the tattered condition of his shorts indicate that weeks have passed since the boys were abandoned on the island. Jack appears to have taken up his role as group hunter with zeal, and he at least has become talented at tracking pigs in the dense brush.

Having frightened off the pigs without a kill, Jack abandons the hunt and returns to a clearing in the forest, where the boys are constructing crude shelters out of tree trunks and palm leaves. He comes upon Ralph, who is working on a shelter facing the lagoon. Jack asks Ralph for water, who directs him to a tree where coconut shells full of water are arranged. After Jack quenches his thirst, Ralph complains to Jack that the boys are not working hard to build the shelters. The little ones-referred to now as “littluns,” are hopeless, spending most of their time bathing or eating. Jack reminds Ralph that he and his hunters are working hard to ensure that the group is always fed.

Jack then tells Ralph that as chief he should just order them to work harder. Ralph admits that even if he called a meeting, the group would agree to five minutes of work and then “wander off to go hunting.” Recognizing this as a slight against himself and his hunters, Jack blushes, and he explains that the group is hungry. Ralph points out that Jack’s group has yet to bring any meat back from the forest—the hunters would rather swim than hunt. Jack explains that he has little control over his hunters, but he has been working hard himself to “kill.” A “madness” flashes in his eyes when he vows to kill a pig, but Ralph again reminds him that he has not yet captured any prey.

The two argue about Jack’s contributions to the society on the island, Jack vowing to kill prey and Ralph insisting that they need shelters more than anything. Ralph mentions that the other boys, especially the littluns, are frightened and scream in the middle of the night. The two are interrupted by Simon, who reminds Ralph and Jack about the littluns’ fear of the “beastie.” The three reminisce about their first day on the island, when they explored the unknown territory together. They laugh that the littluns are “crackers.” Jack says that when he is hunting he often feels as if he is being hunted, but he admits that this is irrational. Nevertheless, he says, he knows “how they feel.”
Ralph ignores this confession and reminds Jack to remember the fire when he is out hunting. Ralph and Jack make their way to the mountain to inspect the fire, leaving Simon behind. The two speculate as to whether or not the fire is strong enough to signal a passing ship, but Jack is distracted again by thoughts of killing a pig. Ralph, indignant at Jack’s preoccupation with hunting, accuses him again of not contributing to the project of building shelters. Not wanting to start a fruitless argument, however, Ralph points out the other boys near the bathing pool and explains that Simon has worked as hard as he has at building shelters. The two make their way back to the huts in search of Simon, but he is nowhere to be found. Ralph, disappointed and confused, pronounces Simon “queer” and “funny.” The two boys decide to go swimming together in the island bathing pool and soon find that the tension between them has dissolved.

In the forest, Simon is wandering alone. Simon followed Jack and Ralph halfway up the beach toward the mountain, then turned into the forest with a sense of purpose. He is a tall, skinny boy with a coarse mop of black hair, brilliant eyes, and bare feet. He walks through the acres of fruit trees and finds fruit that the smallest boys cannot reach. He gives the boys fruit, then proceeds along the path into the jungle. He finds an open space and looks to see whether he is alone. This open space contains great aromatic bushes, a bowl of heat and light. Simon eagerly takes in the complex sensations of the forest, and he stays peacefully enclosed in a “cabin” of leaves until long after day has faded into night.

Analysis

The main focus of this short chapter is the developing conflict between Ralph and Jack. The two engage in a verbal argument that indicates that each character is clinging dogmatically to his own perspective. What is more, they represent opposing ideologies. While Ralph is dedicated to building shelters for the group, Jack is determined to become a successful hunter and establish himself as a lone hero among the group. Ralph’s orientation is towards the group, while Jack is concerned with his own glory, which hinges again on militaristic values. Jack seeks to dominate and conquer nature through hunting and killing pigs, a goal that foreshadows the intensification of his violent impulses throughout the novel and further identifies him as a symbol for totalitarian, as opposed to democratic, political organization.

The chapter’s beginning follows Jack on a solitary hunt through the forest, which underscores Jack’s importance to the novel and explains his preoccupation with hunting. For Jack, hunting is not an instinctive talent but a skill that he continues to develop as the story unfolds. His motives for hunting are disturbing. He hunts not for the ostensible purpose of gaining food to eat but for his personal enjoyment. Golding indicates that there is something tremendously dangerous in Jack’s obsession; his expression is one of “madness” when he speaks about his desire to kill. At this point in the story Jack is not sufficiently prepared to kill, but he is approaching the point at which he can inflict mortal violence upon another, whether a pig or a person. Ralph cannily realizes this trait when he reminds Jack that the most important thing that the boys must do is to build a shelter. He implicitly tells Jack that his obsession with hunting does not help the boys’ chances of survival.

Golding also elaborates on Ralph’s character, which is presented as sympathetic, rational, and focused on the group’s welfare. Still, he is not a perfect leader. He expresses regret and frustration that he cannot control the behavior of the other boys. The major burden that Ralph faces is that he must deal with young children unprepared to care for themselves or fulfill responsibility. As he explains, Ralph cannot simply give them orders and expect them to be completed, as Jack automatically assumes he can. Ralph alerts the reader to one of the major obstacles that the boys must overcome: they must behave beyond their years in order to survive and flourish long enough to be saved.
We may also note in Chapter Three the changes in the characters' appearances and in the language they use. There is a significant gap of time between this chapter and the last, and the boys have grown farther from the conventions and values of the Home Counties. Jack hunts in the forest half-naked, and many of the boys wear “tattered shorts” or have bare feet, details that indicate that they have abandoned the ways of home in favor of comfort and ease. Moreover, the younger boys, referred to as “little ones” in the previous chapters, are now called “littluns,” and Sam and Eric, the twins, have become “Samneric,” a compound that suggests that, in the eyes of the group, the two characters are considered one. In the absence of external authority, the boys have developed their own dress code and are beginning to establish their own language. It is becoming an independent culture. Golding reinforces the latter detail by reproducing the boys’ own invented words—“littluns” and “Samneric”—in his own third-person prose. The implication is that the boys’ civilization is less a mirror of their upbringing than it is a reflection of the unique concerns and dynamics of life on the island.

Chapter Three provides the reader with more insight into Simon’s character. Simon was introduced in Chapter One but is not important until he interrupts Ralph’s and Jack’s argument. Described as barefoot, long-haired, and alternately “queer” and “funny,” Simon is revealed as socially outcast from the other boys. Yet, unlike Piggy, Simon seems content with his difference and even cultivates it. When he, Ralph, and Jack decide to go look at the signal fire, Simon abruptly abandons the mission without word in order to wander off into the forest with a sense of “purpose.” Ignoring the usual rules of social interaction, which would require him to tell the others of his plans out of politeness, Simon distinguishes himself as ruled not by society but by an intense and even spiritual inner force. His long hair and bare feet connect him not only to nature but to the stereotypical wandering prophet or even Jesus Christ, a link that the novel will enforce further with his murder.

Simon’s experience in the jungle, which we read in detail, emphasizes his spiritual and peaceful character. The open space that he settles into in the jungle is an indication that, for Simon, the island is indeed Edenic. Unlike Ralph, who seeks to protect the group from nature, and Jack, who seeks to conquer and control it, Simon views the natural landscape as a place of beauty and tranquility. His excursion shows that he is the one character having an affinity with the natural world. There are strong religious overtones in Golding’s description of the area that Simon finds. With its candle-buds, serene stillness, and leafy walls, it recalls a place of worship.

**Self Assessment**

Fill in the blanks:

1. Ralph, a .......... year old boy with fair hair is climbing out of plane wreckage on a beach and towards a lagoon.


3. Golding indicates that Jack must prepare himself to commit a .......... .

4. Jack scans the oppressively Silent forest, looking for .......... to hunt.

5. The littluns have their own .......... and separate themselves from the older boys.

While the dialogue in Chapter Three highlights the ideological contrast between Jack and Ralph, on a structural level, Golding also forces Jack and Simon into comparison. The chapter begins and concludes in the forest, linking both characters to the area (in contrast to Ralph, who is associated with the beach and mountain areas that he has marked with symbols of civilization—the fire and shelters). Jack and Simon are both anti-civilizing characters, attracted to the wild, untamed environment of nature, which they prefer to experience in solitude and
silence. Nevertheless, their experiences of the forest are markedly distinct. While Jack disturbs and disrupts his surroundings, causing both birds and pigs to flee, Simon feels in complete harmony with the natural world. He submerges himself in the rhythms of the forest not to disturb it, but to appreciate its unique sounds, scents, and images. Jack and Simon thus represent two different human approaches to the natural world: the desire to subjugate nature and the desire to coexist in harmony with it. Within this schema, Ralph and Piggy represent a third position, that which seeks to retreat from but make use of nature with a distant but tangible respect.

Chapter Four: Painted Faces and Long Hair

The boys become accustomed to the pattern of their days on the island although it is impossible to adjust to the new rhythms of tropical life, which include the strange point at midday when the sea rises and appears to contain flickering images. Piggy discounts the midday illusions as mere mirages. While mornings are cool and comfortable, the afternoon sun is oppressively hot and bright, which incites fatigue among many of the boys.

As the boys settle into life on the island, factions develop. The smaller boys are now known by the generic title of “littluns,” including Percival, the smallest boy on the island, who had previously stayed in a small shelter for two days and had only recently emerged, red-eyed and miserable. The littluns spend most of the day searching for fruit to eat, and since they choose it indiscriminately they suffer from chronic diarrhea. They cry for their mothers less often than expected, and they spend time with the older boys only during Ralph’s assemblies. The littluns occupy themselves by building castles in the sand, complex structures whose fine details are only noticeable from close range. The littluns remain collectively troubled by nightmares and visions of the “beastie” described at the first meeting. They fear that the creature hunts the boys after nightfall.

Task Why is the fire allowed to go out?

Two older boys, Roger and Maurice, come out of the forest for a swim and, expressing their superiority over the littluns, begin to kick down the sand castles on the shore. Maurice, remembering that his mother chastised him for such behavior, feels guilty when he gets sand in Percival’s eye. While this conflict unfolds, Henry—a littlun who is related to the boy who disappeared—is preoccupied by some small creatures on the beach, which he finds fascinating. Roger picks up a stone to throw at Henry but deliberately misses him when he throws it, recalling the taboos of earlier life.

Jack thinks about why he is still unsuccessful as a hunter. He believes that the animals see him, so he wants to find some way to camouflage himself. Jack rubs his face with charcoal and laughs with a bloodthirsty snarl when he sees his reflection in a pool of water. From behind the mask, Jack appears liberated from shame and self-consciousness.

Piggy thinks about making a sundial so that they can tell time and better organize their days, but Ralph dismisses the idea. The idea that Piggy is an outsider is tacitly accepted.
believes that he sees smoke along the horizon coming from a ship, but there is not enough smoke from the mountain to signal it. Ralph starts to run to the up the mountain, but he is too late. Their signal fire is dead. Ralph screams for the ship to come back, but it passes without seeing them. Frustrated and sad, Ralph places the blame on the hunters, whose job it was to tend the fire.

From the forest, Jack and the hunters return covered in paint and humming a bizarre war chant. Ralph sees that the hunt has finally been successful: they are carrying a dead pig on a stick. Nevertheless, Ralph admonishes them for letting the fire go out. Jack, however, is overjoyed by the kill and ignores Ralph. Piggy begins to cry at their lost opportunity, and he also blames Jack. The two argue, and finally Jack punches Piggy in the stomach. Piggy’s glasses fly off, and one of the lenses breaks on the rocks. Jack eventually does apologize about the fire, but Ralph resents Jack’s misbehavior. Jack considers not letting Piggy have any meat, but he orders everyone to eat. Maurice pretends to be a pig, and the hunters circle around him, dancing and singing, “Kill the pig. Cut her throat. Bash her in.” Ralph vows to call an assembly.

Analysis

Golding begins the chapter by describing a sense of order among the boys on the island, and he concludes it by describing the order’s disintegration. Even the smallest boys appear to have accepted their fate on the island, and they have developed strategies, such as the building of sand castles, to minimize and contain their anguish. The key to the initial tranquility on the island is the maintenance of customs from the society in which the boys were raised. Yet, as the chapter’s opening passages imply, these customs are threatened by the natural forces at work on the island. The regular schedule of work, play time, and meal time is impossible in the volatile tropical atmosphere. That the boys do not know whether the movement of the mid-afternoon sea is real or a “mirage” indicates how ill-adjusted to the island they still are.

We begin to focus on the boys’—particularly Jack’s—transgression of the ordered rules of their invented society. Golding highlights how life on the island has begun to mirror human society, with the boys organizing themselves into cliques according to age and placing these cliques in a social hierarchy. The littluns have their own routines and separate themselves from the older boys. The intricate sandcastles the littluns build on the shore represent their continued respect for—even idealization of—human civilization, and their continuing presence at Ralph’s meetings signals the littluns’ investment in ordered island life, even though they do not contribute directly to the group’s survival.

Notes

Golding employs the littluns as symbols for the weak members of society that a successful democracy strives to protect.

The episode with Roger and Maurice kicking down the sandcastles thus signals the disintegration of ordered life on the island, and it foreshadows the end of Ralph’s democratic plans. The sandcastles are a miniature civilization on the shore. By destroying the sandcastles, Roger and Maurice not only express an abusive power over the younger boys but indicate their increasing disrespect for civilized order and human institutions. Still, Golding suggests, they have not yet devolved into complete savagery. Maurice, remembering his mother’s discipline, feels guilty about kicking sand into Percival’s eye, and Roger refrains from throwing a stone at Henry. The implication is that the influences of human society are difficult to erase from the human psyche; they remain internalized even in the absence of rules, and conscience retains its hold. Whatever lessons the boys’ past had instilled in them prove critical to maintaining
some semblance of peace on the island. Despite the stirrings of anarchy, the boys obey notions of appropriate behavior without any real external authority to determine what they can and cannot do. It is only when the boys completely transgress these civilized norms that they suffer.

Jack is the first to seriously overstep the boundaries of civilized society. His attempts to become a successful hunter are in effect attempts to succumb entirely to his animalistic nature. His painted face, reminiscent of some less developed societies, supposedly makes him indistinguishable from the animals of the forest. When Jack finally does kill a pig, as he has intended to do since the beginning of the novel, he fulfills a violent blood-lust that, until then, had remained frustrated. The other hunters share this quality; when they dance and sing about killing the pig, they show that they have succumbed to the thrill of violence. They relish the slaughter, an enjoyment that transcends pride and signifies pure lust. As they cheer on the means by which they mutilate the pig, their painted skin, chanting, and frenzy suggest they have developed their own sub-society, one based on rituals and an almost spiritual worship of blood, violence, and slaughter.

Maurice's impression of the pig during the dance calls attention to the increasingly indistinct line between violence against animals on the island and violence among the boys. Significantly, this chapter contains the first instance of explicit aggression between two boys. Jack, now accustomed to harming others with his recent kill, punches Piggy, who, as Golding reminds us, remains an outsider. The chapter further sets up Piggy as a martyr. He has the most grounded concerns of all the boys, and he offers the reasonable proposal that they construct a sundial, but he is also loathed by the others. Only Ralph, the most mature and grounded of the characters, sympathizes with Piggy and agrees with him that Jack made an egregious error by letting the fire go out. Piggy stands apart from the other boys, for he retains the goal of living in an increasingly civilized society. His hair does not even seem to grow, helping him retain the appearance of a normal English schoolboy while the others grow more disheveled and unkempt.

Jack also clashes with Ralph in this chapter, and the tension between their perspectives furthers the novel's concern with the two opposing political ideologies the boys represent, namely, totalitarianism and democracy. Ralph, whose overarching concern is the maintenance of the signal fire, is dedicated to the welfare of the entire group. He uses his power for the good of all. Jack, however, is concerned with becoming a successful hunter, less for the good it will bring to the other boys than for the thrill of the hunt and the increased social status he will have on the island. He seeks power because it will allow him to gratify his impulses and abuse others without punishment. The two boys' treatment of the littluns-Ralph is assuring, while Jack mocks and yells at them-demonstrates their different approaches to power.

The concurrent sighting of the ship and killing of the pig contribute to the disintegration of the relative calm on the island. These two events represent the different strands of human behavior inherent on the island. The ship is a reminder of the civilized society to which the boys belong, renewing the possibility that they may eventually escape the island. The killing of the pig is an example of their descent from civilized behavior into animalistic activity. This makes clear the dichotomy dividing Ralph and Piggy from Jack and the hunters. The former have a greater concern for returning to society while the latter enjoy their freedom from civilization (a group that, again, imposes its own totalitarian order under Jack). This conflict between the two forces at work among the boys on the island will guide much of the following conflict in the novel.
Chapter Five: Beast from Water

Ralph goes to the beach because he needs a place to think and feels overcome with frustration and impotence. He is saddened by his own physical appearance, which has grown shabby with neglect. In particular, his hair has grown uncomfortably long. He understands the weariness of life, where everything requires improvisation. Ralph decides to call a meeting near the bathing pool, realizing that he must think and must make a decision but that he lacks all intellectual ability.

That afternoon, Ralph blows the conch shell and the assembly gathers. He begins the assembly seriously, telling them that they are there not for making jokes or for cleverness. He reminds them that everyone built the first shelter, which is the most sturdy, while the third one, built only by Simon and Ralph, is unstable. He admonishes them for not using the appropriate areas for the lavatory. He also reminds them that the fire is the most important thing on the island, for it is their means of escape. He claims that they ought to die before they let the fire out. He directs this at the hunters in particular. He repeats the rule that the only place where they will have a fire is on the mountain. Addressing the spreading fear among the littluns, Ralph then attempts to demystify the question of the “beastie” or monster. He admits that he is frightened himself, but their fear is unfounded. Ralph again assures the group that there are no monsters on the island.

With his customary abruptness, Jack stands up, takes the conch from Ralph, and begins to yell at the littluns for screaming like babies and not hunting or building or helping. Jack tells them that there is no beast on the island. Piggy does agree with Jack on that point, telling the kids that there are no beasts and there is no real reason for fear-unless it is of other people. A littlun, Phil, tells that he had a nightmare and, when he awoke, saw something big and horrid moving among the trees. Ralph dismisses it as nothing. Simon admits that he was walking in the jungle at night.

Percival speaks next, and as he gives his name he recites his address and telephone number. This reminder of home, however, causes him to break out into tears. All of the littluns join him in crying. Percival claims that the beast comes out of the sea, and he tells them about frightening squids. Simon says that maybe there is a beast, and the boys speak about ghosts. Piggy claims he does not believe in ghosts, but Jack attempts to start a fight again by taunting Piggy and calling him “Fatty.”

Jack threatens Piggy again, and Ralph intercedes once more, complaining that they are breaking the rules. When Jack asks, “who cares?” Ralph says that the rules are the only thing that they have. Jack says that he and his hunters will kill the beast. The assembly breaks up as Jack leads them on a hunt. Only Ralph, Piggy, and Simon remain. Ralph says that if he blows the conch to summon them back and they refuse, then they will become like animals and will never be rescued. He asks Piggy whether there are ghosts or beasts on the island, but Piggy reassures him. Piggy warns Ralph that if he steps down as chief Jack will do nothing but hunt, and they will never be rescued. The three imagine the majesty of adult life. They also hear Percival still sobbing his address.
Notes

Analysis

The weight of leadership becomes oppressive for Ralph as the story continues; he is dutiful and dedicated, but his attempts to instill order and calm among the boys are increasingly unsuccessful. Golding develops Ralph’s particular concerns and insecurities in this chapter. By showing him brooding over his perceived failures, Golding highlights Ralph’s essentially responsible, adult nature. Ralph’s concern about his appearance, and particularly his grown-out hair, indicate his natural inclination towards the conventions of civilization. Although Ralph demonstrates a more than sufficient intellect, he also worries that he lacks Piggy’s genius. His one consolation is that he realizes that his abilities as a thinker allow him to recognize the same in Piggy, again a rational observation that draws the reader’s attention to his potential as a leader. The implication is that deviations from Ralph’s plans will be illogical, ill-informed, and dangerous.

Ralph still has a strong sense of self-doubt. He is not immune to fear, which he admits to the boys, and he even feels it necessary to ask Piggy whether there might actually be a ghost on the island. Thus, Golding presents Ralph as a reluctant leader. His elected position of chief has been thrust upon him, and he assumes it only because he is the most natural and qualified leader. He has no real ambition or drive, such as the rapacious energy that motivates Jack, but he knows that the boys will be best provided for under his care. It is Ralph who is most concerned with the rules of order on the island. He accurately tells the boys that without the rules, the boys have nothing. Ralph’s rules keep the boys tethered to some semblance of society, but without these rules there will be disastrous consequences.

Piggy remains the only fully rational character during the assembly and afterward. Piggy is the only boy who categorically dismisses the idea of a beast on the island, and he even reassures the generally unwavering Ralph on this point. It is Piggy who realizes that the boys’ fear is the only danger that they truly face so long as they have enough food to survive, and even this fear proves no actual threat to them. Still, the outcast Piggy once again is ignored in favor of lurid tales of beasts and ghosts; although he is consistently correct in his judgments, Piggy is continually ignored. He raises the important question of whether the boys wish to act like humans, savages, or animals. Once again, Ralph and Piggy exemplify civilized human order, while Jack represents a brutal anarchy that may devolve into animal behavior.

The conflict between Jack and Ralph, with Piggy as his ally, reaches a breaking point in this chapter. Although Jack initially dismisses the idea of a beast on the island, he comes to accept the idea when they conceive of the beast as an enemy that his hunters may kill. Jack continues to be an aggressive and destructive force. He again physically threatens Piggy, foreshadowing the eventual violent conflict between the two boys, and he even manipulates the young boys’ fear of monsters and ghosts. During the assembly Jack fully abandons the rules and codes of society. He promotes anarchy among the boys, leading them on a disorganized hunt for an imaginary beast. While Ralph is appointed leader for his calm demeanor and rationality, Jack gains his authority from irrationality and instinctual fear, manipulating the boys into thinking that there may be a dangerous creature that they should hunt. This behavior is dangerous; Ralph concludes that a focus on hunting will prevent them from ever leaving the island and seal their fate as no more than animals.

The assembly highlights how fear ferments and spreads in a group. The littluns begin with a concrete example of a frightening incident that is easily explained and is understandable, but the idea of something more sinister on the island provokes mass hysteria. The horrors that the boys imagine become progressively more abstract and frightening. Percival uses concrete facts about squids to arrive at an illogical conclusion that a squid may emerge from the sea to harm them. This then provokes the unfounded rumors that there may be supernatural beings, ghosts, on the island.
Monsters, violent squid, and ghosts: all three creatures represent different instantiations of the “beast” or “beastie” that has been the subject of the boys’ mounting fear. As the title suggests, the beast is of crucial importance to this chapter and will figure largely in the tragic events to come. On a symbolic level, the beast has several meanings. First, it invokes the devil, the Satan of Judeo-Christian mythology, which foreshadows the “lord of the flies” object that will become the mascot of Jack’s tribe later. The fear of the beast among the boys may symbolize their fear of evil from an external, supernatural source. Second, it symbolizes the unknown, amoral, dark forces of nature, which remain beyond the boys’ control. Finally, the beast may allude to the Freudian concept of the Id, the instinctual, primordial drive that is present in the human psyche and which, unfettered by social mores, tends towards savagery and destruction. In this framework, the boys’ fear of the beast is a displacement of a fear of themselves, of their capacity for violence and evil which is unleashed in the absence of adult authority and ordered social life.

With the anarchy incited by Jack and the panic among the littluns, only the illusion of civilization is left on the island. Percival’s tearful repetition of his home address is a stark reminder that the boys no longer reside in civilized culture and that the Home Counties remain little more than a pleasant memory. As Ralph, Piggy, and Simon muse on adulthood, we recall that adult society should be sufficiently rational and organized to solve the problems that the children face on the island, though we wonder how well a similar group of adults would do.

Chapter Six: Beast from Air

Later that night, Ralph and Simon pick up Percival and carry him into a shelter. Overhead, beyond the horizon, there is an aerial battle while the boys sleep. They do not hear the explosions in the sky, nor do they see a pilot drop from a parachute, sweeping across the reef toward the mountain. Unbeknownst to the boys, the dead pilot lands on the mountaintop, his flapping chute throwing strange shadows across the ground, with his head appearing to float in the wind.

Early the next morning, there are noises from a rock falling down the side of the mountain. The twins Samneric, the two boys on duty at the fire, awake and add kindling to the fire. Just then they spot the dead pilot at the top of the mountain and are immobilized by fear. Eventually, they scramble down the mountain to wake Ralph. Samneric claim that they saw the beast. Ralph calls a meeting, and the group assembles again at the beach. Eric announces to the other boys that he and Sam saw the beast. He describes it as having teeth and claws and states that it followed them as they ran away.

Jack calls for a hunt, but Piggy says that they should stay there, for the beast may not want to approach them on the beach. In response to Jack’s belligerence, Piggy points out that only he has the right to speak because he is holding the conch. Jack responds that they no longer need the conch. Ralph becomes exasperated at Jack, accusing him of not wanting to be rescued, and Jack takes a swing at him. Despite Jack’s hostility towards Ralph and the rules of the island, Ralph not only allows Jack to lead the hunt but also decides that he will accompany the hunters to search for the beast.

Self Assessment

Fill in the blanks:

6. Ralph decides to call a meeting near the ........

7. Ralph goes to the beach because he needs a place to think and feel overcome with ........

8. ........ highlights Ralph’s essentially responsible, adult nature.
9. The landing of the .......... on the mountain is a pivotal event in Lord of the flies.

10. The dead .......... appears to the boys as a supernatural creature.

Simon, wanting to prove that he is accepted, travels with Ralph, who wishes only for solitude. Soon, they reach a part of the island that they had not yet discovered. It is a thin path that leads to a series of caves inside a mountain face. While the other boys are afraid to traverse the walkway and explore the caves, Ralph accomplishes the feat and is encouraged by his own bravery. He enters one of the caves and is soon joined by Jack. The two experience a brief reconciliation as they have fun together exploring the new mountain territory.

They continue along a narrow wall of rocks that forms a bridge between parts of the island, reaching the open sea. At this point, however, some of the boys get distracted and spend time rolling rocks around the bridge. Ralph again gets frustrated and then asserts that it would be better to climb the mountain and rekindle the fire. He accuses the boys of losing sight of their original goal, finding and killing the beast. Contradicting Ralph, Jack states that he wishes to stay where they are because they can build a fort.

Analysis

The landing of the dead pilot on the mountain is a pivotal event in Lord of the Flies. The pilot represents an actual manifestation of the beast whose existence the boys had feared but never confirmed. None of the boys is immune to the implications of the dead pilot's presence on the island. Even Piggy, faced with some evidence that a beast actually exists, begins considering measures the boys should take to protect themselves. In contrast to the “beast from water” of the previous chapter (alternately figured as a monster, squid, and ghost), the beast from air is a concrete object toward which the boys can direct their fear. Significantly, however, the beast from air proves no threat to the boys. The dead body is nothing more than a harmless object left to be interpreted in vastly different ways by the various boys.

Task Why does Piggy only have “one” eye?

Given his increasingly violent behavior, intensified further by his successful slaughter of a forest pig, Jack unsurprisingly interprets the appearance of the beast from air as a cause for war. The possibility of a dangerous presence on the island is key to Jack’s gaining authority over the other boys, for he affirms their fear and gives them a focus for their violence and anger. Jack thus continues his authoritarian behavior with a strong emphasis on demagoguery. Jack requires a concrete enemy in order to assume dictatorial authority, and he finds one in the dead pilot despite its obvious inability to harm them. This foreshadows later developments in which Jack will focus his vitriol against other possible enemies. Like many tyrants, Jack assumes power by directing public fear towards scapegoats, in this case, the body of the dead pilot.

Chapter Six also confirms the increasing tension between Jack and Ralph, whose opposing ideas of social organization resurface. While he despises Piggy, Jack’s most threatening enemy is Ralph, who insists on rules and self-discipline over wild adventures and hunting. Ralph remains focused on the clear objective of keeping the fire burning to alert possible passing ships, while Jack is committed to only those pursuits that allow him to behave in a destructive manner. Previously, Jack was committed to the rules of order that would allow him to punish others; in this chapter, however, Golding presents Jack as accepting anarchy when it serves his purposes. His assertion that the boys no longer need the conch shell in meetings signifies
Jack’s explicit rejection of the democratic rules established in the boys’ first meeting. Jack emerges in Chapter Six as driven less by totalitarian or anarchist ideology than by self-interest, although the anarchy makes room for a new order led totally by Jack.

Jack’s increasing credibility among the group isolates Ralph from the other boys, who find Jack’s focus on the games of hunting and building forts more appealing than Ralph’s commitment to keeping the fire burning and remaining safe. After all, what is so bad about a life on the beach with plenty of fruit and fun? Throughout the chapter, Golding develops this rift between the more mature Ralph and the other boys. Ralph finds he must ally himself with the intellectual Piggy and the introspective Simon. As the other boys narrow their focus to pure self-interest, with a limited focus on survival (killing the beast) and a greater goal of satisfying their boyish desires (playing as hunters), the three boys represent three facets of distinctly human thought. Ralph, who strives to balance priorities successfully, represents practical reason and democratic ethics. Piggy the problem-solver represents pure intellect. Simon, in contrast, is a spiritual thinker who demonstrates the ability to transcend individual interests in order to achieve not just peace but harmony with others and with the natural environment.

Significantly, Golding begins Chapter Six with a description of an aerial battle that, unlike most of the narrative, is not filtered through one of the boys’ perspectives. The reader learns of the events of the battle while the boys remain sleeping and unaware. This special knowledge calls our attention to the dramatic irony here, the gap between reality and the boys’ interpretation of that reality. The group’s hysterical reaction to the “beast from air,” which the reader knows is a dead parachutist, underscores how distorted, irrational, and fear-driven the boys’ reasoning is. Rather than leaving readers with the boys’ perspective, which would require readers to figure out the reality of the situation on their own, Golding briefly gives the reader an objective viewpoint in order to help readers perceive the danger of the children’s mounting irrationality.

Moreover, the chapter’s opening description of the aerial battle highlights one of the novel’s missions, that is, as a political allegory rooted in the Cold War. The war described here is fictional and accords with no real historical events; nevertheless, the rhetoric Golding uses in this section evokes the conflict of the Cold War. The battle is between England and “the Reds,” and an atom bomb—the main weapon at issue in the arms race—is responsible for evacuating the children from the Home Counties. Golding plays on the fears of Cold War America and Great Britain to reinforce his cautionary tale about the superiority of democracy. That the war again threatens the boys, through the misinterpreted figure of the dead parachutist, also draws the reader’s attention to the fact that the children are primarily victims of war. From this perspective, the tragic events to follow are consequences of a global crisis rooted as much in war as in human nature.

Again in Chapter Six, Golding uses religious symbolism to express the underlying themes of the novel. The dead parachutist appears to the boys as a supernatural creature; Golding enforces the twins’ interpretation by describing the dead body with mystical imagery and language. The body appears to lift and drop its own head, and the flapping parachute opens and closes in the wind. Samneric describe it as a “beast,” but Golding’s opening description, which follows the parachutist as he drifts across the island—as well as the wing-like quality of his torn parachute—implies that he is more akin to a fallen angel. In Judeo-Christian mythology the first fallen angel was Lucifer, who later became Satan, the incarnation of evil. The parachutist thus serves as a symbol of, and motivation for, the evil that is now manifesting on the island. The Satanic function of the dead body is compounded by the violent, tragic action that results from the confusion surrounding its identity.
25.2 Summary

- Ralph, excited by the idea of living without adult supervision, immediately takes advantage of the freedom on the island.
- In contrast to the violent Jack and charismatic Ralph, Piggy is immediately established as the intellectual of the group.
- Golding uses the progress of the boys on the island as a metaphor for early human development.
- Golding introduces more symbols that will recur throughout the novel and which highlight important developments in the dramatic action.
- Piggy thinks about making a sundial so that they can tell time and better organize their days, but Ralph dismisses the idea.
- Simon, wanting to prove that he is accepted, travels with Ralph, who wishes only for solitude.
- The battle is between England and “the Reds,” and an atom bomb—the main weapon at issue in the arms race—is responsible for evacuating the children from the Home Counties.

25.3 Keywords

- **Chant**: repeated rhythmic phrase.
- **Anarchy**: a State of disorder due to absence or non-recognition of government.
- **Ferment**: undergo or cause to undergo fermentation.
- **Succumb**: die from the effect of an injury.

25.4 Review Questions

1. What Circumstances have brought the boys to the Island?
2. Why does Jack feel, he should be elected chief?
3. Describe Simon. Why does he go off alone up the mountain?
4. who are the littluns? What did they do with their time?
5. Why do the littluns cry in their sleep?
6. Do any of the boys really believe in the beast?

Answers: Self Assessment

1. Twelve
2. Pasific ocean
3. violent act
4. Pigs
5. Routines
6. Bathing pool
7. Frustration and impotence
8. Golding
9. Dead pilot
10. Parachutist
25.5 Further Readings

Books
- Lord Of The Flies (E Text) — William Golding
- William Golding’s Lord of Flies — Walter A. Freeman
- The Novels of William Golding — Indu Kulkarni

Online links
- http://www.pinkmonkey.com/booknotes/monkeynotes/pmLordFlies02.asp
Unit 26: William Golding—Lord of the Flies: Detailed Study of Text-II

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Introduction
26.1 Detailed Study of Text
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26.4 Review Questions
26.5 Further Readings

Objectives

After studying this unit, you will be able to:

• Explain detailed study of text Lord of the flies chapters seven to twelve
• Discuss summary and analysis of chapters seven to twelve.

Introduction

Golding write the novel in the third person perspective. there is one omniscient narrator. Although the book generally follows Ralph, it occasionally breaks off and follows another character for a time. This entire book is authobiographical in that it tells us something the author wants to show us. Golding tries to teach us and warn us of the evil nature of mankind. He says through the book that we are evil and that it is only society that keeps us from committing crimes.

Golding frequently uses imagery to describe the scenery and the setting. A good example occurs in the first passage where Golding's writes, “there was a strip of weed-strewn beach that was almost as firm as a road. A Kind of glamour was spread over them and the scene and they were conscious of the glamour and made happy by it.”

Golding uses a lot of symbolism in The Lord of the Flies. The entire book is symbolic of the nature of man and society in general as the island becomes a society metaphorical to society as a whole and the hunt at the end of the book symbolic of the war. A symbol Golding uses throughout the book is the conch. It represents authority and order. The person holding the conch had the power, and it created order and rules since when it was called, everyone had to listen. Another symbol is Piggy’s glasses. It symbolized knowledge and insight. While Piggy had them, he was able to give advice to the group, such as that of the signal fire. It was the glasses that created the fire. However, after the glasses are broken, the group loses what insight they had. The war paint is also a symbol. It symbolized the rejection of society. In a way, when they put on the mask of war paint, they took off the mask of society and revealed their true inner selves which were savage.
26.1 Detailed Study of Text

Chapter Seven: Shadows and Tall Trees

The boys continue to travel across the island to the mountain, and they stop to eat. Ralph notices how long his hair is and how dirty and unclean he has become. He has been following the hunters, and he observes that on this side of the island, which is opposite to the one on which the boys have settled, the view is utterly different. The horizon is a hard, clipped blue, and the ocean crashes against the rocks. He compares the ocean to a thick wall, an impermeable barrier preventing the boys’ escape. As Ralph appears to lose hope, Simon reassures him that they will leave the island eventually. Ralph is somewhat doubtful, but Simon replies that his thoughts are simply opinions. Roger calls for Ralph, telling him that they need to continue hunting.

That afternoon, the boys discover pig droppings. Jack suggests that they hunt the pig in addition to continuing their search for the beast. A boar appears, and the boys set out in pursuit of it. Ralph, who has never hunted before, is excited by the chase and quickly gets caught up in the adventure. He throws his spear at a boar. While it only nicks his snout, Ralph is encouraged by what he considers his good marksmanship.

Jack is wounded on his left forearm, apparently by the boar’s tusks. He proudly presents his wound to the crowd, and Simon tells him he should suck the wound to prevent infection. The hunters go into a frenzy once more, repeatedly chanting “kill the pig.” Caught up in the momentum of their chanting and dancing, they jab at Robert with their spears, at first in jest, and then with more dangerous intent. Frightened and hurt, Robert drags himself away from the crowd, now aware that they are carried away with their game. Roger and Jack talk about the chanting, and Jack says that someone should dress up as a pig and pretend to knock him over. When Robert says that Jack should get a real pig that he can actually kill, Jack replies that they could just use a littlun. The boys, enamored by Jack’s bold statement, laugh and cheer him on. Ralph tries to remind the boys that they were only playing a game. He is concerned about the increasingly violent, impulsive behavior of the hunters.

As evening falls, the boys start climbing up the mountain once more, and Ralph realizes that they won’t be able to return to the beach until morning. He does not want to leave the littluns alone with Piggy all night. Jack mocks Ralph for his concern for Piggy. Simon says that he can go back to the beach and inform the group of the hunters’ whereabouts. Ralph tells Jack that there is not enough light to go hunting for pigs, so they should wait until morning. Sensing hostility from Jack, Ralph asks him why he hates him. Jack has no answer.

Though the hunters are tired and afraid, Jack vows that he will go up the mountain to look for the beast. Jack mocks Ralph for not wanting to go up the mountain, accusing him of being afraid. Jack claims he saw something bulge on the mountain. Since Jack seems for the first time somewhat afraid, Ralph agrees that they will look for it immediately. The boys see a rock-like hump and something like a great ape sitting asleep with its head between its knees. As soon as they see it, the boys run off, terrified.

Analysis

In this chapter, Golding further develops the themes he introduced in “Beast From Air.” The rift between Jack and Ralph becomes more intense as Ralph continues to remind Jack of his misguided priorities. The struggle in this chapter between the two characters again assumes political overtones, as the two engage in a power struggle for authority over the other boys.
The concerns of Ralph and Jack were established in previous chapters: the former focuses on survival and escape while the latter focuses on hunting and self-gratification. In this chapter, Golding examines the tactics that each uses to assert his authority. Jack uses his bravado to signify his strength and dominance, and he attempts to diminish Ralph in the eyes of the other boys by ridiculing him for his supposed cowardice. Ralph, on the other hand, is straightforward and direct. He challenges Jack’s overblown self-confidence by honestly noting that Jack is wrongly motivated by hatred.

Golding continues to use imagery and symbolism to trace the boys’ descent into disorder, violence, and amorality. In particular, Golding suggests in this chapter that the line between the boys and animals is becoming increasingly blurred. The hunters chant and dance, and one of the boys again pretend to be a pig while the other boys pretend to kill him. The parallel between boy and pig in the ritual is a powerful dramatization of the implications of the boys’ giving in to their violent impulses, indicating that the children are no better than animals and that, like the pig, they too will be sacrificed to fulfill the brutal desires of Jack and his hunters.

Characterization in Chapter Seven also foreshadows the tragic events to come. In particular, Jack, who is increasingly confident as a hunter and leader, suggests that his violent impulses are now directed at the other children as well as at the pigs on the island. Jack’s joke that the group should kill a littleuns in place of a pig demonstrates a blatant disregard for human life and explicitly acknowledges that he appreciates violence for its own sake. His joke also signals the waning of his conscience as the boys continue to exist in the absence of adult society and its rules. Jack, who previously needed to prepare himself to kill a pig, indicates that he is now probably capable of killing people without remorse.

As Ralph faces the challenge of tracking and hunting the beast, physical tasks that are unfamiliar to him as the political leader of the boys, he demonstrates the dangerous appeal of aggressive and impulsive behavior such as Jack’s. Golding tracks Ralph’s brief sympathy with Jack’s mindset to suggest that even the most civilized humans are susceptible to groupthink and the pressures of the Id, which is inclined towards destruction and self-gratification. The chapter begins with Ralph expressing disgust over his appearance, which again indicates his natural disinclination towards savagery. Yet, like Jack, Ralph feels exhilarated during the hunt and begins to understand the primal appeal of killing pigs. It is Jack’s decision to continue the hunt in darkness, which Ralph rightly recognizes as ill-informed, that finally reminds Ralph of the essential foolishness of Jack’s mindset. By showing Ralph’s character as threatened but not subsumed by Jack’s will, Golding suggests that the human impulse towards savagery, which is both strong and natural, can nevertheless be overcome by reason and intelligence.

While Golding’s characterization of Jack and his hunters intends to caution the reader about the destructive impulses that reside inside all humans, it is important to note the historical biases at work in this depiction of the boys’ hunting rituals. The boys chant and dance around in circles, whipping themselves up into a “frenzy” that pushes them to the brink of actual murder. They represent or are becoming “savages,” which in Golding’s time reminded readers of the native peoples of the Americas and Africa. This stereotype tended to associate these peoples with a very limited and barbaric culture, failing to appreciate the complex culture that events such as ritual dances expressed. A more charitable view of Jack’s new warrior culture, say from an anthropologist’s perspective, would not stress the dehumanization of the war-dance so much as their natural human reaction to the difficult conditions on the island, a reaction that after all can produce the meat that the children need.

Nature is also of crucial significance in this chapter. As the boys move farther from the camp into the unexplored recesses of the forest and mountain areas, they contend with the powerful forces of the natural world, which is untamed and indifferent to the boys’ concerns. The emphasis on the indifference of nature in this chapter is significant in several ways. First, it
suggests the continuing dehumanization of the boys as they remain cut-off from the larger world and without successful social organization. Their progress from the semi-humanized beach, with its shelters and sandcastles, to the wild forest and mountain areas, mirrors their descent into complete savagery. The chapter’s beginning, in which Ralph compares the ocean to an impenetrable wall, also suggests the extent to which nature remains the boys’ most powerful antagonist. Ralph’s pessimistic observations foreshadow the following chapters, in which Simon discovers that the “beast” is actually a dead body, whose presence on the island can be explained rationally. It was the darkness of the night that prevented the boys from recognizing the true nature of the creature of the mountaintop. Throughout the novel, the natural world frustrates and threatens the boys’ understanding of their situation and their relationships with one another. Ralph’s sense of defeat in the face of the ocean in this chapter thus indicates that he is beginning to register the power of nature and the part it plays in their struggle for rescue and self-government.

The conclusion of the chapter, with the boys’ collective misrecognition of the dead parachutist as a malevolent beast, highlights the power of human nature to fear the unknown and magnify its importance. The boys compare the figure on the mountaintop to a great ape. The primate is a common symbol for early man and man’s origins as an animal species. The boys recognize the ape-like creature as a monster, a moment that underscores the monstrous potential of humanity at its most primitive and base. The parachutist, whose arrival on the island inaugurates a series of events that lead to complete anarchy and bloodshed, thus links together evil, nature, and humanity in a single symbol. The haste with which the boys decide the dead body is a “monster” indicates not only the infectiousness of hysterical thinking among the boys, but also the extent to which the beast is a projection of their fear of their own savagery and violence.

Chapter Eight: Gift for the Darkness

The next morning, the boys gather on the beach to discuss what the hunters saw. Ralph tells Piggy about the creature on the mountain, which he describes as a beast with teeth and big black eyes. Piggy is skeptical. Jack assures the group that his hunters can defeat the beast, but Ralph dismisses Jack’s group as no more than boys with sticks. Jack tells the other boys that the beast is a hunter, and he informs them that Ralph thinks that the boys are cowards. He continues his attack on Ralph, claiming that Ralph is no proper chief, for he is a coward himself. Jack asks the boys if they want Ralph to be fired as chief. When nobody agrees with him, Jack runs off in tears. He asserts that he is no longer going to be part of Ralph’s lot. Jack leaves the group on the beach.

After Jack runs off, Piggy tells the group they can do without him, but they should stay close to the platform. Simon suggests that they climb the mountain. Piggy says that if they climb the mountain they can start the fire again, but he then suggests that they start a fire down by the beach. Piggy organizes the new fire area by the beach. Ralph notices that several of the boys are missing. Piggy is confident that they all will do well enough if they behave with common sense, and he proposes a feast. They wonder where Simon has gone and surmise that he might be climbing the mountain. In fact, Simon left to sit in the open space he had found earlier.

Far off along the beach, Jack proclaims that he will be chief of the hunters and that they must forget about the beast. He says that they might go later to the castle rock, but now they will kill a pig and have a feast to celebrate their independence. They find a group of pigs, and Jack kills a large sow by forcing his spear up her anus. Jack rubs the blood over Maurice’s cheeks while Roger laughs about how the fatal blow against the sow was delivered up her ass. They
cut-off the pig’s head and leave it on a stick as a gift for the beast at the mountaintop. When they place the offering upright, blood drips down the sow’s teeth, and they run away. Simon, from his private space, sees the head, which has flies buzzing around it.

Notes

Back on the beach, Ralph worries that the boys will die if they are not rescued soon. Ralph and Piggy realize that it is Jack who makes everything break apart.

Ralph’s group is startled as the forest suddenly bursts into uproar. The littluns run-off while Jack approaches, naked except for paint and a belt, his hunters taking burning branches from the fire. Jack tells Ralph and his group that he and his hunters are living along the beach by a flat rock, where they hunt and feast and have fun. He invites the boys to join his tribe. When Jack leaves, Ralph say that he thought Jack was going to take the conch, which Ralph still considers a symbol of ritual and order. They reassure each other again that the fire is the most important task at hand. But a boy among them named Bill appears skeptical. He suggests that they go to the hunters’ feast and tell them that the fire is hard on them. At the top of the mountain remains the pig’s head, which Simon has dubbed the Lord of the Flies.

Simon believes that the pig’s head speaks to him. He thinks that it is calling him a silly little boy. The Lord of the Flies tells Simon to run off and play with the others, who think that he is crazy. Lord of the Flies claims that he is the Beast, and the Beast laughs at the idea that the Beast is something that could be hunted and killed, for he is within every human being and thus can never be defeated or escaped from. Terrified and disoriented by this disturbing vision, Simon falls down and loses consciousness.

Analysis

In this chapter, Golding continues to use his main characters as personifications of various facets of the human spirit. Piggy remains the lone skeptic among the boys and still unsure of the presence of the beast, which continues to be the focus of island life for Jack and his hunters. Even Ralph, succumbing to fear and suspicion, now believes that there is a beast on the island. Although Ralph is the clear protagonist of the story and the character to whom Golding affixes the reader’s perspective, he is still susceptible to the childish passions and irrationality that are, to varying extents, present in the other children. Ralph’s weakness is not insignificant. While Ralph may be more mature and rational than Jack and his hunters, given the right circumstances he can submit to the same passions as the other boys, a tendency that foreshadows the tragic events that unfold in subsequent chapters.

Task How does Ralph describe the beast?

The political subtext of previous chapters becomes more overt in this chapter as Jack explicitly attempts to overthrow Ralph as chief. Although Ralph successfully defends himself against Jack’s attack by calling the other boys’ attention to Jack’s shortsightedness and cowardice, Jack is resolved that he will take control. Jack’s refusal to accept the other boys’ decision serves as a reminder that Jack is still a child who considers life on the island as a game; he assumes the position that, if he cannot set the rules of the game, he refuses to play at all. This decision provokes the subsequent events of the chapter, which focus on Jack’s rejection not only of Ralph’s authority but of the entire pseudo-democracy on the island that had conferred authority on Ralph. Jack, realizing that he cannot take authority directly away from Ralph, appoints
himself as the authority and begins his own “tribe.” Two “governments” thus exist on the island in this chapter. Ralph presides over what resembles a liberal democracy, while Jack forms a type of military dictatorship. The two systems remain ideologically opposed, an opposition that Golding highlights by placing the camps on different sides of the island. The structure of the chapter also evokes the creation of two different governments on the island and foreshadows the dominance of Jack’s system over Ralph’s. If there is a belligerent culture nearby, a peaceful culture must militarize in order to survive. The chapter begins with Jack rejecting Ralph’s conch shell as a symbol of authority conferred by democratic consensus, and it ends with the creation of the Lord of the Flies, a symbol of the lawlessness and violence that motivates Jack’s desire for power.

Golding also continues to represent Piggy as the sensible and in some respects the most essential character for the boys’ survival. The abrasive edge that Piggy demonstrated upon their arrival now becomes secondary to his practical wisdom, his ability to quickly understand and adapt to new situations. Among the major characters, Piggy is the only one who does not have predictable emotions. While Jack and Simon descend into their respective forms of madness and Ralph remains sensible but increasingly cynical and vulnerable, Piggy confounds the reader’s expectations by assuming authority over the boys despite his sickly appearance and aversion to physical labor. In this chapter, even Ralph defers to Piggy’s sound judgment and resolve. But any hints of Piggy’s heroism in this chapter are undermined by the increasing subjugation of the island’s pigs to Jack and his hunters. Piggy is linked to the pigs by his name; as Jack’s group become more focused on and adept at hunting them, Piggy’s own victimization by the group becomes more likely.

Did u know? In part, the killing of the sow foreshadows Piggy’s tragic fate.

As was foreshadowed in the previous chapter, Jack and his hunters continue to devolve into savagery in Chapter Eight. They indulge more and more in stereotypical “native” behavior that emphasizes the use of violence and rituals of song and dance. For these boys the actions are initially little more than a game; when Jack invites the other boys to join his tribe, he explains that the point of this new tribe is solely to have fun. The boys continue to see their behavior as savages as part of an elaborate game, even as the “game” takes on increasingly dangerous and violent undertones. The mounting brutality and impulsiveness of Jack’s group in this chapter foreshadows the events of Chapter Nine, in which the boys’ behavior moves from mere pretending at violence to actual murder.

The scene where Simon confronts the pig’s head, which he calls the Lord of the Flies, remains the most debated episode among critics of the novel. Many critics have noted that the scene resembles the New Testament’s telling of Jesus’ confrontation with Satan during his forty days in the wilderness. Simon, a naturally moral, selfless character, does seem to be a Christ-figure who, in his knowledge of the true nature of the beast, is the sole bearer of truth at this point in the novel. In this scene with the pig’s head, represented as evil, he meets and struggles against his antithesis. His eventual sacrifice, again an allusion to the crucifixion of Jesus, will mark the triumph of evil over good on the island.

A close reading of Simon’s interaction with the pig’s head can yield additional interpretations. In ways that complicate the biblical allegory in this scene, Golding also represents the Lord of the Flies in this chapter as the symbol of the boys’ descent from civilized behavior to inhuman savagery. In this framework, the pig’s head serves as a corrective for Simon’s naive view of nature as a peaceful force. For Simon, the pig’s head is a revelation (his final one) that alerts him to the fact that while nature is beautiful and fascinating, it is also brutal and
indifferent. In previous chapters, Golding linked Simon to a vision of nature that was abundant, beautiful, and Edenic. The Lord of the Flies represents a different kind of nature, a hellish one, not one of paradise. Seen through Simon’s perspective, the Lord of the Flies is a Hobbesian reminder that human life in the most basic state of nature is in fact nasty, brutish, short, and worse. In keeping with Golding’s characterization of Simon as spiritual, the pig’s head has deep religious connotations: the phrase “lord of the flies” is a translation of the Hebrew word Ba’alzevuv, or its Greek equivalent Beelzebub. The pig’s head is thus a symbol of Satan, but, as it reminds Simon, this devil is not an external force. Rather, it is a more nefarious evil, one created by, and remaining within, the boys themselves.

Another interesting facet of Golding’s representation of nature in this chapter is evident in the pig hunt. Historically, artists and novelists have associated the natural world with women, in contrast to the civilized world, which they linked to men. Nature is often gendered in literature as female and in this sense a threat to the civilized forces of masculinity. Accordingly, Golding represents this pig hunt in gendered terms and with violent sexual imagery in that the boys kill a female pig with a spear thrust into her anus, which evokes rape. In a novel that has no female human characters appearing in any scene, and in which women are barely even mentioned, this sow and what happens to her carries additional weight. The brutal murder of the sow represents the boys’ attempts to subjugate and impose their will on the natural world, coded here as feminine. We may again note the metaphoric link between Piggy and the sow, which calls attention to the ways in which Piggy is himself coded as “feminine”: hairless, softly rounded, and with several stereotypically girlish qualities, such as disliking physical labor. In this way, too, the sow’s subjugation anticipates his own.

Chapter Nine: A View to a Death

On the humid, dark mountaintop, Simon’s fit passes into the weariness of sleep. Waking up, Simon speaks aloud to himself, questioning what he will do next. His nose bleeding, he climbs farther up the mountain, and in the dim light, catches sight of the Beast. This time, however, he recognizes it as the body of the man who parachuted onto the island. Overwhelmed with disgust and dread, Simon vomits. He realizes that he must inform the other boys of their mistake, and he staggers down the mountain toward Jack’s camp to tell them what he has found.

Ralph notices the clouds overhead and estimates that it will rain again. Ralph and Piggy play in the lagoon, and Piggy gets mad when Ralph squirts water on him, getting his glasses wet. They wonder where most of the other boys have gone, and they realize that they must have gone to Jack’s feast for the childish fun of pretending to be a tribe and putting on war paint. They decide to find them to ensure that the events do not spiral out of control.

Self Assessment

State the following sentences are True or False:

1. Ralph is selected leader because he had been the boys leader back at school.
2. The Choir is given the responsibility of hunting.
3. The Lord of the flies is nothing more than a pigs head on a stick.
4. Simon talks with the Lord of the flies in a dreamlike state.
5. Ralph comes to respect Piggy’s intelligence.
When Ralph and Piggy arrive at Jack’s camp, they find the other boys sitting in a group together, laughing and eating the roasted sow. Jack, now a leader, sits on a great log, painted and garlanded as an idol. When he sees Ralph and Piggy, he orders the other boys to give them something to eat, then orders another boy to bring him a drink. Jack asks all of the boys who among them will join his tribe, for he gave them food and demonstrated that his hunters will protect them. Ralph is distressed to see most of them agree to join Jack’s tribe. Attempting to convince his boys otherwise, Ralph provokes yet another argument with Jack, and the two yell at each other about who deserves to be chief. Feeling that he is losing ground, Ralph appeals to his symbol of authority, the conch shell. Jack, however, does not acknowledge the conch’s significance and tells Ralph that it does not count on his side of the island.

Disturbed by the hostile turn of events, Piggy urges Ralph to leave Jack’s camp before there is serious trouble. It starts to rain. Ralph warns the group that a storm is coming and points out that Jack’s tribe is unprepared for such disasters, since they do not even have any shelters. The littluns become frightened, and Jack tries to reassure them by ordering his group to perform its ritual pig hunting dance. The boys begin dancing and chanting wildly, and they are soon consumed by frenzy. The storm begins, and a figure emerges suddenly from the forest. It is Simon, running to tell the others about the dead parachutist. Caught up in the madness of the dance, however, they do not recognize him. As Simon cries out about the dead body on the mountain, the boys rush after him with violent malice. They fall on Simon, striking him repeatedly until he is dead.

Task: What are the reasons the other boys go to Jack’s party?

Meanwhile, on the mountain, the storm intensifies and spreads across the island. The boys run to the shelters, seeking safety from the increasingly violent wind and rain. The strong winds lift the parachute and the body attached to it and blows it across the island and into the sea, a sight which again terrifies the boys, who still mistake the body for a beast. At the same time, the strong tide, propelled by wind, washes over Simon’s body and carries it out to sea, where a school of glowing fish surrounds it.

Analysis

In this particularly significant chapter, Ralph finally loses his leadership over the other boys, who succumb to Jack’s increasing charisma and the opportunity he gives them to indulge their violent and childish interests. Golding underscores the tragedy of this shift in power with the violent storm that ravages the island, a storm for which the shortsighted Jack was not prepared. Just when Ralph’s calm judgment and practicality is most needed, he lacks the authority to bring the boys to safety. The storm on the island serves as a reminder of the perils they face; while Ralph has built shelters for the boys and is prepared for this situation, Jack has focused simply on hunting and entertaining the boys, to their detriment. Golding again directs the reader’s sympathy towards Ralph, whose concern remains for the good of the group.

Jack’s authority over the other boys becomes increasingly disturbing and dangerous in this chapter. When Ralph finds Jack, he is painted and garlanded, sitting on a log like an idol. This distinctly pagan image is at odds with the ordered society from which Jack came and is the final manifestation of his rejection of civilization. We may note again the presence of chanting and dancing among the boys in his group and recall that, prior to their arrival on the island, Jack and his boys were members of a choir. Traditionally, boys’ choirs sang Christian religious songs and hymns. Jack and his tribesmen still sing, but they sing chants that strongly evoke...
the animistic religious traditions of native cultures. Their choice of ritual and song, coupled with Jack’s appearance as an “idol,” indicates the boys’ complete and final rejection of the civilization of the Home Counties.

In this chapter, Golding also emphasizes Jack’s rise to power and foreshadows the brutal consequences of his authority. Again, Jack rejects the rules established for the island, telling Ralph that the conch yields no authority when Ralph attempts to cite precedent. He signifies his power over his tribe with his painted body and garlands, an image that alludes to Joseph Conrad’s 1902 novella, Heart of Darkness, in which a boat captain, Marlow, accepts an assignment to find a defecting government agent, Kurtz, in Africa. In Conrad’s story, Marlow discovers Kurtz in a remote area of the continent, living with a group of natives who worship him as their leader and god. In this chapter of Lord of the Flies, Golding draws a deliberate parallel between Jack and Kurtz in order to emphasize the extent of Jack’s power over the other boys and to call the reader’s attention to the severity of the tension between Ralph and Jack which, like the tension between Marlow and Kurtz, is strongly ideological (Marlow and Ralph representing civilization, and Jack and Kurtz representing savagery). This tension eventually leads to violent conflict.

Note the increasing importance of the beast to the boys in this chapter, and its centrality to Jack’s usurping of leadership from Ralph. As Ralph and Piggy discover, Jack and his tribe have constructed an elaborate mythology around the beast, to which they now attribute many qualities that were not present in earlier descriptions. They believe that the beast is immortal and can change shape as it wishes, and they claim that it must be both worshiped and feared. Around this mythology Jack has established the rules of his society. His boys are united by their belief in the beast and, above this, their belief in Jack as the one person who can protect them from the beast. Their ritual dances and chants, as well as Jack’s makeup and adornments, express their commitment to this mythology, within which the Lord of the Flies functions totemically.

The Lord of the Flies embodies and expresses the mythology of the beast that unites Jack’s tribe and is significant in many ways. As an offering to the body of the parachutist on the mountain, which the boys (excluding Piggy) regard as the beast, it symbolizes Jack’s acknowledgment of, and deferral to, the evil impulses that reside inside the individual psyche. In previous chapters, he had vowed to kill the beast; here, Jack attempts to appease it, to gain its favor. As a totem, an artifact that unites Jack’s tribe (much like the conch served as a totem for Ralph’s group), the Lord of the Flies symbolizes the solidification of Jack’s group around a shared set of values and interests which, as we have noted, are self-interested and indulgent. Finally, as a memento of the hunting of the sow, the Lord of the Flies represents the imposition of human will over nature, another of Jack’s goals for island life.

Notes The pig’s head reminds the boys of the essential opposition between man and nature, an opposition Jack views as essentially hostile and one that the boys can win.

The most important event of the chapter, however, is the murder of Simon by Jack’s tribe. They are in a trance-like state from their ritual dancing, although this does not excuse them. The murder continues the parallel between Simon and Jesus established in the previous chapter by depicting the murder as a sacrifice, akin to Christ’s murder on the cross. Like Jesus, who was the sole bearer of knowledge of God’s will, it is Simon who alone possesses the truth about the beast. Also like Christ’s, Simon’s tragedy is governed by the fact that he is misunderstood or disbelieved by those around him. For example, the other boys believe Simon is crazy, yet
he is the only boy to discover the truth about the supposed beast. This irony is compounded when Jack’s hunters mistake Simon for the beast himself. His murder represents the culmination of the violent tendencies prevalent among Jack’s band of hunters, who finally move from brutality against animals to brutality against each other. The change is subtle: they murder Simon out of instinct, descending on him before they realize that he proves no danger to them. Nevertheless, this is yet another line that the boys cross on their devolution into inhuman savagery and another step toward engaging in complete and premeditated violence against one another. Simon’s murder reveals the essential brutality of the human spirit. On both metaphoric and structural levels, Golding casts Simon as a martyr, a figure whose death is instructive at least to the reader.

The parallels between Simon and Christ continue even after Simon is dead. We may note not only the religious subtext of the chapter’s final image, but the distinctly pessimistic tone of this subtext. The storm simultaneously removes the parachutist’s and Simon’s bodies from the island. Yet, while the parachutist appears to ascend on the winds, Simon is dragged under the tide. The parachutist, who represents both the war that caused the events that brought the children to the island (he is a soldier) and, in a more general sense, the evil that is present in the human psyche (he resembles a fallen angel, a common figure for Satan), is lifted into the sky, while Simon, a Christ-like figure, appears to descend beneath the surface of the earth. The image, therefore, reverses the traditional story, with Satan rising to the heavens and Christ descending to the underworld. The implication is that the ideal order of good and evil has been reversed on the island. Evil has triumphed, a suggestion that mirrors Jack’s rise to power and foreshadows the even more tragic events to come. Still, a vestige of optimism remains: Simon’s body, as it is carried out to sea, is surrounded by some small glowing fish, who function as a kind of living halo. They do not necessarily want to eat the body; perhaps they are figuratively honoring it. The implication is that the truth of Simon’s message, and the injustice of his death, will be recognized in time, as is the case with martyred prophets and saints.

Chapter Ten: The Shell and the Glasses

Back on the other side of the island, Ralph and Piggy meet on the beach. Tired, injured, and disturbed by the previous night’s action, they discuss Simon. Piggy reminds Ralph that he is still chief or at least chief over those who are still with them. Piggy tries to stop Ralph from dwelling on Simon’s murder by appealing to Ralph’s reason. Piggy says that he participated in the murder because he was scared, to which Ralph replies that he was not scared. He does not know what came over him. Piggy tries to justify the death as an accident provoked by Simon’s “crazy” behavior, but Ralph, clutching the conch defensively, is consumed with guilt and regret and insists that they took part in a murder.

Piggy asks Ralph not to reveal to Samneric that they were involved in Simon’s death. Ralph and Piggy reveal that almost all the other boys have abandoned them for Jack’s tribe save Samneric and some other littluns. Samneric return to the beach, where they present Ralph and Piggy with a log they have dragged out of the forest. They immediately take off to go swimming. Ralph stops the twins with the intention of informing them that he and Piggy did not participate in Simon’s murder. All four appear nervous as they discuss where they were the previous night, trying to avoid the subject of Simon’s murder. All insist that they left early, right after the feast.

At Castle Rock, Roger is attempting to gain entry to Jack’s camp. Robert, already inside, makes Roger announce himself before he can enter—one of Jack’s new rules. When Roger enters, Robert shows him a new feature of Jack’s camp: the boys have rigged a log so that they can easily trigger a rock to tumble down and crush whatever is below it. Roger appears disturbed
by this, and Robert changes the subject, telling him that Jack had a boy named Wilfred tied up for no apparent reason. Roger considers the implications of Jack’s “irresponsible authority” and makes his way back down to the caves and the other boys in Jack’s tribe. He finds Jack sitting on a log, nearly naked with a painted face. Jack declares to the group that tomorrow they will hunt again. He warns them about the beast and about intruders. He promises them another feast. Reluctantly, Bill asks Jack what they will use to light the fire. Jack blushes. He finally answers that they shall take fire from the others.

In Ralph’s camp on the beach, Piggy gives Ralph his glasses to start the fire. They wish that they could make a radio or a boat, but Ralph says that if they do so, they risk being captured by the Reds. Eric stops himself before he can admit that it would be better than being captured by Jack’s hunters. Ralph wonders what Simon had been saying about a dead man. The boys become tired from pulling wood for the fire, but Ralph insists that they must keep it going. Ralph nearly forgets what their objective is for the fire, and they then realize that two people are needed to keep the fire burning at all times. Given their small numbers, each member of Ralph’s group would have to spend twelve hours a day devoted to tending the fire. Exhausted and discouraged, they give up the fire for the night and return to the shelters, where they drift off to sleep.

Ralph and Piggy sleep fitfully. They are wakened by sounds within the shelter: Samneric play-fighting. Aware of his increasing fear, Ralph reminisces about the safety of home, and he and Piggy conclude that they will go insane. Suddenly, they hear the leaves rustling outside their shelter and a boy’s voice whispering Piggy’s name. It is Jack with his hunters, who are attacking the shelter. Ralph’s boys fight them off but suffer considerable injuries. Piggy tells Ralph that they wanted the conch, but he then realizes that they came for something else: Piggy’s broken glasses.

Analysis

As the chaos surrounding Simon’s death calms down, Golding focuses on the horror Piggy and Ralph feel about their involvement in the murder. The two boys attempt to justify their role in Simon’s death with the ideas that they did not know that it was Simon until it was too late, they were not among the inner circle of boys beating him to death, and they operated on instinct rather than on malice. Still, the involvement of Piggy and Ralph makes clear that even these two, the paragons of rationality and maturity among the children on the island, are susceptible to the same forces that motivate Jack and his hunters. Golding obscures the once-clear dichotomy between the “good” Ralph and the “evil” Jack, demonstrating that the compulsion towards violence and destruction is present inside all individuals. The reverse, a “good” Jack, is rarely in evidence. The implication of Ralph’s and Piggy’s brief but tragic participation in the brutal activities of Jack’s tribe is that the natural state of humanity is neither good nor evil but mixed. Social order and rules, with conscience and reason helping out only on occasion, are what constrain and limit the “evil” impulses that exist inside us all.

Indeed, Golding does present one major qualification that distinguishes Ralph and Piggy from Jack. Ralph and Piggy still possess a moral sensibility. They realize that their actions are wrong and accordingly struggle to find some justification for their parts in the murder. They are ashamed of the murder, unlike the other boys, who show no qualms about what they have done. Even if Ralph and Piggy present unsuccessful rationalizations, the fact that they need to find some reason for their behavior shows that they have an understanding of moral principles and retain an appreciation for them. Golding thus suggests that while evil may be present inside all of us, the strength of conscience and reason can positively move one’s morals, for some more than for others.
As the new leader of the boys, Jack maintains his authority by capitalizing on the fears and suspicions of the others. Even when presented with information that the figure on the mountain is not harmful, Jack continues to promote fear of the dreaded beast. Like many tyrants, his rules are based on a strict distinction between insiders and outsiders: the insiders are his tribe, and the outsiders are their common enemies: the beast and the boys on the island who reject Jack’s authority. His methods of rule are entirely exclusionary, and they fail to provide that first role of government, the security and the safety of the group, even while Jack purports to be able to provide protection from the beast and other enemies. The formal declaration by the guard that visitors must announce their presence does nothing to improve the boys’ safety.

Even as Golding continues to emphasize the successful rise of Jack as a leader, he suggests that this rule may be short-lived. The shortsightedness Jack displays as a ruler is clear even to Jack himself. Intent on pleasing the boys with games and hunting, he does nothing to address more practical concerns. Faced with the dilemma of providing a feast without a fire, his solution is to steal from the boys who have maintained a sense of responsibility. Ralph, Piggy, Sam and Eric are therefore considerably burdened. Without help from the other boys who are content to play as savages, these four must devote all their energy to maintaining the signal fire, an almost impossible task. The strain Jack has left the boys with is considerable, but this does not matter to Jack if he can only secure the glasses for fire for the feasts. Ralph and Piggy muse, for their part, that they may go insane if they are not rescued soon.

A more immediate danger to Ralph and Piggy comes when Jack and his followers charge the camp on the beach. The attack on Ralph and Piggy signals yet another stage in the boys’ descent from civilized behavior into pure savagery. The murder of Simon was motivated by mass hysteria, instinctual fear, and panic. Here the violence used to gain Piggy’s glasses, even though it is not fatal, is intentional, an act that anticipates the murder of Piggy in the following chapter. Piggy’s premeditated murder is also foreshadowed by the description of the rock perched near the fortress. Jack and his soldiers have placed the rock so that it may be tipped over on another boy. The question remains regarding which boy will suffer this fate.

As in previous chapters, Golding uses symbolism and imagery to call the reader’s attention to the novel’s tragic arc, which follows the boys as they devolve from civilized, moral human beings to animal-like savages, motivated only by self-interest and given over to violent impulse. Piggy’s glasses, throughout the novel a symbol of intellectual reason and pragmatism they are used to start the signal fire—come into the hands of the irrational and brutal Jack. Jack, of course, wants the glasses to start not a signal fire, but a bonfire for a pig roast, a decision that reflects his shortsightedness and hedonism. We may also notice that Ralph and Piggy are surprised by the theft of the glasses, since they thought Jack’s intent was to steal the conch shell. Jack’s disinterest in the conch, a symbol in the novel for democratic authority, reflects his rejection not only of Ralph’s authority, but also of the entire system of liberal democracy. The conch is useless if one does not believe in its power. Ralph apparently still thinks that the conch matters or should matter. The image of Ralph clutching the conch is a powerful reminder that he is one of only a few boys who still believe in civilized life on the island.

As the conch shell is divested of meaning and Piggy’s glasses fall into the hands of Jack’s tribe, Ralph and Piggy become desolate and depressed, hopeless that they will ever be rescued. Golding emphasizes the despair of Ralph’s group to provoke pessimism in the reader. That is, when Ralph and Piggy no longer have faith in their rescue, we lose hope for them as well. Rather, it appears that the boys’ future will forever be on and of the island, guided by the demented but flourishing tribal system of Jack and his hunters. The scene on Ralph’s beach, with its declining and injured population, dwindling fire, and meaningless cultural symbols (in particular the conch) stands in sharp contrast to the scene in Jack’s forest, with its army, enforced borders, and even weaponry (the defense contraption). The implication is less that
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Ralph’s civilization has been destroyed than that it has been replaced by another, more primitive but more warlike society. As the boys’ early days on the island mirrored the evolutionary progress of early man, the boys’ final days mirror some aspects of the development of human civilizations, which clash violently over religious and political differences.

Chapter Eleven: Castle Rock

On the beach Ralph, Piggy, and Samneric gather around the remains of the signal fire, bloody and wounded. They attempt to rekindle the fire, but it is impossible without Piggy’s glasses. Ralph, blowing the conch, calls an assembly of the boys who remain with them. Piggy, squinting and unable to see, asks Ralph to instruct them about what can be done. Ralph responds that what they most need is a fire, and he reminds them that if they had kept the fire burning they might have been rescued already. Realizing the importance of Piggy’s glasses, Ralph, Sam, and Eric think that they should go to the Castle Rock with spears, but Piggy refuses to arm him. Piggy says that he is going to go find Jack himself and appeal to his sense of justice. A tear falls down his cheek as he speaks. Ralph says that they should make themselves look presentable, with clothes, to resemble boys and not savages.

Ralph and his boys set off along the beach, limping. When they approach the Castle Rock, Ralph blows the conch, which he has brought with him, believing it will remind Jack and his hunters of his rightful authority. He spots Jack’s boys guarding their camp, and he approaches them tentatively. Samneric rush to Ralph’s side, leaving Piggy alone. Jack’s hunters, unimpressed by the conch shell, throw rocks at Ralph and his companions and shout for them to leave. Suddenly, Jack emerges from the forest, accompanied by a group of hunters who are dragging a dead pig. He warns Ralph to leave them alone. Ralph demands the return of Piggy’s glasses, and the two argue. Ralph finally calls Jack a thief, and Jack responds by trying to stab Ralph with his spear, which Ralph deflects.

As Ralph and Jack fight, Piggy reminds Ralph what they came to do. Ralph breaks away from the fight and tells Jack’s tribe that they have to give back Piggy’s glasses, because they are necessary to maintain the signal fire on the beach. He reminds them that the fire is their only hope for rescue. Frustrated by their indifference to his pleas, Ralph breaks down and calls them painted fools. Jack orders the boys to grab Samneric. The hunters wrestle Samneric’s spears from their hands and Jack orders them to tie up the twins. Ralph again screams at Jack, calling him a beast and a swine and a thief. As they fight again, Piggy, yelling over the boys’ jeers, demands that he address the group.

Struggling to be heard over the commotion, Piggy asks the other boys whether it is better to be a pack of painted Indians or to be sensible like Ralph. He asks if they would rather have rules and peaceful agreement or be able only to hunt and kill. He reminds them of the importance of Ralph’s rules, which are there to ensure their rescue. Above on the mountain, a frenzied Roger deliberately leans his weight on the log that Robert showed him earlier, dislodging a great rock, which begins to roll down the mountainside. Ralph hears the rock falling and manages to dodge it, but Piggy can neither see nor hear its tumble. The rock crashes down on Piggy, crushing the conch shell, which he was holding, on the way. The rock pushes Piggy down a cliff, where he lands on the beach, dead.

The group falls into a sudden and deep silence. Just as suddenly, however, Jack leaps out of the group, screaming deliriously. He shouts at Ralph that “that’s what you’ll get” for challenging his authority and he expresses happiness that the conch is gone. Declaring himself as chief, Jack deliberately hurls his spear at Ralph. The spear tears the skin and flesh over Ralph’s ribs, then shears off and falls into the water. A terrified Ralph turns and runs, spears now coming at him from different directions. He is propelled by an instinct he never knew he possessed.
In his flight, he catches sight of the headless sow from the earlier hunt. After Ralph departs, Jack casts his gaze on the bound Samneric. He orders them to join the tribe, but when they request only to be released, he bullies them, poking the twins in the ribs with a spear. The other boys cheer him on but fall silent when they notice Roger edging past Jack to confront the twins.

Analysis

As the tension between Ralph and Jack comes to a violent head, Golding again establishes the conflict between the two boys as an explicit struggle between savagery and civilization. The two continue to clash over previously developed points of conflict: Ralph criticizes Jack for his lack of responsibility and his ambivalence toward rules of order and justice, and Jack continues to blame Ralph for his lack of direct action against the beast. Their accusations express and emphasize their respective visions of human society on the island: while Ralph is oriented towards a cooperative community organized around the common goal of getting rescued, Jack adheres to a militaristic ideal and unites his tribe around a shared interest in hunting, self-gratification, and fear of the mythical island beast.

Unfortunately, Ralph’s criticisms fall on deaf ears, for they are based on the assumption that Jack and his hunters are members of a society with moral codes and regulations. Ralph is appealing to standards Jack no longer believes in, as is symbolized by his glee when the conch shell is crushed. The shift in the struggle between Ralph and Jack is subtle but significant. Previously Jack and Ralph debated over the type of civilization that should predominate on the island: the former advocated a militaristic culture and the latter a liberal community. Now, with Jack’s repudiation of any rational system, the two now argue over whether there should be any ordered society at all on the island. One might think of Jack as Plato’s Callicles from the Gorgias or Plato’s Thrasymachus from the Republic.

The political subtext of the chapter is most evident, however, in the final confrontation between Ralph, Piggy, and Jack. As Ralph and Piggy face Jack and the other boys, Golding clearly delineates the tension between civilization and animalistic savagery. Before they face Jack, Ralph and Piggy deliberately readopt the manners and customs of English society, grooming themselves and dressing themselves as proper English boys. They do so to exaggerate their differences from the hunters, who wear little if any clothing and who adorn themselves with “native” makeup. When Piggy speaks to the boys, he explicitly expresses the major question the novel explores, asking whether it is better to live sensibly according to rules and standards of behavior or to live in a state of anarchy (again, one might turn to Plato’s Republic for guidance on this question and others raised by Piggy and the events of the novel). It is significant that the most insightful, reasoned statement in the novel is the one that provokes the most horrific tragedy on the island: the murder of the rational Piggy by the brutal and amoral Roger.

With his death, Piggy joins Simon as the second martyr among the boys. There are several parallels between their respective murders. The two outcasts both die when they shatter the illusions held by the other boys. Simon dies when he exposes the truth about the nonexistent beast, while the hunters kill Piggy when he forces them to see their behavior as barbaric and irresponsible. The murder of Piggy, however, is a more chilling event, for the boys killed Simon out of an instinctual panic. In contrast to the frenzied hunters, Roger has a clear understanding of his actions when he tips the rock that kills Piggy. This event thus completes the progression of behavior that Golding developed in the previous two chapters: the boys have moved from unintentional violence to completely premeditated murder. The chapter’s final image, in which Piggy’s murderer, Roger, edges past Jack to approach the bound twins, implies that Roger’s
brutality surpasses even Jack’s. While Jack condones and participates in violence against animals and humans alike, it is Roger who orchestrates and carries out the murder of Piggy. Significantly, he does not seek authorization from Jack for the murder or for the implied torture of Samneric. Rather, his sadism appears to be entirely self-interested, and it suggests that he is a potential threat to Jack’s authority.

Task: How was Piggy Killed?

The novel’s major symbol of civilization, the conch shell, appears in this chapter only to be destroyed after Roger pushes the boulder onto Piggy. This crucial act provokes and foreshadows Ralph’s destruction of the Lord of the Flies, the primary cultural symbol of Jack’s tribe, in the next and final chapter of the novel. The gesture will suggest Ralph’s own descent into savagery and violence. The conch, an established marker of Ralph’s authority and a consistent symbol for liberal democracy throughout the novel, has lost power; Jack and his hunters long ago refused to recognize it as a symbol of authority. In this chapter, the conch is finally destroyed in a demonstration of the triumph of Jack’s will over Ralph’s.

As Ralph flees from the spears of Jack’s hunters, Golding again draws the reader’s attention to the lower, immoral, animalistic humanity that lurks inside every individual. Ralph is literally being hunted like the pigs on the island, a moment that was foreshadowed in previous chapters when Roger pretended to be a pig in the hunting dance, and when Jack suggested to the group that they should hunt a littluns. Boy and animal become indistinct, and as Ralph flees he is propelled by a primitive subhuman instinct. His terror is that of a hunted animal: instinctual, unthinking, and primal. Ralph, the character who throughout the novel stood for pragmatism and civilization, has been reduced to an animal of prey, just as Jack and his hunters have reduced themselves to predatory beasts.

Note also the presence of animals in this penultimate chapter. Throughout the novel, Golding has used animal imagery and metaphors to call the reader’s attention to the delicate line between human and animal nature, as well as to highlight the hostile relationship between civilization and the natural world that civilization subdues in order to ensure human survival. As Ralph flees the spears of Jack and his hunters, the last thing he registers is the headless body of the sow that Jack’s tribe had just slaughtered. The image of the sow’s body evokes both the Lord of the Flies, a pig’s head on a stick that has signified evil, and Piggy, whose brutal murder marks the final destruction of civilization on the island.

Chapter Twelve: Cry of the Hunters

Ralph hides in the jungle, worrying about his wounds and the inhuman violence into which the boys on the island have devolved. He thinks about Simon and Piggy and realizes that civilization is now impossible among the boys. Ralph, who is not far from the Castle Rock, thinks he sees Bill in the distance. He concludes that the boy is not Bill—at least not any more. This boy is a savage, entirely different from the boy in shorts and shirt he once knew. Ralph is certain that Jack will never leave him alone. Noticing the Lord of the Flies, now just a skull with the skin and meat eaten away, Ralph decides to fight back. He knocks the skull from the stick, which he takes, intending to use it as a spear. From a distance, Ralph can still make out the boys’ chant: “Kill the beast. Cut his throat. Spill his blood.”

That night, armed with his makeshift spear, Ralph crawls undetected to the lookout near Castle Rock. He calls to Sam and Eric, who are now guarding the entrance. Sam gives Ralph
a chunk of meat but does not agree to join him again. Sam tells Ralph to leave. The twins tell Ralph that Roger has sharpened a stick at both ends, and they warn him that Jack will be sending the entire tribe after Ralph the following day. Dejected, Ralph crawls away to a thicket where he can safely sleep. When he awakes in the morning, he can hear Jack torturing one of the twins and talking to Roger outside the thicket where he hides. They are trying to find out where Ralph is hiding. Several other boys are rolling rocks down the mountain, trying to break into the thicket. More boys are trying to climb in.

Just as Ralph decides to find a new hiding place, he smells smoke. He realizes with horror that Jack has set the forest on fire in an attempt to smoke Ralph out of hiding. He also recognizes that the fire will destroy all the fruit on the island, again endangering the boys’ basic survival. Terrified, Ralph bolts from his hiding place, fighting his way past several of Jack’s hunters, who are painted in wild colors and carrying sharpened wooden spears. Wielding their spears menacingly, they chase Ralph through the forest. Weaving through the dense underbrush, Ralph finally escapes to the beach, where he collapses in exhaustion and terror. He is aware that Jack’s hunters are close behind.

When Ralph looks up, he is surprised to see a figure looming over him. He realizes that the figure is a man—a naval officer! The officer tells Ralph that his ship saw the smoke and decided to investigate the island. Ralph realizes that the officer is under the impression that the boys have been only playing games. The other boys begin to appear from the forest, and the officer begins to realize the chaos and violence among the stranded boys. Percival tries to tell him his name and address but finds he can no longer remember it. Ralph, informing him that he is boss, is sad to find he cannot answer the officer when asked how many boys are on the island. The officer, aware that they have not been behaving according to the rules of civilization, scolds the boys for not knowing exactly how many they are and for not being organized, as the British are supposed to be.

Ralph insists to the officer that they were organized and good at first. The officer says he imagines it was like the “show” in The Coral Island. Ralph, not understanding his reference, begins to weep for the early days on the island, which now seem impossibly remote. He weeps for the end of innocence and the darkness of man’s heart, and he weeps for the deaths of Simon and Piggy. All of the other boys begin to cry as well.

**Did u know?** The officer turns away, embarrassed, while the other boys attempt to regain their composure. The officer keeps his eye on the cruiser in the distance.

**Analysis**

The dynamic of interaction between Ralph and the other boys changes dramatically in the opening scenes of the final chapter. Ralph is now an object to the other boys as he flees Jack’s hunters, who seem unable to make the distinction between hunting pigs and hunting each other. As Ralph observes, the other boys on the island bear no resemblance to the English schoolboys first stranded there; they are complete savages without either moral or rational sensibilities. As they cease to exhibit the qualities that define them as civilized human beings, they no longer qualify as boys. This shift from human to animal identity is noticeable now in Ralph. No longer considered human by the other boys, he must rely on his primitive senses to escape the hunters. Because Ralph can no longer defend himself through any sense of justice or morality, he must use his animal instinct and cunning to survive.
The final chapter emphasizes the self-destructive quality of the boys’ actions. Throughout the novel, Golding has indicated that the boys are destructive not only to their enemies, but to themselves, a theme that culminates dramatically in this chapter. Images of decay permeate the final scenes, particularly in the Lord of the Flies, which decayed until it became only a hollow skull. Significantly, Ralph dismantles the Lord of the Flies by pushing the pig’s skull off of the stick it was impaled on, an act that mirrors and completes Roger’s destruction of the conch in the previous chapter. The destruction of both objects signals to the reader that the boys have been plunged into a brutal civil war. Ralph takes apart the Lord of the Flies—a totem for Jack’s tribe—to use the stick it is impaled on as a spear with which to attack Jack. Ralph’s action thus indicates that he has accepted Jack’s savage terms of war, a conflict he had previously approached with reason and nonviolence, but it is too late for that. Ralph’s decision to attack Jack or at least to defend himself with a weapon indicates that he too has devolved into savagery. All vestiges of democratic civilization on the island are gone, and it is unclear if Jack’s monarchy retains any civilization at all.

Another ominous image in this chapter is Roger’s spear. As Samneric inform Ralph, Roger has sharpened a spear at both ends, a tool that symbolizes the danger the boys have created for themselves. The spear simultaneously points at the one who wields it and the one at whom it is directed; it is capable of harming both equally. The significance of the double-edged spear is demonstrated in the boys’ hunt for Ralph. That is, in order to find Ralph, the boys start a fire that might overwhelm them and destroy the fruit that is essential for their survival. Golding thus alerts the reader to the counterproductive consequences of vengeance: in the world of the novel, the ultimate price of harming another is harming oneself.

Despite the seemingly hopeless situation on the island, however, the boys are finally rescued by a naval officer whose ship noticed the fire on the island. This ending is not only unexpected but deeply ironic. It was not the signal fire that attracted the navy cruiser. Instead it was the forest fire that Jack’s tribe set in an extreme gesture of irresponsibility and self-destruction. Ironically and even tragically, it is Jack and not Ralph who is ultimately responsible for the boys’ rescue. The implications are grim: it was not careful planning and foresight that brought the boys to safety, but a coincidence. The consequences of savagery, not civilization, are what saved the children. With this abrupt narrative gesture, Golding overturns the logic he had established throughout the novel. Of course, poetic justice is not required, but the issue is vexing. Perhaps, he suggests, savagery and civilization are less unlike than we believe. By casting Jack as the boys’ unintentional savior, Golding ends the novel before the action can properly climax. The reader is denied a chance to see a final battle between Ralph and Jack, although we can easily imagine that Ralph is doomed. Since the dehumanization is complete, there is almost nothing more to be said.

The sudden appearance of the naval officer at the beach mitigates the effects of the boys’ aggression. The officer is a deus ex machina (an unexpected figure who shows up almost out of nowhere and who appears only to wrap up the plot and bring it to a speedy conclusion). His arrival on the island frees Golding from having to explore the final implications of the hunters’ suicidal attack on Ralph and Ralph’s own descent into violent brutality.

In another unlikely gesture, the naval officer repeats to the boys the lessons that, throughout the novel, Ralph and Piggy had attempted to impart to the other boys. He emphasizes the importance of order just as Ralph and Piggy had, thus retroactively calling attention to the maturity and sensibility of Ralph’s advice to the other boys. Nevertheless, the naval officer cannot comprehend the full reach of the boys’ experience on the island. He interprets the hunting and painted faces as a childish game, unaware that their dress carries more than symbolic meaning. The boys have not been playing as savages; they have become them. The officer’s mention of the nineteenth-century adventure novel The Coral Island underscores his
ignorance of the brutality that is dominating the island. While the boys in The Coral Island had carefree, childish adventures, the boys in Golding’s narrative actually descended into unthinkable depths of violence and cruelty. Through the officer’s naivete as informed by The Coral Island, Golding again implicitly critiques the idealistic portrayals of children in popular literature. Still, these unlikely concluding events feel abrupt and unsatisfying after so much richness in the narrative.

Self Assessment

Multiple Choice Questions:

6. The boys who climb the mountain with Jack to view the beast are ........ .
   (a) Ralph and Simon       (b) Ralph and Roger
   (c) Piggy and Ralph       (d) Simon and Piggy

7. Jack gets fire for his group by ........ .
   (a) Stealing it from Ralph  (b) Using Piggy’s glasses lens
   (c) Rubbing two Sticks together (d) None of these

8. The boy whom Jack ties up and beats for an unrevealed crime is ........ .
   (a) Maurice               (b) Robert
   (c) Wilfred               (d) Ralph

9. In the eyes of the pig’s head Simon sees ........ .
   (a) Victory               (b) Cynicism of adult life
   (c) Desire for revenge    (d) None of these

10. The naval officer sees Jack as a ........ .
    (a) Little red-headed boy (b) Painted savage
    (c) Natural leader       (d) None of these

Another significant aspect of the naval officer’s character is his admonition to the boys that they are not behaving like proper “British boys,” which recalls Jack’s patriotic claims in Chapter Two that the British are the best at everything. The officer’s statement symbolically links him to Jack and underscores the hypocrisy of such a military character. While the officer condemns the violent play of the boys on the island, he is himself a military figure, engaged in an ongoing war that itself necessitated the boys’ evacuation from their homeland and (unintentionally) led to the events on the island. Again, the issue is ambiguous: perhaps the violence among the boys was not an expression of an unrestrained inner instinct but a reflection of the seemingly “civilized” culture they were raised in, a culture engaged in an ugly and fatal war. In any case, the officer echoes Ralph rather than Jack, repeating many of the warnings about rules and order that the boys had expressed to the boys throughout the novel. By associating the officer with both Ralph and Jack, in different ways, Golding calls into question the distinction between civilization and savagery that he traced with increasing emphasis in the novel’s earlier chapters and then erased in later chapters.

If the naval officer saves the boys from their self-destruction, he may have come too late. The final scenes of the novel emphasize the permanent emotional damage that the boys have inflicted on themselves. With the possible exception of Ralph, the boys are no longer accustomed to the society from which they came. Golding underscores this fact by presenting Percival as
unable to state his name and address as he could when the boys first arrived on the island. More importantly, Ralph perceives their experiences on the island as the end of their innocence. He has witnessed the overthrow of rational society as represented by Piggy in favor of the barbarism and tyranny of Jack. His final thoughts: “Ralph wept for the end of innocence, the darkness of man’s heart, and the fall through the air of the true, wise friend called Piggy.” These thoughts indicate a play of the Eden myth with which Golding began. If there was an Eden on the island, it was the special place found by Simon that none of the other boys wanted to experience. They began out of Eden rather than inside it. Any paradise they hoped for on the island came to an end when the boys chose nature and instinct over rationality and awareness—compare, however, the rise of rationality and awareness in Genesis, which seems to occur most of all after the fall. Ralph loses his innocence when he realizes that the violence inherent in humanity is always under the surface of the order and morality that civilization imposes on individuals.

26.2 Summary

• Golding further develops the themes he introduced in “Beast From Air”.
• Golding continues to use imagery and symbolism to trace the boys’ descent into disorder, violence, and amorality.
• Simon believes that the pig’s head speaks to him. He thinks that it is calling him a silly little boy.
• The political subtext of previous chapters becomes more overt in this chapter as Jack explicitly attempts to overthrow Ralph as chief.
• The political subtext of the chapter is most evident, however, in the final confrontation between Ralph, Piggy, and Jack.
• The murder of Piggy, however, is a more chilling event, for the boys killed Simon out of an instinctual panic.

26.3 Keywords

Ritual : a prescribed order of performing such a ceremony.
Garland : a wreath of flowers leaves
Ominous : giving the worrying impression that something bad is going to happen.
Figurative : departing from a literal use of words.

26.4 Review Questions

1. What does Ralph day dream about his home?
2. Describe the Killing of the sow. What do the hunters do with the blood?
3. Why did the hunters raid Ralph’s group?
4. What will happen to Piggy without his glasses?
5. Why and how are the boys rescued?
6. Why does Ralph break down? What does he realize about mankind in general?
Answers: Self Assessment

1. False
2. True
3. False
4. True
5. False
6. (b) Ralph and Roger
7. (a) Stealing it from Ralph
8. (c) Wilfred
9. (b) Cynicism of adult life
10. (a) Little red-headed boy

26.5 Further Readings

Books
- Lord Of The Flies (E Text) — William Golding
- William Golding’s Lord of Flies — Walter A. Freeman
- The Novels of William Golding — Indu Kulkarni

Online links
- http://www.pinkmonkey.com/booknotes/monkeynotes/pmLordFlies02.asp
Unit 27: William Golding—Lord of the Flies: Themes and Characterization

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Objectives
After studying this unit, you will be able to:
- Know about the characters of Lord of the flies
- Discuss the nature of evil and man Vs. Nature
- Know about the major themes.

Introduction
William Golding presented numerous themes and basic ideas that give the reader something to think about. One of the most basic and obvious themes is that society holds everyone together, and without these conditions, our ideals, values, and the basics of right and wrong or lost. Without society’s rigid rules, anarchy and savagery can come to light. Golding is also showing that morals come directly from our surroundings, and if there is no civilization around us, we will lose these values.

Other secondary themes include the following:
- People will abuse power when it’s not earned.
• When given a chance, people often single out another to degrade to improve their own security.
• You can only cover up inner savagery so long before it breaks out, given the right situation.
• It’s better to examine the consequences of a decision before you make it than to discover them afterward.
• The fear of the unknown can be a powerful force, which can turn you to either insight or hysteria.

27.1 Major Themes

27.1.1 Civilization vs. Savagery

The overarching theme of Lord of the Flies is the conflict between the human impulse towards savagery and the rules of civilization which are designed to contain and minimize it. Throughout the novel, the conflict is dramatized by the clash between Ralph and Jack, who respectively represent civilization and savagery. The differing ideologies are expressed by each boy’s distinct attitudes towards authority. While Ralph uses his authority to establish rules, protect the good of the group, and enforce the moral and ethical codes of the English society the boys were raised in, Jack is interested in gaining power over the other boys to gratify his most primal impulses. When Jack assumes leadership of his own tribe, he demands the complete subservience of the other boys, who not only serve him but worship him as an idol. Jack’s hunger for power suggests that savagery does not resemble anarchy so much as a totalitarian system of exploitation and illicit power.

Golding’s emphasis on the negative consequences of savagery can be read as a clear endorsement of civilization. In the early chapters of the novel, he suggests that one of the important functions of civilized society is to provide an outlet for the savage impulses that reside inside each individual. Jack’s initial desire to kill pigs to demonstrate his bravery, for example, is channeled into the hunt, which provides needed food for the entire group. As long as he lives within the rules of civilization, Jack is not a threat to the other boys; his impulses are being re-directed into a productive task. Rather, it is when Jack refuses to recognize the validity of society and rejects Ralph’s authority that the dangerous aspects of his character truly emerge.

The rift between civilization and savagery is also communicated through the novel’s major symbols: the conch shell, which is associated with Ralph, and The Lord of the Flies, which is associated with Jack. The conch shell is a powerful marker of democratic order on the island, confirming both Ralph’s leadership-determined by election-and the power of assembly among the boys. Yet, as the conflict between Ralph and Jack deepens, the conch shell loses symbolic importance. Jack declares that the conch is meaningless as a symbol of authority and order, and its decline in importance signals the decline of civilization on the island. At the same time, The Lord of the Flies, which is an offering to the mythical “beast” on the island, is increasingly invested with significance as a symbol of the dominance of savagery on the island, and of Jack’s authority over the other boys. The Lord of the Flies represents the unification of the
boys under Jack’s rule as motivated by fear of “outsiders”: the beast and those who refuse to accept Jack’s authority. The destruction of the conch shell at the scene of Piggy’s murder signifies the complete eradication of civilization on the island, while Ralph’s demolition of The Lord of the Flies—he intends to use the stick as a spear—signals his own descent into savagery and violence. By the final scene, savagery has completely displaced civilization as the prevailing system on the island.

27.1.2 Individualism vs. Community

One of the key concerns of Lord of the Flies is the role of the individual in society. Many of the problems on the island—the extinguishing of the signal fire, the lack of shelters, the mass abandonment of Ralph’s camp, and the murder of Piggy—stem from the boys’ implicit commitment to a principle of self-interest over the principle of community. That is, the boys would rather fulfill their individual desires than cooperate as a coherent society, which would require that each one act for the good of the group. Accordingly, the principles of individualism and community are symbolized by Jack and Ralph, respectively. Jack wants to “have fun” on the island and satisfy his bloodlust, while Ralph wants to secure the group’s rescue, a goal they can achieve only by cooperating. Yet, while Ralph’s vision is the most reasonable, it requires work and sacrifice on the part of the other boys, so they quickly shirk their societal duties in favor of fulfilling their individual desires. The shelters do not get built because the boys would rather play; the signal fire is extinguished when Jack’s hunters fail to tend to it on schedule.

The boys’ self-interestedness culminates, of course, when they decide to join Jack’s tribe, a society without communal values whose appeal is that Jack will offer them total freedom. The popularity of his tribe reflects the enormous appeal of a society based on individual freedom and self-interest, but as the reader soon learns, the freedom Jack offers his tribe is illusory. Jack implements punitive and irrational rules and restricts his boys’ behavior far more than Ralph did. Golding thus suggests not only that some level of communal system is superior to one based on pure self-interest, but also that pure individual freedom is an impossible value to sustain within a group dynamic, which will always tend towards societal organization. The difficult question, of course, is what individuals are willing to give up to gain the benefits of being in the group.

27.1.3 The Nature of Evil

Is evil innate within the human spirit, or is it an influence from an external source? What role do societal rules and institutions play in the existence of human evil? Does the capacity for evil vary from person to person, or does it depend on the circumstances each individual faces? These questions are at the heart of Lord of the Flies which, through detailed depictions of the boys’ different responses to their situation, presents a complex articulation of humanity’s potential for evil.

It is important to note that Golding’s novel rejects supernatural or religious accounts of the origin of human evil. While the boys fear the “beast” as an embodiment of evil similar to the Christian concept of Satan, the novel emphasizes that this interpretation is not only mistaken but also, ironically, the motivation for the boys’ increasingly cruel and violent behavior. It is their irrational fear of the beast that informs the boys’ paranoia and leads to the fatal schism between Jack and Ralph and their respective followers, and this is what prevents them from recognizing and addressing their responsibility for their own impulses. Rather, as The Lord of the Flies communicates to Simon in the forest glade, the “beast” is an internal force, present in every individual, and is thus incapable of being truly defeated. That the most ethical
characters on the island—Simon and Ralph—each come to recognize his own capacity for evil indicates the novel’s emphasis on evil’s universality among humans.

Task: Write about the nature of evil.

Even so, the novel is not entirely pessimistic about the human capacity for good. While evil impulses may lurk in every human psyche, the intensity of these impulses—and the ability to control them—appears to vary from individual to individual. Through the different characters, the novel presents a continuum of evil, ranging from Jack and Roger, who are eager to engage in violence and cruelty, to Ralph and Simon, who struggle to contain their brutal instincts. We may note that the characters who struggle most successfully against their evil instincts do so by appealing to ethical or social codes of behavior. For example, Ralph and Piggy demand the return of Piggy’s glasses because it is the “right thing to do.” Golding suggests that while evil may be present in us all, it can be successfully suppressed by the social norms that are imposed on our behavior from without or by the moral norms we decide are inherently “good,” which we can internalize within our wills.

The ambiguous and deeply ironic conclusion of Lord of the Flies, however, calls into question society’s role in shaping human evil. The naval officer, who repeats Jack’s rhetoric of nationalism and militarism, is engaged in a bloody war that is responsible for the boys’ aircraft crash on the island and that is mirrored by the civil war among the survivors. In this sense, much of the evil on the island is a result not of the boys’ distance from society, but of their internalization of the norms and ideals of that society—norms and ideals that justify and even thrive on war. Are the boys corrupted by the internal pressures of an essentially violent human nature, or have they been corrupted by the environment of war they were raised in?

Did you know? Lord of the Flies offers no clear solution to this question, provoking readers to contemplate the complex relationships among society, morality, and human nature.

27.1.4 Man vs. Nature

Lord of the Flies introduces the question of man’s ideal relationship with the natural world. Thrust into the completely natural environment of the island, in which no humans exist or have existed, the boys express different attitudes towards nature that reflect their distinct personalities and ideological leanings. The boys’ relationships to the natural world generally fall into one of three categories: subjugation of nature, harmony with nature, and subservience to nature. The first category, subjugation of nature, is embodied by Jack, whose first impulse on the island is to track, hunt, and kill pigs. He seeks to impose his human will on the natural world, subjugating it to his desires. Jack’s later actions, in particular setting the forest fire, reflect his deepening contempt for nature and demonstrate his militaristic, violent character.

The second category, harmony with nature, is embodied by Simon, who finds beauty and peace in the natural environment as exemplified by his initial retreat to the isolated forest glade. For Simon, nature is not man’s enemy but is part of the human experience. The third category, subservience to nature, is embodied by Ralph and is the opposite position from Jack’s. Unlike Simon, Ralph does not find peaceful harmony with the natural world; like Jack, he understands it as an obstacle to human life on the island. But while Jack responds to this
perceived conflict by acting destructively towards animals and plant life, Ralph responds by retreating from the natural world. He does not participate in hunting or in Simon’s excursions to the deep wilderness of the forest; rather, he stays on the beach, the most humanized part of the island. As Jack’s hunting expresses his violent nature to the other boys and to the reader, Ralph’s desire to stay separate from the natural world emphasizes both his reluctance to tempt danger and his affinity for civilization.

27.1.5 Dehumanization of Relationships

In Lord of the Flies, one of the effects of the boys’ descent into savagery is their increasing inability to recognize each other’s humanity. Throughout the novel, Golding uses imagery to imply that the boys are no longer able to distinguish between themselves and the pigs they are hunting and killing for food and sport. In Chapter Four, after the first successful pig hunt, the hunters re-enact the hunt in a ritual dance, using Maurice as a stand-in for the doomed pig. This episode is only a dramatization, but as the boys’ collective impulse towards complete savagery grows stronger, the parallels between human and animal intensify. In Chapter Seven, as several of the boys are hunting the beast, they repeat the ritual with Robert as a stand-in for the doomed pig; this time, however, they get consumed by a kind of “frenzy” and come close to actually killing him. In the same scene, Jack jokes that if they do not kill a pig next time, they can kill a littlun in its place. The repeated substitution of boy for pig in the childrens’ ritual games, and in their conversation, calls attention to the consequences of their self-gratifying behavior: concerned only with their own base desires, the boys have become unable to see each other as anything more than objects subject to their individual wills. The more pigs the boys kill, the easier it becomes for them to harm and kill each other. Mistreating the pigs facilitates this process of dehumanization.

The early episodes in which boys are substituted for pigs, either verbally or in the hunting dance, also foreshadow the tragic events of the novel’s later chapters, notably the murders of Simon and Piggy and the attempt on Ralph’s life. Simon, a character who from the outset of the novel is associated with the natural landscape he has an affinity for, is murdered when the other children mistake him for “the beast”—a mythical inhuman creature that serves as an outlet for the children’s fear and sadness. Piggy’s name links him symbolically to the wild pigs on the island, the immediate target for Jack’s violent impulses; from the outset, when the other boys refuse to call him anything but “Piggy,” Golding establishes the character as one whose humanity is, in the eyes of the other boys, ambiguous. The murders of Simon and Piggy demonstrate the boys’ complete descent into savagery. Both literally (Simon) and symbolically (Piggy), the boys have become indistinguishable from the animals that they stalk and kill.

27.1.6 The Loss of Innocence

At the end of Lord of the Flies, Ralph weeps “for the end of innocence,” a lament that retroactively makes explicit one of the novel’s major concerns, namely, the loss of innocence. When the boys are first deserted on the island, they behave like children, alternating between enjoying their freedom and expressing profound homesickness and fear. By the end of the novel, however, they mirror the warlike behavior of the adults of the Home Counties: they attack, torture, and even murder one another without hesitation or regret. The loss of the boys’ innocence on the island runs parallel to, and informs their descent into savagery, and it recalls the Bible’s narrative of the Fall of Man from paradise.

Accordingly, the island is coded in the early chapters as a kind of paradise, with idyllic scenery, fresh fruit, and glorious weather. Yet, as in the Biblical Eden, the temptation toward corruption is present: the younger boys fear a “snake-thing.” The “snake-thing” is the earliest
incarnation of the “beast” that, eventually, will provoke paranoia and division among the group. It also explicitly recalls the snake from the Garden of Eden, the embodiment of Satan who causes Adam and Eve’s fall from grace. The boys’ increasing belief in the beast indicates their gradual loss of innocence, a descent that culminates in tragedy. We may also note that the landscape of the island itself shifts from an Edenic space to a hellish one, as marked by Ralph’s observation of the ocean tide as an impenetrable wall, and by the storm that follows Simon’s murder.

The forest glade that Simon retreats to in Chapter Three is another example of how the boys’ loss of innocence is registered on the natural landscape of the island. Simon first appreciates the clearing as peaceful and beautiful, but when he returns, he finds The Lord of the Flies impaled at its center, a powerful symbol of how the innocence of childhood has been corrupted by fear and savagery.

Even the most sympathetic boys develop along a character arc that traces a fall from innocence (or, as we might euphemize, a journey into maturity). When Ralph is first introduced, he is acting like a child, splashing in the water, mocking Piggy, and laughing. He tells Piggy that he is certain that his father, a naval commander, will rescue him, a conviction that the reader understands as the wishful thinking of a little boy. Ralph repeats his belief in their rescue throughout the novel, shifting his hope that his own father will discover them to the far more realistic premise that a passing ship will be attracted by the signal fire on the island. By the end of the novel, he has lost hope in the boys’ rescue altogether. The progression of Ralph’s character from idealism to pessimistic realism expresses the extent to which life on the island has eradicated his childhood.

27.1.7 The Negative Consequences of War

In addition to its other resonances, Lord of the Flies is in part an allegory of the Cold War. Thus, it is deeply concerned with the negative effects of war on individuals and for social relationships. Composed during the Cold War, the novel’s action unfolds from a hypothetical atomic war between England and “the Reds,” which was a clear word for communists. Golding thus presents the non-violent tensions that were unfolding during the 1950s as culminating into a fatal conflict—a narrative strategy that establishes the novel as a cautionary tale against the dangers of ideological, or “cold,” warfare, becoming hot. Moreover, we may understand the conflict among the boys on the island as a reflection of the conflict between the democratic powers of the West and the communist presence throughout China, Eastern Europe, and the Soviet Union. Ralph, an embodiment of democracy, clashes tragically with Jack, a character who represents a style of military dictatorship similar to the West’s perception of communist leaders such as Joseph Stalin and Mao Zedong. Dressed in a black cape and cap, with flaming red hair, Jack also visually evokes the “Reds” in the fictional world of the novel and the historical U.S.S.R., whose signature colors were red and black. As the tension between the boys comes to a bloody head, the reader sees the dangerous consequences of ideological conflict.

The arrival of the naval officer at the conclusion of the narrative underscores these allegorical points. The officer embodies war and militaristic thinking, and as such, he is symbolically linked to the brutal Jack. The officer is also English and thus linked to the democratic side of the Cold War, which the novel vehemently defends. The implications of the officer’s presence are provocative: Golding suggests that even a war waged in the name of civilization can reduce humanity to a state of barbarism. The ultimate scene of the novel, in which the boys weep with grief for the loss of their innocence, implicates contemporary readers in the boys’ tragedy. The boys are representatives, however immature and untutored, of the wartime impulses of the period.
Self Assessment

State the following sentences are True or False:

1. Golding’s emphasis on the negative consequences of savagery can be read as a clear endorsement of civilization.
2. The novel “Lord of the flies” is not entirely pessimistic about the human capacity for good.
3. Lord of the flies introduces the questions of natural environment.
4. Lord of the flies is in part an allegory of the World war II.
5. Simon is the athletic, charismatic protagonist of Lord of the flies.
6. The Beast is a dead pilot whom Simon discovers in the forest.

27.2 Character List

Ralph

The protagonist of the story, Ralph is one of the oldest boys on the island. He quickly becomes the group’s leader. Golding describes Ralph as tall for his age and handsome, and he presides over the other boys with a natural sense of authority. Although he lacks Piggy’s overt intelligence, Ralph is calm and rational, with sound judgment and a strong moral sensibility. But he is susceptible to the same instinctive influences that affect the other boys, as demonstrated by his contribution to Simon’s death. Nevertheless, Ralph remains the most civilized character throughout the novel. With his strong commitment to justice and equality, Ralph represents the political tradition of liberal democracy.

Piggy

Although pudgy, awkward, and averse to physical labor because he suffers from asthma, Piggy—who dislikes his nickname—is the intellectual on the island. Though he is an outsider among the other boys, Piggy is eventually accepted by them, albeit grudgingly, when they discover that his glasses can be used to ignite fires. Piggy’s intellectual talent endears him to Ralph in particular, who comes to admire and respect him for his clear focus on securing their rescue from the island. Piggy is dedicated to the ideal of civilization and consistently reprimands the other boys for behaving as savages. His continual clashes with the group culminate when Roger murders Piggy by dropping a rock on him, an act that signals the triumph of brute instinct over civilized order. Intellectual, sensitive, and conscientious, Piggy represents culture within the democratic system embodied by Ralph. Piggy’s nickname symbolically connects him to the pigs on the island, who quickly become the targets of Jack’s and his hunters’ bloodlust—an association that foreshadows his murder.

Jack Merridew

The leader of a boys’ choir, Jack exemplifies militarism as it borders on authoritarianism. He is cruel and sadistic, preoccupied with hunting and killing pigs. His sadism intensifies throughout the novel, and he eventually turns cruelly on the other boys. Jack feigns an interest in the rules of order established on the island, but only if they allow him to inflict punishment. Jack represents anarchy. His rejection of Ralph’s imposed order—and the bloody results of this act—indicate the danger inherent in an anarchic system based only on self-interest.
Simon

The most introspective character in the novel, Simon has a deep affinity with nature and often walks alone in the jungle. While Piggy represents the cultural and Ralph the political and moral facets of civilization, Simon represents the spiritual side of human nature. Like Piggy, Simon is an outcast: the other boys think of him as odd and perhaps insane. It is Simon who finds the beast. When he attempts to tell the group that it is only a dead pilot, the boys, under the impression that he is the beast, murder him in a panic. Golding frequently suggests that Simon is a Christ-figure whose death is a kind of martyrdom. His name, which means “he whom God has heard,” indicates the depth of his spirituality and centrality to the novel’s Judeo-Christian allegory.

Sam and Eric

The twins are the only boys who remain with Ralph and Piggy to tend to the fire after the others abandon Ralph for Jack’s tribe. The others consider the two boys as a single individual, and Golding preserves this perception by combining their individual names into one (“Samneric”). Here one might find suggestions about individualism and human uniqueness.

Roger

One of the hunters and the guard at the castle rock fortress, Roger is Jack’s equal in cruelty. Even before the hunters devolve into savagery, Roger is boorish and crude, kicking down sand castles and throwing sand at others. After the other boys lose all idea of civilization, it is Roger who murders Piggy.

Maurice

During the hunters’ “Kill the pig” chant, Maurice, who is one of Jack’s hunters, pretends to be a pig while the others pretend to slaughter him. When the hunters kill a pig, Jack smears blood on Maurice’s face. Maurice represents the mindless masses.

Percival

One of the smallest boys on the island, Percival often attempts to comfort himself by repeating his name and address as a memory of home life. He becomes increasingly hysterical over the course of the novel and requires comforting by the older boys. Percival represents the domestic or familial aspects of civilization; his inability to remember his name and address upon the boys’ rescue indicates the erosion of domestic impulse with the overturning of democratic order. Note also that in the literary tradition, Percival was one of the Knights of the Round Table who went in search of the Holy Grail.

The Beast

The Beast is a dead pilot whom Simon discovers in the forest. The other boys mistake him as a nefarious supernatural omen, “The Beast.” They attempt to appease his spirit with The Lord of the Flies.
The Lord of the Flies

The Lord of Flies is the pig’s head that Jack impales on a stick as an offering to “The Beast.” The boys call the offering “The Lord of the Flies,” which in Judeo-Christian mythology refers to Beelzebub, an incarnation of Satan. In the novel, The Lord of the Flies functions totemically; it represents the savagery and amorality of Jack’s tribe.

Naval Officer

The naval officer appears in the final scene of the novel, when Ralph encounters him on the beach. He tells Ralph that his ship decided to inspect the island upon seeing a lot of smoke (the outcome of the forest fire that Jack and his tribe had set in the hopes of driving Ralph out of hiding). His naivete about the boys’ violent conflict—he believes they are playing a game—underscores the tragedy of the situation on the island. His status as a soldier reminds the reader that the boys’ behavior is just a more primitive form of the aggressive and frequently fatal conflicts that characterize adult civilization.

27.2.1 Analysis of Major Characters

Ralph

Ralph is the athletic, charismatic protagonist of Lord of the Flies. Elected the leader of the boys at the beginning of the novel, Ralph is the primary representative of order, civilization, and productive leadership in the novel. While most of the other boys initially are concerned with playing, having fun, and avoiding work, Ralph sets about building huts and thinking of ways to maximize their chances of being rescued. For this reason, Ralph’s power and influence over the other boys are secure at the beginning of the novel. However, as the group gradually succumbs to savage instincts over the course of the novel, Ralph’s position declines precipitously while Jack’s rises. Eventually, most of the boys except Piggy leave Ralph’s group for Jack’s, and Ralph is left alone to be hunted by Jack’s tribe. Ralph’s commitment to civilization and morality is strong, and his main wish is to be rescued and returned to the society of adults. In a sense, this strength gives Ralph a moral victory at the end of the novel, when he casts the Lord of the Flies to the ground and takes up the stake it is impaled on to defend himself against Jack’s hunters.

In the earlier parts of the novel, Ralph is unable to understand why the other boys would give in to base instincts of bloodlust and barbarism. The sight of the hunters chanting and dancing is baffling and distasteful to him. As the novel progresses, however, Ralph, like Simon, comes to understand that savagery exists within all the boys. Ralph remains determined not to let this savagery overwhelm him, and only briefly does he consider joining Jack’s tribe in order to save himself. When Ralph hunts a boar for the first time, however, he experiences the exhilaration and thrill of bloodlust and violence. When he attends Jack’s feast, he is swept away by the frenzy, dances on the edge of the group, and participates in the killing of Simon. This firsthand knowledge of the evil that exists within him, as within all human beings, is tragic for Ralph, and it plunges him into listless despair for a time. But this knowledge also enables him to cast down the Lord of the Flies at the end of the novel. Ralph’s story ends semi-tragically: although he is rescued and returned to civilization, when he sees the naval officer, he weeps with the burden of his new knowledge about the human capacity for evil.
Jack

The strong-willed, egomaniacal Jack is the novel’s primary representative of the instinct of savagery, violence, and the desire for power—in short, the antithesis of Ralph. From the beginning of the novel, Jack desires power above all other things. He is furious when he loses the election to Ralph and continually pushes the boundaries of his subordinate role in the group. Early on, Jack retains the sense of moral propriety and behavior that society instilled in him—in fact, in school, he was the leader of the choirboys. The first time he encounters a pig, he is unable to kill it. But Jack soon becomes obsessed with hunting and devotes himself to the task, painting his face like a barbarian and giving himself over to bloodlust. The more savage Jack becomes, the more he is able to control the rest of the group. Indeed, apart from Ralph, Simon, and Piggy, the group largely follows Jack in casting off moral restraint and embracing violence and savagery. Jack’s love of authority and violence are intimately connected, as both enable him to feel powerful and exalted. By the end of the novel, Jack has learned to use the boys’ fear of the beast to control their behavior—a reminder of how religion and superstition can be manipulated as instruments of power.

Simon

Whereas Ralph and Jack stand at opposite ends of the spectrum between civilization and savagery, Simon stands on an entirely different plane from all the other boys. Simon embodies a kind of innate, spiritual human goodness that is deeply connected with nature and, in its own way, as primal as Jack’s evil. The other boys abandon moral behavior as soon as civilization is no longer there to impose it upon them. They are not innately moral; rather, the adult world—the threat of punishment for misdeeds—has conditioned them to act morally. To an extent, even the seemingly civilized Ralph and Piggy are products of social conditioning, as we see when they participate in the hunt-dance. In Golding’s view, the human impulse toward civilization is not as deeply rooted as the human impulse toward savagery. Unlike all the other boys on the island, Simon acts morally not out of guilt or shame but because he believes in the inherent value of morality. He behaves kindly toward the younger children, and he is the first to realize the problem posed by the beast and the Lord of the Flies—that is, that the monster on the island is not a real, physical beast but rather a savagery that lurks within each human being. The sow’s head on the stake symbolizes this idea, as we see in Simon’s vision of the head speaking to him. Ultimately, this idea of the inherent evil within each human being stands as the moral conclusion and central problem of the novel. Against this idea of evil, Simon represents a contrary idea of essential human goodness. However, his brutal murder at the hands of the other boys indicates the scarcity of that good amid an overwhelming abundance of evil.

27.3 Summary

- Golding’s emphasis on the negative consequences of savagery can be read as a clear endorsement of civilization.
- Lord of the Flies introduces the question of man’s ideal relationship with the natural world.
- In Lord of the Flies, one of the effects of the boys’ descent into savagery is their increasing inability to recognize each other’s humanity.
- The arrival of the naval officer at the conclusion of the narrative underscores these allegorical points.
27.4 Keywords

*Insane*: in or relating to an unsound state of mind.

*Panic*: a cereal or fodder grass of a group including millet.

*Choir*: a group of instruments of one family playing together.

*Scramble*: move or make one’s way quickly and awkwardly.

27.5 Review Questions

1. What are the major aim of Lord of the flies?
2. Who played the role of Beast in the novel?
3. Discuss the themes of Lord of the flies.
4. Examine the characterization of the text “Lord of the flies”.

Answers: Self Assessment

1. True  2. True  
3. False  4. False 
5. False  6. True

27.6 Further Readings

**Books**

- Lord Of The Flies (E Text) — William Golding
- William Golding’s Lord of Flies — Walter A. Freeman
- The Novels of William Golding — Indu Kulkarni

**Online links**

- [http://www.pinkmonkey.com/booknotes/monkeynotes/pmLordFlies02.asp](http://www.pinkmonkey.com/booknotes/monkeynotes/pmLordFlies02.asp)
Objectives

After studying this unit, you will be able to:

- Know the Biography of Virginia Woolf
- Know the introduction to the work “Mrs. Dalloway”.

Introduction

Mrs. Dalloway is published on 14 May 1925. It is a novel by Virginia Woolf that details a day in the life of Clarissa Dalloway in post-World War I England. It is one of Woolf’s best-known novels. Created from two short stories, “Mrs. Dalloway in Bond Street” and the unfinished “The Prime Minister”, the novel’s story is of Clarissa’s preparations for a party of which she is to be hostess. With the interior perspective of the novel, the story travels forwards and back in time and in and out of the characters’ minds to construct an image of Clarissa’s life and of the inter-war social structure. In 2005, the novel was chosen by Time magazine as one of the one hundred best English-language novels from 1923 to present.

28.1 Virginia Woolf—Mrs. Dalloway: Introduction to the Author

28.1.1 Introduction to the Author

In 1878, Leslie Stephen and Julia Jackson Duckworth married a second marriage for both. They gave birth to Adeline Virginia Stephen four years later, on the 25th of January at 22 Hyde Park Gate, London. Virginia was the third of their four children. Leslie Stephen began his career as a clergyman but soon became agnostic and took up journalism. He and Julia provided their children with a home of wealth and comfort.

Though denied the formal education allowed to males, Virginia was able to take advantage of her father’s abundant library and observe his writing talent, and she was surrounded by
intellectual conversation. The same year Virginia was born, for instance, her father began editing the huge Dictionary of National Biography. Virginia’s mother, more delicate than her husband, helped to bring out the more emotional sides of her children. Both parents were very strong personalities; Virginia would feel overshadowed by them for years.

Virginia would suffer through three major mental breakdowns during her lifetime, and she would die during a fourth. In all likelihood, the compulsive drive to work that she acquired from her parents, combined with her naturally fragile state, primarily contributed to these breakdowns. Yet other factors were important as well. Her first breakdown occurred shortly following the death of her mother in 1895, which Virginia later described as “the greatest disaster that could have happened.” Some have suggested that Virginia felt guilt over choosing her father as her favorite parent. In any case, her father’s excessive mourning period probably affected her adversely.

Two years later, Virginia’s stepsister Stella Duckworth died. Stella had assumed charge of the household duties after their mother’s death, causing a rift between her and Virginia. Virginia fell sick soon after Stella’s death. The same year, Virginia began her first diary.

Over the next seven years, Virginia’s decision to write took hold and her admiration for women grew. She educated herself and greatly admired women such as Madge Vaughan, daughter of John Addington Symonds, who wrote novels and would later be illustrated as Sally Seton in Mrs. Dalloway.

Her admiration for strong women was coupled with a growing dislike for male domination in society. Virginia’s feelings were likely affected by her relationship to her stepbrother, George Duckworth, who was fourteen when Virginia was born. In the last year of her life, Virginia wrote to a friend regarding the shame she felt when, at the age of six, she was fondled by George. Similar incidents recurred throughout her childhood until Virginia was in her early twenties. In 1904 her father died, shortly after finishing the Dictionary and receiving a knighthood. Though freed from his shadow, Virginia was overcome by the event and suffered her second mental breakdown, combined with scarlet fever and an attempted suicide.

When she recovered, Virginia left Kensington with her three siblings and moved to Bloomsbury, where she began to consider herself a serious artist. She immersed herself in the intellectual company of her brother Thoby and his Cambridge friends. This group, including E.M. Forster and Lytton Strachey, later formed what was known as the Bloomsbury Group, under the Cambridge don G.E. Moore. They were dedicated to the liberal discussion of politics and art. In 1906, Thoby died of typhoid fever and Virginia’s sister married one of Thoby’s college friends, Clive Bell.

Over the next four years, Virginia would begin work on her first novel, The Voyage Out (1915). In 1909, she accepted a marriage proposal from Strachey, who later broke off the engagement. She received a legacy of 2,500 pounds the same year, which would allow her to live independently. In 1911, Leonard Woolf, another of the Bloomsbury Group, returned from Ceylon, and they were married in 1912. Woolf was the stable presence Virginia needed to control her moods and steady her talent. He gave their home a musical atmosphere.
Virginia trusted his literary judgment. Their marriage was a partnership, though some suggest their sexual relationship was nonexistent.

Virginia fell ill more frequently as she grew older, often taking respite in rest homes and in the care of her husband. In 1917, Leonard founded the Hogarth Press to publish their own books, hoping that Virginia could bestow the care on the press that she would have bestowed on children. (She had been advised by doctors not to become pregnant after her third serious breakdown in 1913. Virginia was fond of children, however, and spent much time with her brother’s and sister’s children.) Through the press, she had an early look at Joyce’s Ulysses and aided authors such as Forster, Freud, Isherwood, Mansfield, Tolstoy, and Chekov. She sold her half interest in 1938.

Before her death, Virginia published an extraordinary amount of groundbreaking material. She was a renowned member of the Bloomsbury Group and a leading writer of the modernist movement with her use of innovative literary techniques. In contrast to the majority of literature written before the early 1900s, which emphasized plot and detailed descriptions of characters and settings, Woolf’s writing thoroughly explores the concepts of time, memory, and consciousness. The plot is generated by the characters’ inner lives, not by the external world.

In March 1941, Woolf left suicide notes for her husband and sister and drowned herself in a nearby river. She feared her madness was returning and that she would not be able to continue writing, and she wished to spare her loved ones.

Over the course of her many illnesses, however, Woolf had remained productive. Her intense powers of concentration had allowed her to work ten to twelve hours writing. Her most notable publications include Night and Day, The Mark on the Wall, Jacob’s Room, Monday or Tuesday, Mrs. Dalloway, To the Lighthouse, Orlando, A Room of One’s Own, The Waves, The Years, and Between the Acts. In total, her work comprises five volumes of collected essays and reviews, two biographies (Flush and Roger Fry), two libertarian books, a volume of selections from her diary, nine novels, and a volume of short stories.

28.2 Virginia Woolf — Mrs. Dalloway: Introduction to the Text

28.2.1 Introduction to the Mrs. Dalloway

In Jacob’s Room, the novel preceding Mrs. Dalloway, Virginia Woolf works with many of the same themes she later expands upon in Mrs. Dalloway. To Mrs. Dalloway, she added the theme of insanity. As Woolf stated, “I adumbrate here a study of insanity and suicide; the world seen by the sane and the insane side by side.” However, even the theme that would lead Woolf to create a double for Clarissa Dalloway can be viewed as a progression of other similar ideas cultivated in Jacob’s Room. Woolf’s next novel, then, was a natural development from Jacob’s Room, as well as an expansion of the short stories she wrote before deciding to make Mrs. Dalloway into a full novel.

The Dalloways had been introduced in the novel, The Voyage Out, but Woolf presented the couple in a harsher light than she did in later years. Richard is domineering and pompous. Clarissa is dependent and superficial. Some of these qualities remain in the characters of Mrs. Dalloway but the two generally appear much more reasonable and likeable. Clarissa was modeled after a friend of Woolf’s named Kitty Maxse, whom Woolf thought to be a superficial socialite. Though she wanted to comment upon the displeasing social system, Woolf found it
Notes

difficult at times to respond to a character like Clarissa. She discovered a greater amount of depth to the character of Clarissa Dalloway in a series of short stories, the first of which was titled, “Mrs. Dalloway in Bond Street,” published in 1923. The story would serve as an experimental first chapter to Mrs. Dalloway. A great number of similar short stories followed and soon the novel became inevitable.

Did u know? As critic Hermione Lee details, “On 14 October 1922 Woolf recorded that ‘Mrs. Dalloway has branched into a book,’ but it was sometime before Woolf could find the necessary balance between ‘design and substance.’”

Within the next couple years, Woolf became inspired by a ‘tunneling’ writing process, allowing her to dig ‘caves’ behind her characters and explore their souls. As Woolf wrote to painter Jacques Raverat, it is “precisely the task of the writer to go beyond the ‘formal railway line of sentence’ and to show how people ‘feel or think or dream...all over the place.’” In order to give Clarissa more substance, Woolf created Clarissa’s memories. Woolf used characters from her own past in addition to Kitty Maxse, such as Madge Symonds, on whom she based Sally Seton. Woolf held a similar type of affectionate devotion for Madge at the age of fifteen as a young Clarissa held for Sally.

The theme of insanity was close to Woolf’s past and present. She originally planned to have Clarissa die or commit suicide at the end of the novel but finally decided that she did want this manner of closure for Clarissa. As critic Manly Johnson elaborates, “The original intention to have Clarissa kill herself ‘in the pattern of Woolf’s own intermittent despair’ was rejected in favor of a ‘dark double’ who would take that act upon himself. Creating Septimus Smith led directly to Clarissa’s mystical theory of vicarious death and shared existence, saving the novel from a damaging balance on the side of darkness.” Still, the disassociation of crippling insanity from the character of Clarissa Dalloway did not completely save Woolf from the pain of recollection. Woolf’s husband and close friends compared her periods of insanity to a manic depression quite similar to the episodes experienced by Septimus. Woolf also included frustratingly impersonal doctor types in Bradshaw and Holmes that reflected doctors she had visited throughout the years.

Self Assessment

Fill in the blanks:

1. Mrs. Dalloway is published on .......... .
2. Dictionary of National Biography was edited by .......... .
3. Mrs. Dalloway in Bond Street was published in the year .......... .
4. The theme of insanity was close to .......... past or present.
5. .......... commented, In this book I have almost too many ideas.

As the novel focused mainly on the character of Clarissa Dalloway, Woolf changed the name of the novel to Mrs. Dalloway from its more abstract working title, The Hours, before publishing it. Woolf struggled to combine many elements that impinged on her sensibility as she wrote the novel. The title, Mrs. Dalloway, best suited her attempts to join them together. As Woolf commented, “In this book I have almost too many ideas. I want to give life and death, sanity and insanity; I want to criticize the social system, and to show it at work, at its most intense.” Furthermore, she hoped to respond to the stagnant state of the novel, with a consciously
modern’ novel. Many critics believe she succeeded. The novel was published in 1925, and received much acclaim.

28.3 Summary

- In 1878, Leslie Stephen and Julia Jackson Duckworth married a second marriage for both.
- Virginia would suffer through three major mental breakdowns during her lifetime, and she would die during a fourth.
- Virginia left Kensington with her three siblings and moved to Bloomsbury, where she began to consider herself a serious artist.
- In March 1941, Woolf left suicide notes for her husband and sister and drowned herself in a nearby river.

28.4 Keywords

- Insanity: extremely foolish.
- Impinged: have an effect.
- Pompous: affectedly grand.
- Mourning: deep sorrow for a person’s death.

28.5 Review Questions

1. Write about the parentage of Virginia Woolf.
2. Mention some of the notable publications of Virginia.
3. Which techniques is used in the Woolf’s, Mrs. Dalloway?
4. Discuss the introduction to the work “Mrs. Dalloway”.

Answers: Self Assessment

1. 14 May 1925 2. Leslie Stephen
3. 1923 4. Woolf’s
5. Woolf

28.6 Further Readings

Books

- Mrs. Dalloway (E Text) — Virginia Woolf
- Virginia Woolf — Hermione Lee
- Virginia Woolf: a biography — Quentin Bell

Online links

http://www.online-literature.com/virginia_woolf/
http://www.cliffsnotes.com/study_guide/literature/Mrs-Dalloway-Book-Summary.id-
Objectives

After studying this unit, you will be able to:

• Explain the detailed study of the text
• Explain summary and analysis of the text.

Introduction

Virginia Wool’s *Mrs. Dalloway* is the story of a day in June 1923, as lived by a few London citizens. There is a calm in the air; people are enjoying a sense of peace and remembering their lives from before the long and bitter World War I.

*Mrs. Dalloway* is a novel about people’s inner lives. It does not possess a vivid plot; the actual events are secondary to what people spend much of their time pondering: memories, regrets, and hopes. Almost all of the main characters wonder about what might have been. The novel is told from the viewpoint of an omniscient and invisible narrator. Most of the characters are well off financially, and have considerable leisure time. Yet they are quite busy with the business of being alive, which includes asking questions of their internal and external worlds. These questions do not always make them happy. On the contrary, most of the characters are unhappy for all or part of their day. In keeping with Woolf’s interest in psychology, sexuality is a theme in the novel. Several of the characters are divided in their feelings towards love, and this contributes to their ambivalence.

The actions of the novel are simple: Clarissa Dalloway is hosting a formal party. She sees Peter Walsh, who has returned from India, and drops in for a visit. This meeting, and many other
moments in the day, make Clarissa think about the past and the choices she has made. Clarissa's husband, Richard, has meetings and lunches, and their daughter Elizabeth has similar plans herself. Another Londoner, Septimus Warren Smith, is having a bad day, and so is his wife Lucrezia. Septimus is obsessed with his memories of Evans, a friend who was killed in the war. He is also convinced that unseen forces are sending him messages. Lucrezia is taking Septimus to two doctors, neither of whom can do much to cure him. Septimus kills himself later in the day, to escape his doctors, and because he feels he has no other alternative. Clarissa's party is a success. The Prime Minister arrives, and this is considered a great honor. In the midst of her success as a hostess, she hears of Septimus' suicide. Although she never met him, the news moves her to the core of her being.

29.1 Detailed Study of Text Part I Sections

29.1.1 Part I, Sections 1–3

Clarissa Dalloway took it upon herself to buy the flowers for the party that evening. Lucy had so much other work to do and the morning air was fresh and inviting. Air like this always reminded her of a morning when, at eighteen, she had burst open the French windows to the terrace. Peter Walsh stood within and commented on vegetables. He still wrote to Clarissa, very boring letters, and would be returning from India someday.

Waiting on the curb, Scrope Purvis noticed her, thinking to himself that she was charming. Clarissa thought of the hush that fell over Westminster right before the ring of Big Ben. As the bell rang out, she looked at the people around her, living in the moment, and loved life. It was June and the Great War was over. Life sprang out all around her with a passion, dancing girls and ponies and shopkeepers in their windows. Clarissa was a part of it. Entering the park, she was met with a deeper silence. Hugh Whitbread, an old friend, walked toward her. He assured her that he would attend the party even though his wife, Evelyn, was ill. The Whitbreads always came to London to see doctors. Though she adored him, Hugh had a way of making Clarissa feel underdressed. Richard, her husband, could not stand Hugh and Peter had hated him. But Peter could be like that.

Thinking of Peter again, she looked at the scene around her and knew he would have been lovely to walk with at this moment. She could not stop such thoughts and memories from rushing over her. Peter would not have cared for the sights of the morning. He cared for people's characters and he often scolded her for her superficiality. She would be a perfect hostess. Clarissa found herself arguing again with Peter about why she could not marry him. She knew she was right, he would not have given her any independence, but still it bothered her. Learning that he had married a flimsy Indian woman angered her greatly.

Clarissa knew now not to define or label anyone because she felt at one with the world, both young and old, and omnipresent. Not that she was clever, simply knowing. She knew people very well. Most of all, she loved living in the moment. Yet, she was not irked by the thought of death. Clarissa felt that pieces of herself existed wherever she had ever been. Musing among books, Clarissa could not find a suitable one to bring Evelyn. She wanted Evelyn to look pleased when she walked in. She realized her baseness, always wanting to do things that would make people like her instead of doing them for their own value, as Richard did. If she could do life over again, she would look like Lady Bexborough. She disliked her own little beaked face and stick body. She felt invisible at times.

Bond Street fascinated her. Her daughter Elizabeth was not fascinated by any of the delicate gloves in the shops. Elizabeth was fascinated with Miss. Kilman, a callous Communist who
made one feel small because she was privately starving and depriving herself for the Russians. The hate that welled up inside of Clarissa scared her. She felt that, since her illness, there was perpetually a monster of hate inside of her waiting to claw or gnaw away at something. She entered Mulberry’s florist and was greeted by eager Miss Pym. Miss Pym was happy to help because Clarissa had been very kind. This year, however, she looked older. Clarissa was enraptured by the various smells and colors of the many flowers. She knew Miss Pym liked her and tried to surmount the hatred she had felt when suddenly a pistol shot in the street. Miss Pym looked apologetic, as if the loud motor cars were her fault.

Part One Section One Analysis

Woolf begins the novel in her typical fashion, symbolically and methodically. We meet Clarissa in the first sentence, in a proclamation of independence. She will get the flowers because Lucy has work to do. The proclamation is thus tinged with a sense of irony because though Clarissa has chosen to handle the burden of work herself, the work only consists of buying flowers. The irony inherent in the entire text will be fleshed out as we continue but, the very first sentences hint at the underlying theme of social commentary which Woolf instilled in order to illustrate the superficiality of the members of Mrs. Dalloway’s social circle.

However, Clarissa’s character is not meant solely to represent the vainness of a certain social group. Much deeper and more intense symbolism exists in the novel and in this central character. The novel is one of moments. Moments of time and life are highlighted and intensely analyzed. The narrative, though in third person, focuses on Clarissa but moves from character to character, and often provides insight into the persona of Clarissa. Clarissa, unlike her double whom we will meet shortly, loves life and embraces the present.

The two exclamations which begin the third paragraph are symbolic of Clarissa’s attitude toward life and the moment to moment structure of the book. The ejaculations are short, stark, and positive. They give the language a bursting feeling which will tie into the overarching theme of the sea in the novel. Note how the second exclamatory sentence ends with the word “plunge.” Other imagery at the beginning of this section adds to the feeling of jumping into a pool of water. Clarissa thinks of opening French doors and bursting into the fresh, morning air. She is plunging into life, into memory, and into self-evaluation. She is opening the windows of life and plunging into it. The language has a light airy feel supported by the name of Clarissa herself. The name originates from the word clarity and alludes to the “luminous Saint Clara,” as described by Nadia Fusini.

The sea imagery arises again when Clarissa nears Big Ben. The bells which Big Ben ring break the hush that Clarissa feels before the bells are to ring. The effect of the bells is described as, “The leaden circles dissolve in the air.” This image reminds one of water after a body has plunged into it. Once water is disturbed, a ring of circular ripples emanates outward from the central point. This idea provides an insight into the very writing of Woolf. Mrs. Dalloway’s character, as well as the character of Septimus and a few outside occurrences, sends ripples outward into time and life, affecting the being of those around her. Scrope Purvis notices and thinks about Clarissa, and we enter those thoughts. We also enter the thoughts of Miss Pym, allowing the reader the knowledge that Clarissa had been very kind, in the past tense. We wonder what is meant but are told no more. The reader receives glimpses into the ripples which are effected by day to day living.

The writing reflects the sea and rippling wave imagery broadcast through the character’s intuitions. Woolf refused to follow the conventional format for writing a novel. A member of the Bloomsbury group and a peer of James Joyce, she did not feel a need to prescribe to traditional organization, thus allowing for a much more loose form in terms of syntax, plot,
and narrative voice. As critic Irene Simon stipulates, “It is just the purpose of Virginia Woolf to abolish the distinction between dream and reality; she effects this by mixing images with gestures, thoughts with impressions, visions with pure sensations, and by presenting them as mirrored on a consciousness.” Thus the language too is moment to moment, short, and dense.

She writes in a flow of consciousness, floating from sensation to sensation and from the mind of one character to the next.

Though often descriptive, every thought and phrase in Woolf’s writing has a distinct and analyzable purpose. We learn that Clarissa was sick and now feels a deep, intense anger inside which never seems to completely disappear. The enigmatic character of Miss Kilman brings about the fury inside of Clarissa though Woolf’s description of why is confusing. Again, the text mirrors the feeling within it. The sentences run-on in a rush of anger, sentences begin with lower case letters, and adjectives and nouns are chosen such as encumbered, scraped, brute, and hooves which spark harshness and hurt. Woolf constantly blurs the distinction between dream and reality, both within the plot and the text itself. Clarissa enters the flower shop overcome with embarrassment, trying to hush her anger, but she is soon overcome and distracted by color. She opens up her eyes, an allusion to the first metaphor with the open window, and takes in the flowers. She is transported back to the moment and we are reminded of how transparent the present is within Woolf.

Did u know? The episode also foreshadows the theme of doubling, as Clarissa quickly rushes between hatred and love, which will surface with the introduction of Septimus.

Part I Section Two Summary

The loud noise had come from an important looking motorcar. Passers-by claimed to have seen a distinguished face in the window. Even after the car had moved on, the disturbance it created did not rumors that the face had belonged to the Prince or Queen flew about. The street came to a stop and Septimus Warren Smith, an apprehensive looking man of thirty, could not get by. Septimus, a veteran who had been mentally and emotionally devastated by his experience in World War I, pictured that he was the cause of the stop and anticipated horror. His wife, Lucrezia (Rezia), hurried him, angering Septimus. She could not help but believe that others noticed his strangeness, his abruptness. She was so embarrassed and imagined that they all knew that Septimus had wanted to kill himself. He tried to please her, since she knew no one in England, but his efforts had become half-hearted.

Clarissa hoped that the face belonged to the Queen. The car was delayed until the chauffeur spoke to a policeman, who allowed the car to pass. Clarissa felt touched by magic. She imagined Hugh Whitbread at Buckingham Palace and her own upcoming party. The people on Bond Street took a few moments to return to daily life. The car continued through Piccadilly. Meanwhile, a crowd formed at Buckingham’s gates. Suddenly, Emily Coates, a woman watching the events, noticed an airplane making letters out of smoke. The letters were hard to decipher and everyone guessed at the words. Weaving across London’s sky, the plane’s trail mystified its observers.

In Regent’s Park, Rezia tried to show Septimus the letters since the doctor had suggested distracting him with things outside of himself. Septimus believed the letters were signaling to him. The beauty brought tears to his eyes. The voice of a nursemaid nearby vibrated in his ears and brought the trees gloriously to life for him. Rezia hated when he stared into nothingness. People must notice him, she thought. She wished he were dead. She walked to the fountain and back to distract herself. She could tell no one about his state and felt alone. He was not
the same man she had married. The doctor, though, said nothing was wrong with him. Septimus sat, hearing the sparrows sing in Greek and babbling aloud. When Rezia returned, he jumped up, moving them away from people and ignoring her.

Maisie Johnson, a girl fresh from Edinburgh, asked the couple directions to the subway. Rezia gestured abruptly, hoping Maisie would not notice Septimus’ madness. Maisie was unnerved by both and would remember them for years. She was horrified by the look in Septimus’ eyes. Mrs. Carrie Dempster, an older woman in the park, noticed Maisie and was reminded of her younger days. She imagined Maisie getting married, asserting that she, Carrie, would have done things differently if she had a second chance. She looked to Maisie for pity. Meanwhile, Mr. Bentley, a man sweeping around his tree in Greenwich, thought the airplane’s effort represented the concentration of a man’s soul. In front of St. Paul’s Cathedral, a seedy looking man was awed by the thought of the members of society who were invited into its halls. The plane continued aimlessly, letters pouring from its perpetually looping motion.

Part One Section Two Analysis

The explosive situation with the car allows us two specific insights into the text. One, it again highlights the emphasis of the British culture on figure heads and symbols. No one is sure which great figure resides within the important looking car, but each onlooker feels touched “by magic,” as Clarissa notes. Traffic slows and onlookers halt and then rush to Buckingham Palace. The car, as with many of the objects with which Clarissa surrounds herself, is an empty symbol. What is inside does not matter. The shell of the car, in a postmodern sense, represents the empty significance that is often placed on social status within the world of Mrs. Dalloway’s London.

It is at this moment that we also meet Septimus Smith. At the same time when Clarissa is frozen in delight, imagining the Queen and Prince and parties, Septimus is frozen by apprehension and fear. Many critics describe Septimus as Clarissa’s doppelganger, the alternate persona, the darker, more internal personality compared to Clarissa’s very social and singular outlook. However, a few critics hint that to characterize Septimus as Clarissa’s double is too limiting for both of their characters. Perhaps the best way to describe their relationship is to think of it as a means to flesh out the intensity of the human mind. The novel takes the reader through only one day in Clarissa and Septimus’ lives, and yet we learn so much more about their characters and about humanity in general. These two personas allow the reader to discern how two seemingly opposite characters correspond and interrelate. Clarissa and Septimus never meet and yet, their lives are intertwined from the moment in the street to the news of Septimus’ death at Clarissa’s party.

We also meet Rezia, Septimus’ wife, in this section of the book, as she struggles through the embarrassment of having a crazy husband. The way Septimus is told that nothing is wrong with him alludes to circumstances in Woolf’s life. With her fragile mental state, she encountered many psychologists, most of whom did not know how to treat mentally ill patients. Often, they did more harm than good. Septimus is the victim of this psychosocial establishment in post-War England. As a representative of the “lost generation,” a topic touched on by many of Woolf’s contemporary’s—most noticeably T.S. Eliot in The Wasteland, Septimus suffers from delusions and hallucinations. The husband and wife, as a result, can no longer communicate as they once had.

Another confused symbol of communication exists in the form of the airplane that spreads incomprehensible words across the sky, gaining much of London’s attention after the excitement of the important car passes. Letters are strewn about but no character agrees on the message delineated. Ironically, however, many people are connected through the inability to communicate.
symbolized by the plane’s skywriting. In his sickness, Septimus believes the plane is talking to him. Yet, the other characters who view the plane believe in much the same idea.

Part I Section Three Summary

Clarissa returned home, wondering at what everyone was looking. Stepping into her cool house and hearing the motion of her servants, she felt as a nun returning to her daily habit. She breathed happily while Lucy stood by, hesitant. Clarissa noticed a note that read that Lady Bruton had requested Richard’s company for lunch. Clarissa felt snubbed. Lucy knowingly helped her with her parasol and left her alone. The lunch parties were supposed to be quite amusing. Clarissa felt alone. She withdrew upstairs to the solitary attic room that she had occupied ever since her illness. There, she liked to read Baron Marbot’s Memoirs. The room had a very virginal feel, with the stark white sheet stretched tightly across the narrow bed. She wondered if she had failed Richard and thought back to her close connections with women, namely her old best friend, Sally Seton. She had known what men feel toward women with Sally.

She remembered Sally sitting on the floor, smoking, saying she was descended from Marie Antoinette, being so utterly crude that Clarissa’s family thought her untidy. Sally taught Clarissa about life, sex, men, and politics, things from which she was shielded at Bourton, her home before marriage. Her feelings for Sally were protective and pure. She remembered the excitement she felt the nights Sally dined with them and the exquisite moment they shared when, as they were walking, Sally stopped to pick a flower and kissed Clarissa on the lips. A moment later, Peter Walsh and Joseph, an old family friend, had intruded, perhaps purposely, since Peter was prone to jealousy. Clarissa was horrified at the intrusion.

Turning her thoughts to Peter, she wondered if he would think her older when he returned from India. Since her sickness, she had become nearly white. She thought her face pointed and her body shaped like a diamond. She was a good woman, she thought, even if Lady Bruton had not invited her. Clarissa found her loveliest green dress and took it downstairs to mend. Lucy asked if she could help mend but Clarissa declined. Suddenly, the doorbell rang and she heard the voice of a man demanding to see her. Abruptly, her door opened and she turned to hide her dress, as if she were protecting her chastity.

Peter Walsh entered, taking her hands and kissing them. They both trembled. Peter noticed that she looked older. Clarissa observed that Peter was very much the same. He played with his pocketknife. Peter asked about her family and imagined that Clarissa had been mending her dress and attending parties continuously during the time he had been gone. Clarissa asked him if he remembered Bourton. He did but it pained him to remember as it reminded him of her refusal to marry him. Clarissa too was caught in the wave of emotion. The memories brought Peter close to tears. Peter realized that his new love, Daisy, would pale next to Clarissa. He did not want to tell her about Daisy because Clarissa would think him a failure. He felt that Clarissa had changed for the worse ever since marrying Richard.

Clarissa asked about his life. There was too much to tell her, but he mentioned that he was in love with a girl in India who was still married to a Major in the Indian Army. He had come to London to see about a divorce. Peter’s life had been such a folly, thought Clarissa. Still, she was happy for him. Peter suddenly began to weep. Clarissa comforted him, kissing him, and stroking his hands before she retained control and sat back. She felt very much at ease with Peter now and realized this gaiety would be hers always if she had married him. She wished he would take her with him. The next moment, her passions subsided. Clarissa joined Peter by the window. He seized her by the shoulders and asked if she were happy with Richard.
Suddenly, Elizabeth entered. Clarissa said, “Here is my Elizabeth.” Peter greeted her and rushed out the door. Clarissa ran after him, yelling to not forget her party.

Self Assessment

Multiple Choice Questions:

1. What does Clarissa set out to purchase in the novel’s opening scene?
   - (a) A bag of ice
   - (b) Flowers
   - (c) Champagne
   - (d) Fairy Lamps

2. What object does Peter Walsh always have with him?
   - (a) A banjo
   - (b) A flash light
   - (c) A silver comb
   - (d) A pocketknife

3. What colour is Clarissa Dalloway’s party dress?
   - (a) Lavender
   - (b) Peach
   - (c) Green
   - (d) Red

4. In which month does the novel take place?
   - (a) June
   - (b) October
   - (c) December
   - (d) April

Part One Section Three Analysis

We see many echoes of Woolf within the character of Clarissa during this chapter. The theme of the virgin, symbolizing seclusion, independence, and sexual aridity, takes over as we move from Clarissa, excited with life, to Clarissa, secluded, reflective, and lonely. Her relief at returning home is compared explicitly by Woolf to a nun returning to her habit and yet, ironically, she only ventures to her virginal, narrow attic room when she feels snubbed by society. Because of this snub, we learn further how much Clarissa cares about societal issues as she meditates on her worth as a result of it. Conversely, we learn that she enjoys being alone to the extent that she has slept alone in the attic since her illness. Directly after Woolf describes Clarissa’s starch white sheets pulled tightly over her narrow attic bed, an overt metaphor for virginal sexuality, she includes that Clarissa wondered if she had failed Richard. She also states that Clarissa had loved Sally as a man loves a woman, implying that Clarissa had never truly loved Richard in this manner, and perhaps had never loved any man in this manner. The flaws of communication and intimacy between Richard and Clarissa are foreshadowed. In the eyes of some critics, Woolf insinuates that Clarissa was stifled in her homosexual love for Sally by the standards of society and her own conservatism.

Notes
Sally was Clarissa’s inspiration to think beyond the walls of Bourton, to read, to philosophize, to fantasize. Woolf describes the kiss between Sally and Clarissa as an epiphany of sorts, an ecstasy.

Sally stopped; picked a flower; kissed her on the lips. The whole world may have turned upside down! The others disappeared; there she was alone with Sally. And she felt that she had been given a present, wrapped up, and told just to keep it, not to look at it - a diamond,
something infinitely precious, wrapped up, which, as they walked (up and down, up and down), she uncovered, or the radiance burnt through, the revelation, the religious feeling!

As Clarissa’s relative loneliness and lack of intimacy in marriage is symbolized through the metaphor of a virginal nun, the most intense sexual moment in Clarissa’s life is symbolized through intense religious feeling. Thus, the kiss represents and understates the sexual attraction and revelation that Sally brought to Clarissa. The present given to Clarissa, the diamond, the flower picked, the “radiance burnt through,” all symbolize this sexual experience. It is not surprising, then, that Clarissa feels so violated when men intrude upon her moment. Peter and old Joseph’s intrusion symbolizes the dominance of men in society and the conservatism of sexual relations that would not allow for Clarissa’s true yearnings. Whether Woolf had sexual feelings toward women or not, biographers describe her relationship with her husband as a strong, caring friendship without much sexual intimacy. This sexual component is similarly lacking in her proponent’s life.

Task What is Hugh Whitbread carrying when Clarissa sees him?

Clarissa’s continued longing for Peter also illustrates that her relationship is lacking with Richard. At one point in her conversation with Peter, she wishes that he would take her away. The moment subsides, but the intensity between the two remains throughout the novel. Peter’s tendency to play with his pocketknife is a phallic metaphor, symbolizing Peter’s repressed sexual urges toward Clarissa. He not only invades Clarissa’s peace, but her virginal sense of self as well. Woolf describes Clarissa’s reaction to the moment of Peter’s entrance as, “She made to hide her dress, like a virgin protecting her chastity, respecting privacy.” Yet, she does feel passion in Peter’s presence, a fleeting gaiety and vivacity for life. Representative of the everyman, Clarissa is prone to wonder what if. These emotions come and go like waves, synecdochal for the theme of the sea. The waves of time are introduced by the bells of Big Ben.

29.1.2 Part I, Sections 4–5

Part I Section Four Summary

Peter mimicked Clarissa as he walked from her house. He had never enjoyed her parties, parties such as hers. He did not blame her, though. He was in love and happy to be so. There was so much he had seen and done of which Clarissa knew nothing. She had grown hard. He thought the way she had introduced Elizabeth was insincere and that Elizabeth had thought so. Clarissa should have plainly said, “Here’s Elizabeth.” He had been overly emotional when he had visited Clarissa. As always, he had told her everything. Peter felt that Clarissa had refused him.

The bells of St. Margaret’s echoed across London, and Peter associated St. Margaret’s graceful entrance with Clarissa as the hostess. He imagined her coming in to a room year ago and was swept up in the intimacy of the memory. As the bells died out, they reminded Peter that Clarissa’s heart had been ill, and he imagined her falling to the floor, dying. He shook himself from this image and reminded himself that he was not old yet. He had never liked people like the Dalloways and Whitbreads. He had been a rebel, a pioneer, and civilization needed young men like him.

Boys in uniform marched by Peter and, instinctively, he followed them. Soon, he realized he could not maintain their pace and let them pass. He could respect the uniformity in boys, as
they did not yet know the troubles of the flesh. Alone in Trafalgar Square, he had not felt so young in years. A young woman passed who enchanted Peter. He transformed her into the woman he had always wanted and began following her through the streets. She seemed to speak silently to Peter, to his soul. He kept up with her until she slowed before a building and disappeared inside. He had had his fun.

He was still too early for his appointment with the lawyer and so walked to Regent’s Park to sit. The day was beautiful, and he felt a certain pride for the civility and accomplished air of London. His Anglo-Indian family had administered the affairs of India for years and, though he despised the empire and army, he still felt proud. The pomp was absurd, but admirable. Thoughts of his past continued to combat him, likely a result of seeing Clarissa. He thought of a fight he had had with her father at Bourton. Peter looked for a secluded seat in the park but settled for one next to a nurse and sleeping baby. Peter again thought of Elizabeth, thinking she was peculiar looking and probably did not get along with her mother. Smoking a cigar, he curled the smoke from his lips and decided to try to speak with Elizabeth alone that night. He threw away the cigar and fell into a deep sleep.

**Part One Section Four Analysis**

The theme of the intersection of time and timelessness arises as we watch Peter walk through London and wander through Regent’s Park as Clarissa had done only a few hours earlier. Unlike Clarissa, however, he does not notice the beauty of the day or feel the effect of the bells on a cosmic, spiritual level. He does not appreciate the moment as Clarissa often does. Instead, everything for Peter relates to his past, present, or fantasy. His thoughts are always internalized. In this manner, time blurs with timelessness as Peter’s memories blur with present images, wishes, and fantasies.

As soon as Peter leaves Clarissa’s home, he is overcome with combative thoughts. He believes that Clarissa said the wrong thing to Elizabeth, for example. He hates Clarissa’s parties. Clarissa dominates his thoughts to the point where external stimuli simply function to remind him of her in different ways. St. Margaret’s bells remind him of Clarissa as the hostess. This reference alludes to Clarissa’s thoughts earlier in the day of Peter and his comment to her that she would be the perfect hostess. Thus, the bells symbolize a line of conflict between Peter and Clarissa.

Consequently, Peter is soon reminded of Clarissa’s heart condition and he pictures her dying. Clarissa’s imaginary death foreshadows the death of her double, Septimus, later in the novel. Peter shakes off the bad image because he does not want to think of himself being old enough to die. He thus uses the next images that come his way, the marching boys and the beautiful young woman, as symbols of his youth and his courage.

He tells himself that he was a rebel when young and that the world needed men like him. Peter is trying to rationalize the dissociation he feels from the humanity surrounding him. The waves of emotion he experiences touch on the theme of the sea. The words that describe him following the young woman allude to the motions of the sea. The phrases are short and choppy, yet rhythmic. The text states, “She moved; she crossed; he followed her...But other people got between them on the street, obstructing him, blotting her out. He pursued; she changed”. His mood changes again when he stops to actually look around at the world passing him by. He is impressed by the civility of London as compared to the Indian culture in which he had been living. London is a metonym for Clarissa and the type of society she represents. Though Peter wants to rebel, he cannot help but yearn for inclusion within the society he tries to despise.
Part I Section Five Summary

Peter dreamed. The gray nurse knitting beside Peter appeared spectral, blending into images of the sky and the trees. The narrator reminds the reader that an atheist may still experience moments of exultation. As Peter dreams, the narrator reveals the symbolic story of a solitary traveler. The visions that enter the mind of the solitary traveler allow Peter to conceive of him. The traveler sees a figure at the end of the path. She is a giant figure at the end of a great ride. The solitary traveler rides and reaches the mother-like figure. Peter is offered comfort but does not know to whom to reply.

Suddenly Peter awoke, exclaiming, “The death of the soul.” In his mind, he had been dreaming of a scene at Bourton, when he had been deeply in love with Clarissa. The scene took place years ago. They had been discussing the housemaid whom had married the neighboring squire. Clarissa criticized the maid’s impropriety. Sally mentioned that the maid had given birth before the marriage. Clarissa was abhorred, her manner prudish. The coldness she emanated chilled the whole room and, awkwardly, Clarissa left the table. Clarissa talked to her sheepdog (but spoke to Peter), defending her behavior. Peter remained silent and Clarissa went outside, alone. As the day went on, Peter grew increasingly gloomy. At supper, he arrived late. He did not look at Clarissa at first but when he did, he noticed that she was speaking to a young man. It was Richard Dalloway and suddenly, Peter knew Richard would marry Clarissa.

Clarissa had thought his name was Wickham at first until Dalloway abruptly corrected her. Sally would forever call him, “My name is Dalloway.” Peter could not hear of what Clarissa and Richard spoke but he noticed her maternal manner toward him. After dinner, they sat in the drawing room. Clarissa approached Peter to introduce him to Richard. Peter retorted that she was the perfect hostess. She walked away in a huff. Later, the young people decided to go boating in the moonlight and they left Peter standing in the drawing room. Clarissa ran back inside to find Peter. He was suddenly happy. They walked down to the docks talking and, when the boat reached an island, they sat on the grass together. Yet, Peter still somehow knew that Dalloway and Clarissa were falling in love.

Following that night, Peter asked ridiculous things of Clarissa, pushing her away from him. Finally, he sent her a note via Sally to meet him near the fountain. He demanded, repeatedly, that she tell him the truth. She was unyielding. At last, she cried that her and Peter’s relationship was over. That night, Peter left Bourton.

Part One Section Five Analysis

Much of this section takes place in Peter’s memory, allowing us to relive the past relationship between Clarissa and him. However, the beginning of the section relates the interesting appearance of the solitary traveler. Though Woolf’s prose often edges on the poetic, this is one of the only portions of the novel where her writing becomes extremely abstract. Why? What does the solitary traveler add to this section or the novel as a whole? Critics suggest that the traveler is Peter Walsh, as both are male, primarily alone (at least during the day on which the novel takes place), and over fifty years old. He travels through the wood until reaching the giant figure, who ironically is one of the least imposing figures possible, an old matron or nurse. Thus, the archetype of the eternal feminine is evoked. This figure will reappear as we continue through the novel. The section during Peter’s dream introduces the idea to the reader abstractly because of the larger symbolism the feminine figure will hold.

Using Peter’s recollection as a vehicle, Woolf provides insight into both Clarissa and Peter’s characters. Clarissa is often referred to throughout the novel as being cold, as if she was missing something that warmed other humans. The memory that Peter has describes Clarissa
as a prude because she is utterly disgusted by the thought of a woman becoming pregnant before marriage. This occurrence was not supported by her social circle, but her peers obviously do not react in the same way as she. Ironically, however, Sally Seton, a figure who loved rebelling as a youth, deeply attracted Clarissa. Perhaps Clarissa seeks that warmth that other people offer because of her own lack of warmth.

This absence in Clarissa is also suggested in her manner toward Richard. She is eager to bestow a maternal instinct toward Richard, as she would her sheepdog, to compensate for that flaw. It is possible also that the warmth she lacks could inhabit the sense of awakened sexuality that Sally evidently provokes but whom the men do not. Thus, Clarissa can mother a man or a dog, but not feel impassioned by them. Clarissa quickly dismisses the passion of feeling that Peter does awake in her for more tranquil, controllable emotions.

The recollection also illustrates Peter’s overabundance of emotion as he allows himself to be ruled by his feelings. He is able to discern future events through his instincts, such as his feeling that Clarissa and Richard will marry. The memory also presents the separation of Clarissa and Peter as a couple, a moment that haunts both characters during the novel. The theme of water is emphasized as the break up takes place at a fountain. The flow of life is symbolized by the flow of the fountain’s stream, creating imagery for a change in life that would cause heartbreak, freedom, and loneliness.

29.2 Detailed Study of Text Part II Sections

29.2.1 Part II, Sections 1–2

Part II, Section One Summary

Peter felt awful; the sun was so hot. Still, when the nurse’s little girl ran into a woman’s leg, Peter laughed aloud. The leg belonged to Lucrezia Warren Smith, who had left Septimus to talk to himself and was wondering why she should suffer. Why was she no longer in Milan, she asked herself and began to cry. Rezia realized that it was time to take Septimus to see Sir William Bradshaw, who might be able to help him. Septimus would probably be talking to himself, or to his friend Evans, who had died in the War. A friend dying was not rare, however, and Rezia did not understand why Septimus became stranger and stranger. There were times when the couple was happy but then Septimus would mention killing himself, because, he explained, people were wicked. Dr. Holmes said there was nothing the matter with him. Holmes said that one was responsible for one’s own health.

Rezia reached out to Septimus but her husband backed away, pointing at her hand. She explained that her finger had grown too thin for her wedding band, but he knew that the ring’s absence meant that their marriage was over. Septimus felt relieved, until he thought he saw a dog that was changing into a man. His nerves were stretched thin and he began talking to himself again. Opening his eyes, however, he realized that beauty was everywhere. Rezia told him that it was time to go. The word “time” set off a bundle of emotions, climaxing with Evans’ voice telling Septimus that the dead were coming from Thessaly, where Evans had been killed. He saw Evans approaching. Rezia told Septimus that she was unhappy.

Peter Walsh saw the unhappy couple and attributed the awful scene between them to being young. London had never been so enchanting. Peter had always been able to change his mood rapidly. In the five years from 1918 to 1923, London had changed. Respectable newspapers could write about bathrooms. Single women could put on make-up in public. He thought of Sally Seton, and how she had unexpectedly married a rich man and lived in a big house. Still,
of all of Clarissa’s old friends, he had always liked Sally best. She could see through the artificiality of the Whitbreads and Dalloways. The two had bonded over this dislike for artifice, and the fact that Clarissa’s father liked neither one of them. And, now Peter would have to ask Hugh Whitbread or Dalloway for a job. Richard was not really so bad, Peter thought. Clarissa had probably fallen in love with him because of his ability to take charge. She thought Richard independent for not liking Shakespeare’s sonnets.

Clarissa would have never married Hugh, Peter knew. She knew what she wanted. When she walked into a room, one remembered her. Peter struggled to remind himself that he was no longer in love with her. Even Clarissa would admit that she cared too much for societal rank. She cared about the dukes and duchesses. Peter knew that she threw parties because she felt that Richard should have them. Her opinions, from marriage, had become subdued by Richard. Still, she was one of the largest skeptics Peter knew. She went through a phase of reading Huxley after seeing her sister, Sylvia, killed in an accident. It was Clarissa’s nature, however, to enjoy, and she did. She needed people to bring out her sense of humor. She surely adored Elizabeth, who would think her and her friends, like Peter, boring and tiresome. Peter’s passions remained strong but, being older; he could analyze them more objectively. He no longer really needed people anymore. Perhaps he truly was in love with Daisy even though he scarcely had thought of her recently. Because Daisy loved him in return, he could relax. Jealousy had caused his rush of emotions at Clarissa’s that morning. After all, his coming to London was not so he could marry Daisy, but to finalize her divorce. Clarissa had affected him because she might have spared him from these travails.

A woman’s incomprehensible song rose from the subway station that Peter had reached. The song seemed like an ancient song of love. Peter gave the woman a coin. Rezia Smith also saw the old woman and pitied her. For some reason, seeing the woman made Rezia feel that everything was going to be okay. Sir William Bradshaw, she thought, would help Septimus. Looking at Septimus, one likely thought he was a well-educated clerk. Septimus had left home for London at a young age, leaving a note behind him. In London, he had fallen in love with Miss Isabel Pole, a woman who fueled the poet in him by lending him books and speaking of Shakespeare. Mr. Brewer was Septimus’ manager at the office of Sibleys and Arrowsmiths. He knew that Septimus would be very successful if he maintained his health. He advised Septimus to play sports to strengthen his health.

Septimus was one of the first volunteers for the army in World War I. He went to protect Shakespeare and Isabel. Septimus gained strength and was promoted. He became friends with his officer, Evans, who died just before the war ended. Septimus was glad that he felt no grief over the death, until he realized that he had lost the ability to feel. In a panic, he married a young Italian girl, Lucrezia. Lucrezia adored his studiousness. The couple moved to London and Septimus returned to his post. He wondered if life lacked meaning. He read Shakespeare again and understood now that Shakespeare had despised love between man and woman. After five years of marriage, Lucrezia wanted to have a baby. Septimus, however, could not fathom bringing a child into the world. Rezia became increasingly unhappy. Septimus unhappily felt nothing when she wept. He wondered if he would go mad and, progressively, he did surrender to madness.

Dr. Holmes could not help. Septimus knew nothing was physically wrong with him, but he figured, his crimes were still great. He felt nothing; he had married without love. The third time Holmes came to see him, Septimus refused him. Holmes pushed in anyway. Even though Septimus had talked of suicide, Holmes told him to shake off his depression. Septimus felt that Holmes, representative of human nature, was after him. He hated Holmes. Rezia could not understand this dislike. Without Rezia’s support, Septimus felt deserted. He heard the world telling him to kill himself. One moment, he saw Evans, and cried out to him. Rezia
entered his room, panicked, and called for Holmes. Upon seeing Holmes, Septimus screamed in horror. The doctor, annoyed, advised that they see Dr. Bradshaw.

Task How was the married house maid who visited Bourton dressed?

Part II Section One Analysis

The archetype of the feminine maternal is represented by the woman seen by the solitary traveler and now, the vagrant woman singing in the subway. She sings of eternal love. The figure serves as a vehicle to transition the reader from Peter to Rezia Smith, two characters lacking companionship. The theme of eternal love is examined within the theories held by the love interests of Peter and Rezia: Clarissa and Septimus, respectively. Clarissa espoused a theory in earlier chapters when she reflected on the idea that a piece of her remained in every place she has been. As Manly Johnson, critic, notes, “...Clarissa’s theory is about the affinities between people and how one must seek out those who complete one: the Œunseen part of us’ might survive, Œbe recovered somehow attached to this person or that.”

Septimus’ theory of the beauty in the world does not differ greatly, and it is through their similar approaches to the world about them that one begins to see the real similarities between Septimus and Clarissa. He too notices the ever-present beauty of the moment. In fact, Septimus can be said to fill the void of feelings that Clarissa lacks. Septimus first applauds himself for not feeling sadness when his friend, Evans, is killed and then punishes himself for not feeling it afterward. However, as critic, Isabel Gamble, asserts, “The real truth is, of course, that Septimus has felt too deeply, has been shaken and numbed by shell shock and the war, specifically by the death of his friend, Evans; his feelings have flowed through channels deeper than any so far sounded by Clarissa. But he has never gone by the first paralyzing numbness to see, consciously, the reality of his emotion.” Septimus believes that his initial emotionless reaction to Evans’ death is real and progressively bases his construction of reality on this miscalculation. Instead of facing his grief, he represses it until the remainder of his reality is shattered. He pictures dogs turning into men (an inversion of the image he created to represent himself and Evans, as dogs, playing in front of a fire) because the truth has become demented in his mind to the point of delusion. One must applaud Woolf’s coupling of the sane and insane as an advanced social commentary. She illustrates the humanity lacking in a sane person and the depth of feeling possessed by an insane character, reversing the stereotypes that plagued them both.

Septimus represents a Œlost generation’ of men following the end of World War I. As the pomp and circumstance of British upper class society continues, a group of men return from war unutterably changed but without a resource to ease their frustration. The politics of a Britain still trying to dominate world politics cannot peacefully absorb a collection of men so altered from the British civilization that had sent them to the war. The reflection of war and its effect on postwar society, and the British infatuation with the memory of it are inseparable from the main plot of the novel, though many readers try to diminish the postwar circumstances within the book. However, as Lee R. Edwards, critic, mentions, nothing necessitated Woolf’s inclusion of characters’ comments on the War, characters involved with the military such as Lady Bruton and Miss Parry, Peter’s thoughts concerning Empire and the marching boys, or Septimus’ mental anguish. The novel takes place five years after the war but exists within its shadow. Simple contemplation transforms into social commentary when one realizes the import of the many references to the post-war environment. For instance, Peter’s simple musing of the
marching boys has a malicious subtext because of the mechanical manner in which the boys are described. Young and eager, the boys lose their individuality as we watch.

Notes As Edwards describes, “They are human beings who have shifted their allegiance to some set of monumental abstractions.”

Septimus, we learn, shifted his allegiance from Shakespeare and Isabel Pole to the British cause. However, his goal in signing up for the army was to protect those very things. He is persuaded to join the army by his boss because he lacked the manliness that only athletics or war could provide. Yet, turning into a man allows Septimus to keep neither Shakespeare nor Isabel Pole. He loses the ability to appreciate either. He is stripped of his passions. His mentality is replaced by a hardened vision that teaches one not to love and not to care. He tries so hard not to feel that the guilt he does feel incapacitates him. As Edwards deftly theorizes, “Surviving, unfortunately, killed him; for Septimus was finally unable to turn himself into a statue by a simple exercise of will...He feels anguish because of the discrepancy between his feeling that the natural world is beautiful, the human world corrupt, and guilt because, despite the discrepancy, the feeling for goodness and the beauty of life persist.”

Self Assessment

Multiple Choice Questions:

5. What is Lucrezia Smith’s profession?
   (a) School teacher  (b) Cellist
   (c) Hat maker  (d) Florist

6. Septimus goes to the doctor because he is suffering from
   (a) Shell Shock  (b) A toothache
   (c) The flu  (d) Headache

7. Where does Peter Walsh Live?
   (a) Boston  (b) India
   (c) London  (d) Sweden

8. Where did Clarissa spend her summers as a girl?
   (a) Bourton  (b) Milan
   (c) Edinburch  (d) Calcutta

Part II, Section Two Summary

At noon, Clarissa finished her sewing and the Warren Smiths neared Sir William Bradshaw. Rezia guessed his home because of the prestigious gray motorcar out front. Bradshaw would often have to travel long distances to see rich country patients, while his wife would do worthy work back in London, attending bazaars or taking photographs. Bradshaw earned his prestige through hard work. As Septimus walked in, Bradshaw knew immediately that Septimus had suffered a mental breakdown. He also recognized the great mistakes Dr. Holmes had made. In the short conversation Bradshaw had with Septimus, he learned that Septimus placed
great importance on symbols. A letter from Mr. Brewer had been sent to Bradshaw about Septimus, detailing his financial security and advanced career post.

It did not detail the crimes to humanity that Septimus pictured he had committed. Bradshaw took Mrs. Smith to a room nearby and asked if Septimus had spoken of killing himself. Embarrassed, she replied that he had. Bradshaw reassured her that Septimus needed a long rest in a country house to regain a sense of proportion. Rezia doubted that Septimus would agree but Bradshaw responded that it was a case of the law. When they returned to Septimus, Rezia burst out that he was ill and needed to go to a home. Septimus asked if it was a home of Dr. Holmes. Though slightly annoyed, Bradshaw assured him that it was a home of his. Septimus equated Bradshaw with Holmes and with the evil of human nature. Septimus wondered if he confessed his crimes, would they let him go. But, he could not remember his crimes. As the couple left, Bradshaw told Rezia he would take care of everything.

Rezia felt angry and deserted. Bradshaw had given them his three-quarters of an hour and he had prescribed proportion to straighten out Septimus’ delusions as he would for all cases of the sort. He would make all of London’s unfit share his proper sense of proportion. Yet, as the narrator explains, there is another side to proportion, termed conversion. A gray line exists between the two terms. One had to wonder if Bradshaw did not like to impose his will on others weaker than he. Bradshaw showed his patients that he was in control, and they, often, broke down in his presence. He then remade them in his likeness.

The Smiths traveled up Harley Street around the same time that Hugh Whitbread passed a nearby clock. The narrator jumps to Hugh. Hugh was the type to delve into matters superficially. Still, he had been an honorable member of high society for years. He may not have participated in any great movements but he had made an impact on many small, important reforms. He was always impeccably dressed and maintained the best manners. On this day, as with every visit to Lady Bruton, he brought carnations for the luncheon. Lady Bruton preferred Richard Dalloway to Hugh. She had invited both to lunch to ask for their services. However, she thought it best to wait until they had eaten to approach the subject.

The luncheon was elaborate. Richard had a great respect for Lady Bruton, as she was the great (or great-great) granddaughter of a General. As they neared the serving of coffee, Lady Bruton abruptly asked about Clarissa. Clarissa doubted that the Lady liked her, and it may have been true. Lady Bruton cared more for politics than people and thought women caused their husbands to reject military posts. Suddenly, Lady Bruton mentioned that Peter Walsh was back in town. She was interested in seeing Richard’s response. Richard thought that, when he returned home, he would tell Clarissa he loved her.

Lady Bruton wished everyone were “broad and simple.” She had become very involved with the idea of emigration to Canada, especially for young people. She figured that if Richard advised her and Hugh wrote to the Times for her, as he could do very well, then her plans would be activated. She waited until they were smoking and then asked Millie to bring the papers. When Hugh finished writing, Lady Bruton was so pleased with the letter that she flung her arms around Hugh and graciously thanked them both. As Richard stood to leave, he asked whether he would see Lady Bruton at Clarissa’s party. Possibly, she retorted. Lady Bruton did not like parties. After her guests had left, she retired to her room, feeling proud and powerful.

Richard and Hugh stood at a street corner, hoping to part but frozen in place. Finally, they decided to enter a shop. Richard had not cared about Canada and he did not care about the necklaces Hugh saw in the shop. He then remembered Clarissa and Peter and thought of buying Clarissa a gift. Soon, however, he was so disgusted by Hugh’s pomposity that he wished to leave. He did want to buy Clarissa something, though. He bought Clarissa roses
and rushed home to tell her that he loved her. He had not said it in years. Truly, he thought, it was a miracle that he had married her. Clarissa had said to him that she was right refusing Peter. She wanted support from him. He rushed through parks and past homeless women. He rushed by Buckingham Palace, full of prestige and tradition. Richard felt very happy, rushing home to profess his love.

Part II Section Two Analysis

The more the reader has learned about Septimus, the more he can see that Septimus is slipping from sanity. He feels so extremely guilty, confused, and powerless that he has lost the power to control his emotions. Woolf brings to the fore the ineptitude of the day’s psychiatric help with the characterizations of Holmes and Bradshaw. These characterizations allow her to air her grievances, to some extent, against the evils of the doctors whom she has visited throughout her episodes of mental instability. Bradshaw is capable of noticing the mistakes made by Holmes in not realizing the severity of Septimus’s problems, but he too takes a forceful and dominating approach to Septimus.

Woolf imposes an interesting section onto the narrative in which the author appears to speak out. Though Bradshaw has agreed to help and tells Rezia that he will make all the necessary plans, Rezia feels deserted and betrayed. Why? Woolf responds to this question in her discussion of proportion versus conversion. In Bradshaw’s attempt to make his patients adhere to his sense of proper proportion, he converts them into new, unoriginal form mirroring the doctor himself. In effect, he takes the life out of them, the agency out of their being. Woolf felt that many of the doctors with whom she came into contact were more trying to convert her than heal her. As Johnson notes, “In his compulsion to put people away, Woolf casts Sir William as an agent of death. For insanity, as she describes it, is isolation from people, from things, from all the stuff of life—death, in short.” It is not a coincidence that the other doctor’s name is Holmes and that Bradshaw wishes to send Septimus to a home. As Septimus asks when told the plan, “One of Holmes’ homes?” After this realization, Septimus equates Bradshaw to Holmes. Symbolically, they both are figures of evil that stifle the life out of an ailing human being. Bradshaw’s country home represents the isolation and the conversion, as well as the psychiatric insensitivity, forced on the mentally ill of Woolf’s time.

Similarly, the sterile, stolid character of Lady Bruton is developed during this section of the novel. She too has little interest in the personalities behind the people with whom she comes into contact. She is not viewed as malicious by the author or the other characters. Yet, Clarissa senses that Bruton dislikes her, a feeling that is substantiated in the mind of Lady Bruton during the luncheon she holds with Richard and Hugh. She excludes Clarissa from the meal, not because she is mean, but because Clarissa’s presence would not have served Lady Bruton’s desired purpose. The Lady sought advice, suggestions, and help. She wanted Richard’s opinions and Hugh’s letter-writing ability. Thus, in a parallel manner to the doctors, Lady Bruton uses her guests as tools to manipulate a conversion. She feels that wives, like Clarissa, distract men from their proper duties in government and public affairs. Like Holmes, her name is also symbolic because it refers to the brute force of title, acquisition, and status quo. In short, Lady Bruton represents England as empire, society as means, and men as dominators. Peter, sensitive to passion and emotion, senses the changes in London much more acutely than Lady Bruton ever will. Richard, though swayed by Lady Bruton’s family history, sees beyond the objective world into the happiness of his marriage. Ironically, however, he is not motivated to buy flowers for his wife until he is faced with jealousy, caused by the return of Peter Walsh.
29.2.2 Part II, Sections 3–4

Part II, Section Three Summary

Clarissa was very annoyed. Mrs. Marsham had written her about inviting Ellie Henderson to her party, but Clarissa had purposely not invited Ellie. She was a bore. She was also annoyed that Elizabeth was praying with Miss Kilman. The clock struck three and Richard walked in, holding flowers. He could not bring himself to say he loved her, but she understood. Clarissa thanked him and filled him in on her list of annoyances. Richard told her about Hugh being at lunch and being an ass, and Clarissa mentioned Peter’s visit, and how bizarre it was that she had almost married him. Richard held her hand. He then hurried off to some committee meeting, though he was not sure himself whether it was about the Armenians or Albanians. Before leaving, Richard told Clarissa to rest, as he always did, because a doctor once had suggested that she rest after lunch.

Lying down, Clarissa felt selfish that she cared more about roses than suffering Albanians. She felt uneasy and realized that it was because of the negative reactions both Peter and Richard had toward her parties. Peter thought her a snob; Richard thought her childish. Yet, she loved her parties because she loved sharing in people’s lives. Parties were her offering to the world, her gift. Clarissa was amazed by the very essence of life, moment to moment, the simple pleasures of seeing beauty. The door opened and Elizabeth entered. Strangely, Elizabeth did not resemble the rest of the Dalloways, but had an almost Asian look to her. Clarissa was bothered because she had become very serious lately. Miss Kilman stood outside the door, and Elizabeth told her mother that they were going to the Army and Navy surplus stores.

Miss. Kilman despised Clarissa because, in her eyes, Clarissa was mean and superficial. She felt plain next to Clarissa and cheated by the world. She did not mind Mr. Dalloway; he had invited her to teach history to Elizabeth. Miss. Kilman told herself that she pitied women like Mrs. Dalloway. Whenever she was filled with sinister thoughts, she thought of God. When Mrs. Dalloway came out with Elizabeth, Miss. Kilman tried not to hate. She told herself there would be a religious victory in the end, and she would triumph. In return, Clarissa felt victimized. She felt that this woman was stealing her daughter. They stood awkwardly together for a moment as Elizabeth ran for her gloves. Then, Miss. Kilman and Elizabeth left.

Desperate, Clarissa yelled after Elizabeth to remember her party, but Elizabeth did not hear. Clarissa hated how Miss Kilman wanted to convert everyone, and made others feel small. Clarissa simply wanted people to be themselves. Clarissa pondered love and religion, feeling that the combination had the power to destroy. She thought of Peter, who was filled with knowledge of the world, but who loved flimsy women. Big Ben struck three-thirty. Clarissa noticed the old woman whom she could view in the house adjacent through her window. It seemed to Clarissa that the ringing of the bell forced the lady to move away from her window. All was connected. One needed neither religion nor love to make the connections. Another clock, which always rang slightly after Big Ben, reminded Clarissa to prepare for her party.

Miss. Kilman, filled with anger, tried to calm herself by remembering what religion had taught her. However, she resented her ugly body and she resented Mrs. Dalloway. Miss. Kilman lived to eat food and love Elizabeth. Miss. Kilman and Elizabeth reached the stores. Miss. Kilman wished to look at petticoats but was so flushed with anger and frustration that she seemed nearly mad in her selection. Then, Miss. Kilman declared that they must have tea. She ate with intensity, leering at the cakes of others while she demolished the food in front of her. Elizabeth thought of how peculiar Miss. Kilman was, taking her to teas with clergymen, lending her books on different professions, complaining of her unhappiness, and getting along horribly with her mother.
As Elizabeth looked for her gloves, Miss. Kilman desperately hoped the girl would stay with her longer. But, Elizabeth wanted to go. Miss. Kilman detained her by saying that she had not finished eating. She asked Elizabeth if she would go to her mother’s party. Elizabeth responded that she would probably have to, though she did not like parties. Miss Kilman replied that she never went to parties because she was never invited. She continued talking, feeling sorry for herself and driving a small wedge between herself and Elizabeth. Elizabeth then paid her bill and left.

Part II Section Three Analysis

The theme of the sea as symbolic of life is invoked as Richard returns from the luncheon with flowers for Clarissa. The suspense is properly built for the moment where Richard will tell Clarissa he loves her. Clarissa has been visited by Peter that morning, and her thoughts continually stray to him. Richard has been provoked to this moment of passion by the very mention of Peter and finally breaks from Hugh so that he can return to Clarissa, the happiness of his life. As he enters their home, the bell signifies the break in time and progression. Woolf writes, “And the sound of the bell flooded the room with its melancholy wave; which receded, and gathered itself together to fall once more, when she heard distractingly, something fumbling, something scratching at the door.” The sure-handed prose certainly does not introduce the seeming moment of passion the reader expects. Instead, Woolf’s verbiage here reads more like Edgar Allan Poe, foreshadowing a dreaded event through repetition and imagery. The melancholy waves gather their force only to stumble and fumble about. One expects some kind of monster to enter behind this sea rather than a loving husband with flowers. Woolf foreshadows the failure of Richard to say “love you” and to properly communicate with his wife by describing the failed motion of a wave, having to retreat after crashing, only to gather, and crash once more.

Similarly, the reader gets the feeling that Richard has hoped to express his love to Clarissa at other times as well, but has also failed. The failed connection exists between husband and wife, between fellow humans. Clarissa’s conversation still returns to Peter. Richard holds her hand, but a gulf exists between husband and wife that allows little verbal connection to take hold. The theme of insanity coupled with sanity appears in this context as Maureen Howard, author of the introduction to the novel, illuminates. She writes, “...Virginia Woolf knew from her own illness how close to endurance and civilization lay insanity and mayhem...It is so difficult to endow our words with meaning. ...Clarity, like simple sentences - ‘I love you’ - is hard to come by.” In a war-torn world, crumbled and disillusioned following World War I, Woolf attempted to illustrate the difficulty of simply living. Howard elaborates, “In Mrs. Dalloway, she began to assemble the bits and pieces, to find the angles, the original voice that would make us feel” and thus, communicate successfully again.

In this sense, Richard is no more connected to the meetings he attends. In fact, he fails to know if he is meeting to discuss the Armenians or Albanians. The importance of his societal duties is undermined by his nonchalance, commenting on Woolf’s view of the English upper classes and the state of all-important English duty. The reader is acquainted with Richard’s many good qualities, yet his loyalty to the status quo and the establishment is mirrored in his leaving his wife for a meeting that he obviously does not care about and in the awe he feels toward Lady Bruton’s family history.

Ironically, Clarissa’s parties are developed by Woolf, in contrast to Richard’s work, as entities of value and significance. Both Peter and Richard, whose opinions she relies most upon, judge Clarissa’s parties harshly. However, in this section of the novel, Clarissa comes to realize why her parties are so important to her and the reader learns that the parties signify Clarissa’s gift
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to the world around her. Woolf once described insanity as a form of death because its intense loneliness created a human void for the sufferer. In Clarissa’s parties, she fights this emptiness, this void. Clarissa brings people together and thus, creates a human dialogue. She creates life, and thus, sanity. What at first seems quite superficial and vain becomes quite substantial and meaningful upon reflection.

Miss. Kilman, however, is one character that cannot be helped by a social offering of this type. The woman is so embittered by her experiences, beliefs, and station in life, that she refuses to open herself to anything that is offered, especially by one viewed as a socialite, such as Clarissa. Her hold on Elizabeth, though, is quite strong and a sexual relationship between the two women is even hinted at. Yet, their connection breaks down during the trip to the store and café. Miss. Kilman is extremely self-involved and dependent as shown by her attempts to keep Elizabeth with her. The image of Miss. Kilman gobbling down her cake stands as a metaphor for her personality. Though Doris Kilman hungers for companionship and acceptance, she is unable to see beyond the cake in front of her. The text describes the desperation of Miss Kilman when Woolf states, “If Doris Kilman could grasp Elizabeth, if she could clasp her, if she could make her hers absolutely and forever and then die; that was all she wanted.” Consumed with jealousy and rage, she loses her grasp on her young friend, becoming nothing more than a ridiculous caricature “fingering the last two inches of a chocolate éclair.”

Part II, Section Four Summary

Miss. Kilman sat alone, despondent. She had lost her Elizabeth. Clarissa had won, after all. She wandered off, forgetting her petticoat until someone ran after her. Miss. Kilman headed for a sanctuary of religion. She joined others in the Abbey and knelt in prayer. Elizabeth also wandered. She enjoyed the niceness of the day and decided to take a bus ride. Her life was changing. Already men were falling in love with her. She felt that the attention was silly. Elizabeth wished only to play in the country, with her father. She sat on the bus and enjoyed the fresh air. Meditating on Miss. Kilman, she wondered if Miss Kilman’s idea about the poor was correct. She paid another penny so that she could continue riding the bus onto the Strand, a working quarter of London. Miss. Kilman had said that all professions were open to women of Elizabeth’s generation and so Elizabeth thought she might become a doctor, politician, or farmer. She was a lazy child, but the ride motivated her. The people in the Strand rushed about with such importance. Nearing St. Paul’s cathedral, she knew it was getting late and she turned for home.

The sun was setting in the Strand as Septimus looked out his window. To him, nature danced through the sunlight on the walls. Rezia dreaded seeing Septimus smile as he often did. Sometimes he would demand that she record his thoughts. She would write down his words, logical or not, on Shakespeare, war, and beauty. Lately, he would suddenly cry out about truth and seeing his old friend, Evans. The doctors had said he should not get excited, but he did. He would speak of Holmes in terms of the evil of human nature. To Septimus, all this was true. This day, Rezia sat sewing a hat for Mrs. Peters, a woman she did not like but who had been nice to the Smiths. Septimus watched Rezia’s form and found it perfect. He asked her about Mrs. Peters and her family. He opened his eyes to observe how real the objects in his home were. He held a normal conversation with Rezia about the Peters’ which made her very happy. They joked about the hat that would be too small for big Mrs. Peters and Septimus designed the pattern to decorate the top of the hat. Rezia happily sewed his pattern on and Septimus was very pleased. Rezia would always love the hat they created.

Septimus made Rezia try the hat on. The girl with the evening paper arrived. Rezia danced around with her, laughing, as Septimus read aloud from the paper. Septimus fell asleep,
slowly slipping from reality. When he awoke, Rezia had gone to take the girl home. He looked for his visions but they were not there. Rezia burst in, still happy. She felt that things had returned to normal. She thought back to when she had first met him, and how he had understood the things that she said. She asked if he liked the hat, but Septimus just sat, looking at her. He believed that he could feel her mind, but he also remembered that Bradshaw had said that he would need to separate himself. It bothered him that Bradshaw had seemed so demanding. Rezia told him it was because he had wanted to kill himself. He inquired where his writings were and she brought them to him. He wanted them burned but she promised to keep them from the doctors. She also promised that the doctors would not separate her from him.

Septimus imagined his wife as a flowering tree, triumphing over the doctors. Rezia heard the voice of Dr. Holmes and ran to stop him from seeing Septimus. Holmes pushed by her. Rezia, Septimus knew, was on his side. Holmes continued up the stairs toward Septimus. Septimus tried to think of ways to escape. The window was the only option he could fathom. He waited to the last minute, enjoying the sun, and then threw himself onto the fence below. Holmes ran in, shouting that Septimus was a coward. Mrs. Filmer ran to Rezia, making her sit down. Holmes gave Rezia a drink that made her fall asleep. She thought of happy memories. Slowly, she realized that Septimus was dead. People outside carried the body away.

Part II Section Four Analysis

Elizabeth Dalloway is compared often to a blooming flower, the metonym for spring and growth, as she is a young girl coming into womanhood. Against her will, Elizabeth is being drawn into adult life. Woolf writes, “People were beginning to compare her to poplar trees, early dawn, hyacinths, fawns, running water, and garden lilies, and it made her life a burden to her, for she so much preferred being left alone...” This list of images creates in the reader a sense of renewal and vitality that is essential to Elizabeth’s character. Miss. Kilman employs Woolf’s metonyms for Elizabeth when she substitutes, ”Elizabeth had gone. Beauty had gone, youth had gone.” As Elizabeth breaks from Miss. Kilman, Elizabeth renews and revitalizes her sense of self. She enjoys the feel of being alone and outdoors and revels in the noise of the crowds and in life rushing around her. As she rides the bus through London, she is inspired to think of future professions and aspirations. Critic, Manly Johnson, relates, “There is a Dickensian delight in movement and sounds in the description of Elizabeth’s recommitment to life on her own...” The ride through London symbolizes a rite of passage for Elizabeth who begins exploring the path from adolescence to vital adulthood.

Woolf also frequently compares Rezia Smith to a tree or flower of life. Johnson explains, “Crippled within, [Septimus] seeks out Lucrezia to marry her, with the instinctive knowledge that her health is what his sickness needs. She appears to him as the tree of life...” As Woolf develops the theme of the sane along side the insane, she again describes Rezia, through Septimus, as a flower attempting to protect her battered husband with her maternal petals. Woolf illustrates, “...she did up the papers...as if all her petals were about her. She was a flowering tree...” Rezia too represents vitality and life, and as such, she is incapable of protecting or understanding her husband. Her attention to detail and the love she gives to her hat making depicts the care she gives to the world around her. Rezia’s declaration that she and Septimus will not be separated is used to explore the necessity of togetherness in sanity. When she leaves to take the young girl home, Septimus begins to lose his grasp on reality. He falls asleep and when he wakes up, he has clearly returned to the separate world of his own delusions. His desperation is reflected in the text: “That was it: to be alone forever. That was the doom pronounced in Milan...” The devastation caused by the war and his realization that he can no longer feel illustrates the lack of emotional connection Septimus retains to those around him.
The period that Rezia and Septimus spend together before he fall asleep displays a healthiness and happiness rarely felt in the novel. The hat—that the husband and wife create together stands as a metaphor for life and sanity. The hat allows the two to communicate, playfully and warmly. They discuss people they know and cooperate in the hat's design and construction. The pattern that Septimus pieces together for the hat symbolizes the novel itself. The novel, as a truly modern novel of the post-World War I era, is also constructed of fragments pieced together. How does one learn about Clarissa's character, for instance? We learn from Clarissa herself, but also from comments and thoughts made by others, by memories discovered, and by symbolic reference. The postmodern novel is a pastiche of reflections, alternating narration, poetic allusion, direct prose, metaphor, dialogue, and character development. Like the hat, several layers of emotion, sentiment, logic, character, and motive create the design. The moment of creation is thus a culmination of life and significance in the novel.

Dr. Holmes, seen as the symbol of the evil of human nature by Septimus, drives the life out of man. He and Bradshaw represent the figures of conversion and proportion detailed earlier by Woolf. In their attempts to smooth over Septimus' very real problems and ultimately, to separate him from the life connection he still holds, the physicians force Septimus to his death. Insanity, in Woolf's eyes, was very near to death. Johnson explains, "In his compulsion to put people away, Woolf casts Sir William as an agent of death." As Septimus awakes from his nap, his thoughts flow directly to Bradshaw's words of separation. Rezia tries to alleviate Septimus' fears, but the arrival of a forceful Dr. Holmes makes the fears very real to Septimus. He feels that he must escape the grasp of Holmes and Bradshaw. Yet, Septimus does not want to die. Before jumping, he states, "But he would wait till the very last moment. He did not want to die. Life was good. The sun is hot." As he jumps, he screams that he will "give it to Holmes." Septimus feels pushed into a position where he must save himself from the smothering hold of conversion and proportion. Woolf writes, "Rezia saw the large outline of his body standing dark against the window. So that was Dr. Holmes." Holmes is a figure, a symbol, of darkness and destruction whereas Septimus, last alive in the hot sun, reflects ruined innocence and goodness. His moment in the sun foreshadows Clarissa's later reaction to Septimus' death and the connection that will be solidified between them.

29.2.3 Part II, Sections 5–6

Part II, Section Five Summary

Peter appreciated the ambulance that sped past him as a sign of civility and communal empathy. He was pleased to watch the unselfish cars move over to let the ambulance pass. He was afraid to think too long on the morbid subject, but liked that it was his right to entertain such thoughts when he was alone. His tendency to become emotionally attached to people and events had always been a flaw. He especially enjoyed the company of women. He thought back to a time when he and Clarissa rode on top of a bus, and she came up with a transcendental theory for how she knew people simply by living in a society. Wherever she had been, a piece of her stayed behind. She diminished the finality of death this way. Peter did notice that her theory worked for their relationship. The meetings they had experienced over the years were often painful while happening but later gave Peter food for thought when he least expected it. Memories of Clarissa would pop up anywhere. His memories of her were mostly at Bourton.

At his hotel, Peter was handed his mail, including a letter from Clarissa. She must have written it right after he left her house. Her note stated only that she had loved seeing him, but it annoyed him. He wished she would just leave him alone. He would always feel bitterly that Clarissa had refused him, though he knew that their marriage would have failed. He thought of Daisy and his way of charming women. Daisy was only twenty-four and had two young
children. One woman warned him that Daisy would be wrecked when he died and her reputation was tarnished. But he did not want to think about that. He cared less and less about what others thought. Still, maybe it was best if Daisy forgot about him.

At dinner, though alone, Peter commanded respect. A nearby family, the Morrices, liked Peter and after leaving the dining room for the smoking room, they engaged him in conversation. Peter liked being liked. He decided that he would attend Clarissa’s party, in order to ask Richard what the English government was planning to do in India. Peter moved to the porch and watched the hot day dwindle into night. The prolonged summer evening was new to Peter. He enjoyed watching the young lovers dawdle. Peter looked at the newspaper, as he was quite interested in cricket matches. Finally, he left the hotel and slowly moved toward the Dalloway’s home. The symmetry of London’s squares and streets struck him as beautiful. It seemed as if everyone was dining out. Bustling, dressed up Londoners scattered to and fro.

**Part II Section Five Analysis**

Woolf writes, “It was as if he were sucked up to some very high roof by that rush of emotion and the rest of him, like a white shell-sprinkled beach, left bare. It had been his undoing in Anglo-Indian society - this susceptibility.” Expanding on Woolf’s theme of life as the sea, Peter Walsh too experiences the waves of emotion that rise and fall in Clarissa’s life. He notes that his inability to weep or laugh at the right time has left him as empty and lonely as a beach that is washed clean after the sea pulls back. In this case, the thematic metaphor functions to illustrate Peter’s societal isolation when he is stripped of the metaphoric sea that connects him to life. Immediately following Peter’s thoughts in the text, Woolf describes Peter’s memory of Clarissa’s transcendentalist-like theory of living. The theory follows, “…since our apparitions, the part of us which appears, are so momentary compared with the other, the unseen part of us, which spreads wide, the unseen might survive, be recovered somehow attached to this person or that, or even haunting certain places after death…” Clarissa has served this purpose to Peter as thoughts of her frequently, or infrequently, occur to him, causing him to relive their times together at the most unexpected times. In this sense, Clarissa acts as metaphoric sea in Peter’s life. Her absence leaves him empty and wondering; whereas her presence provides connections to a life that he desires for years after her presence has ceased.

Peter has trouble facing these reminders of Clarissa, these remnants of her unseen surviving, and thus, becomes embittered when he receives the note from her at his hotel. Unlike her husband, Clarissa has an easier time communicating and has successfully expressed herself in the written form and delivered this expression to Peter before he arrives back at his hotel. Peter feels bombarded by the memories he suffers of Clarissa, and her ghost makes an even greater appearance in the form of the note. The blue (symbolic of the sea) envelope, recognizably addressed in Clarissa’s hand, stands as a symbol of Peter’s continuing attachment to Clarissa and his proclaimed susceptibility. He looked at a picture he had carried with him of Daisy and felt a different sentiment entirely. With Daisy, “All is plain sailing.” This ocean of feeling does not haunt Peter; this relationship he can navigate.

England as society and civilization passes by and impresses Peter. Yet, he still is incapable of escaping the past. His thoughts, and Woolf’s prose, merge and blur with the past as the two are expressed interchangeably. They exist as one for Woolf’s characters. The intersection of time and timelessness most noticeably occurs directly in front of Peter’s gaze as he sits on the
veranda of the hotel and as he slowly ambles to the party. London had changed since Peter last visited, and the changes that he can perceive pass by him on his journey back to Clarissa’s house.

Since time stands as Woolf’s greatest marker of life and living, it is not surprising that she signals the changes that have occurred since Peter’s last appearance in England with a reference to time. Peter sits on the porch of the hotel and Woolf writes, “For the great revolution of Mr. Willett’s summer time had taken place since Peter Walsh’s last visit. The prolonged evening was new to him. It was inspiring, rather.” Mr. Willett’s summer time is an allusion to the adoption of daylight savings time. The lengthened evening allows Peter to observe much of London as he slips in and out of his own memories. In this artificial expansion of day, Peter is transported to a space and time where age and being seem less established and immoveable. He remarks that he is “as young as ever.” Past and present intersect in Woolf’s writing, which lacks transitions and purposely avoids specifying pronouns in order to emphasize the blurred distinction between the two. The immediacy of the moment is blended beautifully and generously with the timeless memories of the past.

Part II, Section Six Summary

Lucy and the other servants ran around in final preparation for the party. They had heard that the Prime Minister was coming. Guests were already arriving and the ladies began to move upstairs, with Mrs. Dalloway last. Mrs. Walker, one servant, worried about the salmon. Lucy reported to the others how lovely Elizabeth looked. A few servants were hired for Clarissa’s parties every year. As the guests entered, they were each announced and Clarissa would say to each, “How delightful to see you!” Peter felt that Clarissa was insincere and wished he had gone somewhere else for the evening. Clarissa noticed Peter and felt ashamed. His presence made her judge herself. She wondered why she threw parties and felt instantly that this party would fail. It angered Clarissa that Peter came to criticize. And, yet, she thought her parties did matter.

Ellie Henderson, Clarissa’s poor cousin, stood in the corner, not talking to anyone but enjoying a chance to observe. She would tell her friend, Edith, all about it later. She guessed that Clarissa had not meant to invite her. Richard was kind enough to say hello. A moment later, Peter greeted Richard and they walked off. Clarissa continued greeting all who entered. She felt tired and rote. Suddenly, Lady Rosseter was announced. Her voice struck a chord. Clarissa realized the title was Sally Seton’s married name! She was passing through London and came to the party, uninvited. Clarissa was overjoyed to see her. She noticed that Sally looked older; Sally told her that she had five boys. The Prime Minister was announced and Clarissa had to attend to him. Surprising to most, he was an ordinary looking man. He walked about with Clarissa, then Richard, acting as a symbol of English society.

Peter thought the English were snobs. Soon, Peter spotted Hugh Whitbread, another reminder of society. To Peter, Hugh appeared bloated and self-important. The student near Hugh seemed much more worthwhile in Peter’s eyes. Lady Bruton met privately with the Prime Minister. Then, Clarissa continued to lead the Prime Minister around, making him feel at ease. Though intoxicated with the energy of her party, Clarissa retained a hollow feeling. As she grew older, parties were somewhat less fulfilling. On the other hand, hatred, brought about by a picture that triggered thoughts of Miss. Kilman, managed to fulfill her. Clarissa caught sight of Sir Harry and greeted him with love. As much as he liked Clarissa though, he still found this social circle stale.
Clarissa had to move on to another group of people. She came upon Professor Brierly, an expert on Milton, and Jim Hutton, who shared Clarissa’s love for Bach, not getting along. Clarissa wished she could have Hutton play on the piano, but the party was too loud. She, then, greeted Lord Gayton and Miss Blow, who were not speaking much. Clarissa wished she had dancing for the young people, but there was no room. Spotting her aunt, Clarissa went to old Helena Parry. She had gotten along so well with Peter, so Clarissa brought Peter to her. Clarissa promised Peter that they would speak later. Clarissa met with Lady Bruton briefly. They were very different and did not have much to say. Lady Bruton joined Peter near Miss. Parry, and invited him to lunch. Sally noticed Peter with Miss. Parry. She tried to make Clarissa join them, but Clarissa could not be stopped. Clarissa hoped they would wait until she had time. She remembered Sally’s vigor from youth, her insatiable vivacity. Sally did not illuminate a room as she once had. Settling down to a normal marriage was not expected of her, Clarissa thought. Sally sat with Peter. Clarissa saw them as the link to her past.

The Bradshaws entered and Clarissa hurried to greet them. Clarissa and Richard had never liked the couple, especially the doctor. They were so late because, as Lady Bradshaw intimated to Clarissa, Sir William had received a call about a young man who had killed himself. Clarissa was appalled that Lady Bradshaw was bringing death into the party. Distraught, Clarissa wandered into a little room but no one was there. The thought of death overwhelmed her. She could feel the man, who had been Septimus, fall and his body hit the metal spikes as if it were she. She thought of her past, Peter, and Sally, and she wondered if the man had been happy. Clarissa realized why she despised Sir Bradshaw; he made the life of his patients intolerable. The death seemed her disgrace, a fate into which she might have slipped if it had not been for Richard. He made her life happy, she thought. Clarissa looked out the window and noticed that the old woman across was looking back toward her. She thought it bizarre to watch the old woman prepare for bed while her party roared in the next room. Clarissa felt revived by the knowledge that Septimus had thrown his life away. She returned to the party, to find Peter and Sally.

Peter was still sitting with Sally but he wondered where Clarissa had gone. Sally figured that the people at the party were all important politicians, like Richard. Richard, however, had never made the Cabinet. Sally had changed, Peter thought. Peter had not, Sally thought. Sally remembered the scene at Bourton the first day Richard had come. It had triggered the three of them parting. They spoke a little of Sally’s home near Manchester and Sally invited Peter to visit. Clarissa had never visited. They noticed Elizabeth standing across the room; she seemed so unlike Clarissa. Sally mentioned that she loved Clarissa, but Clarissa lacked something. Sally wondered how Clarissa could have married Richard. As Hugh passed, Peter asked Sally whether Hugh had really kissed her at Bourton. Sally still stuck to the story. After Hugh passed, Sally asked about many people in the room, but Peter only knew about a few. He kept looking for Clarissa. Sally felt that they had grown to the age that one must say what they feel. Peter said he did not know what he felt. He admitted that his relationship with Clarissa had spoilt his life. One could only be in love once, he reasoned. When Sally said Clarissa must have loved him more than she loved Richard, Peter felt she had gone too far. Looking at Elizabeth again, Peter felt that one knew people better as one grew older whereas Sally felt that one never knew anything.

Richard stood talking to the Bradshaws before they left. Elizabeth caught his eye and wandered over to him. Richard was amazed how grown up she looked. Sally could tell that Elizabeth
and Richard shared a special bond. Almost everyone had left the party now. Sally rose to speak with Richard. Peter waited a minute, soon overcome by great elation. He realized that he was happy because Clarissa had finally come.

Part II Section Six Analysis

Clarissa’s role of the hostess is fulfilled with the occurrence of the actual party in the last section of the novel. The final preparations take place as the servants hurry around with last minute additions and gossip. People begin arriving and Clarissa is put into play. For the rest of the novel, she rarely has time to stand with any one guest and speak with him before she must run off to greet another. She is a servant to societal conventions and her offering to society forces her to sacrifice herself to its performance. One can see this best when Clarissa’s great old friend, Sally Seton (now Lady Rosseter), is surprisingly introduced. Even though Sally has lost some of her old luster, Clarissa is overjoyed to see her. Yet, a moment later, she is called upon to attend to another guest. She is pulled away before she knows whom the guest is, and after hearing that it is the Prime Minister, she must show him around the party personally.

As the Prime Minister walks around the party, Woolf describes the guests trying not to laugh or notice how common the man looked. She writes, “He tried to look somebody. It was amusing to watch. Nobody looked at him.” How one is perceived is examined in this section, as the partygoers clearly notice that the man is trying to look important and yet, they are still impressed. Their perception of the name, the symbol, the status of the Prime Minister overcomes any physical evidence in the contrary. The prestigious car that slowly made its way through London, peaking everyone’s curiosity and wonderment, foreshadowed this moment of the Prime Minister’s actual appearance. In a similar fashion, the onlookers of the event feel important simply to have been present. Woolf’s description of the reaction to the Prime Minister parallels the earlier viewing. She describes the crowd, “...they all knew, felt to the marrow of their bones, this majesty passing; this symbol of what they all stood for, English society.” The figure of the Prime Minister symbolizes the hierarchy of English society and the deeply encoded sense of civility and status that still ruled the society even after the devastation of World War I. The society continues to look down upon young men such as Septimus who have suffered in the War while also continuing to glorify men such as Hugh Whitbread who do little else but write pithy articles and attend meetings.

This thread of society, symbolized by the figure of the Prime Minister, carries the reader through the novel, from the car that stirs all of London’s citizens to Richard’s post in Parliament to Hugh Whitbread’s gatherings at Buckingham Palace to Lady Bruton’s luncheon to the party where the Prime Minister appears in the flesh. The Prime Minister is a metonym for English society itself. Even Peter Walsh recognizes that England has not changed much in this sense during his absence. He comments, “Lord, lord, the snobbery of the English!” Peter had foreshadowed the role that Clarissa would play in the furtherance of English snobbery in his retort to her that she would someday be the Prime Minister’s wife. Standing atop the stairs, greeting the guests of her party, leading around the Prime Minister, she nearly fulfills this prophecy. And, as one critic states, Richard’s career is not over, and so she may someday be married to the Prime Minister.

The break in the mood of the party occurs with the arrival of the Bradshaws. After hearing of Septimus’ death, Clarissa is no longer worried about making sure everyone is happy or leading around the prestigious members of the crowd. She retires to a small room in order to deal with the feeling of death that has invaded her party and her being. She, of course, does not know the stranger who committed suicide, but the doppelgangers of Woolf’s imagination become connected in this moment. They become physically connected as Clarissa reflects the
feelings of pain and death experienced by Septimus through her body. She identifies with the fall he experienced and the rusty spikes piercing his body.

She, then, realizes that his death is a sacrifice for her, and for the others at her party and everywhere, to allow them to continue living. Septimus’ role as a Christ figure becomes apparent. Woolf originally planned for Clarissa to commit suicide, or simply die, at the end of the novel. Instead, she decided that a part of Clarissa, constructed in the form of a man destroyed by war and society, would take his own life in order for the rest of Clarissa’s being to appreciate the life she had. Clarissa believes, “A thing there was that mattered...This he had preserved. Death was defiance. Death was an attempt to communicate.” The this’ to which Woolf refers is purposely ambiguous. Life could obviously be inserted in its place, but the essence of life, “the thing that mattered,” is impossible to define. Yet, the essence is possible to preserve and Septimus’ decision to throw it all away has done so. The words of Shakespeare come to Clarissa, linking her undeniably to the young Septimus. The words tell her, “Fear no more the heat of the sun.” Septimus, who had gone to war so that he could protect Shakespeare, stands in the heat of the sun immediately before jumping to his death.

Self Assessment

State the following sentences are True or False:

9. The name of Peter’s fiancee is Daisy.
10. Clarissa recently recovered from Typhoid.
11. A Calico cat see Clarissa twice in the window across from her own.
12. The Septimus committed suicide because Lady Bradshaw tell Clarissa at the Dalloway’s party.

Woolf is borrowing from Shakespeare’s play Cymbeline, as she had earlier in the novel when Clarissa notices the same words in an open book as she walks through Bond Street. The repetition of the statement emphasizes its significance to the thematic progression of the novel. Critic, Avrom Fleishman, notes that, though the quotation has generally been understood as an illustration of Clarissa’s strength in the face of death and disillusionment, “Clarissa’s affinity for the refrain may be taken as a mark of her strong propensity for death ...” Clarissa notes, before returning to her party, “She felt somehow very like him - the young man who had killed himself. She felt glad that he had done it; thrown it away.” His sacrifice, his affirmation of life’s inconstancy and immediacy, allows Clarissa to face her own fears and desires. His death permits her to “feel the beauty” and “feel the fun.” As critic Isabel Gamble concludes, “In comprehending Septimus’ death—he has plunged holding his treasure’ - Clarissa herself discovers her own identity and becomes whole.”

The short time Clarissa spends in the little room is saturated with significant images and allusions. This time is the climax of the novel. The old lady appears in the neighboring house at this moment as well. Because of Septimus’ death and the old lady, Clarissa steps out of the social circle of her party and connects to the larger sense of life and death occurring around her. The text states, “It was fascinating, with people still laughing and shouting in the drawing-room, to watch that old woman, quite quietly, going to bed.” Just as the woman connected Clarissa to the movements of life after Miss Kilman and Elizabeth depart for the stores, she once again creates in Clarissa wonderment for life and being.

Clarissa returns to the party charged with a sense of life and with a need to “assemble” with the people important to her. She has conquered the sense of isolation and returned to social connection. The novel ends with a scene that can be considered a microcosm of the novel.
Peter is suddenly filled with a sense of ecstasy. He had been looking for Clarissa for a long time and suddenly she was there. Woolf writes in a simple structure, reminiscent of the short sentences that begin the novel and permeate its body, “It is Clarissa, he said. For there she was.” The reader is filled with an “extraordinary excitement” as she becomes increasingly involved in the discovery of Clarissa’s being throughout the novel. As critic Lucio P. Ruotolo analyzes, “During her parties it was not what she did or said that one remembered but rather the extraordinary sense of her being there, There she was.” The conclusion of the novel is as much an end as a beginning.

29.3 Summary

- The writing reflects the sea and rippling wave imagery broadcast through the character’s intuitions.
- Clarissa asked about his life. There was too much to tell her, but he mentioned that he was in love with a girl in India who was still married to a Major in the Indian Army.
- The bells of St. Margaret’s echoed across London, and Peter associated St. Margaret’s graceful entrance with Clarissa as the hostess.
- Septimus was one of the first volunteers for the army in World War I. He went to protect Shakespeare and Isabel.
- The archetype of the feminine maternal is represented by the woman seen by the solitary traveler and now, the vagrant woman singing in the subway.
- The theme of the sea as symbolic of life is invoked as Richard returns from the luncheon with flowers for Clarissa.

29.4 Keywords

- **Airy**: spacious and well ventilated.
- **Ripple**: a small wave or series of waves.
- **Ecstasy**: an emotional or religious fernzy or trance like state.
- **Fawn**: a young deer in its first year.

29.5 Review Questions

1. What does Clarissa hand to Lucy?
2. What are the boys in uniform carrying?
3. What does Lucrezia say has grown so thin?
4. Why had septimus left home as a mere boy?
5. What kind of flower in Elizabeth compare to?
6. What was the doom that septimus had sensed in Milan?

Answers: Self Assessment

1. (b) Flowers  
2. (d) A pocketknife
3. (c) Green
4. (a) June
5. (c) Hat maker
6. (a) Shell Shock
7. (b) India
8. (a) Bourton
9. True
10. False
11. False
12. True

### 29.6 Further Readings

**Books**
- Mrs. Dalloway (E Text) — Virginia Woolf
- Virginia Woolf — Hermione Lee
- Virginia Woolf: a biography — Quentin Bell

**Online links**
- [http://www.cliffsnotes.com/study_guide/literature/Mrs-Dalloway-Summary](http://www.cliffsnotes.com/study_guide/literature/Mrs-Dalloway-Summary)
- [http://www.sparknotes.com/lit/dalloway/section1.rhtml](http://www.sparknotes.com/lit/dalloway/section1.rhtml)
Unit 30: Virginia Woolf — Mrs. Dalloway:
Themes and Characterization

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Objectives
After studying this unit, you will be able to:
• Know about the characters and analysis of major characters
• Know about the major themes and style of Mrs. Dalloway.

Introduction
A theme of the novel is the conflict between conventionality and unconventionality. Clarissa chooses conventionality, rather than following her true feelings, and is left empty and unsure of herself. Peter Walsh chooses unconventionality, and is left feeling aimless and unsuccessful. Septimus Warren Smith commits suicide to escape being crushed by the forces of conventionality. The novel is in part a critique of the shallowness and superficial conventionality of upper-class English society.

Another theme of the novel is that the thoughts of individuals are connected in a way that transcends their separation or alienation. Woolf uses a stream-of-consciousness technique to connect the thoughts of her characters.

Notes The novel is a continuous narrative, not divided into chapters or sections, although Woolf noted some of the shifts in time or scene by a short blank space in her manuscript.
The thoughts of characters such as Mrs. Dalloway and Septimus Warren Smith are connected by external events in the world, such as the sound of a motorcar, or the sight of an airplane in the sky, or the sound of the Big Ben clock as it strikes the hour. Woolf shows that the thoughts of individuals can be connected in a way that reveals a unity in human existence, an exciting world of possibility.

Although it is difficult to imagine, the novel is a relatively new literary form. Poetry and drama (plays), for example, have a much longer history. The novel, however, did not arise as a unique genre until the late eighteenth century. According to literary historians, it arose along with, or partly because of, the rise of the individual. It is said that Woolf’s style, and that of other early-twentieth-century novelists, represents a culmination of this connection between the novel and the individual.

### 30.1 Major Themes

**The sea as symbolic of life**

“The ebb and flow of life”. When the image is portrayed as being harmonized, the sea represents a great confidence and comfort. Yet, when the image is presented as disjointed or uncomfortable, it symbolizes disassociation, loneliness, and fear.

**Doubling**

Many critics describe Septimus as Clarissa’s doppelganger, the alternate persona, the darker, more internal personality compared to Clarissa’s very social and singular outlook. Woolf’s use of the doppelganger, Septimus, portrays a side to Clarissa’s personality that becomes absorbed by fear and broken down by society and a side of society that has failed to survive the War. The doubling portrays the polarity of the self and exposes the positive-negative relationship inherent in humanity. It also illustrates the opposite phases of the idea of life.

**The intersection of time and timelessness**

Woolf creates a new novelistic structure in Mrs. Dalloway wherein her prose has blurred the distinction between dream and reality, between the past and present. An authentic human being functions in this manner, simultaneously flowing from the conscious to the unconscious, from the fantastic to the real, and from memory to the moment.

**Social commentary**

Woolf also strived to illustrate the vain artificiality of Clarissa’s life and her involvement in it. The detail given and thought provoked in one day of a woman’s preparation for a party, a simple social event, exposes the flimsy lifestyle of England’s upper classes at the time of the novel. Even though Clarissa is effected by Septimus’ death and is bombarded by profound thoughts throughout the novel, she is also a woman for whom a party is her greatest offering to society. The thread of the Prime Minister throughout, the near fulfilling of Peter’s prophecy concerning Clarissa’s role, and the characters of the doctors, Hugh Whitbread, and Lady Bruton as compared to the tragically mishandled plight of Septimus, throw a critical light upon the social circle examined by Woolf.
The world of the sane and the insane side by side

Woolf portrays the sane grasping for significant and substantial connections to life, living among those who have been cut off from such connections and who suffer because of the improper treatment they, henceforth, receive. The critic, Ruotolo, excellently develops the idea behind the theme: “Estranged from the sanity of others, rooted to the pavement,’ the veteran [Septimus] asks for what purpose’ he is present. Virginia Woolf’s novel honors and extends his question. He perceives a beauty in existence that his age has almost totally disregarded; his vision of new life... is a source of joy as well as madness. Unfortunately, the glimpse of beauty that makes Septimus less forlorn is anathema to an age that worships like Septimus’ inhuman doctor, Sir William Bradshaw, the twin goddesses Proportion’ and Conversion."

30.2 Character List

Clarissa Dalloway

The heroine of the novel, Clarissa is analyzed in terms of her life, personality, and thought process throughout the book by the author and other characters. She is viewed from many angles. Clarissa enjoys the moment-to-moment aspect of life and believes that a piece of her remains in every place she has visited. She lacks a certain warmth, but is a caring woman who is touched by the people around her and their connection to life in general. Clarissa feels that her parties are her gift to the world and is proud to share herself with others. She loves to be accepted but has the acuity of mind to perceive her own flaws, especially since her recent illness. Clarissa is a representative of an uppity English gentry class and yet, defies categorization because of her humanity and her relation to her literary double, Septimus Warren Smith. She is superficially based on Woolf’s childhood friend, Kitty Maxse.

Richard Dalloway

Clarissa’s husband, Richard is in love with his wife but feels uncomfortable showing his affection. A member of the government, he continually must attend councils, committees, and important meetings. He is called on by Lady Bruton for counsel, but is viewed by Sally Seton as not reaching his potential. She and Peter feel that he would have rather been in the country on a farm. Clarissa was attracted to him for his direct ideas, command of situations, and facility with animals.

Elizabeth Dalloway

Clarissa and Richard’s daughter, she is described as strangely dark and exotic looking. She garners much attention from suitors but would rather spend her time in the country with her father and dog than at her mother’s party. She is close to Miss Kilman but finds Miss Kilman odd and awkward at times. She sometimes imagines that she may be a veterinarian so that she can care for animals.

Peter Walsh

Clarissa’s beau before Richard, Peter does not see Clarissa often after their break up. He had moved to India, married, separated, and then fallen in love again. The day of the novel, he returns to London and visits Clarissa. There is still an intensity between them and Peter reveals later to Sally Seton that Clarissa ruined his life by refusing to marry him. He rethinks
much of their time at Bourton and decides to attend Clarissa’s party even though he hates her parties. He waits the entire party just to speak with her or be near her.

Lucy

Clarissa’s principal servant, Lucy has the run of the house. She is proud of its ability to effuse beauty and honor.

Mrs. Walker

Another servant, Mrs. Walker is older and has been handling the dinners at the parties for many years.

Sally Seton/Lady Rosseter

As a young woman, she was Clarissa’s best friend, staying with Clarissa at Bourton because she was considerably poorer than Clarissa. Sally enjoyed causing a raucous by making outrageous claims and acting on a rebellious instinct that led her to smoke cigars, run naked down the halls, and do other crazy stunts that were not condoned by Clarissa’s relatives. She represents Clarissa’s true but unfulfilled love. As an older woman, she has surprisingly married a wealthy man and had a family, though she retains many of her spirited qualities.

Hugh Whitbread

A proper English gentleman, Hugh feels that he makes an important contribution to English society by writing letters to the London Times, helping different committees, attending parties at the Palace, and giving to small charities. He has been friends with Clarissa since childhood. Peter and Richard find him stiff and boring.

Miss. Kilman

The woman whom Richard has hired to tutor Elizabeth in history, she is continually at odds with Clarissa. She has communist sympathies and feels bitter and repulsed by those of wealth and privilege such as Clarissa. Clarissa detests the attention she takes from her daughter as well as her self-sacrificing, condescending demeanor.

Miss. Pym

The woman who works at the florist on Bond Street, she notes that Clarissa was once very kind. She is polite and apologetic to an extreme.

Septimus Warren Smith

Often considered Clarissa’s doppelganger, Septimus was a successful, intelligent, literary young man before World War I. During the war, he wins many honors and friends. After a good friend, Evans, is killed, he realizes that he can no longer feel. Marrying Rezia in an attempt to move on, Septimus never regains an emotional attachment to the world. The couple moves back to London and Septimus returns to his good job, but he slowly slips into further depths of despair and horror. He hears voices, namely of Evans, and becomes extremely sensitive to color and natural beauty. The doctors compound his problems by ignoring them, and they
become the embodiment of evil and humanity, in his mind. When Dr. Holmes pushes into his home to see him, Septimus throws himself out the window to his death.

**Lucrezia Warren Smith**

Septimus’ wife, Lucrezia lived in Italy before marrying and made hats with her sister. She is young and fun loving, but becomes seriously humiliated and sad when Septimus starts slipping into insanity. She wanted a normal marriage with children, not a man who talks to himself. When they first met, he had introduced her to Shakespeare and listened to her. Rezia tries to protect her husband from the doctors, but, in the end, she cannot.

**Maisie Johnson**

A young woman fresh from Scotland, she is frightened by the Smiths in Regent’s Park and wonders if she should have come to London after all.

**Carrie Dempster**

An older, lower class woman in Regent’s Park, who imagines the future life of Maisie Johnson based on Maisie’s appearance while evaluating her own life.

**Lady Bruton**

The daughter of a general, she is an older woman much more concerned with the British Empire than relationships or society. She invited Richard, but not Clarissa, to lunch causing Clarissa to question her own purpose. She and Clarissa have little in common.

**Dr. Holmes**

The overbearing doctor who first treats Septimus, he insists that nothing is wrong with Septimus and commands that Rezia try to keep his mind on other things. Septimus views him with hatred, feeling that the doctor represents the evils of human kind trying to stifle him. It is Holmes rushing up the stairs past Rezia that persuades Septimus to kill himself.

**Sir William Bradshaw**

The esteemed psychologist who treats Septimus after Dr. Holmes, Bradshaw recommends rest in the country for Septimus so he can be reoriented to Bradshaw’s strict ideal of proportion. He recognizes that Septimus is seriously suffering from post-war anguish. He is hated by Septimus because he represents humanity along with Holmes, by Rezia because he tries to separate the couple, and by Clarissa because he makes the lives of his patients intolerable.

**Lady Bradshaw**

The doctor’s upstanding wife, the Lady tells Clarissa of Septimus’ death, bringing unwanted death into Clarissa’s party. The Lady is a very good amateur photographer, but, ironically, had a mental breakdown years ago.
Milly Brush
Lady Bruton’s secretary, Milly is also a confidant and good friend. She cannot tolerate the pomposity and extreme politesse exuded by Hugh Whitbread.

The Morrises
A family that is staying at Peter’s hotel, they eat dinner at the same time as Peter and befriend him in the smoking room afterwards.

The Prime Minister
The man perceived as close to royalty by English society, the Prime Minister is kind enough to visit the party. The guests are surprised at how ordinary he appears. Many of the other characters reflect on him throughout the novel.

Ellie Henderson
Clarissa’s poor, quiet, and less than sociable cousin, Ellie is only invited to the party because another of Clarissa’s guests invites her. Clarissa thought her too dull to invite. She speaks only to Richard at the party. The rest of the time, she simply observes the guests and gathers gossip to tell her friend, Edith.

Professor Brierly, Jim Hutton, Lord Gayton, Miss Blow
All guests at Clarissa’s party, Clarissa has a few moments to speak to each of them and to try to smooth over any conflicts or boredom.

Miss Helena Parry
Clarissa’s old aunt, Miss Parry is part of the memories of Burton, where she chastised Sally and befriended Peter. At the party, she tolerates the crowds and speaks to Peter about Burma. Most are surprised that she is still alive.

The old woman
The neighbor whom Clarissa could view in the house adjacent, the old woman seems a mystery to Clarissa. Though she often appears to be connected to others in her life, Clarissa admires the elder neighbor’s privacy. Clarissa watches the woman as Clarissa looks outside after hearing of Septimus’ suicide. The old woman’s turning off the lights to go to bed triggers Clarissa’s realization that she must return to life and her party.

30.2.1 Analysis of Major Characters

Clarissa Dalloway
Clarissa Dalloway, the heroine of the novel, struggles constantly to balance her internal life with the external world. Her world consists of glittering surfaces, such as fine fashion, parties, and high society, but as she moves through that world she probes beneath those surfaces in search of deeper meaning. Yearning for privacy, Clarissa has a tendency toward introspection.
that gives her a profound capacity for emotion, which many other characters lack. However, she is always concerned with appearances and keeps herself tightly composed, seldom sharing her feelings with anyone. She uses a constant stream of convivial chatter and activity to keep her soul locked safely away, which can make her seem shallow even to those who know her well.

Constantly overlaying the past and the present, Clarissa strives to reconcile herself to life despite her potent memories. For most of the novel she considers aging and death with trepidation, even as she performs life-affirming actions, such as buying flowers. Though content, Clarissa never lets go of the doubt she feels about the decisions that have shaped her life, particularly her decision to marry Richard instead of Peter Walsh. She understands that life with Peter would have been difficult, but at the same time she is uneasily aware that she sacrificed passion for the security and tranquility of an upper-class life. At times she wishes for a chance to live life over again. She experiences a moment of clarity and peace when she watches her old neighbor through her window, and by the end of the day she has come to terms with the possibility of death. Like Septimus, Clarissa feels keenly the oppressive forces in life, and she accepts that the life she has is all she’ll get. Her will to endure, however, prevails.

Septimus Warren Smith

Septimus, a veteran of World War I, suffers from shell shock and is lost within his own mind. He feels guilty even as he despises himself for being made numb by the war. His doctor has ordered Lucrezia, Septimus’s wife, to make Septimus notice things outside himself, but Septimus has removed himself from the physical world. Instead, he lives in an internal world, wherein he sees and hears things that aren’t really there and he talks to his dead friend Evans. He is sometimes overcome with the beauty in the world, but he also fears that the people in it have no capacity for honesty or kindness. Woolf intended for Clarissa to speak the sane truth and Septimus the insane truth, and indeed Septimus’s detachment enables him to judge other people more harshly than Clarissa is capable of. The world outside of Septimus is threatening, and the way Septimus sees that world offers little hope.

On the surface, Septimus seems quite dissimilar to Clarissa, but he embodies many characteristics that Clarissa shares and thinks in much the same way she does. He could almost be her double in the novel. Septimus and Clarissa both have beak-noses, love Shakespeare, and fear oppression. More important, as Clarissa’s double, Septimus offers a contrast between the conscious struggle of a working-class veteran and the blind opulence of the upper class. His troubles call into question the legitimacy of the English society he fought to preserve during the war. Because his thoughts often run parallel to Clarissa’s and echo hers in many ways, the thin line between what is considered sanity and insanity gets thinner and thinner.

Did u know? Septimus chooses to escape his problems by killing himself, a dramatic and tragic gesture that ultimately helps Clarissa to accept her own choices, as well as the society in which she lives.

Peter Walsh

Peter Walsh’s most consistent character trait is ambivalence: he is middle-aged and fears he has wasted his life, but sometimes he also feels he is not yet old. He cannot commit to an identity, or even to a romantic partner. He cannot decide what he feels and tries often to talk himself into feeling or not feeling certain things. For example, he spends the day telling
himself that he no longer loves Clarissa, but his grief at losing her rises painfully to the surface when he is in her presence, and his obsession with her suggests that he is still attracted to her and may even long for renewed romance. Even when he gathers his anger toward Clarissa and tells her about his new love, he cannot sustain the anger and ends up weeping. Peter acts as a foil to Richard, who is stable, generous, and rather simple. Unlike calm Richard, Peter is like a storm, thundering and crashing, unpredictable even to himself.

Peter’s unhealed hurt and persistent insecurity make him severely critical of other characters, especially the Dalloways. He detests Clarissa’s bourgeois lifestyle, though he blames Richard for making her into the kind of woman she is. Clarissa intuits even his most veiled criticisms, such as when he remarks on her green dress, and his judgments strongly affect her own assessments of her life and choices. Despite his sharp critiques of others, Peter cannot clearly see his own shortcomings. His self-obsession and neediness would have suffocated Clarissa, which is partly why she refused his marriage proposal as a young woman. Peter acquiesces to the very English society he criticizes, enjoying the false sense of order it offers, which he lacks in his life. Despite Peter’s ambivalence and tendency toward analysis, he still feels life deeply. While Clarissa comes to terms with her own mortality, Peter becomes frantic at the thought of death. He follows a young woman through the London streets to smother his thoughts of death with a fantasy of life and adventure. His critical nature may distance him from others, but he values his life nonetheless.

Task In what famous London Square does Peter See the attractive young women?

Sally Seton

Sally Seton exists only as a figure in Clarissa’s memory for most of the novel, and when she appears at Clarissa’s party, she is older but still familiar. Though the women have not seen each other for years, Sally still puts Clarissa first when she counts her blessings, even before her husband or five sons. As a girl, Sally was without inhibitions, and as an adult at the party, she is still effusive and lacks Clarissa’s restraint. Long ago, Sally and Clarissa plotted to reform the world together. Now, however, both are married, a fate they once considered a “catastrophe.” Sally has changed and calmed down a great deal since the Bourton days, but she is still enough of a loose cannon to make Peter nervous and to kindle Clarissa’s old warm feelings. Both Sally and Clarissa have yielded to the forces of English society to some degree, but Sally keeps more distance than Clarissa does. She often takes refuge in her garden, as she despairs over communicating with humans. However, she has not lost all hope of meaningful communication, and she still thinks saying what one feels is the most important contribution one can make to society.

Clarissa considers the moment when Sally kissed her on the lips and offered her a flower at Bourton the “most exquisite moment of her whole life.” Society would never have allowed that love to flourish, since women of Clarissa’s class were expected to marry and become society wives. Sally has always been more of a free spirit than Clarissa, and when she arrives at Clarissa’s party, she feels rather distant from and confused by the life Clarissa has chosen. The women’s kiss marked a true moment of passion that could have pushed both women outside of the English society they know, and it stands out in contrast to the confrontation Peter remembers between Sally and Hugh regarding women’s rights. One morning at Bourton, Sally angrily told Hugh he represented the worst of the English middle class and that he was to blame for the plight of the young girls in Piccadilly. Later, Hugh supposedly kissed her in
the smoking room. Hugh’s is the forced kiss of traditional English society, while the kiss with Clarissa is a revelation. Ultimately, the society that spurs Hugh’s kiss prevails for both women.

**Self Assessment**

Fill in the blanks:

1. The novel is in part a ..... of the shallowness.
2. Clarissa is a ....... of the novel.
3. The esteemed psychologist who treats ....... after Dr. Holmes.
4. Plot in Mrs. Dalloway is essentially ....... .
5. ....... time does not progress steadily forward.

**Richard Dalloway**

Richard’s simplicity and steadfastness have enabled him to build a stable life for Clarissa, but these same qualities represent the compromise that marrying him required. Richard is a simple, hardworking, sensible husband who loves Clarissa and their daughter, Elizabeth. However, he will never share Clarissa’s desire to truly and fully communicate, and he cannot appreciate the beauty of life in the same way she can. At one point, Richard tries to overcome his habitual stiffness and shyness by planning to tell Clarissa that he loves her, but he is ultimately too repressed to say the words, in part because it has been so long since he last said them. Just as he does not understand Clarissa’s desires, he does not recognize Elizabeth’s potential as a woman. If he had had a son, he would have encouraged him to work, but he does not offer the same encouragement to Elizabeth, even as she contemplates job options. His reticence on the matter increases the likelihood that she will eventually be in the same predicament as Clarissa, unable to support herself through a career and thus unable to gain the freedom to follow her passions.

Richard considers tradition of prime importance, rather than passion or open communication. He champions the traditions England went to war to preserve, in contrast to Septimus, and does not recognize their destructive power. Despite his occasional misgivings, Richard has close associations with members of English high society. He is critical of Hugh, but they revere many of the same symbols, including the figure of the grand old lady with money, who is helpless when it comes to surviving in a patriarchal society. Richard likes the fact that women need him, but sometimes he wrongly assumes they do. For example, he does not recognize that a female vagrant may not want his help but may instead enjoy living outside the rules of his society. For Richard, this sort of freedom is unimaginable.

**30.3 Virginia Woolf—Mrs. Dalloway: Style**

In Mrs. Dalloway, all of the action, except flashbacks, takes place on a day in June. It is an example of free indirect discourse storytelling (not stream of consciousness because this story moves between the consciousnesses of every character in a form of discourse): every scene closely tracks the momentary thoughts of a particular character. Woolf blurs the distinction between direct and indirect speech throughout the novel, alternating her narration with omniscient description, indirect interior monologue, direct interior narration follows at least twenty characters in this way but the bulk of the novel is spent with Clarissa Dalloway and Septimus Smith.
Because of structural and stylistic similarities, Mrs. Dalloway is commonly thought to be a response to James Joyce's Ulysses, a text that is often considered one of the greatest novels of the twentieth century (though Woolf herself, writing in 1928, apparently denied this). In her essay ‘Modern Fiction’, Woolf praised James Joyce’s Ulysses, saying of the scene in the cemetery, “on a first reading at any rate, it is difficult not to acclaim a masterpiece”. The Hogarth Press, run by her and her husband Leonard, had to turn down the chance to publish the novel in 1919, because of the obscenity law in England, as well as the practical issues regarding publishing such a substantial text.

Woolf laid out some of her literary goals with the characters of Mrs. Dalloway while still working on the novel. A year before its publication, she gave a talk at Cambridge University called “Character in Fiction,” revised and retitled later that year as “Mr. Bennett and Mrs. Brown.”

30.3.1 Virginia Woolf’s Narrative Style in Mrs. Dalloway

Virginia Woolf possesses the ability to create a work of fiction that evokes a pleasant reading experience for the reader without utilizing a central plot. In Mrs. Dalloway, Woolf chooses to explore the narrative possibilities of bringing several characters through one single day in time. This narrative technique works well in a text that mainly focuses on Mrs. Dalloway’s worldview, her inner workings, and her exploration and sensory experience of the world surrounding her.

Mrs. Dalloway does not tell an exciting story; very little happens to the characters. We, as the reader does not suffer an urgent desire to know what happens next. In a sense, Mrs. Dalloway is a novel without a plot. In the conventional novel, a sequence of events leads up to a climax and then a denouement provided a framework within which the whole resolution is contained. Every event in the novel is a logical outcome of the preceeding element. This logically connected pattern is absent in Woolf’s Mrs. Dalloway. For example, the novel does tell us about long-standing problems (should Clarissa have married Peter Walsh? Does Peter Walsh have a flawed character?). But at the end of the novel, these problems are just as unresolved as they were at the beginning. It could be said that the other main story in the novel, that of Septimus Warren Smith’s struggle with his madness and with his doctors, does have a culmination; it ends in Septimus’s death and defeat. But even this apparently definitive ending, the ending of his life does not have the quality of traditional novel endings with a clear moral attached that we are expected to learn. Many questions remain unanswered at the end of the book and many areas of ambiguity remain unresolved.

Divided into parts, rather than chapters, the novel’s structure highlights the finely interwoven texture of the character’ thoughts. The interest in Mrs. Dalloway, then, is not so much on the action rather more emphasis is laid on various characters’ movements of consciousness (or streams of consciousness). As they move about London, meeting each other and performing their tasks, they are all living very complex subjective lives with streams of memories, fantasies, fears, excitements, fluctuating moods and changeable feelings.

Notes

In other words, plot in Mrs. Dalloway is essentially internal. Woolf wanted to express a point of view, not a plot. There is not a single story in the novel rather stories of individuals.

Another technique that Virginia Woolf employs to develop the story of the novel is her treatment of time. Apparently the time of action is only a single day in the lives of Clarissa Dalloway.
and Septimus Warren Smith. But in the course of a single day they live their whole lives and the reader gets to know everything about them. In this novel, the past lives of the characters are not narrated in chronological order; rather they emerge gradually, in fragments, as memories. At the very beginning of the book we immediately learn something about Mrs. Dalloway’s past life. As soon as she sets off shopping she has flashes of memory of the early morning air at Bourton when she was young and she half remembers something that Pater Walsh had said. So in this novel, the line between past and present is blurred. The transition from present to past and back into present requires but just a single moment. Past, present and future are intermingled in this novel.

Inspite of subjective time scheme, clock time has also been emphasized throughout the novel. Mental time does not progress steadily forward, like the clock time we follow. This point is illustrated by Clarissa’s arrival at the flower shop in the morning; her senses are effortlessly taken to evening time as she thinks and her thoughts flow easily from her seeing the flowers in the present to being drawn back to memories and sensations from her past. At that moment the bell of Big Ben makes her feel that she is running out of time and reminds her of her middle age and that she has done nothing, which civilization would consider impressive.

Another major narrative technique used great deal in Mrs. Dalloway is a particular method of representing what the characters are saying and thinking, which is called “free indirect speech”. In this method the narrator tells us about what a character has said or thought without necessarily reproducing the exact words used. The irony also functions on the narrative level, determining characters in their various relations to one another and to life as a whole. To achieve the continuity of a novel, Virginia Woolf required narrative links between their divided worlds. The external time scheme, underlined by the stroke of Big Ben, places both the inner and outer worlds of various characters in an identical framework. It matches Septimus’ sense of an inner truth (the kind of truth for which Marlow searches in Conrad’s Heart of the Darkness) with the entire social world of the Dalloway’s drawing room. Peter Walsh driving to Clarissa’s party meets the ambulance carrying Septimus’s body. Finally, particular characters, the psychiatrist Sir William Bradshaw most prominently among them communicate between the two worlds. The interesting result is that out of a series of incomplete pieces a complete whole is constructed.

30.4 Summary

• A theme of the novel is the conflict between conventionality and unconventionality.
• Clarissa’s principal servant, Lucy has the run of the house. She is proud of its ability to effuse beauty and honor.
• Clarissa Dalloway, the heroine of the novel, struggles constantly to balance her internal life with the external world.
• Septimus, a veteran of World War I, suffers from shell shock and is lost within his own mind.
• Woolf laid out some of her literary goals with the characters of Mrs Dalloway while still working on the novel.
• Virginia Woolf possesses the ability to create a work of fiction that evokes a pleasant reading experience for the reader without utilizing a central plot.
30.5 **Keywords**

*Denouement*: the final part of a play.

*Legitimacy*: the state of being legitimate.

*Tranquility*: free from disturbance.

30.6 **Review Questions**

1. Describe the themes and style of Mrs. Dalloway.
2. Examine the characterization of the text.

**Answers: Self Assessment**

1. Critique
2. Heroine
3. Septimus
4. Internal
5. Mental

30.7 **Further Readings**

**Books**

- Mrs. Dalloway (E Text) — Virginia Woolf
- Virginia Woolf — Hermione Lee
- Virginia Woolf: a biography — Quentin Bell

**Online links**

- http://www.cliffsnotes.com/study_guide/literature/Mrs-Dalloway-Character
Unit 31: Joseph Conrad—Heart of Darkness

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Objectives
After studying this unit, you will be able to:
• Know the Biography of Joseph Conrad
• Know the introduction to the work “Heart of Darkness”
• Explain the detailed study of the text
• Discuss the themes of “Heart of Darkness”.

Introduction
Heart of Darkness is a novella written by Joseph Conrad. Before its 1902 publication, it appeared as a three-part series (1899) in Blackwood’s Magazine. It is widely regarded as a significant work of English literature and part of the Western canon.

The story centres on Charles Marlow, who narrates most of the book. He is an Englishman who takes a foreign assignment from a Belgian trading company as a river-boat captain in Africa. Heart of Darkness exposes the dark side of Belgian colonization while exploring the three levels of darkness that the protagonist, Marlow, encounters: the darkness of the Congo wilderness, the darkness of the Belgians’ cruel treatment of the natives, and the unfathomable darkness within every human being for committing heinous acts of evil. Although Conrad does not give the name of the river, at the time of writing the Congo Free State, the location of the large and important Congo River, was a private colony of Belgium’s King Leopold II. In the story, Marlow is employed to transport ivory downriver. However, his more pressing assignment is to return Kurtz, another ivory trader, to civilization, in a cover-up. Kurtz has a reputation throughout the region.
This symbolic story is a story within a story or frame narrative. It follows Marlow as he recounts from dusk through to late night, to a group of men aboard a ship anchored in the Thames Estuary, his Congolese adventure. The passage of time and the darkening sky during the fictitious narrative-within-the-narrative parallel the atmosphere of the story.

31.1 Joseph Conrad—Heart of Darkness: Introduction to the Author and to the Text

31.1.1 Introduction to the Author

Joseph Conrad grew up in the Polish Ukraine, a large, fertile plain between Poland and Russia. It was a divided nation, with four languages, four religions, and a number of different social classes. A fraction of the Polish-speaking inhabitants, including Conrad’s family, belonged to the szlachta, a hereditary class in the aristocracy on the social hierarchy, combining qualities of gentry and nobility. They had political power, despite their impoverished state. Conrad’s father, Apollo Korzeniowski, studied for six years at St. Petersburg University, which he left before earning a degree. Conrad’s mother, Eva Bobrowska, was thirteen years younger than Apollo and the only surviving daughter in a family of six sons. After she met him in 1847, Eva was drawn to Apollo’s poetic temperament and passionate patriotism, while he admired her lively imagination. Although Eva’s family disapproved of the courtship, the two were married in 1856.

Instead of devoting himself to the management of his wife’s agricultural estates, Apollo pursued literary and political activities, which brought in little money. He wrote a variety of plays and social satires. Although his works were little known, they would have tremendous influence on his son.

A year into the marriage, Eva became pregnant with Joseph, who was born in 1857. The Crimean War had just ended, and hopes were high for Polish independence. Joseph’s family moved quite a bit, and he never formed close friendships in Poland.

After Apollo was arrested on suspicion of involvement in revolutionary activities, the family was thrown into exile. Eva developed tuberculosis, and she gradually declined until she died in 1865. The seven-year-old Conrad, who witnessed her decline, was absolutely devastated. He also developed health problems, migraines and lung inflammation, which persisted throughout his life. Apollo too fell into decline, and he died of tuberculosis in 1869. At age eleven, Joseph became an orphan.

The young boy became the ward of his uncle, who loved him dearly. Thus began Joseph’s Krakow years, which ended when he left Poland as a teenager in 1874. This move was a complex decision, resulting from what he saw as the intolerably oppressive atmosphere of the Russian garrison.

He spent the next few years in France, mastering his second language and the fundamentals of seamanship. The author made acquaintances in many circles, but his “bohemian” friends were the ones who introduced him to drama, opera, and theater. In the meantime, he was strengthening his maritime contacts, and he soon became an observer on pilot boats. The workers he met on the ship, together with all the experiences they recounted to him, laid the groundwork for much of the vivid detail in his novels.

By 1878, Joseph had made his way to England with the intention of becoming an officer on a British ship. He ended up spending twenty years at sea. Conrad interspersed long voyages with time spent resting on land.
When he was not at sea, writing letters or writing in journals, Joseph was exploring other means of making money. Unlike his father, who abhorred money, Conrad was obsessed by it; he was always on the lookout for business opportunities.

Once the author had worked his way up to shipmaster, he made a series of eastern voyages over three years. Conrad remained in the English port of Mauritius for two months, during which time he unsuccessfully courted two women. Frustrated, he left and journeyed to England.

In England in the summer of 1889, Conrad began the crucial transition from sailor to writer by starting his first novel, Almayer’s Folly. Interestingly, he chose to write in English, his third language.

A journey to the Congo in 1890 was Joseph’s inspiration to write Heart of Darkness. His condemnation of colonialism is well documented in the journal he kept during his visit. He returned to England and soon faced the death of his beloved guardian and uncle. In the meantime, Conrad became closer to Marguerite, an older family friend who was his closest confidant. For six years he tried to establish intimacy with her, but he was eventually discouraged by the age difference and the disparity between their social positions.

Then, 1894 was a landmark year for Conrad: his first novel was published; he met Edward Garnett, who would become a lifelong friend; and he met Jessie George, his future wife. The two-year courtship between the 37-year-old Conrad and the 21-year-old Jessie was somewhat discontinuous in that Conrad pursued other women during the first year of their relationship, but his attention became strongly focused on Jessie by the autumn of 1895. Garnett disapproved of the match, especially since Jessie was miles behind Joseph in education. Nonetheless, they married in March 1896.

The children who followed the union were not warmly welcomed by their father; an absent-minded sort, he expressed surprise each time Jessie delivered a baby. His days were consumed with writing, a struggle no doubt exacerbated by the gaps in his knowledge of the English language.

The major productive phase of Conrad’s career spanned from 1897 to 1911, during which time he composed The Nigger of the Narcissus, Youth, Heart of Darkness, Lord Jim, Nostromo, The Secret Agent, and Under Western Eyes, among other works. During this period, he also experienced serious financial difficulties, often living off of advances and state grants, there being little in the way of royalties. It was not until the publication of Chance in 1914 that he experienced some level of commercial success.

As the quality of his work declined, he grew increasingly comfortable in his wealth and status. Conrad had a true genius for companionship, and his circle of friends included talented authors such as Stephen Crane and Henry James.

Still always writing, he eventually returned to Poland, and he then traveled to America, where he died of a heart attack in 1924 at the age of 67. Conrad’s literary work would have a profound impact on the Modernist movement, influencing a long list of writers including T.S. Eliot, Graham Greene, Virginia Woolf, Thomas Mann, Andre Gide, Ernest Hemingway, F. Scott Fitzgerald, and William Faulkner.

### 31.1.2 Introduction to the Text

A novella, Heart of Darkness is Joseph Conrad’s most famous work and a foundational text on the subject of colonialism. Heart of Darkness is based in part on a trip that Conrad took through modern-day Congo during his years as a sailor. He captained a ship that sailed down the Congo River. Conrad gave up this mission because an illness forced him to return to England, where he worked on his novella almost a decade later.
The presence of ill characters in the novella illustrates the fact that Heart of Darkness is, at least in part, autobiographical. Many speculations have been made about the identity of various characters, such as the Manager, or Kurtz, most recently and perhaps most accurately in Adam Hochschild’s King Leopold’s Ghost. But the geographical, as well as biographical, vagueness of the novel—which is one of its most artistic, haunting characteristics—make it almost impossible to pin down these details for sure.

Heart of Darkness first appeared in a three-part series in Blackwood Magazine in 1899. It was published as a complete novella in 1904. It has since been referred to by many authors and poets. Its most famous lines are both from Kurtz: “exterminate the brutes,” and Kurtz’s deathbed utterance, “the horror! The horror!”

**Did u know?** Francis Ford Coppola directed the film version, Apocalypse Now, in which the action occurs in Vietnam in 1979.

### 31.2 Joseph Conrad—Heart of Darkness: Detailed Study of the Text

#### Part One

A ship called the Nellie is cruising down the Thames—it will rest there as it awaits a change in tide. The narrator is an unidentified guest aboard the ship. He describes at length the appearance of the Thames as an interminable waterway, and then he describes the inhabitants of the ship. The Director of Companies doubles as Captain and host. They all regard him with affection, trust, and respect. The Lawyer is advanced in years and possesses many virtues. The Accountant is toying with dominoes, trying to begin a game. They already share the “bond of the sea.” They are tolerant of one another.

Then there is Marlow. He has an emaciated appearance—sunken cheeks and a yellow complexion. The ship drops anchor, but nobody wants to begin the dominoes game. They sit meditatively at the sun, and the narrator takes great notice of how the water changes as the sun sets. Marlow suddenly speaks, noting that “this also has been one of the dark places of the earth.” He is a man who does not represent his class: he is a seaman but also a wanderer, which is disdainful and odd, since most seamen live sedentary lives aboard the ship that is their home. No one responds to the remark, and Marlow continues to talk of olden times when the Romans arrived and brought light, which even now is constantly flickering. He says those people were not colonists but conquerors, taking everything by brute force. This “taking of the earth is not a pretty thing” when examined too closely; it is the idea behind it which people find redeeming. Then, to the dismay of his bored listeners, he switches into narration of a life experience: how he decided to be a fresh water sailor after coming into contact with colonization.

As a child, Marlow had a passion for maps, and he would lose himself in the blank spaces, which gradually turned into dark ones as they became peopled. He was especially taken with the picture of a long, coiling river. In his tale, after a number of voyages in the Orient and India, Marlow hopes to get charge of the steamboats that must go up and down that river for trade. Marlow looks for a ship, but he has hard luck finding a position. His aunt has connections in the Administration and writes to have him appointed a steamboat skipper. The appointment comes through very quickly, and Marlow is to take the place of Fresleven, a captain who was killed in a scuffle with the natives. He crosses the Channel to sign the contract with his employers.
Their office appears to him like a white sepulcher; the reception area is dimly lit. Two women sullenly man the area. Marlow notes an unfinished map, and he sees that he is going into the yellow section, the central area that holds the river. He signs but feels very uneasy as the women look at him meaningfully. Then there is a visit to the doctor. Marlow questions why he is not with the Company on its business. The doctor becomes cool and says he is no fool. Changes take place out there. He asks his patient whether there is madness in the family. With a clean bill of health and a long goodbye chat with his aunt, Marlow sets out on a French steamer, feeling like an “impostor.”

Watching the coast as it slips by, the new skipper marvels at its enigmatic quality—it tempts and invites the seer to come ashore, but in a grim way. The weather is fierce, for the sun beats down strongly. The ship picks up others along the way, mainly soldiers and clerks. The trade names they pass on ships and on land seem almost farcical. There is a uniformly somber atmosphere. After a month, Marlow arrives at the mouth of the big river and takes his passage on a little steamer. Once aboard he learns that a man picked up the other day hanged himself recently.

He is taken to his Company’s station. He walks through pieces of “decaying machinery” and observes a stream of black people walking slowly, very thin and indifferent. One of the “reclaimed” carries a rifle at “its middle.” Marlow walks around to avoid this chain gang and finds a shade to rest. He sees more black people working, some who look like they are dying. One young man looks particularly hungry, and Marlow offers him the ship biscuit in his pocket. He notices that the boy is wearing white worsted around his neck, and he wonders what this is for. Marlow hastily makes his way towards the station. He meets a white man dressed elegantly and in perfect fashion. He is “amazing” and a “miracle.” After learning that he is the Chief Accountant of the Company, Marlow respects him. The station is a muddle of activity.

The new skipper waits there for ten days, living in a hut. Frequently he visits the accountant, who tells him that he will meet Mr. Kurtz, a remarkable man in charge of the trading post in the ivory country. The Accountant is irritated that a bed station for a dying man has been set up in his office. He remarks that he begins to “hate the savages to death.” He asks Marlow to tell Kurtz that everything is satisfactory.

The next day Marlow begins a 200 mile tramp into the interior. He crosses many paths, many deserted dwellings, and mysterious black men. His white companion becomes ill on the journey, which makes Marlow impatient but attentive. Finally they arrive at the Central Station, and Marlow must see the General Manager. The meeting is strange. The Manager has a stealthy smile. He is obeyed, but he does not inspire love or fear. He only inspires uneasiness. The trading had begun without Marlow, who was late. There were rumors that an important station was in jeopardy and that its chief, Kurtz, was ill. A shipwreck on Marlow’s boat has set them back.

The manager is anxious and says it will be three months before they can make a full start in the trading. Marlow begins work in the station. Whispers of “ivory” punctuate the air throughout the days. One evening a shed almost burns down. A black man is beaten for this, and Marlow overhears: “Kurtz take advantage of this incident.” The manager’s main spy, a first-class agent, befriends the new skipper and begins to question him extensively about Europe and the people he knows there.

Notes Marlow is confused about what this man hopes to learn. The agent becomes “furiously annoyed.”
There is a dark sketch on his wall of a woman blindfolded and carrying a lighted torch. The agent says that Kurtz painted it. Upon Marlow’s inquiry about who this man is, he says that he is a prodigy, an “emissary of pity and science.” They want Europe to entrust the guidance of the cause to them. The agent talks precipitately, wanting Marlow to give Kurtz a favorable report about his disposition because he believes Marlow has more influence in Europe than he actually does.

The narrator breaks off for an instant and returns to his listeners on the ship, saying that they should be able to see more in retrospect than he could in the moment. Back in the story, he is bored by the droning of the agent. Marlow wants rivets to stop the hole and get on with the work on his ship. He clammers aboard. The ship is the one thing that truly excites him. He notes the foreman of the mechanics sitting onboard. They cavort and talk happily of rivets that should arrive in three weeks. Instead of rivets, however, they receive an “invasion” of “sulky” black men with their white expedition leader, who is the Manager’s uncle. Marlow meditates for a bit on Kurtz, wondering if he will be promoted to General Manager and how he will set about his work when there.

Analysis

A logical way to begin analyzing the tale is by applying the title to the novel. “Darkness” is a problematic word with several meanings. It is initially mentioned in the context of maps, where places of darkness have been colored in once they have been explored and settled by colonists. The map is an important symbol. It is a guide, a record of exploration. The incomplete map has a dual purpose in that maps unlock mysteries, on the one hand, by laying out the geography of unknown lands for new visitors, and on the other hand, by creating new mystery and inspiring new curiosity about the lands listed as unknown, in addition to new questions about what is only partly known. The river is another important symbol, perhaps our first symbol of the “heart,” which is itself a symbol of the human spirit. Always moving, not very predictable, the gateway to a wider world, it is an excellent metaphor for Marlow’s trajectory.

Marlow says that as a child he had a “passion” for maps, for the “glories of exploration.” Although this description seems positive, it also sounds ominous. Marlow’s tone is of one who recalls childhood notions with bitterness and regret.

The cause of this regret is evident in the first description of Marlow. His sallow skin and sunken cheeks do not portray him as healthy or happy. He has had the chance to explore, but apparently the experience has ruined him. This is Conrad’s way of arranging the overall structure of the novella. The audience understands that this is to be a recollection, a tale that will account for Marlow’s presently shaky, impenetrable state. The author is also presupposing knowledge of colonialism. The bitterness of Marlow’s recollection suggests Conrad’s strong bias against colonialism, which he seems to be imparting to the reader by expressing Marlow’s difficulties.

The imagery of light and dark clearly corresponds to the tension already evident between civilization and savagery. The Thames River is called a “gateway to civilization” because it leads to and from the civilized city of London. It is important to note that the city is always described in stark contrast with its dark surroundings, which are so amorphous as to be either water or land.

The vivid language of maps becomes more interesting when we consider that the word “darkness” retains its traditional meaning of evil and dread. The fact that Marlow applies the concept of darkness to conquered territories may indicate Conrad’s negative view of colonialism. We read clearly that colonists are only exploiting the weakness of others. Their spreading over the
world is no nobler than violence and thievery. On the map, places that are blank and devoid of outside interference are apparently the most desirable for certain people.

Darkness has another meaning that retains deep resonance—a color of skin. Much of this chapter describes Marlow’s first encounters with and observations of the natives of the African Congo. The darkness of their skin is always mentioned. At first glance, Marlow describes them as “mostly black and naked, moving about like ants.” While in the shade, “dark things” seem to stir feebly. There is absolutely no differentiation between dark animals and dark people. Even the rags worn by the native people are described as tails. “Black shapes” crouch on the ground, and “creatures” walk on all fours to get a drink from the river. They are called shadows: reflections of humans, not substantial enough to be real. Marlow observes the piece of white string on a young man, and he is taken aback by how much the whiteness stands out against the darkness, thinking about the string’s probable European origin. He cannot seem to conceive of mixing black and white. Conrad portrays Marlow’s experience of otherness to such an extreme, and with such literary care, that it is hard to see Conrad simply expressing his own experience through Marlow, although Conrad likely was well aware of his own and others’ impressions of such places and did have a choice in how to present them. Writing through Marlow’s experience is a choice that leads us to look through Marlow’s eyes at the darkness he sees.

It is not accidental that Marlow is the only person on the Thames boat who is named. He is a complex character while, even in England, the others are presented not so much as individuals as with titles that name their occupations. Marlow is distinct from them as well; he belongs to no category. He is a man “who does not represent his class” because he crosses boundaries. His reaction to the African natives may not be sensitive by modern standards, but he is more engaged than the other officers at the stations. The Chief Accountant dismisses the cries of a dying black man as merely irritating. Marlow’s gesture of offering a biscuit to the young boy with the white string appears to be somewhat considerate. But it also seems condescending, which seems to be more of a character trait than a racist tendency. Marlow can think of nothing else to do as he looks into the boy’s vacant eyes. Marlow means well, and despite his individual character he is partly a product of his society.

Task Why does the narrator note Marlow’s resemblance to a Buddha, at the beginning as well as the end of Marlow’s story?

Immediately following the encounter with the young boy, he meets the Chief Accountant, who is perfectly attired with collar, cuffs, jacket, and all the rest. He refers to him as “amazing” and a “miracle.” We observe at this moment the distinctions between savagery and civilization as perceived by Marlow. The diction demonstrates a type of hero worship for this man. His starched collars and cuffs are achievements of character, and Marlow respects him on this basis. It is far too early for readers to think we understand what Marlow is all about.

Beyond Marlow’s distinction of savagery and civilization, we have a window into Conrad’s distinction when we consider his presentation of colonialism through Marlow and the colonists. The bitter irony here is that those who look the most civilized are actually the most savage. Indeed, the institution of colonialism is referred to as a “flabby, pretending, weak-eyed devil.” Everything it touches turns sour: the station is an administrative nightmare, and decaying machinery lies everywhere. Marlow takes this situation, however, as indicative of a poor work ethic, which he despises. For this reason he is drawn to the blustering accountant, who is a hard worker if nothing else. Marlow, in his own bumbling way, does occasionally try to relate to the natives.
The sense of time throughout the chapter is highly controlled. Conrad purposely glides over certain events while he examines others in minute detail. He does this in order to build suspicion about the place to which Marlow has committed himself. Notice that he painstakingly describes precursor events such as the doctor’s visit and all conversations that involve the unseen character Kurtz. Thus begins Marlow’s consuming obsession with this man.

So far, Marlow’s interest in Kurtz is more or less inactive and does not inspire fear. Perfectly placed leading questions such as the one about a history of family insanity have the desired effect, however, of alerting readers to a rather fishy situation. That Marlow ignores all of these warnings creates some dramatic irony; it will take him longer to arrive at conclusions that the reader has already reached.

It also is important to recognize that Marlow is telling a story. His recollections have a hazy, dreamy quality. The narrative is thus an examination of human spirit through his perspective, which is quite subjective. Thus, we should question how trustworthy the narrative speakers are. This situation puts even more distance between Conrad’s perspective and the perspective taken by characters in the story. The outside narrator only refers to what Marlow says and does; all others are ignored, and we understand their perspective only through Marlow’s account of what they say and do. Marlow selects the facts (even though Conrad ultimately selects them). Readers interested in this topic should consider in particular Marlow’s perception of the African environment, which develops into the novella’s larger themes.

So far as Kurtz is concerned, there has been incomplete communication. Marlow and the reader know him, but not much, yet. He seems sinister; people discuss him in a hushed manner, making sure to praise him. The fact that nobody has anything negative to say about him is suspicious, suggesting that they are all terribly anxious to stay on his good side. The portrait of the blind woman holding a torch, in the first agent’s room, suggests the failing of Kurtz: perhaps he has blindly traveled into a situation and has become absorbed in it, much as the woman is absorbed into the darkness of the painting (despite the torch, she is painted in insufficient light). This preemptive warning is useful to keep in mind as we consider subsequent chapters.

Part Two

While lying on the deck of his steamboat one evening, Marlow overhears a conversation between the Manager and his uncle, leader of the Expedition group that has arrived. Snatches of talk indicate that the two are conferring about Kurtz. The Manager says he was “forced to send him there.” They say his influence is frightful, and they add that he is alone, having sent away all his assistants. The word “ivory” is also overheard. The two men are wondering how all this ivory has arrived and why Kurtz did not return to the main station as he should have. Marlow believes that this circumstance allows him to see Kurtz for the first time. The Manager and his uncle say that either Kurtz or his assistant must be hanged as an example, so that they can get rid of unfair competition. Realizing that Marlow is nearby, they stop talking.

Over the next few days, the Expedition goes into the wilderness and loses all of their donkeys. As they arrive at the bank below Kurtz’s station, Marlow is excited at the prospect of meeting him soon. To Marlow, traveling up the river is like going to the beginning of the world. He sees no joy in the sunshine, however. The past comes back to haunt him on this river. There is a stillness that does not resemble peace. It is alive and watching Marlow. He is concerned about scraping the bottom of his steamship on the river floor, which is disgraceful for seamen. Twenty “cannibals” are his crew. The Manager and some pilgrims are also on board. Sailing by stations, they hear the word “ivory” resonating everywhere. The massive
trees make Marlow feel very small. The earth appears “unearthly.” The men are monstrous and not inhuman. This scares Marlow greatly. He believes the mind of man is capable of anything.

They creep on towards Kurtz. The ship comes across a deserted dwelling. Marlow finds a well-kept book about seamanship. It has notes in a language he cannot understand. Back on the boat, he pushes ahead.

Eight miles from Kurtz’s station, the Manager decides they will stay put for the evening. No sounds are heard. As the sun rises, “complaining clamor” with “savage discord” fills the air. Everyone fears an attack. One of the black crew members says that the attackers should be handed over to them and eaten. Marlow wonders why he and the other white crew members have not been eaten, for the cannibals could easily overpower them. The Manager insincerely worries that something might have happened to Kurtz. Marlow does not believe there will be an attack because the jungle and fog seem impenetrable. No one believes him. Some men go to investigate the shore. A pattering sound is audible: flying arrows! The helmsman on the ship panics and does not steer properly. The crew fires rifles into the bushes.

A black man is shot and lies at Marlow’s feet. He tries to talk but dies before he can get any words out. Marlow supposes that Kurtz has perished in this attack. He is exceedingly upset, for talking to the mythical man has become his major point of interest. In a fit of distress, Marlow throws his shoes overboard. He tells the listeners on the Thames ship that the privilege of talking to Kurtz was waiting for him. Marlow relates that Kurtz mentioned a girl and noted that his shanty was busting with ivory. Kurtz now has taken the position of “devil of the land.” Originally he was well-educated, but he has become entirely native to Africa, participating in rituals and rites. Kurtz is anything but common.

Back in the battle, the helmsman is killed. Marlow throws the body overboard. After a simple funeral, the steamer continues moving. Miraculously they see Kurtz’s station, which they had assumed to be lost. They see the figure of a man whom Marlow identifies as a harlequin type. This man says that Kurtz is present, and he assures them that they need not fear the natives, who are simple people. He speaks with Marlow, introducing himself as a Russian. The book Marlow holds is actually his, and he is grateful to have it returned. The Russian says the ship was attacked because the natives do not want Kurtz to leave with the crew, for he has broadened everyone’s mind there.

Analysis

Even in this chaotic jungle, there exists a twisted sense of morality. As the Manager and his uncle discuss Kurtz, they are willing to do anything that will get him or his assistant the Russian hanged, so that the trading field might be leveled to their advantage. They can consider this plan because “anything can be done in this country.” They both still retain a sense of law, but the most base components of their personalities control their intentions. For them, the civilized law of the European continent has been discarded in favor of vigilante justice.

The revealing of these men’s predatory nature points to the theme of inchoate savagery. Conrad suggests that there are integral connections among mind, body, and nature, which underlies the issue here: the lines between the civilized and the savage are blurred. The two men propose a very savage solution to a seemingly civilized problem of economic competition. The Congo has a metamorphic effect on the Europeans, at least in mind and perhaps also in body. Marlow sees the evil uncle “extend his short flipper of an arm for a gesture ... that seemed to beckon with a dishonouring flourish before the sunlit face of the land a treacherous appeal to the lurking death, to the hidden evil, to the profound darkness of its heart.” This is
one of the few instances in which a white man is animalized in this novella. The land is a living entity, one which has the potential to create evil, or to merge man back into nature.

The proprieties observed by the Manager are all completely fake. Marlow takes this as an illustration of his hollowness. One of Marlow’s more personally distressing thoughts is his realization that the “monstrous” tendencies of the black “cannibals” are not inhuman tendencies, after all; the white men possess them in a different form. The African land serves to equalize persons in that what often matters most are wit and determination (although firearms and safety in numbers are important, too).

While traveling, Marlow becomes somewhat delusional. River travel brings back the past—enlarging and distorting it until it becomes an uncontrollable paranoia that he is being watched. The telling of the tale takes on the tone of an epic quest that is larger than life. There is pregnant silence and a failing of the senses. Marlow appears to be traveling deeply into his own mind. His fanatic interest in the proper working of things is evident when he states that scraping a ship on the river bottom is “sinful.” The religious language, which in another context might be humorous, demonstrates Marlow’s mounting panic. This paranoia in turn diminishes his sense of reality, leaving him searching for a sense of truth and stability—making him even less reliable and even more distinct from Conrad’s own perspective. Marlow’s transformation in part helps to explain his obsession with Kurtz. Behind the myth of this mysterious figure there is a real, substantial person. Kurtz is the bogeyman of the area and, most logically, the one on whom it is easy for Marlow to fixate.

The inferiority of the natives is a constant theme. About the fireman on his ship, Marlow remarks “he was there below me … to look at him was as edifying as seeing a dog in a parody of breeches.” The lower physical position of the body corresponds to a mental and social state. The narrator participates in believing what he describes is the inherent inferiority of the blacks. In all possible aspects they appear subservient to the white men, and even seeing them wear pants amounts to no more than a warped joke. The one time that a native actually speaks is when the ship approaches the brush, right before the attack, and all he has to say is that any prisoners should be given to the crew as a meal. The narrator cannot understand why the white men were not eaten. He cannot credit the blacks with intelligence beyond instinct. During the battle, one native is shot, with Marlow and the Manager watching: “I declare it looked as though he would presently put to us some question in an understandable language, but he died without uttering a sound.” For him there is no comprehension of the blacks he encounters. They are always evaluated and silenced, it seems, before they can speak. Nevertheless, Marlow does feel a real kinship to his “savage” crew, which places him above other whites in the narrative. Even here, though, he has shortcomings—his appreciation of the helmsman after he has died, for instance, seems more machine-like than humane.

The figure of Kurtz grows more enigmatic in this chapter, and we return to the theme of voices and communication. Communication fails when Marlow cannot decipher the book and when the note has an incomplete warning. Marlow’s obsession with Kurtz has reached its height. Talking to him has become the entire reason for Marlow’s passage through this jungle. The fact that authoritative, unpleasant figures, such as the Manager, dislike Kurtz makes the reader more receptive to him. Notice that Marlow and Kurtz are the only two characters in the entire story who are named. Everyone else is titled, detached, and therefore dehumanized. This is an effective means of drawing a relationship or some kind of comparison between the two characters before they even meet. As soon as Marlow believes that Kurtz is dead, his presence begins to dominate him more vividly—Marlow hears his voice, sees him in action. Kurtz is even stronger than death. The reason Kurtz affects Marlow so deeply is that he has turned his back on his roots and essentially become native. This demonstrates that there is much more to Marlow’s personality than what appears. He is not the average European. The
Part Three

Marlow is astonished at the Russian’s words. He is gathering a clearer picture of Kurtz. The Russian says that he has gone so far that he does not know if he will ever get back. Apparently he has been alone with Kurtz for many months. His sense of adventure is pure, and glamour urges him onward. The Russian remembers the first night he spoke to Kurtz: he forgot to sleep, he was so captivated. Kurtz made him “see things.” He has nursed this great man through illnesses and has accompanied him on explorations to villages. Kurtz has raided the country by securing the cooperation of the nearby tribe, whose members all adore him. He loses himself in ivory hunts for weeks at a time. The Russian disagrees that Kurtz is mad. Even when this bright-eyed adventurer was dismissed by his mentor, he refused to go. Kurtz went down the river alone to make another ivory raid. His illness acted up, so the Russian joined him in order to take care of him.

Presently, Kurtz lies in a hut surrounded by heads on stakes. Marlow is not very shocked at the sight. He takes this as an indication that Kurtz lacks restraint in the gratification of his lusts, a condition for which the wilderness is culpable. Marlow assumes that Kurtz was hollow inside and needed something to fill that lack. The Russian is perturbed by Marlow’s attitude of skepticism. He also has heard enough about the ceremonies surrounding this revered man.

Suddenly a group of men appear around the house. They convene around the stretcher that holds the dying Kurtz. He tells the natives to leave. The pilgrims carry him to another cabin and give him his correspondence. In a raspy voice he says he is glad to meet Marlow. The Manager comes in to talk privately with Kurtz. Waiting on the boat with the Russian, Marlow sees the “apparition” of a gorgeous woman. She glitters with gold and paint, and she looks savage. She steps to the edge of the shore and eyes the steamer. She gestures violently toward the sky, turns, and disappears into the thicket. The harlequin man fears her.

The Manager emerges. Taking Marlow aside, he says they have done all they can for Kurtz. He adds that Kurtz did more harm than good for the Company. His actions were too “vigorous” for the moment. Marlow does not agree that Kurtz’s method was unsound. To him, Kurtz is a remarkable man—even somehow a friend. Marlow warns the Russian to escape before he can be hanged; he states that he will keep Kurtz’s reputation safe. It was Kurtz who ordered the attack on the steamer because he did not want to be taken away—Kurtz thus thought to fake his death.

Self Assessment

Multiple Choice Questions:

1. *Heart of Darkness* opens in what setting?
   (a) A boat on the Congo River (b) A boat on the Thames River
   (c) The Company’s offices in Brussels (d) The Outer Station

2. Where does Kurtz die?
   (a) At the Inner Station (b) In Brussels
   (c) Aboard Marlow’s steamer (d) In the Jungle
3. What does Marlow discover atop the fence posts at the Inner Station?
(a) Human heads  
(b) Monkey skulls
(c) Dead infants  
(d) The Company flag

4. The Company trades primarily in ......... .
(a) Gold  
(b) Slaves
(c) Bananas  
(d) Ivory

5. Which of the following receives Kurtz’s “Report” after his death?
(a) Marlow’s aunt  
(b) Kurtz’s “Intended”
(c) A representative of the Company  
(d) A journalist

6. Most of Marlow’s adventures take place in ......... .
(a) Kenya  
(b) Rhodesia
(c) The Congo  
(d) England

While Marlow dozes, drumbeats and incantations fill the air. He looks into the cabin that holds Kurtz and discovers that he is missing. Marlow sees his trail and goes after him. The two men face one another. Kurtz pleads that he has plans. Marlow replies that his fame in Europe is assured; he realizes that this man’s soul has gone mad. He is able to bring Kurtz back to the cabin. The ship departs the next day amongst a crowd of natives. Kurtz is brought into the pilot-house of the ship. The “tide of brown” runs swiftly out of the “heart of darkness.” That is, the life of Kurtz is ebbing. Marlow is in disfavor, lumped into the same category as Kurtz. The Manager is now content. Marlow listens endlessly to Kurtz’s bedside talk. He accepts a packet of papers and a photograph that his friend gives him, in order to keep them out of the Manager’s hands. A few evenings later, Kurtz dies, with one phrase on his lips: “The horror! The horror!”

Marlow returns to Europe but is plagued by the memory of his friend. He is disrespectful to everyone he encounters. The Manager demands the papers that Kurtz entrusted to Marlow. Marlow relinquishes the technical papers but not the private letters or the photograph. All that remains of Kurtz is his memory and the photo of his “Intended.” Kurtz is very much a living figure to Marlow. He visits the woman in the picture, who embraces and welcomes him. She has silently mourned for the past year, and she needs to profess her love and how she knew Kurtz better than anyone. Marlow perceives that the room darkens when she says this. She speaks of Kurtz’s amazing ability to draw people in through his incredibly eloquent speech. The woman says she will be unhappy for life. Marlow states that they can always remember him. She expresses a desperate need to keep his memory alive, as well as guilt that she was not with him when he died. When the woman asks Marlow what Kurtz’s final words were, he lies and says that Kurtz spoke her name. The woman weeps in triumph.

**Did u know?** Marlow states that to tell the truth would have been too dark. Back on the Thames River ship, a tranquil waterway leads into the heart of darkness.

**Analysis**

The Russian says it best: “I went a little farther ... till I had gone so far that I don’t know how I’ll ever get back.” The Russian and Marlow are similar, both looking for epiphany and enlightenment.
Kurtz is a possible source of this enlightenment, and he thus is the most powerful figure in the story, even though he does not appear until the end.

The author is setting forth a challenge: rather than directly describing Kurtz, he provides various clues that we must piece together in order to understand who Kurtz is. The first conversation that the Russian has with his mentor, about “everything” in life including love, points to a man who is sensitive and introspective. Kurtz speaks in civil and savage tongues. His eloquence is his forte because it disguises his darkness from people like the Russian. The woman back in Europe who mourns for him speaks of a generous heart, a noble mind, and greatness. The impressions of these two people, however, strongly contrast with the opinion of people such as the Manager, who says that Kurtz was unethically gathering ivory by inciting locals to violence.

Marlow must stand in for the reader’s perspective. From what he sees and reports, the reader can infer that all such accounts are true. Yet Marlow does not see Kurtz as evil for his actions toward the natives because of his intentions. People such as the Manager truly care only about fulfilling an ivory quota and becoming wealthy. While Kurtz is certainly consumed with his search for ivory (his face and body are described in terms of this precious resource), Conrad does not provide any evidence that Kurtz is concerned with the material aspects of ivory: his house and existence are extremely simple, despite all of the ivory he has recovered. If money and fame were the only things important to him, he could have returned to England long ago. The Russian states that Kurtz “would lose himself among the people.” The staked heads around his home demonstrate a lack of restraint “in the gratification of various lusts.” They are necessary for a man with a great appetite. Apparently, the time in the African Congo has been a time of letting go for Kurtz, a time in which passions and appetites become unbridled, and in which the past no longer matters.

This is a type of traveler’s sickness. The image of Kurtz on his deathbed is of his opening his mouth wide, giving him a “voracious aspect” as if he wants to absorb and swallow everything. His need to plan and consume, however, has consumed his mind and spirit. It is a remarkable case of colonialism gone awry: “the wilderness had found him out early, and had taken on him a terrible vengeance for the fantastic invasion.” Curiosity that leads to exploration can also lead, tragically, to a loss of self. Herein lies a sociopolitical message, a caution against trying to control something that is not originally a part of you, lest it control you. Expressing oneself in a new environment can mean the loss of one’s earlier self.

Marlow does not condemn Kurtz because he pities him, sympathizing with his tortured existence. The moment when Marlow stands between Kurtz and the horned, demonic-looking man is critical. This figure symbolizes the death and darkness of Kurtz, and he only turns away from complete desolation because Marlow is there to help him back. Despite the circumstances, however, there is an undercurrent of history that makes Kurtz’s death seem karmic. The devotion shown to him by the natives illustrates an almost reciprocal relationship between them. While it is most likely that they help Kurtz without understanding the material benefits behind the ivory, it is clear that Kurtz enjoys being a part of them as much as they enjoy having him there. He is definitely the least biased character in the whole book, which speaks highly for him in the eyes of a modern reader. Unfortunately, he loses himself and detaches from everything earthly. Kurtz’s soul has broken forbidden boundaries because it only concentrated on itself.

Kurtz dies painfully both because his obsessive tasks were not complete and because his soul has been sold. The “horror” he pronounces on his deathbed is a judgment on how he has lived his life. We can definitely see Kurtz’s demise as a possible end for Marlow if he had not left the Congo. As it was, the wilderness was already creeping and merging into his psyche, and
there was a moment when he could not tell the difference between a drum beat and his own
heartbeat. He appears to have escaped in time.

Marlow’s lie at the end of the story is both cruel and compassionate. While the woman is
comforted, she will have to continue believing in an illusion. She will never know what Kurtz
became. Marlow states that the truth is “too dark” to tell. But truly, his terrible decline is in
vain if no one learns of it. And is the woman so weak that she cannot really hear the truth?
Telling Kurtz’s tale is the point of Marlow’s telling his story aboard the Thames ship. A river
can lead to civilization—but it also leads to darkness.

Self Assessment

Multiple Choice Questions:

7. Which of the following is not something that Marlow gives to the Russian trader?
   - (a) Food
   - (b) Gun cartridges
   - (c) Tobacco
   - (d) Shoes

8. What do the men at the Central Station hear about the fate of the Eldorado Exploring
   Expedition?
   - (a) That they have been successful and are returning with lots of ivory
   - (b) That the expedition’s pack animals are dead
   - (c) That the men have been ambushed and killed by natives
   - (d) That the expedition has found Kurtz

9. At the end of his “Report” on the natives, Kurtz writes:
   - (a) “Exterminate all the brutes!”
   - (b) “God help us!”
   - (c) “No more death!”
   - (d) “God save the King!”

10. What one thing does Marlow need to repair his wrecked steamer?
   - (a) Steel plates
   - (b) A new boiler
   - (c) Tools
   - (d) Rivets

11. Which of the following does not accompany Marlow on his journey up the river from
    the Central Station?
    - (a) The chief accountant
    - (b) The general manager
    - (c) The cannibals
    - (d) The pilgrims

12. The Company is
    - (a) English
    - (b) French
    - (c) Dutch
    - (d) Belgian

31.3 Joseph Conrad—Heart of Darkness: Themes

Groupthink and Stock Characters

This novella is unusual in that the author does not name most of the characters in his book,
other than assigning them titles that describe their larger organizational goals. It is not quite
an allegory, while he does allow them some individual characteristics of speech and dress, but
they are for the most part stand-ins for larger groups. The obvious exception is Marlow, and
his reaction against the colonial structures supported by people with names like “the Manager” and “the Lawyer” place him slightly outside this system. Groupthink is evident in named groups like the pilgrims and the natives. These groups have a few outstanding members, such as the native woman of arresting beauty or the red-haired pilgrim drunk with bloodthirstiness, but they mostly move together, make the same decisions, and have the same intentions. Conrad critiques such patterns, in which individual in a society think like other members of their group without stopping to think for themselves. Although Marlow is by no means a heroic character, Conrad does illustrate the need for individual thought by singling him out.

Primitivism

As the crew makes their way up the river, they are traveling into the “heart of darkness.” The contradiction, however, is that Marlow also feels as if he were traveling back in time. When Conrad wrote this story, scientists were learning that Africa is the seat of human civilization, and this knowledge is reflected in the fact that the trees are (almost prehistorically) enormous on the route down the river. The paradox of the novel, however, is that by traveling backwards in time, the crew do not move closer to the innocence and purity of the “noble savage” but farther away from it. Words like “pestilent” and “sordid” are used again and again to describe the natives and their land. Conrad seems to claim that the Christian belief that prehistory was untouched by obscurity or evil is a fallacy. Instead, there is “the horror.” In contrast, it seems, is the more advanced civilization of the colonizers and visitors.

Uncertainty

Nothing in this novella is described in very concrete terms. Shores are hazy. Land looks like a spine sticking out from a man’s back but is not described in topographical terms. Marlow is obsessed with Kurtz before he even meets him, without a clear idea why. A sense of danger pervades the entire trip, and it is mostly dictated by uncertainty. The natives do not seem inherently threatening. On one occasion, they let fly a series of arrows, but these even look ineffectual to Marlow. They are threatening because they might be poisoned. Similarly, Marlow has no clear idea of what the natives might do to him if Kurtz gave them free rein, and it is possible that this uncertainty increases his fear. Kurtz himself is an uncertain figure, ruled as he is by two separate impulses, the noble and the destructive. At the beginning of the novella, the reader perceives that the former is his dominant (or only) characteristic. But with vicious scrawlings on his manuscript and his ruthlessness in extracting ivory from the land, Kurtz proves himself the latter. Marlow’s adherence to Kurtz until the end confuses the matter; one could judge him one way or the other. The idea of “darkness” expresses the theme of uncertainty in the novella.

Imperial Authority

Whatever the conditions in Africa may be, all of the characters agree that they are different from those of Europe. There is a feeling of anything-goes vigilantism that shifts the balance of power from the stewards in a “civilized” state (police, doctors, bureaucrats) to whoever is most threatening. Kurtz is physically quite a weak man, but he maintains enormous sway over the native population through his understanding of their language and his cultural and communication skills. He exploits their appreciation of him as an Other. Marlow’s men use a much more simple means of gaining authority, namely, firearms. This is the tragedy of imperialism in that the arrival of the white man heralds a new order, but in the creation of that order, they retain the tools and the authority. Black men in this book first appear as members of a chain gang, and they gain little power after that scene.
Religion

Although there is controversy over whether Conrad is critiquing colonialism or not, it is clear that he is critiquing religion. The two groups in the novel, the pilgrims and the natives, are linked by having religious beliefs, and the pilgrims seem at least as bloodthirsty as the natives. The rite in the woods that Marlow describes seems alien but certainly no more dangerous than the ambush. One of the seemingly admirable characteristics of Kurtz, as presented by Conrad, is that he seems just as compelled by African religion as by Christianity but seems beholden to neither. Marlow genuinely admires his ability to independently critique religions. He may not agree with Kurtz’s evaluation, but he respects Kurtz’s ability to have his own opinions in the face of the various religious traditions he encounters.

Jewelry

Jewelry is a major presence in Heart of Darkness. To begin with, it is the main reason for the presence of the colonists in Africa: they are there to strip the country of its ivory. There is a play on colors between the black people and this white valuable good. The most prestigious member of the African community and one of the only characters to be afforded individual characteristics by Conrad is the woman who is presumably Kurtz’s mistress. Her first appearance is impressive; she is covered in bangles and other “barbarous ornaments.” Her aspect has both attractiveness and ferocity, and she is the only character in the novella who wears jewelry. Despite it being the raison d’être of the novella, the other characters have little interest in jewelry, showing an almost Marxist detachment from the good they harvest.

Illness

Illness is a major factor in this novella. It appears in physical and mental forms. Marlow is hired to replace a man who committed suicide, and another instance of suicide is announced by a somber Swedish man. The first thing that Marlow does upon being hired is go to the doctor, who checks both his mental and physical health and provides a very gloomy prognosis. The specter of ill health, or of one’s body not standing up to the conditions, is a constant specter in the novella. The mental health issue is particular to Heart of Darkness, while the issue of wider health continues in the tradition of Victorian novels, in which men often travel to Africa only to come down with exotic diseases. In the end, it seems that Marlow is more mentally than physically taxed, while Kurtz is clearly both.

31.4 Summary

- Heart of Darkness is a novella written by Joseph Conrad. Before its 1902 publication, it appeared as a three-part series (1899) in Blackwood’s Magazine.
- Joseph Conrad grew up in the Polish Ukraine, a large, fertile plain between Poland and Russia. It was a divided nation, with four languages, four religions, and a number of different social classes.
- A ship called the Nellie is cruising down the Thames—it will rest there as it awaits a change in tide.
- A logical way to begin analyzing the tale is by applying the title to the novel. “Darkness” is a problematic word with several meanings.
- It also is important to recognize that Marlow is telling a story. His recollections have a hazy, dreamy quality.
31.5 Keywords

*Primitivism*: primitivism is a Western art movement that borrows of visual forms from non-Western or prehistoric peoples, such as Paul Gauguin’s inclusion of Tahitian motifs in paintings.

*Narration*: narration is assimilating information and retelling it.

*Darkness*: darkness, in contrast with brightness, is a relative absence of visible light. It is the appearance of black in a color space.

*Novella*: a novella (also called a short novel) is a written, fictional, prose narrative longer than a novelette but shorter than a novel.

*Pilgrim*: a pilgrim is a traveller who is on a journey to a holy place.

31.6 Review Questions

1. Write an essay on the biography of Joseph Conrad.
2. Describe the introduction to the work “Heart of Darkness”.
3. Explain the detailed study of the text.
4. Discuss the themes of “Heart of Darkness”.
5. Write down the summary of the novel, “Heart of Darkness”.

**Answers: Self Assessment**

1. (b) A boat on the Thames River 2. (c) Aboard Marlow’s Steamer
3. (a) Human heads 4. (d) Ivory
5. (d) A journalist 6. (c) The Congo
7. (a) Food
8. (b) That the expedition’s pack animals are dead
9. (a) “Exterminate all the brutes!” 10. (d) Rivets
11. (a) The chief accountant 12. (d) Belgian

31.7 Further Readings

**Books**

- Heart Of Darkness (E Text) — Joseph Conrad
- Joseph Conrad: His Moral Vision — George A. Panichas
- Joseph Conrad: A Study — Richard Curle

**Online links**

- http://www.sparknotes.com/lit/heart